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Global X ETF Series (the “Trust”)

Global X Electric Vehicle and Battery Active ETF

(Stock Code: 3139)
(the “Investment Fund”)

(Sub-fund of Global X ETF Series, a Hong Kong umbrella unit trust, authorized under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)¹

Announcement Change of Investment Objective and Strategy and Name of the Investment Fund

Dear Unitholders,

Mirae Asset Global Investments (Hong Kong) Limited, the Manager of the Trust and the Investment Fund (the “**Manager**”), wishes to inform investors of the change of investment objective and strategy of the Investment Fund, which will take effect on 29 September 2025 (the “**Effective Date**”). Consequently, the name of the Investment Fund will also change from the Effective Date.

1. Change of Investment Objective and Strategy, and Change of Name of the Investment Fund

With effect from the Effective Date, the change of the investment objective and strategy of the Investment Fund will include the investment in companies that directly or indirectly involve in humanoid robots or robot-related automation businesses. The change of investment objective and strategy of the Investment Fund is summarized in the table below:

Current Investment Objective and Strategy	Investment Objective and Strategy from the Effective Date
<p>The Investment Fund’s investment objective is to achieve long term capital growth by primarily investing in companies which are directly or indirectly involved in electric vehicle or electric vehicle-related battery businesses (the “EV/Battery Business”).</p> <p>In seeking to achieve the Investment Fund’s investment objective, the Investment Fund will invest primarily (i.e. at least 70% of its Net Asset Value) in equity securities of companies which</p>	<p>The Investment Fund’s investment objective is to achieve long term capital growth by primarily investing in companies which are directly or indirectly involved in electric vehicle or electric vehicle-related battery businesses (the “EV/Battery Business”), <u>humanoid robots and robot-related automation businesses (the “Humanoid Robotic Business”)</u>.</p> <p>In seeking to achieve the Investment Fund’s investment objective, the Investment Fund will</p>

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are directly or indirectly involved in the EV/Battery Business.

The Securities in which the Investment Fund will invest (which may include listed American Depositary Receipts (“**ADRs**”) and Global Depositary Receipts (“**GDRs**”)) may be listed on any exchange globally. The Investment Fund will invest not more than 50% of its Net Asset Value in A-Shares through the Shanghai-Hong Kong Stock Connect and the Shenzhen-Hong Kong Stock Connect (collectively the “**Stock Connect**”), which may include stocks on the ChiNext Board of the Shenzhen Stock Exchange (the “**SZSE**”) and/or the Science and Technology Innovation Board (the “**STAR Board**”) of the Shanghai Stock Exchange (the “**SSE**”).

In assessing whether a company is directly or indirectly involved in the EV/Battery Business, the Manager takes into consideration multiple assessment criteria, including, among other things, the revenue/profit generated and the research and development expense on the EV/Battery Business of the company.

Companies which are directly involved in the EV/Battery Business may include:

- (i) Electric vehicles or batteries – Companies engaged in the production, development, design or research of electric vehicles or batteries such as cars, trucks, motorcycles/scooters, buses, and electric rail, and batteries used to power the electric motors of an electric vehicle.
- (ii) Electric vehicle or battery components – Companies engaged in the production or development of key components in electric vehicle or battery components, such as semiconductors, electric motors, power inverters, onboard battery charger and battery management systems.
- (iii) Critical electric vehicle or battery materials – Companies engaged in mining or provision of critical electric vehicle or battery materials, such as lithium, nickel and cobalt.

Companies which are indirectly involved in the EV/Battery Business may include those which provide ancillary services to companies directly related to the EV/Battery Business, such as companies which provide software technology solutions (for example, electric vehicle charging management software, electric vehicle

invest primarily (i.e. at least 70% of its Net Asset Value) in equity securities of companies which are directly or indirectly involved in the EV/Battery Business **and/or Humanoid Robotic Business**.

The Securities in which the Investment Fund will invest (which may include listed American Depositary Receipts (“**ADRs**”) and Global Depositary Receipts (“**GDRs**”)) may be listed on any exchange globally. The Investment Fund will invest not more than 50% of its Net Asset Value in A-Shares through the Shanghai-Hong Kong Stock Connect and the Shenzhen-Hong Kong Stock Connect (collectively the “**Stock Connect**”), which may include stocks on the ChiNext Board of the Shenzhen Stock Exchange (the “**SZSE**”) and/or the Science and Technology Innovation Board (the “**STAR Board**”) of the Shanghai Stock Exchange (the “**SSE**”).

In assessing whether a company is directly or indirectly involved in the EV/Battery Business **and/or Humanoid Robotic Business**, the Manager takes into consideration multiple assessment criteria, including, among other things, the revenue/profit generated, the research and development expense on the EV/Battery Business **and/or Humanoid Robotic Business (as the case may be)** of the company, **the company’s product, the competitiveness of the company and technology used by the company**.

Companies which are directly involved in the EV/Battery Business may include:

- (i) Electric vehicles or batteries – Companies engaged in the production, development, design or research of electric vehicles or batteries such as cars, trucks, motorcycles/scooters, buses, and electric rail, and batteries used to power the electric motors of an electric vehicle.
- (ii) Electric vehicle or battery components – Companies engaged in the production or development of key components in electric vehicle or battery components, such as semiconductors, electric motors, power inverters, onboard battery charger and battery management systems.
- (iii) Critical electric vehicle or battery materials – Companies engaged in mining or provision of critical electric vehicle or battery materials, such as lithium, nickel and cobalt.

modelling software and autonomous driving software) or energy storage solutions (for example, electric vehicle charging platforms and solutions that repurpose used electric vehicle batteries) to facilitate the businesses of those companies.

For the avoidance of doubt, the above is a non-exhaustive illustration of companies that may be indirectly involved in EV/Battery Business in which the Investment Fund will primarily invest.

The Investment Fund will invest no more than 30% of its Net Asset Value in cash or other investment products, such as money market funds (which are authorised under Chapter 8.2 of the Code or eligible schemes under Chapter 7.11A of the Code) in accordance with the requirements of the Code. The Investment Fund will invest no more than 15% of its Net Asset Value in short term (i.e. maturity less than 3 years) investment-grade bond funds.

Currently, the Manager will not enter into sale and repurchase transactions, reverse repurchase transactions or other similar over-the-counter transactions on behalf of the Investment Fund. The Manager will seek the prior approval of the Commission (if required), and provide at least one month's prior notice to Unitholders before the Manager engages in any such investments.

The investment strategy of the Investment Fund is subject to the investment and borrowing restrictions set out in Part 1 of this Prospectus.

Companies which are indirectly involved in the EV/Battery Business may include those which provide ancillary services to companies directly related to the EV/Battery Business, such as companies which provide software technology solutions (for example, electric vehicle charging management software, electric vehicle modelling software and autonomous driving software) or energy storage solutions (for example, electric vehicle charging platforms and solutions that repurpose used electric vehicle batteries) to facilitate the businesses of those companies.

Companies which are directly involved in the Humanoid Robotic Business may include:

- (i) **Humanoid robots – Companies engaged in the production, development, design or research of humanoid robots.**
- (ii) **Robot-related automation – Companies engaged in the production, development, design or research of robot related automation, such as industrial automation machineries and robotics makers.**
- (iii) **Robot-related artificial intelligence – Companies engaged in the development, design or research of robot-related artificial intelligence software.**

Companies which are indirectly involved in the Humanoid Robotic Business may include those which provide ancillary services to companies directly related to the Humanoid Robotic Business such as companies which provide software technology solutions (for example, robot operating system and robot modelling software), robot-related artificial intelligence solutions or energy storage solutions (for example, robot charging platforms) to facilitate the businesses of those companies.

For the avoidance of doubt, the above is a non-exhaustive illustration of companies that may be indirectly involved in EV/Battery Business **and/or Humanoid Robotic Business** in which the Investment Fund will primarily invest.

The Investment Fund will invest no more than 30% of its Net Asset Value in cash or other investment products, such as money market funds (which are authorised under Chapter 8.2 of the Code or eligible schemes under Chapter 7.11A of the Code) in accordance with the requirements of the Code. The Investment Fund will invest no more than 15% of its Net Asset

	<p>Value in short term (i.e. maturity less than 3 years) investment- grade bond funds.</p> <p>Currently, the Manager will not enter into sale and repurchase transactions, reverse repurchase transactions or other similar over-the-counter transactions on behalf of the Investment Fund. The Manager will seek the prior approval of the Commission (if required), and provide at least one month's prior notice to Unitholders before the Manager engages in any such investments.</p> <p>The investment strategy of the Investment Fund is subject to the investment and borrowing restrictions set out in Part 1 of this Prospectus.</p>
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With effect from the Effective Date, the name and stock short name of the Investment Fund will also change as follows to reflect the change of the investment objective and strategy:

	Prior to the Effective Date	From the Effective Date onwards
English name	Global X Electric Vehicle and Battery Active ETF	Global X EV and Humanoid Robot Active ETF
Chinese name	Global X 電動車及電池主動型 ETF	Global X 電動車及人形機器人主動型 ETF
English stock short name	A GX EV BATT	A GX EV ROBOT
Chinese stock short name	AGX 電車	AGX 電車機器人

Save for the changes mentioned above, there will be no change to other ancillary investments of the Investment Fund.

Reason for the changes

Based on the current market trend, a significant number of companies involved in the EV/Battery Business are expanding into the business involving humanoid robot and robot-related automation components or initiatives. As such, the changes are intended to expand the Investment Fund's investment opportunities to a broader technology sector, tapping into the humanoid robots or robot-related automation field, in order to better reflect the shift in the market trend.

Risk Factors

As a result of the changes, the Investment Fund may be subject to the following additional risk factor:

Risks related to companies involved in the Humanoid Robotic Business

- The Investment Fund's investment in equity securities of companies which are directly or indirectly involved in humanoid robots or robot-related automation businesses (the "**Humanoid Robotic Business**"), including robot-related artificial intelligence companies, are particularly sensitive to risks to those types of companies. These risks include, but are not limited to, small or limited markets for such securities, changes in business cycles, world economic growth, technological progress, rapid obsolescence, and government regulation. Securities of companies in the Humanoid Robotic Business, especially companies which have a relatively small market capitalisation and limited operating history, tend to be more volatile than securities of companies that do not rely heavily on technology. Rapid change to technologies that affect a company's products could have a material adverse effect on such company's operating results.
- Companies involved in the Humanoid Robotic Business may rely on a combination of patents,

copyrights, trademarks and trade secret laws to establish and protect their proprietary rights in their products and technologies. There can be no assurance that the steps taken by these companies to protect their proprietary rights will be adequate to prevent the misappropriation of their technology or that competitors will not independently develop technologies that are substantially equivalent or superior to such companies' technology. Increasing global regulatory scrutiny in relation to the collection, storage and usage of data may also impede the development of new robotics and/or robot-related artificial intelligence products, hamper the commercial rollout of such products and affect the market demand.

- Companies in the robotics sector and artificial intelligence sector also typically rely on heavy and significant spending on research and development, and there is no guarantee that the products produced by these companies will materialise into commercially successful products.
- Furthermore, as the robotics sector and artificial intelligence sector may be deemed sensitive to national interests, the sector may be subject to government intervention, sanctions and trade protectionism. Companies involved in the Humanoid Robotic Business may be highly dependent upon government subsidies and incentives (including but not limited to preferential tax treatments) and contracts with government entities, and may be negatively affected if such subsidies are reduced, such preferential tax treatments expire or are discontinued, or contracts are unavailable due to changes in government policies.
- The success of companies in the Humanoid Robotic Business is typically dependent on the companies' ability to maintain relationships with their technology partners. If a company's relationship with a technology partner were impaired or terminated, the company may not be able to enter into a new technology alliance on a timely basis or on commercially favourable terms, which could result in significant additional cost or disruptions to its businesses.

2. Impact on the Investment Fund

There will be no change to the fee level or cost in managing the Investment Fund following the implementation of the above changes. There will be no impact on the operation and/or manner in which the Investment Fund is being managed and the above changes will not affect the existing investors of the Investment Fund, and there will be no change to the features or risk profile of the Investment Fund. The investors' rights or interests will not be materially prejudiced as a result of the changes set out in this Announcement.

The changes described in this Announcement do not require investors' approval. Costs and/or expenses associated with the changes will be borne by the Manager.

3. General

Unless otherwise stated, all capitalised terms in this Announcement shall have the same meaning as in the Prospectus of the Trust (the "**Prospectus**") dated 9 June 2025.

The Prospectus and the product key facts statement of the Investment Fund will be updated to reflect the above changes as well as consequential changes, editorial and miscellaneous updates. The Trust Deed will be amended by way of a supplemental deed to reflect the new name of the Investment Fund. The updated offering documents will be available on the Manager's website at <https://www.globalxetfs.com.hk/2> and the HKEX's website at www.hkex.com.hk from the Effective Date. Copies of the Trust Deed (together with its supplemental deeds) are available for inspection free of charge at any time during normal business hours on any day (excluding Saturdays, Sundays and public holidays) at the offices of the Manager as shown below.

Investors who have any enquiries regarding the above may contact the Manager, Mirae Asset Global Investments (Hong Kong) Limited, at Room 1101, 11/F, Lee Garden Three, 1 Sunning Road, Causeway Bay, Hong Kong or our enquiry hotline at (852) 2295-1500 during office hours.

² This website has not been reviewed or approved by the SFC.



Mirae Asset Global Investments (Hong Kong) Limited
as the Manager of the Trust and Investment Fund
Date: 29 August 2025