GLOBAL X
by Mirae Asset

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Global X Exchange Traded Funds Series OFC* (*This includes synthetic ETFs) (the "Company")

Global X FinTech ETF

(Stock Code: 3185)
(the "Sub-Fund")

(Sub-fund of Global X Exchange Traded Funds Series OFC (*This includes synthetic ETFs), a Hong Kong public umbrella open-ended fund company with variable capital, limited liability and segregated liability between sub-funds and authorized under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)*[1]

Announcement
Change of the Index and Name of the Sub-Fund

Dear Shareholders,

Mirae Asset Global Investments (Hong Kong) Limited, the Manager of the Company and the Sub-Fund (the "**Manager**"), wishes to inform investors of the change of the underlying index of the Sub-Fund (the "**Index**"), which will take effect on 24 August 2026 (the "**Effective Date**"). Consequently, the name of the Sub-Fund will also change from the Effective Date.

1. Change of the Index and Change of Name of the Sub-Fund

Currently, the Index for the Sub-Fund is Indxx Global Fintech Thematic Index (the "**Current Index**"). With effect from the Effective Date, the Index will be changed to Indxx Global NextGen Finance Index ("**New Index**").

A. Information regarding the New Index and comparison between the Current Index and the New Index

Please refer to Appendix 1 to this Announcement for a summary of the information regarding the New Index. A comparison of the key features of the Current Index and the New Index are summarized in the table below:

	Current Index	New Index
Index Provider	Indxx Inc.	Indxx Inc.

[1] SFC authorisation is not a recommendation or endorsement of a scheme nor does it guarantee the commercial merits of a scheme or its performance. It does not mean the scheme is suitable for all investors nor is it an endorsement of its suitability for any particular investor or class of investors.

Index Universe	The Index comprises securities (including common stocks, ADRs and GDRs) which are listed in United States, Canada, Australia, Hong Kong, Japan, New Zealand, Singapore, South Korea, Taiwan, Austria, Belgium, Denmark, Finland, France, Germany, Ireland, Israel, Italy, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and United Kingdom. Eligible securities must: (i) each have a minimum total market capitalisation of USD300 million (or USD240 million for securities that are existing constituents of the Index); (ii) have traded on 90% of the eligible trading days in the last six months (in the case of securities that do not have a trading history of six months, to be considered for inclusion, the securities must either (a) have been listed for at least three calendar months prior to the Index constituents selection day and traded on 90% of the eligible trading days for the three months preceding the Index constituents selection day; or (b) have been listed for at least ten calendar days prior to the Index constituents selection day if the total market capitalisation of the securities is greater than total market capitalisation of at least 50% of the Index constituents as of previous Index constituents selection day); (iii) have a minimum six-month average daily trading value (or since the listing day if the security does not have a trading history of six months) of USD2 million (or USD1.4 million for securities that are existing constituents of the Index); (iv) each have a free float percentage of total shares outstanding of at least 10%; (v) be traded at a price below USD10,000 except for existing constituents; and (vi) fall under one of the following types: common stock, ADRs and GDRs. Where there are multiple share classes/listings of the same company, if an ADR of the company exists, it is given	The Index comprises securities (including common stocks, ADRs and GDRs) which are listed in i) developed markets (except South Korea and Taiwan) including United States, Canada, Australia, Hong Kong, Japan, New Zealand, Singapore, Austria, Belgium, Denmark, Finland, France, Germany, Ireland, Israel, Italy, Poland, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and United Kingdom; or ii) China. Eligible securities must: (i) each have a minimum company-level total market capitalisation of USD500 million; (ii) have traded on 90% of the eligible trading days in the last three months (in case a security does not have a trading history of three months (only initial public offerings), to be considered for inclusion, the security must have been listed for at least ten calendar days prior to the Index constituents selection day and its company-level total market capitalisation must be greater than USD1 billion as of the previous Index constituents selection day); (iii) have a minimum six-month average daily trading value ("ADTV") (or since the listing day if the Security does not have a trading history of six months) of USD2 million; (iv) each have a free float percentage of total shares outstanding of at least 10% (v) be traded at a price below USD10,000 except for existing constituents; and (vi) fall under one of the following types: common stock, ADRs and GDRs. Where there are multiple share classes/listings of the same company, the most liquid share class/listing (as measured by the six-month ADTV) is considered for inclusion; notwithstanding the foregoing, an existing share class/listing included as a constituent is retained if it satisfies all the other eligibility criteria of the Index. The securities must be under one of the following sub-themes, namely

	preference over all other share classes, otherwise, the most liquid share class/listing is considered for inclusion; notwithstanding the foregoing, an existing share class/listing included as a constituent is retained if it satisfies all the other eligibility criteria of the Index. The securities must be under one of the following sub-themes, namely P2P and Marketplace Lending; Mobile Payments; Crowd-Funding; Blockchain and Alternative Currencies; Personal Finance Software, Automated Wealth Management and Trading and Enterprise Solutions, and securities of the following companies are eligible for inclusion in the Index (a) companies that derive a significant portion (greater than 50%) of their revenue (from the latest available annual report) from the above sub-themes; or (b) companies with primary business to be in products and services focusing on the above sub-themes (this is determined based on the disclosure in annual report and company website regarding the companies' primary business and product offerings, since some FinTech companies may not necessarily provide the breakdown of their revenues by segment).	Trading and Capital Markets, Personal Finance Platforms, Tax Compliance Software and Backend Payment Processing, Decentralized Finance, Financial Enterprise Solutions, Peer-to-Peer Lending and Crowdfunding, Point-of-Sale (POS), Digital Payments, Digital Assets Treasury Companies (DATCO), and securities of companies that derive a significant portion (greater than 50%) of their revenue (from the latest available annual report) from the above sub-themes are eligible for inclusion in the Index.
Constituent selection	Eligible securities within the Index universe will be ranked by total market capitalisation and those with the largest total market capitalisation will be the constituents of the Index. The minimum number of constituents is 20 and the maximum number of constituents is 100. If fewer than 20 companies qualify to be eligible for inclusion, the index committee of the Index Provider will consider securities of companies with diversified revenue streams that (i) have a distinct business unit focusing on FinTech, and (ii) have a core competency that is expected to benefit from the increased adoption of FinTech (based on the disclosure in annual report and company website regarding the companies' primary business and product offerings) for inclusion in the Index, until the number of constituents reaches 20. An existing Index constituent shall continue to remain in the Index if it is one of the top 120	Eligible securities issued by the top 30 pure-play companies by their company-level total market capitalisation will be the constituents of the Index following the selection process below: 1. Eligible securities within the Index universe will be ranked by company-level total market capitalisation. 2. Amongst all companies in the Index universe, preference will be given to companies actively developing, operating or facilitating platforms engaged in activities related to i) cryptocurrencies; ii) virtual assets (such as stablecoins, tokens, etc.); and iii) tokens ("**preferred companies**"). These preferred companies may generate income from or are in their pre-revenue stage of business engaging in such activities.

	companies by total market capitalisation, notwithstanding that it may not be one of the top 100 constituents.	Assessment will be conducted based on the company's publicly available disclosures to determine if the company has a clear and identifiable strategic commitment to such activities in line with any of the following indicators: (a) product or platform launches related to cryptocurrency trading, digital asset services, decentralized finance infrastructure or tokenization; (b) operational set-ups, including the establishment of dedicated business units, platforms or subsidiaries focused on digital asset activities; or (c) strategic investments, partnerships or acquisitions aimed at expanding capabilities in cryptocurrency, blockchain or token-based services. The determination is made based on the indicators described above, and a company may qualify if any one of these indicators is satisfied based on the company's disclosures. 3. Securities of companies that fall under the "Trading and Capital Markets", "Decentralized Finance" and "DATCO" sub-themes ("**preferred sub-themes**") will be given preference. 4. Securities that satisfy both preferences in Steps 2 & 3 (i.e. preferred companies from preferred sub-themes) will be given highest priority so such securities will be moved to the top of the ranking, followed by securities that satisfy the preference in Step 2 (i.e. preferred companies from non-preferred sub-themes), and then securities that satisfy the preference in Step 3 (i.e. non-preferred companies from preferred sub-themes). 5. If preference is given to: • more than 30 eligible securities, the constituents will consist of the top 30 eligible securities satisfying both preferences in Steps 2 & 3 or only satisfying one of the preferences in Step 2 or 3 based on the ranking in Step 4; or

		• fewer than 30 eligible securities, the eligible Securities with preference will be selected as constituents, and the remaining positions will be filled by eligible securities further down the ranking based on company-level total market capitalisation. 6. The final selection must include a minimum of three eligible securities and a maximum of five eligible securities listed in China or Hong Kong.
Market Capitalisation	USD 736.32 billion (as at 22 July 2026)	USD 824.89 billion (as at 22 July 2026)
Number of Constituents	74	30

B. Change to the investment objective and strategy of the Sub-Fund

With effect from the Effective Date, the investment objective and investment strategy of the Sub-Fund will be amended to reflect the change of the Index. The change of investment objective and strategy of the Sub-Fund is summarized in the table below:

Current Investment Objective and Strategy	**New Investment Objective and Strategy**
Objective The investment objective of the Sub-Fund is to provide investment results that, before fees and expenses, closely correspond to the performance of the Indxx Global Fintech Thematic Index (the "Index"). **Strategy** In seeking to achieve the Sub-Fund's investment objective, the Manager will primarily use a full replication strategy through investing directly in constituent stocks of the Index in substantially the same weightings in which they are included in the Index. The Sub-Fund may invest in securities (including common stocks, American Depositary Receipts ("ADRs") and Global Depositary Receipts ("GDRs")) listed in developed markets including United States, Canada, Australia, Hong Kong, Japan, New Zealand, Singapore, South Korea, Taiwan, Austria, Belgium, Denmark, Finland, France, Germany, Ireland, Israel, Italy, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and United Kingdom. Where the adoption of a full replication strategy is not efficient or practicable or where the Manager considers appropriate in its absolute discretion, the Manager may pursue a representative sampling strategy and hold a	**Objective** The investment objective of the Sub-Fund is to provide investment results that, before fees and expenses, closely correspond to the performance of the ~~**Indxx Global Fintech Thematic Index**~~**Indxx Global NextGen Finance Index** (the "Index"). **Strategy** In seeking to achieve the Sub-Fund's investment objective, the Manager will primarily use a full replication strategy through investing directly in constituent stocks of the Index in substantially the same weightings in which they are included in the Index. The Sub-Fund may invest in securities (including common stocks, American Depositary Receipts ("ADRs") and Global Depositary Receipts ("GDRs")) listed in **i) developed markets (except South Korea and Taiwan) including** United States, Canada, Australia, Hong Kong, Japan, New Zealand, Singapore, ~~**South Korea, Taiwan,**~~ Austria, Belgium, Denmark, Finland, France, Germany, Ireland, Israel, Italy, ~~**Luxembourg**~~**Poland**, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and United Kingdom**; or ii) China**. **Due to the constituent selection process of the Index, the Sub-Fund's investments may from time to time focus in (a) companies**

representative sample of the constituent securities of the Index selected by the Manager using rule-based quantitative analytical models to derive a portfolio sample. In pursuing a representative sampling strategy, the Manager may cause the Sub-Fund to deviate from the Index weighting on the condition that the maximum deviation from the index weighting of any constituent will not exceed 3 percentage points above or below such weighting. Investors should note that the Manager may switch between the full replication strategy and the representative sampling strategy without prior notice to investors, in its absolute discretion as often as it believes appropriate in order to achieve the investment objective of the Sub-Fund by tracking the Index as closely (or efficiently) as possible for the benefit of investors.	**actively developing, operating or facilitating platforms engaged in activities related to virtual assets, cryptocurrencies and tokens; and/or (b) companies that fall under the following sub-themes: "Trading and Capital Markets" (i.e. companies that provide trading platforms or services to private investors and traders, or online brokerage or wealth management services), "Decentralized Finance" (i.e. companies that facilitate blockchain infrastructure or are involved in developing blockchain technology, or provide services related to cryptocurrencies, such as crypto mining, crypto trading and crypto wallets), and "Digital Assets Treasury Companies (DATCO)" (i.e. companies that maintain substantial holdings of cryptocurrencies or other digital assets as part of their core treasury or investment portfolios).** Where the adoption of a full replication strategy is not efficient or practicable or where the Manager considers appropriate in its absolute discretion, the Manager may pursue a representative sampling strategy and hold a representative sample of the constituent securities of the Index selected by the Manager using rule-based quantitative analytical models to derive a portfolio sample. In pursuing a representative sampling strategy, the Manager may cause the Sub-Fund to deviate from the Index weighting on the condition that the maximum deviation from the index weighting of any constituent will not exceed 3 percentage points above or below such weighting. Investors should note that the Manager may switch between the full replication strategy and the representative sampling strategy without prior notice to investors, in its absolute discretion as often as it believes appropriate in order to achieve the investment objective of the Sub-Fund by tracking the Index as closely (or efficiently) as possible for the benefit of investors.

Save for the changes mentioned above, there will be no change to other ancillary investments of the Sub-Fund.

C. Change of name and stock short name of the Sub-Fund

With effect from the Effective Date, the name and stock short name of the Sub-Fund will also change as follows to reflect the change of the Index:

	Prior to the Effective Date	**From the Effective Date onwards**
English name	Global X FinTech ETF	Global X Innovative Finance ETF
Chinese name	Global X 金融科技 ETF	Global X 創新金融 ETF

English stock short name	GX FINTECH	GX INNOVAFIN
Chinese stock short name	GX 金融科技	GX 創新金融

D. Reason for the changes

The Manager believes that the New Index aligns more closely with the current industry trends and the Sub-Fund would be able to invest across a broader scope and capture emerging trends in the financial sector such as virtual asset development, blockchain technology, and brokerage platforms. The Manager believes that the changes are in the best interest of the Sub-Fund and its investors.

E. Risk factors

The Sub-Fund is currently subject to the "risks related to investing in FinTech companies". As a result of the changes, references to "FinTech companies" will be replaced with "NextGen Finance companies", and this risk factor will be renamed and updated as "risks related to investing in NextGen Finance companies" (described below):

"*Risks related to investing in NextGen Finance companies*

- Companies that are offering technology-driven financial services or developing decentralized finance infrastructure ("**NextGen Finance companies**") may be adversely impacted by government regulations, economic conditions and deterioration in credit markets.
- These companies may have significant exposure to consumers and businesses (especially small businesses) in the form of loans and other financial products or services. NextGen Finance companies typically face intense competition and potentially rapid product obsolescence. In addition, many NextGen Finance companies store sensitive consumer information and could be the target of cybersecurity attacks and other types of theft, which could have a negative impact on these companies.
- NextGen Finance companies are heavily dependent on the protection of patent and intellectual property rights, and may be subject to intellectual property infringement schemes and piracy efforts. The loss or impairment of intellectual property rights or licences could result in undesirable legal, financial, operational and reputational consequences.
- Many NextGen Finance companies currently operate under less regulatory scrutiny than traditional financial services companies and banks, but there is significant risk that regulatory oversight could increase in the future. Higher levels of regulation could increase costs and adversely impact the current business models of some NextGen Finance companies.
- These companies could be negatively impacted by disruptions in service caused by hardware or software failure, or by interruptions or delays in service by third-party data center hosting facilities and maintenance providers.
- NextGen Finance companies, especially smaller companies, tend to be more volatile than companies that do not rely heavily on technology.
- The customers and/or suppliers of NextGen Finance companies may be concentrated in a particular country, region or industry.
- *NextGen Finance companies actively developing, operating or facilitating platforms engaged in activities related to virtual assets, cryptocurrencies and tokens*. Platforms involved in virtual assets and alternative currencies, such as crypto coins and crypto tokens, may face slow adoption rates and be subject to higher levels of regulatory scrutiny in the future. These companies may still be in the pre-revenue stage of business or investing heavily in growth rather than current revenue generation, there is no assurance that the business model of these companies will be sustainable or profitable in the long run.
- *NextGen Finance companies in the "Trading and Capital Markets" sub-theme*. These companies are prone to failures of or breaches in cybersecurity which may also lead to privacy concerns and substantial loss of business or user data or information. Also, as their revenues rely on trading volumes, fee compression or the entry of new competitors could materially reduce revenues and profitability.
- *NextGen Finance companies in the "Decentralized Finance" sub-theme*. Blockchain technology is a relatively new innovation that is not backed by any authorities, government or

corporations. Any cessation or reversal of development or acceptance of blockchain technology may adversely affect the viability of these companies. Further, the regulatory environment for blockchain and cryptocurrencies is rapidly evolving and, in particular, new regulations may be imposed on some businesses that are currently largely unregulated. Compliance with the relevant laws and regulations can be costly and these companies may be exposed to adverse regulatory action.

- *NextGen Finance companies in the "DATCO" sub-theme*. These companies maintain substantial holdings of cryptocurrencies or other digital assets, and are therefore exposed to risks associated with investing in virtual assets such as extreme price volatility and unpredictability of price movement. Virtual assets may be highly speculative as they have limited track record and lack of intrinsic value. The value is primarily driven by supply and demand dynamics within the market and does not generate cash flows. Given the rapidly evolving nature of virtual assets, including advancements in the underlying technology, market disruptions and resulting governmental interventions that are unforeseeable, an investor may be exposed to additional risks which cannot currently be predicted. The value of the Sub-Fund's investments in companies in the "DATCO" sub-theme could decline significantly and without warning, including to zero."

Further, as the number of constituents in the New Index is lower than that of the Current Index, the Sub-Fund will, to a greater extent, be subject to "concentration risk" (described below):

"*Concentration risk*

- The number of constituents of the New Index is limited to 30. The New Index is concentrated in the sector involving technology-driven financial services or development of decentralized finance infrastructure. The performance of the New Index may be more volatile when compared to other broad-based stock indices. The price volatility of the Sub-Fund may be greater than the price volatility of exchange traded funds tracking more broad-based indices.
- In particular, the New Index may be concentrated in (a) companies actively developing, operating or facilitating platforms engaged in activities related to virtual assets, cryptocurrencies and tokens; and/or (b) companies that fall under the "Trading and Capital Markets", "Decentralized Finance" and "Digital Assets Treasury Companies (DATCO)" sub-themes. Adverse developments affecting these sectors or themes, including regulatory changes, technological shifts, loss of investor confidence or market sentiment, may have a disproportionate impact on the Sub-Fund compared with index-tracking funds with broader investment universes. The Sub-Fund may therefore be subject to higher volatility and greater risk of loss."

Despite the above, the Manager is satisfied that the New Index is broadly based and so the changes will not have a material adverse impact on the Sub-Fund and its investors.

2. Impact on the Sub-Fund

The rebalancing of assets held by the Sub-Fund from the constituents of the Current Index to the constituents of the New Index is anticipated to take place over a period of 1 to 3 trading days starting from the Effective Date ("**Rebalancing Period**"). During the Rebalancing Period, the tracking error and tracking difference of the Sub-Fund may be higher than its historical level. Investors who deal with Shares of the Sub-Fund during the Rebalancing Period should exercise caution. Investors should also note that after the Rebalancing Period, there is no guarantee that the tracking error and tracking difference of the Sub-Fund will be similar to that before the change of the Index. Despite the above, the Manager does not expect that the rebalancing during the Rebalancing Period will have any material impact on the market.

The transaction costs (e.g. brokerage fees, stamp duties, taxes, charges paid to relevant stock exchanges) which may arise in relation to the rebalancing process will be borne by the Sub-Fund and may vary subject to factors including portfolio holdings at the time of the rebalancing, the prevailing liquidity and volatility levels in the market, and the time taken to reposition underlying investments.

There will be no change to the fee level or cost in managing the Sub-Fund following the implementation of the above changes. There will be no impact on the operation and/or manner in which the Sub-Fund is being managed and the above changes will not affect the existing investors of the Sub-Fund. Save as disclosed above, there will be no change to the features or risk profile of the Sub-Fund. The investors' rights or interests will not be materially prejudiced as a result of the changes set out in this Announcement.

The changes described in this Announcement do not require investors' approval. Apart from the transaction costs which may arise in relation to the rebalancing process, costs and/or expenses associated with the changes will be borne by the Manager.

3. General

Unless otherwise stated, all capitalised terms in this Announcement shall have the same meaning as in the Prospectus of the Company (the "**Prospectus**") dated 16 July 2026.

The Instrument of Incorporation of the Company (the "**Instrument of Incorporation**"), the Prospectus and the product key facts statement of the Sub-Fund will be updated to reflect the above changes as well as consequential changes, editorial and miscellaneous updates. The updated offering documents will be available on the Manager's website at https://www.globalxetfs.com.hk/[2] and the HKEX's website at www.hkex.com.hk from the Effective Date. Documents listed in the section headed "Inspection of Documents" in the Prospectus (including the Instrument of Incorporation) are available for inspection free of charge at the offices of the Manager and copies thereof may be obtained from the Manager free of charge or purchased at a reasonable price.

Investors who have any enquiries regarding the above may contact the Manager, Mirae Asset Global Investments (Hong Kong) Limited, at Room 1101, 11/F, Lee Garden Three, 1 Sunning Road, Causeway Bay, Hong Kong or our enquiry hotline at (852) 2295-1500 during office hours.

Mirae Asset Global Investments (Hong Kong) Limited
as the Manager of the Company and Sub-Fund
Date: 24 July 2026

[2] This website has not been reviewed or approved by the SFC.

Appendix 1

The New Index is a net total return index. The New Index is a market capitalisation weighted index designed to track the performance of companies that are redefining traditional financial services through innovative, technology-driven solutions and the development of decentralized finance infrastructure. The New Index is denominated and quoted in USD.

The New Index was launched on 24 October 2025 and had a base level of 1,000 on 31 January 2020.

Index Provider

The New Index is compiled and published by Indxx Inc. (the "**Index Provider**"). The Manager (and each of its Connected Persons) is independent of the Index Provider.

Index methodology

Index universe

The index universe of the New Index ("**Index Universe**") includes Securities which fulfill all of the following criteria (subject to the buffer rules below):

1. The Securities are listed in i) one of the following developed markets (except South Korea and Taiwan): United States, Canada, Australia, Hong Kong, Japan, New Zealand, Singapore, Austria, Belgium, Denmark, Finland, France, Germany, Ireland, Israel, Italy, Poland, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and United Kingdom; or ii) China. In the case of listings in Mainland China, only A-shares will be considered eligible for inclusion, provided they are traded via the Stock Connect.

2. The Securities must each have a minimum company-level total market capitalisation of USD500 million.

3. The Securities must have traded on 90% of the eligible trading days in the last three months. In case a Security does not have a trading history of three months (only initial public offerings), to be considered for inclusion, the Security must have been listed for at least ten calendar days prior to the Selection Day (as defined below) and its company-level total market capitalisation must be greater than USD 1 billion as of previous Selection Day.

4. The Securities must each have a minimum six-month average daily trading value ("**ADTV**") (or since the listing day if the Security does not have a trading history of six months) of USD2 million.

5. The Securities must each have a free float percentage of total shares outstanding of at least 10%.

6. Securities trading at a price of USD10,000 or above are ineligible for inclusion in the New Index except for existing constituents.

7. The Securities must fall under one of the following types: common stock, American Depositary Receipts ("ADRs") and Global Depositary Receipts ("GDRs").

8. Where there are multiple share classes/listings of the same company:

   a) The most liquid share class/listing (as measured by the six-month ADTV) is considered for inclusion.

   b) Notwithstanding a), an existing share class/listing included as a constituent is retained if it satisfies all the other eligibility criteria of the New Index.

9. The Securities must be under one of the following sub-themes:

Sub-theme	**Description**

Trading and Capital Markets	Companies that engage in the provision of providing trading platforms/services to private investors and traders. In addition, these companies also provide online brokerage and wealth management services. Only online-only brokers or digital asset exchanges are considered; traditional brokerage firms will be excluded.
Personal Finance Platforms	Companies that provide software or solutions related to personal finance such as loans, wealth management, insurance.
Tax Compliance Software and Backend Payment Processing	Companies that offer solutions and software for backend payment process such as e-invoicing, supplier/payment management, resource allocation, and tax compliance.
Decentralized Finance	Companies that facilitate blockchain infrastructure or are involved in developing blockchain technology. Also, covers companies that provide services related to cryptocurrencies, such as crypto mining, crypto trading, and crypto wallets.
Financial Enterprise Solutions	Companies that provide scalable technology solutions focused on disrupting traditional areas of financial services for enterprises such as treasury/investment management, consumer finance, accounts/asset management, and banking operations. Companies that offer credit information, analysis, and scores are also considered.
Peer-to-Peer Lending and Crowdfunding	Companies that facilitate peer to peer finance/lending and crowdfunding. Also, covers companies that offer supply chain finance solutions.
Point-of-Sale (POS)	This sub-theme seeks to cover companies that facilitate the point-of-sale infrastructure such as POS machines and payment gateways. Includes companies offering enterprise solutions for payment services or integrated system for mobile commerce and eCommerce payments along with POS.
Digital Payments	This sub-theme seeks to cover companies that provide digital modes of payments, such as mobile, online, and digital wallets. Includes prepaid cards, money transfer services and clearing houses. Companies providing software/solutions that enable digital payment are also considered.
Digital Assets Treasury Companies (DATCO)	Companies that maintain substantial holdings of cryptocurrencies or other digital assets as part of their core treasury or investment portfolios or a minimum threshold of 100 Bitcoins holdings.

Securities of companies that derive a significant portion (greater than 50%) of their revenue (from the latest available annual report) from the above sub-themes are eligible for inclusion in the New Index.

To reduce portfolio turnover, the following buffer rules apply:

a) An existing constituent shall continue to be included in the Index Universe if its market capitalisation is greater than or equal to 80% of the previously defined market capitalisation limit. To illustrate, if an existing constituent qualifies all other selection criteria but doesn't qualify the market capitalisation criteria to the extent of 20% deviation then it will be retained in the Index Universe.

b) An existing constituent shall continue to be included in the Index Universe if its 6-month ADTV is greater than or equal to 70% of the previously defined liquidity limit. To illustrate, if an existing constituent qualifies all other selection criteria but doesn't qualify liquidity criteria to the extent of 30% deviation then it will be retained in the Index Universe.

Constituent selection

Eligible Securities issued by the top 30 pure-play companies by their company-level total market capitalisation will be the constituents of the New Index following the selection process below:

1. Eligible Securities within the Index Universe will be ranked by company-level total market capitalisation.

2. Amongst all companies in the Index Universe, preference will be given to companies actively developing, operating or facilitating platforms engaged in activities related to i) cryptocurrencies; ii) virtual assets (such as stablecoins, tokens, etc.); and iii) tokens ("**preferred companies**"). These preferred companies may generate income from or are in their pre-revenue stage of business engaging in such activities. Assessment will be conducted based on the company's publicly available disclosures to determine if the company has a clear and identifiable strategic commitment to such activities in line with any of the following indicators: (a) product or platform launches related to cryptocurrency trading, digital asset services, decentralized finance infrastructure or tokenization; (b) operational set-ups, including the establishment of dedicated business units, platforms or subsidiaries focused on digital asset activities; or (c) strategic investments, partnerships or acquisitions aimed at expanding capabilities in cryptocurrency, blockchain or token-based services. The determination is made based on the indicators described above, and a company may qualify if any one of these indicators is satisfied based on the company's disclosures.

3. Securities of companies that fall under the "Trading and Capital Markets", "Decentralized Finance" and "DATCO" sub-themes ("**preferred sub-themes**") will be given preference.

4. Securities that satisfy both preferences in Steps 2 & 3 (i.e. preferred companies from preferred sub-themes) will be given highest priority so such Securities will be moved to the top of the ranking, followed by Securities that satisfy the preference in Step 2 (i.e. preferred companies from non-preferred sub-themes), and then Securities that satisfy the preference in Step 3 (i.e. non-preferred companies from preferred sub-themes).

5. If, after applying the preferences in Steps 2 - 4 above, preference is given to:

   - more than 30 eligible Securities, the constituents will consist of the top 30 eligible Securities satisfying both preferences in Steps 2 & 3 or only satisfying one of the preferences in Step 2 or 3 based on the ranking in Step 4; or

   - fewer than 30 eligible Securities, the eligible Securities with preference will be selected as constituents, and the remaining positions will be filled by eligible Securities further down the ranking based on company-level total market capitalisation.

6. The final selection must include a minimum of three eligible Securities and a maximum of five eligible Securities listed in China or Hong Kong.

On each Selection Day (the nearest Friday falling at least one month before the Reconstitution Day (as defined below)), Securities are selected based on the steps above.

Index weighting

On each "**Weighting Day**" (which is 6 trading days prior to the Reconstitution Day or Rebalance Day), the selected Securities are weighted based on their free float adjusted security-level market capitalisation.

On each Weighting Day, the weightings of Securities are adjusted as follows:

1. The maximum weight of a Security is 8% and the excess weight is redistributed proportionately among all the remaining uncapped selected Securities. If such redistribution leads to the weight of a selected Security to exceed 8%, the aforementioned redistribution process is repeated until no selected Security weighs more than 8% as of each Weighting Day.

2. The weights of Securities with individual weights greater than 5% are summed up and the total weight is capped at 45%.

3. If the 45% aggregate cap is exceeded, a single cap of 4.5% is applied on each Security with weight greater than 5% and the excess weight is redistributed proportionally among the remaining uncapped selected Securities.

Notwithstanding that the weights of certain selected Securities may have exceeded the caps mentioned above during the period between the Weighting Day and the Reconstitution Day or Rebalance Day, the aforementioned weighing, capping and redistribution of the selected Securities will not be repeated on the Reconstitution Day or Rebalance Day.

Index adjustments

Ordinary Rebalancing

The New Index is reconstituted semi-annually after the close of the last trading day of January and July each year (the "**Reconstitution Day**") and rebalanced quarterly after the close of the last trading day of January, April, July and October each year (the "**Rebalance Day**").

The security selection and portfolio creation process start on the close of the nearest Friday falling at least one month before the Reconstitution Day (the "**Selection Day**"). The weights of each constituent in the New Index are calculated at the close of the seventh trading day prior (6 trading days prior) to the Reconstitution Day or the Rebalance Day.

Ongoing review

Constituent changes may occur between review periods due to corporate events that disqualify their eligibility for Index inclusion. Such adjustment has to be made if a corporate action (as described in the index methodology, including, for example, delistings, mergers or acquisitions, spin-offs etc.) in relation to an Index constituent occurs. For instance, a constituent is removed immediately after being delisted from its primary market and will not be replaced until the next ordinary rebalancing.

Such adjustment may have to be done in relation to an Index constituent and/or may also affect the number of Index constituent and/or the weighting of certain Index constituents and will be made in compliance with the *Indxx Calculation Guideline*, which is available on the Index Provider's website https://www.indxx.com/announcements/ (this website has not been reviewed by the SFC).

Index constituents

Details of the index methodology of the New Index can be found on https://www.indxx.com/ (this website has not been reviewed or approved by the SFC). The New Index composition (including the list of constituents of the New Index and their respective weightings) can be found on https://www.indxx.com/ (this website has not been reviewed or approved by the SFC).

Index codes

The New Index is disseminated under the following identifier:

Bloomberg: IGNFINT