# GLOBAL X

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### **Global X ETF Series (the "Trust")**

(A Hong Kong unit trust authorized under Section 104 of the Securities and Future Ordinance (Cap.571 of Hong Kong))

### **Global X Innovative Bluechip Top 10 ETF**

(HKD Counter Stock Code: 3422) (the "Sub-Fund")

(Sub-Fund of Global X ETF Series, a Hong Kong umbrella unit trust, authorized under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)<sup>1</sup>

## Notice to Unitholders – Amendment of Methodology for Mirae Asset Global Innovative Bluechip Top 10 Index

Dear Unitholders,

Mirae Asset Global Investments (Hong Kong) Limited (the "**Manager**"), the investment manager of the Sub-Fund, hereby informs Unitholders of changes to be made by Mirae Asset Global Index Private Limited (the "**Index Provider**") to the Mirae Asset Global Innovative Bluechip Top 10 Index (the "**Index**"), the underlying index of the Sub-Fund.

#### **Changes to the Index**

The objective of the Mirae Asset Global Innovative Bluechip Top 10 Index is to track the performance of global large-cap companies that consistently lead the growth of the industry based on their super dominant business positions. The Index Provider has determined to amend the index methodology to include a minimum of two securities per sub-theme. This will allow

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increased diversification across sub-themes in the index and will avoid concentration into specific sub-themes. To ensure the reflection of the Index objective and to improve the diversification within the Index composition, the following changes will be implemented with effect from 19 April 2024.

Section	Before Amendment	After Amendment
- Final Weighting and Selections	Select top ranked stock from each of the sub themes based on their Company Market Capitalization.	Select top 2 stocks from each of the sub themes based on their Company Market Capitalization ranking.
- Selection Criteria: Sub theme description	Companies that manufacture and trade electric vehicles, fuel cell/hydrogen vehicles, and hybrid vehicles. Includes companies that produce and distribute lithium-ion batteries, fuel cells, other core materials for the cells, and electric charging infrastructure. This theme also considers companies that provide clean energy-related services and products in broad renewable sources such as solar and wind power.	Companies that manufacture and trade electric vehicles, fuel cell/hydrogen vehicles, and hybrid vehicles utilizing innovative battery technology. Includes companies that produce and distribute lithium-ion batteries, fuel cells, other core materials for the cells, and electric charging infrastructure. This theme also considers companies that provide clean energy-related services and products in broad renewable sources such as solar and wind power.

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### Impact on the Sub-Fund

There will be no impact on the investment portfolio of the Sub-Fund. There will be no impact on the operation and/or manner in which the Sub-Fund is being managed, and there will be no change in the fee level or cost of managing the Sub-Fund following the implementation of the above changes. There will be no material change or increase in the overall risk profile of the Sub-Fund following the changes. The above changes do not materially prejudice the rights or interests of the Unitholders of the Sub-Fund. For the avoidance of doubt, the investment objective and strategy of the Sub-Fund remain unchanged, and there will also be no impact on the acceptability of the Index.

The latest index methodology and other general information on the Index are available at <u>https://indices.miraeasset.com/index.php</u>.<sup>2</sup>

#### General

Unless otherwise defined, all capitalised terms shall have the same meaning as are given to such terms in the Prospectus of the Sub-Fund.

The Prospectus and the product key facts statements of the Sub-Fund will be amended on 19 April 2023 to reflect the above changes as well as consequential changes, editorial and miscellaneous updates. The updated offering documents will be available on the Manager's website at <u>https://www.globalxetfs.com.hk/3</u> and the HKEX's website at <u>www.hkex.com.hk</u> on 19 April 2023.

If you have any queries in relation to this Announcement, please contact the Manager at Room 1101, 11/F, Lee Garden Three, 1 Sunning Road, Causeway Bay, Hong Kong or its enquiry hotline at (852) 2295-1500 during office hours.

The Manager accepts responsibility for the accuracy of the contents of this notice.

## Mirae Asset Global Investments (Hong Kong) Limited as the Manager of the Trust and Sub-Fund

Date: 16 April 2024

<sup>&</sup>lt;sup>2</sup> This website has not been reviewed or approved by the SFC.

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