

INTERIM FINANCIAL STATEMENTS

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

GLOBAL X CHINA CLEAN ENERGY ETF

GLOBAL X CHINA CONSUMER BRAND ETF

GLOBAL X CHINA ELECTRIC VEHICLE AND BATTERY ETF

GLOBAL X CHINA ROBOTICS AND AI ETF

GLOBAL X CHINA SEMICONDUCTOR ETF

GLOBAL X CHINA GLOBAL LEADERS ETF

GLOBAL X CHINA INNOVATOR ACTIVE ETF

GLOBAL X ASIA SEMICONDUCTOR ETF

GLOBAL X CHINA E-COMMERCE AND LOGISTICS ETF

GLOBAL X CHINA GAMES AND ENTERTAINMENT ETF

GLOBAL X FINTECH ETF

GLOBAL X AUTONOMOUS AND ELECTRIC VEHICLES ETF

GLOBAL X ASIA INNOVATOR ACTIVE ETF

FOR THE SIX MONTHS ENDED 30 SEPTEMBER 2023

(SUB-FUNDS OF GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC)

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

Unaudited Condensed Interim Financial Statements

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IMPORTANT:

Any opinion expressed herein reflects the Manager's view only and is subject to change. For more information about the Global X Exchange Traded Funds Series OFC, please refer to the prospectus of Global X Exchange Traded Funds Series OFC which is available at our website: www.globalxetfshk.com.

Investors should not rely on the information contained in this report for their investment decisions.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF NET ASSETS

As at 30 September 2023 (unaudited)

| | Notes | Global X Exchange Traded Funds Series OFC | | Global X China Clean Energy ETF | | Global X China Consumer Brand ETF | | Global X China Electric Vehicle and Battery ETF | | Global X China Robotics and AI ETF | |
|--|----------|---|---|---|---|---|---|---|---|---|---|
| | | As at 30 September 2023 (unaudited) HKD | As at 31 March 2023 (audited) HKD | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| | | | | | | | | | | | |
| ASSETS | | | | | | | | | | | |
| Financial assets at fair value through profit or loss | 6(e), 11 | – | – | 789,669,594 | 1,282,578,540 | 533,530,035 | 670,299,479 | 1,754,595,568 | 2,766,584,772 | 127,574,072 | 172,443,864 |
| Dividends receivable | | – | – | 45,000 | – | 270,152 | – | 966,849 | – | – | – |
| Amounts due from brokers | 7 | – | – | – | – | – | – | – | – | – | – |
| Interest receivable from swap contracts | | – | – | 26,373 | – | – | – | 145,914 | – | – | – |
| Bank balances | 6(e) | – | – | 2,902,904 | 2,538,628 | 1,992,959 | 2,576,416 | 33,197,020 | 8,206,886 | 457,985 | 974,457 |
| TOTAL ASSETS | | – | – | 792,643,871 | 1,285,117,168 | 535,793,146 | 672,875,895 | 1,788,905,351 | 2,774,791,658 | 128,032,057 | 173,418,321 |
| LIABILITIES | | | | | | | | | | | |
| Management fee payable | 6(b) | – | – | 450,062 | 756,856 | 315,306 | 383,869 | 1,046,802 | 1,643,989 | 75,088 | 92,508 |
| Custodian fee payable | 6(c) | – | – | 107,080 | 107,080 | 41,093 | 41,093 | 199,853 | 199,853 | 16,349 | 16,349 |
| Establishment costs payable | 3(a) | – | – | 108,527 | 108,527 | 36,984 | 36,984 | 244,068 | 244,068 | 16,320 | 16,320 |
| Fees payable | 6(d)(i) | – | – | 204,995 | 204,995 | 69,861 | 69,861 | 461,018 | 461,018 | 26,115 | 26,115 |
| Interest payable from swap contracts | | – | – | – | – | 5,128 | – | – | – | – | – |
| Redemption payable | 6(g) | – | – | – | – | – | – | 30,405,088 | – | – | – |
| Amounts due to brokers | 7 | – | – | – | – | – | – | – | – | – | – |
| Registration fee payable | 6(f) | – | – | 870,000 | 744,000 | 716,000 | 560,000 | 1,084,000 | 928,000 | 296,000 | 272,000 |
| TOTAL LIABILITIES | | – | – | 1,740,664 | 1,921,458 | 1,184,372 | 1,091,807 | 33,440,829 | 3,476,928 | 429,872 | 423,292 |
| EQUITY | | | | | | | | | | | |
| Net assets attributable to shareholders | 3(a) | – | – | 790,903,207 | 1,283,195,710 | 534,608,774 | 671,784,088 | 1,755,464,522 | 2,771,314,730 | 127,602,185 | 172,995,029 |
| TOTAL LIABILITIES AND EQUITY | | – | – | 792,643,871 | 1,285,117,168 | 535,793,146 | 672,875,895 | 1,788,905,351 | 2,774,791,658 | 128,032,057 | 173,418,321 |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2023 (unaudited)

| | Notes | Global X China Semiconductor ETF | | Global X China Global Leaders ETF | | Global X China Innovator Active ETF | | Global X Asia Semiconductor ETF | | Global X China E-Commerce and Logistics ETF | |
|---|----------|----------------------------------|---------------------|-----------------------------------|---------------------|-------------------------------------|---------------------|---------------------------------|---------------------|---|---------------------|
| | | As at 30 September 2023 | As at 31 March 2023 | As at 30 September 2023 | As at 31 March 2023 | As at 30 September 2023 | As at 31 March 2023 | As at 30 September 2023 | As at 31 March 2023 | As at 30 September 2023 | As at 31 March 2023 |
| | | (unaudited) RMB | (audited) RMB | (unaudited) RMB | (audited) RMB | (unaudited) RMB | (audited) RMB | (unaudited) RMB | (audited) RMB | (unaudited) RMB | (audited) RMB |
| ASSETS | | | | | | | | | | | |
| Financial assets at fair value through profit or loss | 6(e), 11 | 440,782,185 | 577,925,366 | 39,841,050 | 52,352,278 | 21,477,690 | 155,427,213 | 66,192,231 | 65,609,354 | 16,455,228 | 29,738,089 |
| Dividends receivable | | 64,476 | – | – | – | 2,355 | – | 86,737 | 107,378 | 32,082 | – |
| Amounts due from brokers | 7 | – | 7,015 | – | – | 2,612 | – | 428,704 | – | – | 13,652 |
| Interest receivable from swap contracts | | 29,822 | – | – | – | – | – | – | – | – | – |
| Bank balances | 6(e) | 1,079,671 | 1,412,388 | 311,753 | 257,901 | 1,309,706 | 13,278,148 | (226,236) | 241,720 | 63,384 | 59,035 |
| TOTAL ASSETS | | 441,956,154 | 579,344,769 | 40,152,803 | 52,610,179 | 22,792,363 | 168,705,361 | 66,481,436 | 65,958,452 | 16,550,694 | 29,810,776 |
| LIABILITIES | | | | | | | | | | | |
| Management fee payable | 6(b) | 252,870 | 319,267 | 22,261 | 29,665 | 14,594 | 103,755 | 42,285 | 36,863 | 9,383 | 17,031 |
| Custodian fee payable | 6(c) | 47,364 | 47,364 | 11,676 | 11,676 | – | – | – | – | – | – |
| Establishment costs payable | 3(a) | 47,364 | 47,364 | 5,145 | 5,145 | – | – | – | – | – | – |
| Fees payable | 6(d)(i) | 75,783 | 75,783 | 9,718 | 9,718 | – | – | – | – | – | – |
| Interest payable from swap contracts | | – | – | – | – | – | – | – | – | – | – |
| Redemption payable | 6(g) | – | – | – | – | – | – | – | – | – | – |
| Amounts due to brokers | 7 | – | – | – | – | – | – | – | 42 | – | 12 |
| Registration fee payable | 6(f) | 678,000 | 642,000 | 182,000 | 158,000 | 468,000 | 378,000 | 108,000 | 83,999 | 48,000 | 36,000 |
| TOTAL LIABILITIES | | 1,101,381 | 1,131,778 | 230,800 | 214,204 | 482,594 | 481,755 | 150,285 | 120,904 | 57,383 | 53,043 |
| EQUITY | | | | | | | | | | | |
| Net assets attributable to shareholders | 3(a) | 440,854,773 | 578,212,991 | 39,922,003 | 52,395,975 | 22,309,769 | 168,223,606 | 66,331,151 | 65,837,548 | 16,493,311 | 29,757,733 |
| TOTAL LIABILITIES AND EQUITY | | 441,956,154 | 579,344,769 | 40,152,803 | 52,610,179 | 22,792,363 | 168,705,361 | 66,481,436 | 65,958,452 | 16,550,694 | 29,810,776 |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2023 (unaudited)

| | Notes | Global X China Games and Entertainment ETF | | Global X FinTech ETF | | Global X Autonomous and Electric Vehicles ETF | | Global X Asia Innovator Active ETF | |
|---|----------|---|---|---|---|---|---|---|---|
| | | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) USD | As at 31 March 2023 (audited) USD | As at 30 September 2023 (unaudited) USD | As at 31 March 2023 (audited) USD | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| | | | | | | | | | |
| ASSETS | | | | | | | | | |
| Financial assets at fair value through profit or loss | 6(e), 11 | 10,576,076 | 17,701,266 | 1,307,336 | 1,541,276 | 2,777,387 | 2,798,740 | 11,513,647 | 12,533,581 |
| Dividends receivable | | – | – | 30 | 39 | 1,584 | 2,549 | 7,030 | 5,832 |
| Amounts due from brokers | 7 | – | 9 | – | – | – | 1 | – | 46,507 |
| Interest receivable from swap contracts | | – | – | – | – | – | – | – | – |
| Bank balances | 6(e) | 162,690 | 202,155 | 11,855 | 12,981 | 16,659 | 17,285 | 986,111 | 834,308 |
| TOTAL ASSETS | | 10,738,766 | 17,903,430 | 1,319,221 | 1,554,296 | 2,795,630 | 2,818,575 | 12,506,788 | 13,420,228 |
| LIABILITIES | | | | | | | | | |
| Management fee payable | 6(b) | 6,791 | 9,512 | 763 | 869 | 1,600 | 1,561 | 7,931 | 8,345 |
| Custodian fee payable | 6(c) | – | – | – | – | – | – | – | – |
| Establishment costs payable | 3(a) | – | – | – | – | – | – | – | – |
| Fees payable | 6(d)(i) | – | – | – | – | – | – | – | – |
| Interest payable from swap contracts | | – | – | – | – | – | – | – | – |
| Redemption payable | 6(g) | – | – | – | – | – | – | – | – |
| Amounts due to brokers | 7 | – | 70,879 | – | – | – | – | – | – |
| Registration fee payable | 6(f) | 102,000 | 90,000 | 9,000 | 8,000 | 12,000 | 12,000 | 24,000 | 24,000 |
| TOTAL LIABILITIES | | 108,791 | 170,391 | 9,763 | 8,869 | 13,600 | 13,561 | 31,931 | 32,345 |
| EQUITY | | | | | | | | | |
| Net assets attributable to shareholders | 3(a) | 10,629,975 | 17,733,039 | 1,309,458 | 1,545,427 | 2,782,030 | 2,805,014 | 12,474,857 | 13,387,883 |
| TOTAL LIABILITIES AND EQUITY | | 10,738,766 | 17,903,430 | 1,319,221 | 1,554,296 | 2,795,630 | 2,818,575 | 12,506,788 | 13,420,228 |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME

For the period ended 30 September 2023 (unaudited)

| | Notes | Global X Exchange Traded Funds Series OFC | | Global X China Clean Energy ETF | | Global X China Consumer Brand ETF | | Global X China Electric Vehicle and Battery ETF | | Global X China Robotics and AI ETF | |
|--|-------|---|---|---|---|---|---|---|---|---|---|
| | | For the six months ended 30 September 2023 (unaudited) HKD | For the six months ended 30 September 2022 (unaudited) HKD | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| INCOME | | | | | | | | | | | |
| Dividend income | | – | – | 12,616,849 | 30,160,053 | 9,887,691 | 8,348,529 | 27,060,278 | 19,036,688 | 1,050,475 | 1,427,228 |
| Interest income | | – | – | 11,344 | 22,974 | 4,471 | 4,131 | 28,214 | 43,407 | 2,280 | 2,206 |
| Interest income from swap contracts | | – | – | 60,632 | – | 1,209 | – | 206,374 | – | – | – |
| Net (loss)/gain on financial assets at fair value through profit or loss | 5 | – | – | (258,857,709) | (118,541,030) | (81,667,398) | (13,511,827) | (520,481,165) | (494,653,516) | (23,198,535) | (13,687,272) |
| Net exchange (loss)/gain | | – | – | (3,058) | 192,478 | 104,201 | 98,403 | (352) | 81,382 | 8,860 | 20,598 |
| | | <u>–</u> | <u>–</u> | <u>(246,171,942)</u> | <u>(88,165,525)</u> | <u>(71,669,826)</u> | <u>(5,060,764)</u> | <u>(493,186,651)</u> | <u>(475,492,039)</u> | <u>(22,136,920)</u> | <u>(12,237,240)</u> |
| EXPENSES | | | | | | | | | | | |
| Management fee | 6(b) | – | – | (3,581,994) | (8,368,228) | (2,062,864) | (1,783,151) | (7,976,527) | (17,141,327) | (506,203) | (569,086) |
| Transaction costs on financial assets at fair value through profit or loss | 6(a) | – | – | (1,378,248) | (2,640,997) | (658,025) | (456,474) | (3,240,253) | (4,153,466) | (106,510) | (168,609) |
| | | <u>–</u> | <u>–</u> | <u>(4,960,242)</u> | <u>(11,009,225)</u> | <u>(2,720,889)</u> | <u>(2,239,625)</u> | <u>(11,216,780)</u> | <u>(21,294,793)</u> | <u>(612,713)</u> | <u>(737,695)</u> |
| Operating (loss)/profit | | – | – | (251,132,184) | (99,174,750) | (74,390,715) | (7,300,389) | (504,403,431) | (496,786,832) | (22,749,633) | (12,974,935) |
| FINANCE COSTS | | | | | | | | | | | |
| Interest expense | | – | – | (39) | (1,777) | (4,294) | (3,118) | – | (2,907) | – | (582) |
| (Loss)/profit before tax | | – | – | (251,132,223) | (99,176,527) | (74,395,009) | (7,303,507) | (504,403,431) | (496,789,739) | (22,749,633) | (12,975,517) |
| Withholding tax on dividend income | 9 | – | – | (1,202,946) | (2,330,112) | (695,708) | (695,579) | (2,706,029) | (1,903,669) | (105,046) | (138,466) |
| (Loss)/profit after tax and total comprehensive income for the period | | – | – | (252,335,169) | (101,506,639) | (75,090,717) | (7,999,086) | (507,109,460) | (498,693,408) | (22,854,679) | (13,113,983) |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Notes | Global X China Semiconductor ETF | | Global X China Global Leaders ETF | | Global X China Innovator Active ETF | | Global X Asia Semiconductor ETF | | Global X China E-Commerce and Logistics ETF | |
|--|-------|---|---|---|---|---|---|---|---|---|---|
| | | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| INCOME | | | | | | | | | | | |
| Dividend income | | 1,744,969 | 5,845,531 | 663,095 | 1,071,728 | 448,150 | 1,364,248 | 1,298,762 | 1,424,951 | 937,883 | 858,977 |
| Interest income | | 2,855 | 4,071 | 375 | 802 | 12,330 | 17,310 | 288 | 240 | 334 | 107 |
| Interest income from swap contracts | | 36,813 | – | – | – | – | – | – | – | – | – |
| Net (loss)/gain on financial assets at fair value through profit or loss | 5 | (91,176,125) | (144,186,825) | (3,773,475) | (9,418,800) | (9,748,833) | (30,523,021) | 1,729,297 | (27,292,295) | (2,090,915) | (697,533) |
| Net exchange (loss)/gain | | (13,050) | (1,251) | 4,333 | 20,366 | 19,942 | (191,827) | (32,793) | 1,315 | 2,969 | 11,719 |
| | | (89,404,538) | (138,338,474) | (3,105,672) | (8,325,904) | (9,268,411) | (29,333,290) | 2,995,554 | (25,865,789) | (1,149,729) | 173,270 |
| EXPENSES | | | | | | | | | | | |
| Management fee | 6(b) | (1,754,139) | (2,381,177) | (158,306) | (210,758) | (239,990) | (760,438) | (250,578) | (296,624) | (83,248) | (79,491) |
| Transaction costs on financial assets at fair value through profit or loss | 6(a) | (390,483) | (351,342) | (47,168) | (92,791) | (276,547) | (611,501) | (26,753) | (18,686) | (19,623) | (13,467) |
| | | (2,144,622) | (2,732,519) | (205,474) | (303,549) | (516,537) | (1,371,939) | (277,331) | (315,310) | (102,871) | (92,958) |
| Operating (loss)/profit | | (91,549,160) | (141,070,993) | (3,311,146) | (8,629,453) | (9,784,948) | (30,705,229) | 2,718,223 | (26,181,099) | (1,252,600) | 80,312 |
| FINANCE COSTS | | | | | | | | | | | |
| Interest expense | | – | (61,921) | – | (11) | – | – | (466) | (616) | – | (57) |
| (Loss)/profit before tax | | (91,549,160) | (141,132,914) | (3,311,146) | (8,629,464) | (9,784,948) | (30,705,229) | 2,717,757 | (26,181,715) | (1,252,600) | 80,255 |
| Withholding tax on dividend income | 9 | (174,497) | (584,554) | (32,215) | (58,707) | (34,788) | (95,568) | (258,280) | (256,314) | (49,075) | (24,821) |
| (Loss)/profit after tax and total comprehensive income for the period | | (91,723,657) | (141,717,468) | (3,343,361) | (8,688,171) | (9,819,736) | (30,800,797) | 2,459,477 | (26,438,029) | (1,301,675) | 55,434 |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Notes | Global X China Games and Entertainment ETF | | Global X FinTech ETF | | Global X Autonomous and Electric Vehicles ETF | | Global X Asia Innovator Active ETF | |
|--|-------|---|---|---|---|---|---|---|---|
| | | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) USD | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD | For the six months ended 30 September 2023 (unaudited) USD | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD | For the six months ended 30 September 2023 (unaudited) RMB | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) RMB |
| INCOME | | | | | | | | | |
| Dividend income | | 103,205 | 147,247 | 4,943 | 9,534 | 24,013 | 37,202 | 104,672 | 107,979 |
| Interest income | | 235 | 198 | – | 3 | 1 | 3 | 797 | 1,218 |
| Interest income from swap contracts | | – | – | – | – | – | – | – | – |
| Net (loss)/gain on financial assets at fair value through profit or loss | 5 | (2,183,166) | (2,841,833) | (29,218) | (1,592,874) | (33,337) | (1,471,254) | (945,966) | (6,618,906) |
| Net exchange (loss)/gain | | 2,182 | (10,528) | (127) | (1,272) | (108) | (3,938) | (4,596) | (23,052) |
| | | (2,077,544) | (2,704,916) | (24,402) | (1,584,609) | (9,431) | (1,437,987) | (845,093) | (6,532,761) |
| EXPENSES | | | | | | | | | |
| Management fee | 6(b) | (52,454) | (52,872) | (5,132) | (10,952) | (9,875) | (18,443) | (49,313) | (81,325) |
| Transaction costs on financial assets at fair value through profit or loss | 6(a) | (10,670) | (16,660) | (95) | (1,885) | (202) | (3,208) | (5,942) | (27,970) |
| | | (63,124) | (69,532) | (5,227) | (12,837) | (10,077) | (21,651) | (55,255) | (109,295) |
| Operating (loss)/profit | | (2,140,668) | (2,774,448) | (29,629) | (1,597,446) | (19,508) | (1,459,638) | (900,348) | (6,642,056) |
| FINANCE COSTS | | | | | | | | | |
| Interest expense | | – | (397) | – | (9) | – | (28) | (87) | – |
| (Loss)/profit before tax | | (2,140,668) | (2,774,845) | (29,629) | (1,597,455) | (19,508) | (1,459,666) | (900,435) | (6,642,056) |
| Withholding tax on dividend income | 9 | (5,738) | (11,565) | (1,247) | (1,711) | (3,476) | (7,704) | (12,591) | (16,741) |
| (Loss)/profit after tax and total comprehensive income for the period | | (2,146,406) | (2,786,410) | (30,876) | (1,599,166) | (22,984) | (1,467,370) | (913,026) | (6,658,797) |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS

For the period ended 30 September 2023 (unaudited)

| | Notes | Global X Exchange Traded Funds Series OFC | | Global X China Clean Energy ETF | | Global X China Consumer Brand ETF | | Global X China Electric Vehicle and Battery ETF | | Global X China Robotics and AI ETF | |
|--|-------|---|---|---|---|---|---|---|---|---|---|
| | | For the six months ended 30 September 2023 (unaudited) HKD | For the six months ended 30 September 2022 (unaudited) HKD | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| Balance at the beginning of the period | | – | – | 1,283,195,710 | 2,465,824,183 | 671,784,088 | 489,360,114 | 2,771,314,730 | 5,322,805,114 | 172,995,029 | 181,489,538 |
| Issue of shares during the period | | | | | | | | | | | |
| - cash creation and cash component | 4 | – | – | – | 203,989,009 | 38,259,707 | 63,824,612 | 16,934,998 | 128,802,855 | – | 1,942,517 |
| Redemption of shares during the period | | | | | | | | | | | |
| - cash redemption and cash component | 4 | – | – | (239,957,334) | (351,306,789) | (100,344,304) | (30,630,169) | (525,675,746) | (838,491,479) | (22,538,165) | (27,423,935) |
| Net (redemption)/issue of units | | – | – | (239,957,334) | (147,317,780) | (62,084,597) | 33,194,443 | (508,740,748) | (709,688,624) | (22,538,165) | (25,481,418) |
| (Loss)/profit after tax and total comprehensive income for the period | | – | – | (252,335,169) | (101,506,639) | (75,090,717) | (7,999,086) | (507,109,460) | (498,693,408) | (22,854,679) | (13,113,983) |
| Balance at the end of the period | | – | – | 790,903,207 | 2,216,999,764 | 534,608,774 | 514,555,471 | 1,755,464,522 | 4,114,423,082 | 127,602,185 | 142,894,137 |
| Number of shares in issue at beginning of period | 3(b) | – | – | 12,850,000 | 22,050,000 | 14,150,000 | 10,850,000 | 29,000,000 | 44,850,000 | 3,450,000 | 4,300,000 |
| Issue of shares during the period (shares) | 3(b) | – | – | – | 1,700,000 | 850,000 | 1,300,000 | 200,000 | 1,100,000 | – | 50,000 |
| Redemption of shares during the period (shares) | 3(b) | – | – | (2,700,000) | (3,150,000) | (2,300,000) | (650,000) | (6,100,000) | (6,500,000) | (500,000) | (650,000) |
| Number of shares in issue at end of period | 3(b) | – | – | 10,150,000 | 20,600,000 | 12,700,000 | 11,500,000 | 23,100,000 | 39,450,000 | 2,950,000 | 3,700,000 |
| Net asset value per share | 3(b) | – | – | 77.9215 | 107.6213 | 42.0952 | 44.7440 | 75.9941 | 104.2946 | 43.2550 | 38.6200 |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Notes | Global X China Semiconductor ETF | | Global X China Global Leaders ETF | | Global X China Innovator Active ETF | | Global X Asia Semiconductor ETF | | Global X China E-Commerce and Logistics ETF | |
|--|-------|---|---|---|---|---|---|---|---|---|---|
| | | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| Balance at the beginning of the period | | 578,212,991 | 760,130,828 | 52,395,975 | 75,918,337 | 168,223,606 | 97,610,654 | 65,837,548 | 97,545,947 | 29,757,733 | 20,549,927 |
| Issue of shares during the period | | | | | | | | | | | |
| - cash creation and cash component | 4 | – | 45,912,849 | – | 6,173,422 | 16,217,828 | 256,571,220 | 6,663,094 | 4,407,203 | – | 4,073,689 |
| Redemption of shares during the period | | | | | | | | | | | |
| - cash redemption and cash component | 4 | (45,634,561) | (85,410,932) | (9,130,611) | (27,186,872) | (152,311,929) | (129,072,808) | (8,628,968) | (3,922,440) | (11,962,747) | – |
| Net (redemption)/issue of units | | (45,634,561) | (39,498,083) | (9,130,611) | (21,013,450) | (136,094,101) | 127,498,412 | (1,965,874) | 484,763 | (11,962,747) | 4,073,689 |
| (Loss)/profit after tax and total comprehensive income for the period | | (91,723,657) | (141,717,468) | (3,343,361) | (8,688,171) | (9,819,736) | (30,800,797) | 2,459,477 | (26,438,029) | (1,301,675) | 55,434 |
| Balance at the end of the period | | 440,854,773 | 578,915,277 | 39,922,003 | 46,216,716 | 22,309,769 | 194,308,269 | 66,331,151 | 71,592,681 | 16,493,311 | 24,679,050 |
| Number of shares in issue at beginning of period | 3(b) | 14,450,000 | 17,650,000 | 1,350,000 | 1,800,000 | 5,150,000 | 2,850,000 | 1,600,000 | 2,150,000 | 750,000 | 550,000 |
| Issue of shares during the period (shares) | 3(b) | – | 1,100,000 | – | 150,000 | 500,000 | 7,600,000 | 150,000 | 100,000 | – | 100,000 |
| Redemption of shares during the period (shares) | 3(b) | (1,200,000) | (2,200,000) | (250,000) | (650,000) | (4,900,000) | (3,900,000) | (200,000) | (100,000) | (300,000) | – |
| Number of shares in issue at end of period | 3(b) | 13,250,000 | 16,550,000 | 1,100,000 | 1,300,000 | 750,000 | 6,550,000 | 1,550,000 | 2,150,000 | 450,000 | 650,000 |
| Net asset value per share | 3(b) | 33.2721 | 34.9798 | 36.2927 | 35.5513 | 29.7464 | 29.6654 | 42.7943 | 33.2989 | 36.6518 | 37.9678 |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Notes | Global X China Games and Entertainment ETF | | Global X FinTech ETF | | Global X Autonomous and Electric Vehicles ETF | | Global X Asia Innovator Active ETF | |
|--|-------|---|---|---|--|---|--|---|---|
| | | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB | For the six months ended 30 September 2023 (unaudited) USD | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD | For the six months ended 30 September 2023 (unaudited) USD | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD | For the six months ended 30 September 2023 (unaudited) RMB | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) RMB |
| Balance at the beginning of the period | | 17,733,039 | 16,616,517 | 1,545,427 | – | 2,805,014 | – | 13,387,883 | – |
| Issue of shares during the period - cash creation and cash component | 4 | – | 5,663,607 | – | 3,315,112 | – | 5,026,438 | – | 17,416,954 |
| Redemption of shares during the period - cash redemption and cash component | 4 | (4,956,658) | (5,640,179) | (205,093) | (389,681) | – | (656,491) | – | – |
| Net (redemption)/issue of units | | (4,956,658) | 23,428 | (205,093) | 2,925,431 | – | 4,369,947 | – | 17,416,954 |
| (Loss)/profit after tax and total comprehensive income for the period | | (2,146,406) | (2,786,410) | (30,876) | (1,599,166) | (22,984) | (1,467,370) | (913,026) | (6,658,797) |
| Balance at the end of the period | | 10,629,975 | 13,853,535 | 1,309,458 | 1,326,265 | 2,782,030 | 2,902,577 | 12,474,857 | 10,758,157 |
| Number of shares in issue at beginning of period | 3(b) | 500,000 | 550,000 | 400,000 | – | 450,000 | – | 400,000 | – |
| Issue of shares during the period (shares) | 3(b) | – | 200,000 | – | 450,000 | – | 650,000 | – | 350,000 |
| Redemption of shares during the period (shares) | 3(b) | (150,000) | (200,000) | (50,000) | (100,000) | – | (100,000) | – | – |
| Number of shares in issue at end of period | 3(b) | 350,000 | 550,000 | 350,000 | 350,000 | 450,000 | 550,000 | 400,000 | 350,000 |
| Net asset value per share | 3(b) | 30.3714 | 25.1882 | 3.7413 | 3.7893 | 6.1823 | 5.2774 | 31.1871 | 30.7376 |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS

For the period ended 30 September 2023 (unaudited)

| | Global X China Clean Energy ETF | |
|---|---|---|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| | Note | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | | (251,132,223) |
| Adjustments for: | | |
| Dividend income | | (12,616,849) |
| Interest income | | (11,344) |
| Interest income from swap contracts | | (60,632) |
| Interest expense | | 39 |
| | | <u>(263,821,009)</u> |
| Decrease in financial assets at fair value through profit or loss | | 492,908,946 |
| Increase in amounts due from brokers | | - |
| (Decrease)/increase in management fee payable | | (306,794) |
| Increase in fee payable | | - |
| Increase in amounts due to brokers | | - |
| Increase in registration fee payable | | 126,000 |
| Cash generated from operations | | <u>228,907,143</u> |
| Dividends received, net of withholding tax | | 11,368,903 |
| Interest received | | 11,344 |
| Interest received from swap contracts | | 34,259 |
| Interest paid | | (39) |
| Net cash flows generated from operating activities | | <u>240,321,610</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | | - |
| Cash paid on redemption of shares | | (239,957,334) |
| Net cash flows used in financing activities | | <u>(239,957,334)</u> |
| NET INCREASE IN CASH AND CASH EQUIVALENTS | | 364,276 |
| Cash and cash equivalents at the beginning of the period | | <u>2,538,628</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | <u>2,902,904</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) | <u>2,902,904</u> |
| | | <u>44,746,108</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Global X China Consumer Brand ETF | |
|--|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| | Note | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (74,395,009) | (7,303,507) |
| Adjustments for: | | |
| Dividend income | (9,887,691) | (8,348,529) |
| Interest income | (4,471) | (4,131) |
| Interest income from swap contracts | (1,209) | – |
| Interest expense | 4,294 | 3,118 |
| | <u>(84,284,086)</u> | <u>(15,653,049)</u> |
| Decrease/(increase) in financial assets at fair value through profit or loss | 136,769,444 | (25,504,260) |
| (Decrease)/increase in management fee payable | (68,563) | 306,837 |
| Increase in registration fee payable | 156,000 | 138,000 |
| Cash generated from/(used in) operations | 52,572,795 | (40,712,472) |
| Dividends received, net of withholding tax | 8,921,831 | 7,652,950 |
| Interest received | 4,471 | 4,131 |
| Interest received from swap contracts | 6,337 | – |
| Interest paid | (4,294) | (3,118) |
| Net cash flows generated from/(used in) operating activities | <u>61,501,140</u> | <u>(33,058,509)</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | 38,259,707 | 63,824,612 |
| Cash paid on redemption of shares | (100,344,304) | (30,630,169) |
| Net cash flows (used in)/generated from financing activities | <u>(62,084,597)</u> | <u>33,194,443</u> |
| NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | (583,457) | 135,934 |
| Cash and cash equivalents at the beginning of the period | <u>2,576,416</u> | <u>1,882,716</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u>1,992,959</u> | <u>2,018,650</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>1,992,959</u> | <u>2,018,650</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X China Electric Vehicle and Battery ETF</u> | |
|---|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (504,403,431) | (496,789,739) |
| Adjustments for: | | |
| Dividend income | (27,060,278) | (19,036,688) |
| Interest income | (28,214) | (43,407) |
| Interest income from swap contracts | (206,374) | – |
| Interest expense | – | 2,907 |
| | <u>(531,698,297)</u> | <u>(515,866,927)</u> |
| Decrease in financial assets at fair value through profit or loss | 1,011,989,204 | 1,202,923,705 |
| (Decrease)/increase in management fee payable | (597,187) | 2,514,004 |
| Increase in registration fee payable | 156,000 | 190,000 |
| Cash generated from operations | 479,849,720 | 689,760,782 |
| Dividends received, net of withholding tax | 23,387,400 | 17,133,019 |
| Interest received | 28,214 | 43,407 |
| Interest received from swap contracts | 60,460 | – |
| Interest paid | – | (2,907) |
| Net cash flows generated from operating activities | <u>503,325,794</u> | <u>706,934,301</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | 16,934,998 | 128,802,855 |
| Cash paid on redemption of shares | (495,270,658) | (838,491,479) |
| Net cash flows used in financing activities | <u>(478,335,660)</u> | <u>(709,688,624)</u> |
| NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS | | |
| | 24,990,134 | (2,754,323) |
| Cash and cash equivalents at the beginning of the period | <u>8,206,886</u> | <u>13,380,662</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u>33,197,020</u> | <u>10,626,339</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>33,197,020</u> | <u>10,626,339</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Global X China Robotics and AI ETF | |
|---|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| | Note | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (22,749,633) | (12,975,517) |
| Adjustments for: | | |
| Dividend income | (1,050,475) | (1,427,228) |
| Interest income | (2,280) | (2,206) |
| Interest expense | – | 582 |
| | <u>(23,802,388)</u> | <u>(14,404,369)</u> |
| Decrease in financial assets at fair value through profit or loss | 44,869,792 | 38,581,911 |
| Decrease in amounts due from brokers | – | 1,205,931 |
| (Decrease)/increase in management fee payable | (17,420) | 35,678 |
| Increase in registration fee payable | 24,000 | 48,000 |
| Cash generated from operations | 21,073,984 | 25,467,151 |
| Dividends received, net of withholding tax | 945,429 | 1,288,762 |
| Interest received | 2,280 | 2,206 |
| Interest paid | – | (582) |
| Net cash flows generated from operating activities | <u>22,021,693</u> | <u>26,757,537</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | – | 1,942,517 |
| Cash paid on redemption of shares | (22,538,165) | (40,083,695) |
| Net cash flows used in financing activities | <u>(22,538,165)</u> | <u>(38,141,178)</u> |
| NET DECREASE IN CASH AND CASH EQUIVALENTS | | |
| Cash and cash equivalents at the beginning of the period | 974,457 | 12,178,016 |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u>457,985</u> | <u>794,375</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>457,985</u> | <u>794,375</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X China Semiconductor ETF</u> | |
|---|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (91,549,160) | (141,132,914) |
| Adjustments for: | | |
| Dividend income | (1,744,969) | (5,845,531) |
| Interest income | (2,855) | (4,071) |
| Interest income from swap contracts | (36,813) | – |
| Interest expense | – | 61,921 |
| | <u>(93,333,797)</u> | <u>(146,920,595)</u> |
| Decrease in financial assets at fair value through profit or loss | 137,143,181 | 180,626,513 |
| Decrease in amounts due from brokers | 7,015 | 27,735 |
| (Decrease)/increase in management fee payable | (66,397) | 287,293 |
| Increase in registration fee payable | <u>36,000</u> | <u>174,000</u> |
| Cash generated from operations | 43,786,002 | 34,194,946 |
| Dividends received, net of withholding tax | 1,505,996 | 5,260,977 |
| Interest received | 2,855 | 4,071 |
| Interest received from swap contracts | 6,991 | – |
| Interest paid | – | (61,921) |
| Net cash flows generated from operating activities | <u>45,301,844</u> | <u>39,398,073</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | – | 45,912,849 |
| Cash paid on redemption of shares | <u>(45,634,561)</u> | <u>(74,711,612)</u> |
| Net cash flows used in financing activities | <u>(45,634,561)</u> | <u>(28,798,763)</u> |
| NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | <u>(332,717)</u> | <u>10,599,310</u> |
| Cash and cash equivalents at the beginning of the period | <u>1,412,388</u> | <u>2,575,190</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u>1,079,671</u> | <u>13,174,500</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>1,079,671</u> | <u>13,174,500</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Global X China Global Leaders ETF | |
|---|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| | Note | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | | (3,311,146) |
| Adjustments for: | | |
| Dividend income | | (663,095) |
| Interest income | | (375) |
| Interest expense | | — |
| | | <u>11</u> |
| Decrease in financial assets at fair value through profit or loss | | (3,974,616) |
| (Decrease)/increase in management fee payable | | 12,511,228 |
| Increase in custodian fee payable | | (7,404) |
| Increase in registration fee payable | | — |
| | | <u>1</u> |
| Cash generated from operations | | 24,000 |
| Dividends received, net of withholding tax | | 8,553,208 |
| Interest received | | 630,880 |
| Interest paid | | 375 |
| | | <u>802</u> |
| Net cash flows generated from operating activities | | <u>9,184,463</u> |
| | | <u>20,922,353</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | | — |
| Cash paid on redemption of shares | | 6,173,422 |
| | | <u>(9,130,611)</u> |
| Net cash flows used in financing activities | | <u>(9,130,611)</u> |
| | | <u>(21,013,450)</u> |
| NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS | | |
| | | 53,852 |
| Cash and cash equivalents at the beginning of the period | | <u>257,901</u> |
| | | <u>331,297</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | | <u>311,753</u> |
| | | <u>240,200</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) | <u>311,753</u> |
| | | <u>240,200</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X China Innovator Active ETF</u> | |
|--|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (9,784,948) | (30,705,229) |
| Adjustments for: | | |
| Dividend income | (448,150) | (1,364,248) |
| Interest income | (12,330) | (17,310) |
| | <u>(10,245,428)</u> | <u>(32,086,787)</u> |
| Decrease/(increase) in financial assets at fair value through profit or loss | 133,949,523 | (93,720,565) |
| Increase in amounts due from brokers | (2,612) | – |
| (Decrease)/increase in management fee payable | (89,161) | 312,605 |
| Increase in amounts due to brokers | – | 1,461,541 |
| Increase in registration fee payable | 90,000 | 156,000 |
| Cash generated from/(used in) operations | 123,702,322 | (123,877,206) |
| Dividends received, net of withholding tax | 411,007 | 1,268,680 |
| Interest received | 12,330 | 17,310 |
| Net cash flows generated from/(used in) operating activities | <u>124,125,659</u> | <u>(122,591,216)</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | 16,217,828 | 256,571,220 |
| Cash paid on redemption of shares | (152,311,929) | (124,532,923) |
| Net cash flows (used in)/generated from financing activities | <u>(136,094,101)</u> | <u>132,038,297</u> |
| NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | (11,968,442) | 9,447,081 |
| Cash and cash equivalents at the beginning of the period | <u>13,278,148</u> | <u>8,270,428</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | <u>1,309,706</u> | <u>17,717,509</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>1,309,706</u> | <u>17,717,509</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | Global X Asia Semiconductor ETF | |
|--|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| | Note | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Profit/(loss) before tax | 2,717,757 | (26,181,715) |
| Adjustments for: | | |
| Dividend income | (1,298,762) | (1,424,951) |
| Interest income | (288) | (240) |
| Interest expense | 466 | 616 |
| | <u>1,419,173</u> | <u>(27,606,290)</u> |
| (Increase)/decrease in financial assets at fair value through profit or loss | (582,877) | 25,995,786 |
| Increase in amounts due from brokers | (428,704) | – |
| Increase in management fee payable | 5,422 | 31,582 |
| Decrease in amounts due to brokers | (42) | (188) |
| Increase in registration fee payable | 24,001 | 12,000 |
| Cash generated from/(used in) operations | 436,973 | (1,567,110) |
| Dividends received, net of withholding tax | 1,061,123 | 1,186,553 |
| Interest received | 288 | 240 |
| Interest paid | (466) | (616) |
| Net cash flows generated from/(used in) operating activities | <u>1,497,918</u> | <u>(380,933)</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | 6,663,094 | 4,407,203 |
| Cash paid on redemption of units | (8,628,968) | (3,922,440) |
| Net cash flows (used in)/generated from financing activities | <u>(1,965,874)</u> | <u>484,763</u> |
| NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | (467,956) | 103,830 |
| Cash and cash equivalents at the beginning of the period | <u>241,720</u> | <u>134,588</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | <u>(226,236)</u> | <u>238,418</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>(226,236)</u> | <u>238,418</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X China</u> | |
|--|--|--|
| | <u>E-Commerce and Logistics ETF</u> | |
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| (Loss)/profit before tax | (1,252,600) | 80,255 |
| Adjustments for: | | |
| Dividend income | (937,883) | (858,977) |
| Interest income | (334) | (107) |
| Interest expense | – | 57 |
| | <u>(2,190,817)</u> | <u>(778,772)</u> |
| Decrease/(increase) in financial assets at fair value through profit or loss | 13,282,861 | (4,042,728) |
| Decrease in amounts due from brokers | 13,652 | – |
| (Decrease)/increase in management fee payable | (7,648) | 18,989 |
| (Decrease)/increase in amounts due to brokers | (12) | 166,161 |
| Increase in registration fee payable | 12,000 | 6,000 |
| Cash generated from/(used in) operations | 11,110,036 | (4,630,350) |
| Dividends received, net of withholding tax | 856,726 | 662,543 |
| Interest received | 334 | 107 |
| Interest paid | – | (57) |
| Net cash flows generated from/(used in) operating activities | <u>11,967,096</u> | <u>(3,967,757)</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | – | 4,073,689 |
| Cash paid on redemption of shares | (11,962,747) | – |
| Net cash flows (used in)/generated from financing activities | <u>(11,962,747)</u> | <u>4,073,689</u> |
| NET INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | 4,349 | 105,932 |
| Cash and cash equivalents at the beginning of the period | 59,035 | 47,081 |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u>63,384</u> | <u>153,013</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>63,384</u> | <u>153,013</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X China</u> <u>Games and Entertainment ETF</u> | |
|---|--|--|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the six months ended 30 September 2022 (unaudited) RMB |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (2,140,668) | (2,774,845) |
| Adjustments for: | | |
| Dividend income | (103,205) | (147,247) |
| Interest income | (235) | (198) |
| Interest expense | — | 397 |
| | <u>(2,244,108)</u> | <u>(2,921,893)</u> |
| Decrease in financial assets at fair value through profit or loss | 7,125,190 | 2,774,032 |
| Decrease in amounts due from brokers | 9 | — |
| (Decrease)/increase in management fee payable | (2,721) | 7,180 |
| (Decrease)/increase in amounts due to brokers | (70,879) | 1,218,760 |
| Increase in registration fee payable | 12,000 | 30,000 |
| Cash generated from operations | 4,819,491 | 1,108,079 |
| Dividends received, net of withholding tax | 97,467 | 139,028 |
| Interest received | 235 | 198 |
| Interest paid | — | (397) |
| Net cash flows generated from operating activities | <u>4,917,193</u> | <u>1,246,908</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | — | 5,663,607 |
| Cash paid on redemption of units | (4,956,658) | (5,640,179) |
| Net cash flows (used in)/generated from financing activities | <u>(4,956,658)</u> | <u>23,428</u> |
| NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | <u>(39,465)</u> | <u>1,270,336</u> |
| Cash and cash equivalents at the beginning of the period | 202,155 | 102,634 |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u><u>162,690</u></u> | <u><u>1,372,970</u></u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>162,690</u> | <u>1,372,970</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X FinTech ETF</u> | |
|--|--|---|
| | For the six months ended 30 September 2023 (unaudited) USD | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (29,629) | (1,597,455) |
| Adjustments for: | | |
| Dividend income | (4,943) | (9,534) |
| Interest income | – | (3) |
| Interest expense | – | 9 |
| | <u>(34,572)</u> | <u>(1,606,983)</u> |
| Decrease/(increase) in financial assets at fair value through profit or loss | 233,940 | (1,326,865) |
| (Decrease)/increase in management fee payable | (106) | 2,953 |
| Increase in registration fee payable | 1,000 | 7,000 |
| Cash generated from/(used in) operations | 200,262 | (2,923,895) |
| Dividends received, net of withholding tax | 3,705 | 7,802 |
| Interest received | – | 3 |
| Interest paid | – | (9) |
| Net cash flows generated from/(used in) operating activities | <u>203,967</u> | <u>(2,916,099)</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | – | 3,315,112 |
| Cash paid on redemption of units | (205,093) | (389,681) |
| Net cash flows (used in)/generated from financing activities | <u>(205,093)</u> | <u>2,925,431</u> |
| NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | (1,126) | 9,332 |
| Cash and cash equivalents at the beginning of the period | <u>12,981</u> | <u>–</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u>11,855</u> | <u>9,332</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>11,855</u> | <u>9,332</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X Autonomous and Electric Vehicles ETF</u> | |
|--|--|---|
| | For the six months ended 30 September 2023 (unaudited) USD | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) USD |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (19,508) | (1,459,666) |
| Adjustments for: | | |
| Dividend income | (24,013) | (37,202) |
| Interest income | (1) | (3) |
| Interest expense | – | 28 |
| | <u>(43,522)</u> | <u>(1,496,843)</u> |
| Decrease/(increase) in financial assets at fair value through profit or loss | 21,353 | (2,895,318) |
| Decrease/(increase) in amounts due from brokers | 1 | (1) |
| Increase in management fee payable | 39 | 5,517 |
| Increase in registration fee payable | – | 10,000 |
| Cash used in operations | <u>(22,129)</u> | <u>(4,376,645)</u> |
| Dividends received, net of withholding tax | 21,502 | 26,650 |
| Interest received | 1 | 3 |
| Interest paid | – | (28) |
| Net cash flows used in operating activities | <u>(626)</u> | <u>(4,350,020)</u> |
| CASH FLOWS FROM FINANCING ACTIVITIES | | |
| Cash received from issue of shares | – | 5,026,438 |
| Cash paid on redemption of units | – | (656,491) |
| Net cash flows generated from financing activities | <u>–</u> | <u>4,369,947</u> |
| NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS | | |
| | (626) | 19,927 |
| Cash and cash equivalents at the beginning of the period | <u>17,285</u> | <u>–</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | | |
| | <u>16,659</u> | <u>19,927</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>16,659</u> | <u>19,927</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2023 (unaudited)

| | <u>Global X Asia</u> <u>Innovator Active ETF</u> | |
|--|--|---|
| | For the six months ended 30 September 2023 (unaudited) RMB | For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited) RMB |
| Note | | |
| CASH FLOWS FROM OPERATING ACTIVITIES | | |
| Loss before tax | (900,435) | (6,642,056) |
| Adjustments for: | | |
| Dividend income | (104,672) | (107,979) |
| Interest income | (797) | (1,218) |
| Interest expense | 87 | – |
| | <u>(1,005,817)</u> | <u>(6,751,253)</u> |
| Decrease/(increase) in financial assets at fair value through profit or loss | 1,019,934 | (9,872,705) |
| Decrease in amounts due from brokers | 46,507 | – |
| (Decrease)/increase in management fee payable | (414) | 23,510 |
| Increase in amounts due to brokers | – | 105,608 |
| Increase in registration fee payable | – | 18,000 |
| Cash generated from/(used in) operations | 60,210 | (16,476,840) |
| Dividends received, net of withholding tax | 90,883 | 86,460 |
| Interest received | 797 | 1,218 |
| Interest received | (87) | – |
| Net cash flows generated from/(used in) operating activities | <u>151,803</u> | <u>(16,389,162)</u> |
| CASH FLOWS FROM FINANCING ACTIVITY | | |
| Cash received from issue of shares | – | 17,416,954 |
| Net cash flows generated from financing activity | <u>–</u> | <u>17,416,954</u> |
| NET INCREASE IN CASH AND CASH EQUIVALENTS | 151,803 | 1,027,792 |
| Cash and cash equivalents at the beginning of the period | <u>834,308</u> | <u>–</u> |
| CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD | <u>986,111</u> | <u>1,027,792</u> |
| ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS | | |
| Bank balances | 6(e) <u>986,111</u> | <u>1,027,792</u> |

The accompanying notes are an integral part of these financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

1. GENERAL INFORMATION

Global X Exchange Traded Funds Series OFC (the “Company”) is a public umbrella open-ended fund company with variable capital and segregated limited liability between Sub-Funds, which was incorporated in Hong Kong under the Securities and Futures Ordinance (Cap. 571) of Hong Kong (the “SFO”) on 13 December 2019. The Company is constituted by way of the Instrument of Incorporation filed to the Companies Registry of Hong Kong on, and effective as of, 13 December 2019.

As at 30 September 2023, the Company has thirteen sub-funds, each a separate sub-fund of the Company, which are authorised by the Securities and Futures Commission of Hong Kong (the “SFC”) pursuant to section 104(1) of the SFO. The thirteen sub-funds, including Global X China Clean Energy ETF, Global X China Consumer Brand ETF, Global X China Electric Vehicle and Battery ETF, Global X China Robotics and AI ETF, Global X China Semiconductor ETF, Global X China Global Leaders ETF, Global X China Innovator Active ETF, Global X Asia Semiconductor ETF, Global X China E-Commerce and Logistics ETF, Global X China Games and Entertainment ETF, Global X FinTech ETF, Global X Autonomous and E Vehicle ETF and Global X Asia Innovator Active ETF are referred to individually or collectively as the “Sub-Fund(s)”. The Sub-Funds are listed on the Stock Exchange of Hong Kong Limited (the “SEHK”) (a subsidiary of The Hong Kong Exchanges and Clearing Limited) with details below:

| <u>Name of Sub-Funds</u> | <u>Listing date on the SEHK</u> |
|---|---------------------------------|
| Global X China Clean Energy ETF (“China Clean Energy ETF”) | 17 January 2020 |
| Global X China Consumer Brand ETF (“China Consumer Brand ETF”) | 17 January 2020 |
| Global X China Electric Vehicle and Battery ETF (“China Electric Vehicle and Battery ETF”) | 17 January 2020 |
| Global X China Robotics and AI ETF (“China Robotics and AI ETF”) | 7 August 2020 |
| Global X China Semiconductor ETF (“China Semiconductor ETF”) | 7 August 2020 |
| Global X China Global Leaders ETF (“China Global Leaders ETF”) | 11 March 2021 |
| Global X China Innovator Active ETF (“China Innovator Active ETF”) | 11 March 2021 |
| Global X Asia Semiconductor ETF (“Asia Semiconductor ETF”) | 23 July 2021 |
| Global X China E-Commerce and Logistics ETF (“China E-Commerce and Logistics ETF”) | 23 July 2021 |
| Global X China Games and Entertainment ETF (“China Games and Entertainment ETF”) | 23 July 2021 |
| Global X FinTech ETF (“FinTech ETF”) | 10 December 2021 |
| Global X Autonomous and Electric Vehicles ETF (“Autonomous and Electric Vehicles ETF”) | 10 December 2021 |
| Global X Asia Innovator Active ETF (“Asia Innovator Active ETF”) | 10 December 2021 |

The Manager is responsible for the preparation of the financial statements.

A cash flow statement has not been presented as the Company does not operate a bank or cash account or hold any cash equivalents and has had no cash transactions during the accounting year. Accordingly, in the opinion of the directors, the presentation of a cash flow statement would provide no additional useful information to the users of the financial statements.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

1. GENERAL INFORMATION (CONTINUED)

China Clean Energy ETF

The objective of China Clean Energy ETF (United States dollar counter stock code: 9809, Hong Kong dollar counter stock code: 2809) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Clean Energy Index Net Total Return by investing all, or substantially all, of the assets of China Clean Energy ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Consumer Brand ETF

The objective of China Consumer Brand ETF (United States dollar counter stock code: 9806, Hong Kong dollar counter stock code: 2806) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Consumer Brand Index Net Total Return by investing all, or substantially all, of the assets of China Consumer Brand ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Electric Vehicle and Battery ETF

The objective of China Electric Vehicle and Battery ETF (United States dollar counter stock code: 9845, Hong Kong dollar counter stock code: 2845) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Electric Vehicle and Battery Index Net Total Return by investing all, or substantially all, of the assets of China Electric Vehicle and Battery ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Robotics and AI ETF

The objective of China Robotics and AI ETF (United States dollar counter stock code: 9807, Hong Kong dollar counter stock code: 2807) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Robotics and Artificial Intelligence Index by investing all, or substantially all, of the assets of China Robotics and AI ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Semiconductor ETF

The objective of China Semiconductor ETF (United States dollar counter stock code: 9191, Hong Kong dollar counter stock code: 3191) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Semiconductor Index by investing all, or substantially all, of the assets of China Semiconductor ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Global Leaders ETF

The objective of China Global Leaders ETF (Hong Kong dollar counter stock code: 3050) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Global Leaders Index by investing all, or substantially all, of the assets of China Global Leaders ETF in index securities in substantially the same weightings as constituted in the underlying index.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

1. GENERAL INFORMATION (CONTINUED)

China Innovator Active ETF

The objective of China Innovator Active ETF (Hong Kong dollar counter stock code: 3058) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are headquartered or incorporated in Mainland China, Hong Kong or Macau and are directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”).

Asia Semiconductor ETF

The objective of Asia Semiconductor ETF (Hong Kong dollar counter stock code: 3119) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet Asia Semiconductor Index by investing all, or substantially all, of the assets of Asia Semiconductor ETF in index securities in substantially the same weightings as constituted in the underlying index.

China E-Commerce and Logistics ETF

The objective of China E-Commerce and Logistics ETF (Hong Kong dollar counter stock code: 3124) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China E-commerce and Logistics Index by investing all, or substantially all, of the assets of China E-Commerce and Logistics ETF in index securities in substantially the same weightings as constituted in the underlying index.

China Games and Entertainment ETF

The objective of China Games and Entertainment ETF (Hong Kong dollar counter stock code: 3117) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Games and Entertainment Index by investing all, or substantially all, of the assets of China Games and Entertainment ETF in index securities in substantially the same weightings as constituted in the underlying index.

FinTech ETF

The objective of FinTech ETF (Hong Kong dollar counter stock code: 3185) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Global FinTech Thematic Index by investing all, or substantially all, of the assets of FinTech ETF in index securities in substantially the same weighting as constituted in the underlying index.

Autonomous and Electric Vehicles ETF

The objective of Autonomous and Electric Vehicles ETF (Hong Kong dollar counter stock code: 2849) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive Autonomous and Electric Vehicles Index by investing all, or substantially all, of the assets of Autonomous and electric Vehicles ETF in index securities in substantially the same weightings as constituted in the underlying index.

Asia Innovator Active ETF

The objective of Asia Innovator Active ETF (Hong Kong dollar counter stock code: 3051) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are headquartered or incorporated in Mainland China, Hong Kong, Indonesia, India, Malaysia, Philippines, Singapore, Japan, South Korea, Taiwan and Thailand and are directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”).

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The condensed interim financial statements are unaudited and are prepared in accordance with International Accounting Standard (“IAS”) 34 Interim Financial Reporting, the relevant disclosure provisions in the Instrument of Incorporation dated on 13 December 2019 (the “Instrument of Incorporation”), the Code on Open-Ended Fund Companies of the SFC (the “OFC Code”) and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the SFC (the “UT Code”).

The unaudited condensed interim financial statements do not include all the information and disclosures required in the annual financial statements.

The principal accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the periods presented, unless otherwise stated.

(a)(i) Basis of preparation

The financial statements of the Sub-Funds have been prepared in accordance with International Financial Reporting Standards (“IFRSs”) as issued by the International Accounting Standards Board (“IASB”), and interpretations issued by the International Financial Reporting Interpretations Committee of the IASB, the relevant disclosure provisions in the Instrument of Incorporation, the Code on Open-Ended Fund Companies and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds (“UT Code”).

The financial statements have been prepared under the historical cost convention, except for financial assets classified as at fair value through profit or loss (“FVPL”) that have been measured at fair value. The financial statements are presented in Renminbi (“RMB”) for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF and Asia Innovator Active ETF and United States dollar (“USD”) for Autonomous and Electric Vehicles ETF and FinTech ETF. All values are rounded to the nearest RMB and USD respectively except where otherwise indicated.

All references to net assets or net asset value throughout the financial statements refer to net assets attributable to shareholders unless otherwise stated.

(a)(ii) Significant accounting judgements, estimates and assumptions

The preparation of financial statements, in conformity with IFRSs, requires the Manager to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts recognised in the financial statements and disclosure of contingent liabilities. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstances, the results of which form the basis of making the judgements about carrying values of assets and liabilities that are not readily apparent from other sources. Actual results may differ from these estimates.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(a)(iii) Changes in accounting policies and disclosures

There are no standards, amendments to standards or interpretations that are effective for annual periods beginning on 1 April 2023 that have a material effect on the financial statements of the Sub-Funds.

(a)(iv) Issued but not yet effective IFRSs

A number of new standards, amendments to standards and interpretations are effective for annual periods beginning after 1 April 2023 and have not been early adopted in preparing these financial statements. None of these are expected to have a material effect on the financial statements of the Sub-Funds.

(b) Financial instruments

(i) *Classification*

In accordance with IFRS 9, the Sub-Funds classify their financial assets and financial liabilities at initial recognition into the categories of financial assets and financial liabilities as set out below.

Financial assets

The Sub-Funds classify their financial assets as subsequently measured at amortised cost or measured at FVPL on the basis of both:

- The entity's business model for managing the financial assets; and
- The contractual cash flow characteristics of the financial asset

Financial assets measured at amortised cost

A debt instrument is measured at amortised cost if it is held within a business model whose objective is to hold financial assets in order to collect contractual cash flows and its contractual terms give rise on specified dates to cash flows that are solely payments of principal and interest ("SPPI") on the principal amount outstanding. The Sub-Funds include in this category short-term non-financing receivables including dividends receivables, interest receivable from swap contracts, amounts due from brokers and bank balances.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(i) *Classification* (continued)

Financial assets measured at FVPL

A financial asset is measured at FVPL if:

- (a) Its contractual terms do not give rise to cash flows on specified dates that are solely SPPI on the principal amount outstanding; or
- (b) It is not held within a business model whose objective is either to collect contractual cash flows, or to both collect contractual cash flows and sell; or
- (c) At initial recognition, it is irrevocably designated as measured at FVPL when doing so eliminates or significantly reduces a measurement or recognition inconsistency that would otherwise arise from measuring assets or liabilities or recognising the gains and losses on them on different bases.

The Sub-Funds include in this category:

- Instruments held for trading. This category includes equity instruments which are acquired principally for the purpose of generating a profit from short-term fluctuations in price.

Financial liabilities

Financial liabilities measured at amortised cost

This category includes all financial liabilities. The Sub-Funds include in this category management fee payable, custodian fee payable, establishment costs payable, fees payable, interest payable from swap contracts, redemption payable, amounts due to brokers and registration fee payable.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(ii) **Recognition**

The Sub-Funds recognise a financial asset or a financial liability when, and only when, they become a party to the contractual provisions of the instrument. Purchases and sales of financial assets at fair value through profit or loss are accounted for on the trade date basis.

(iii) **Initial measurement**

Financial assets at fair value through profit or loss are recorded in the statement of net assets at fair value. All transaction costs for such instruments are recognised directly in profit or loss.

Financial assets and liabilities (other than those classified as at fair value through profit or loss) are measured initially at their fair value plus or minus any directly attributable incremental costs of acquisition or issue.

(iv) **Subsequent measurement**

After initial measurement, the Sub-Funds measure financial instruments which are classified as at FVPL at fair value. Subsequent changes in the fair values of those financial instruments are recorded in “net change in unrealised gain/loss on financial assets at FVPL” in “net gain/(loss) on financial assets at FVPL. Interest and dividends earned on these instruments are recorded separately in “interest income”, “interest income from swap contracts” and “dividend income” in the statement of profit or loss and other comprehensive income.

Debt instruments, other than those classified as at FVPL, are measured at amortised cost using the effective interest method less any allowance for impairment. Gains and losses are recognised in profit or loss when the debt instruments are derecognised or impaired, as well as through the amortisation process.

Financial liabilities, other than those classified as at FVPL, are measured at amortised cost using the effective interest method. Gains and losses are recognised in profit or loss when the liabilities are derecognised, as well as through the amortisation process.

The effective interest method is a method of calculating the amortised cost of a financial asset or a financial liability and of allocating and recognising the interest income or interest expense in profit or loss over the relevant period. The effective interest rate is the rate that exactly discounts estimated future cash payments or receipts through the expected life of the financial asset or financial liability to the gross carrying amount of the financial asset or to the amortised cost of the financial liability. When calculating the effective interest rate, the Sub-Funds estimate cash flows considering all contractual terms of the financial instruments, but does not consider expected credit losses. The calculation includes all fees paid or received between parties to the contract that are an integral part of the effective interest rate, transaction costs and all other premiums or discounts.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(b) Financial instruments (continued)

(v) *Derecognition*

A financial asset is derecognised when the rights to receive cash flows from the financial asset have expired, or where the Sub-Funds have transferred their rights to receive cash flows from the financial asset, or have assumed an obligation to pay the received cash flows in full without material delay to a third party under a pass-through arrangement and either the Sub-Funds have transferred substantially all the risks and rewards of the asset or the Sub-Funds have neither transferred nor retained substantially all the risks and rewards of the financial asset, but has transferred control of the asset.

When the Sub-Funds have transferred its rights to receive cash flows from an asset (or has entered into a pass-through arrangement), and has neither transferred nor retained substantially all the risks and rewards of the asset nor transferred control of the asset, the asset is recognised to the extent of the Sub-Funds' continuing involvement in the asset. In that case, the Sub-Funds also recognise an associated liability. The transferred asset and the associated liability are measured on a basis that reflects the rights and obligations that the Sub-Funds have retained.

The Sub-Funds derecognise a financial liability when the obligation under the liability is discharged or cancelled, or expires.

(c) Fair value measurement

The Sub-Funds measure their investments in financial instruments at fair value at the end of each reporting period.

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The fair value measurement is based on the presumption that the transaction to sell the asset or transfer the liability takes place either:

- in the principal market for the asset or liability; or
- in the absence of a principal market, in the most advantageous market for the asset or liability.

The principal or the most advantageous market must be accessible by the Sub-Funds.

The fair value of an asset or a liability is measured using the assumptions that market participants would use when pricing the asset or liability, assuming that market participants act in their economic best interest.

The fair value for financial instruments that are listed or traded on an exchange is based on quoted last traded market prices that are within the bid-ask spread.

For all other financial instruments not traded in an active market, the fair value is determined using valuation techniques deemed to be appropriate in the circumstances. Valuation techniques include the market approach (i.e., using recent arm's length market transactions, adjusted as necessary, and reference to the current market value of another instrument that is substantially the same) and the income approach (i.e., discounted cash flow analysis and option pricing models making as much use of available and supportable market data as possible).

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(c) Fair value measurement (continued)

All assets and liabilities for which fair value is measured or disclosed in the financial statements are categorised within the fair value hierarchy, described as follows, based on the lowest level input that is significant to the fair value measurement as a whole:

- Level 1 — Quoted (unadjusted) market prices in active markets for identical assets or liabilities
- Level 2 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is directly or indirectly observable
- Level 3 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is unobservable

For assets and liabilities that are recognised in the financial statements on a recurring basis, the Sub-Funds determine whether transfers have occurred between levels in the hierarchy by re-assessing categorisation (based on the lowest level input that is significant to the fair value measurement as a whole) at the end of each reporting period.

(d) Derivative financial instruments

Derivative financial instruments are recorded on a mark-to-market basis. Fair values are determined by using quoted market prices that are within the bid-ask spread for futures contracts. All derivatives are carried as assets when amounts are receivable by the Sub-Funds and as liabilities when amounts are payable by the Sub-Funds. Unrealised gains and losses arising from changes in fair value and realised gains and losses are recognised in profit or loss.

(e) Income

Interest income is recognised in profit or loss on a time-proportionate basis using the effective interest method.

Dividend income on equity securities is recognised on the date on which the investments are quoted ex-dividend. Dividend income on equity securities where no ex-dividend date is quoted is accounted for when the Sub-Funds' right to receive payment is established. Dividend income is presented gross of any non-recoverable withholding taxes, which are disclosed separately in profit or loss.

(f) Expenses

Expenses are recognised on an accrual basis.

(g) Amount due from/to brokers

Amounts due from brokers represent receivables for securities sold (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial assets measured at amortised cost for recognition and measurement.

Amounts due to brokers are payables for securities purchased (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial liabilities measured at amortised cost for recognition and measurement.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(h) Cash and cash equivalents

Cash and cash equivalents in the statement of net assets comprise short-term deposits in banks which are readily convertible to known amounts of cash and are subject to an insignificant risk of changes in value.

For the purpose of the statement of cash flows, cash and cash equivalents consist of cash and cash equivalents as defined above, net of outstanding bank overdrafts when applicable.

(i) Translation of foreign currencies

Functional and presentation currency

Items included in the financial statements are measured using the currency of the primary economic environment in which the Sub-Funds operate (the “functional currency”). The performance of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF and Asia Innovator Active ETF are measured and reported to the shareholders in RMB. The performance of Autonomous and Electric Vehicles ETF and FinTech ETF are measured and reported to the shareholders in USD. The Manager considers the RMB and USD as the currencies that most faithfully represent the economic effects of the underlying transactions, events and conditions. The financial statements of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF and Asia Innovator Active ETF are presented in RMB and the financial statements of Autonomous and Electric Vehicles ETF and FinTech ETF are presented in USD respectively, which are the functional and presentation currencies.

Transactions and balances

Transactions during the period, including purchases and sales of securities, income and expenses, are translated at the rates of exchange prevailing on the dates of the transactions.

Monetary assets and liabilities expressed in foreign currencies are translated at the functional currency rates of exchange ruling at the end of the reporting period. Differences arising on settlement or transaction of monetary items are recognised in the statement of profit or loss and other comprehensive income.

Non-monetary items that are measured in terms of historical cost in a foreign currency are translated using the exchange rates at the dates of the initial transactions. Non-monetary items measured at fair value in a foreign currency are translated using the exchange rates at the date when the fair value is determined.

Foreign currency transaction gains and losses on financial instruments classified as at FVPL are included in profit or loss in the statement of profit or loss and other comprehensive income.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(j) Redeemable shares

Redeemable shares are classified as an equity instrument when:

- (i) The redeemable shares entitle the holder to a pro-rata share of the Sub-Funds' net assets in the event of the Sub-Fund's liquidation;
- (ii) The redeemable shares are in the class of instruments that is subordinate to all other classes of instruments;
- (iii) All redeemable shares in the class of instruments that is subordinate to all other classes of instruments have identical features;
- (iv) The redeemable shares do not include any contractual obligation to deliver cash or another financial asset other than the holder's rights to a pro-rata share of the Sub-Fund's net assets; or
- (v) The total expected cash flows attributable to the redeemable shares over the life of the instrument are based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds over the life of the instrument.

In addition to the redeemable shares having all the above features, the Sub-Funds must have no other financial instrument or contract that has:

- (i) Total cash flows based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds; and
- (ii) The effect of substantially restricting or fixing the residual return to the redeemable shareholders.

The Sub-Funds' redeemable shares meet the definition of puttable instruments classified as equity instruments under the revised IAS 32 and are classified as equity.

The Sub-Funds continuously assess the classification of the redeemable shares. If the redeemable shares cease to have all the features or meet all the conditions set out to be classified as equity, the Sub-Funds will reclassify them as financial liabilities and measure them at fair value at the date of reclassification, with any differences from the previous carrying amount recognised in equity. If the redeemable shares subsequently have all the features and meet the conditions to be classified as equity, the Sub-Funds will reclassify them as equity instruments and measure them at the carrying amount of the liabilities at the date of the reclassification.

Redeemable shares can be redeemed in-kind and/or in cash equal to a proportionate share of the Sub-Funds' net asset value ("NAV"). The Sub-Funds' net asset value per share is calculated by dividing the net assets attributable to shareholders with the total number of outstanding shares of the respective Sub-Funds. Shares are created or redeemed in multiples of 50,000 shares for the Sub-Funds.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(k) Impairment of financial assets

The Sub-Funds recognise an allowance for expected credit losses (“ECLs”) for all debt instruments not held at fair value through profit or loss. ECLs are based on the difference between the contractual cash flows due in accordance with the contract and all the cash flows that the Sub-Funds expect to receive, discounted at an approximation of the original effective interest rate. The expected cash flows will include cash flows from the sale of collateral held or other credit enhancements that are integral to the contractual terms.

ECLs are recognised in two stages. For credit exposures for which there has not been a significant increase in credit risk since initial recognition, ECLs are provided for credit losses that result from default events that are possible within the next 12 months (a 12-month ECL). For those credit exposures for which there has been a significant increase in credit risk since initial recognition, a loss allowance is required for credit losses expected over the remaining life of the exposure, irrespective of the timing of the default (a lifetime ECL).

The Sub-Funds may also consider a financial asset to be in default when internal or external information indicates that the Sub-Funds are unlikely to receive the outstanding contractual amounts in full before taking into account any credit enhancements held by the Sub-Funds. A financial asset is written off when there is no reasonable expectation of recovering the contractual cash flows.

(l) Net change in unrealised gains or losses on financial assets at fair value through profit or loss

This item includes changes in the fair value of financial assets as at fair value through profit or loss and excludes dividend income and interest expense.

Unrealised gains and losses comprise changes in the fair value of financial instruments for the period and from reversal of prior year unrealised gains and losses for financial instruments which were realised in the reporting period.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(m) Net realised gains or losses on disposal of financial assets

Net realised gains or losses on disposal of financial assets classified as at fair value through profit or loss are calculated using the weighted average method. They represent the difference between an instrument's average cost and disposal amount.

(n) Offsetting financial instruments

Financial assets and financial liabilities are offset and the net amount is reported in the statement of net assets if there is a currently enforceable legal right to offset the recognised amounts and there is an intention to settle on a net basis, or to realise the assets and settle the liabilities simultaneously.

(o) Taxes

In some jurisdictions, investment income and capital gains are subject to withholding tax deducted from the source of the income. The Sub-Funds present the withholding tax separately from the gross investment income in profit or loss. For the purpose of the statement of cash flows, cash inflows from investments are presented net of withholding taxes, when applicable.

(p) Distributions to shareholders

Distributions are at the discretion of the Manager. A distribution to the Sub-Funds' shareholders is accounted for as a deduction from net assets attributable to shareholders. A proposed distribution is recognised as a liability in the period in which it is approved by the Manager. The Manager of the Sub-Funds may at its discretion, pay dividend out of gross income while all or part of the fees and expenses of the relevant Sub-Funds are charged to/paid out of the capital of such Sub-Funds, resulting in an increase in distributable income for the payment of dividends by the relevant Sub-Funds. Therefore, the Sub-Funds may effectively pay dividend out of capital.

(q) Transaction costs

Transaction costs are costs incurred to acquire financial assets or liabilities at fair value through profit or loss. They include fees and commissions paid to agents, brokers and dealers. Transaction costs, when incurred, are immediately recognised in profit or loss as an expense.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(r) Related parties

A party is considered to be related to the Sub-Funds if:

- (a) the party is a person or a close member of that person's family and that person
 - (i) has control or joint control over the Sub-Funds;
 - (ii) has significant influence over the Sub-Funds; or
 - (iii) is a member of the key management personnel of the Sub-Funds or of a parent of the Sub-Funds;

or

- (b) the party is an entity where any of the following conditions applies:
 - (i) the entity and the Sub-Funds are members of the same group;
 - (ii) one entity is an associate or joint venture of the other entity (or of a parent, subsidiary or fellow subsidiary of the other entity);
 - (iii) the entity and the group are joint ventures of the same third party;
 - (iv) one entity is a joint venture of a third entity and the other entity is an associate of the third entity;
 - (v) the entity is a post-employment benefit plan for the benefit of employees of either the Sub-Fund or an entity related to the Sub-Funds;
 - (vi) the entity is controlled or jointly controlled by a person identified in (a);
 - (vii) a person identified in (a)(i) has significant influence over the entity or is a member of the key management personnel of the entity (or of a parent of the entity); and
 - (viii) the entity, or any member of a group of which it is a part, provides key management personnel services to the Sub-Funds or to the parent of the Sub-Funds.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES

(a) Net assets attributable to shareholders

The creation and redemption of shares of the Sub-Funds can only be facilitated by or through participating dealers. Investors other than the participating dealers make a request to create or redeem shares through a participating dealer, and if the investor is a retail investor, such request must be made through a stockbroker which has opened an account with a participating dealer.

The Company shall receive subscription proceeds from the participating dealers for the creation of shares and pay redemption proceeds for the redemption of shares to the relevant participating dealers in such form and manner as prescribed by the Instrument of Incorporation. Shares are created or redeemed in multiples of 50,000 shares for the Sub-Funds. Subscriptions and redemptions of shares during the period are shown on the statement of changes in net assets attributable to shareholders.

As stated in note 2(i), shares of the Sub-Funds, which are represented by assets less liabilities, are classified as equity and accounted for in accordance with IFRSs (the “Accounting NAV”). For the purpose of determining the NAV per share for subscriptions and redemptions and for various fee calculations (the “Dealing NAV”), the Administrator calculates the Dealing NAV in accordance with the provisions of the Instrument of Incorporation, which may be different from the accounting policies under IFRSs.

The establishment costs payable for the Sub-Funds which were payable to the Manager as at 30 September 2023 and 31 March 2023 are shown below. The amounts were interest-free, unsecured.

| | Establishment costs payable | |
|---|---|-------------------------------------|
| | As at 30 September 2023 (unaudited) | As at 31 March 2023 (audited) |
| China Clean Energy ETF (in RMB) | 108,527 | 108,527 |
| China Consumer Brand ETF (in RMB) | 36,984 | 36,984 |
| China Electric Vehicle and Battery ETF (in RMB) | 244,068 | 244,068 |
| China Robotics and AI ETF (in RMB) | 16,320 | 16,320 |
| China Semiconductor ETF (in RMB) | 47,364 | 47,364 |
| China Global Leaders ETF (in RMB) | 5,145 | 5,145 |
| China Innovator Active ETF (in RMB) | – | – |
| Asia Semiconductor ETF (in RMB) | – | – |
| China E-Commerce and Logistics ETF (in RMB) | – | – |
| China Games and Entertainment ETF (in RMB) | – | – |
| FinTech ETF (in USD) | – | – |
| Autonomous and Electric Vehicles ETF (in USD) | – | – |
| Asia Innovator Active ETF (in RMB) | – | – |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(a) Net assets attributable to shareholders (continued)

The Accounting NAV and Dealing NAV for the Sub-Funds are different as at 30 September 2023 and 31 March 2023 as shown below:

| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
|--|--|--|
| <u>China Clean Energy ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets/ Dealing NAV | <u>790,903,207</u> | <u>1,283,195,710</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>China Consumer Brand ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets/ Dealing NAV | <u>534,608,774</u> | <u>671,784,088</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>China Electric Vehicle and Battery ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets/ Dealing NAV | <u>1,755,464,522</u> | <u>2,771,314,730</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>China Robotics and AI ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets/ Dealing NAV | <u>127,602,185</u> | <u>172,995,029</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(a) Net assets attributable to shareholders (continued)

| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
|---|--|--|
| <u>China Semiconductor ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets | 440,854,773 | 578,212,991 |
| Adjustment for timing difference | – | 685 |
| Dealing NAV | <u>440,854,773</u> | <u>578,213,676</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>China Global Leaders ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets/ Dealing NAV | <u>39,922,003</u> | <u>52,395,975</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>China Innovator Active ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets | 22,309,769 | 168,223,606 |
| Adjustment for timing difference | (2,891) | – |
| Dealing NAV | <u>22,306,878</u> | <u>168,223,606</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>Asia Semiconductor ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets | 66,331,151 | 65,837,548 |
| Adjustment for timing difference | – | 42 |
| Dealing NAV | <u>66,331,151</u> | <u>65,837,590</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(a) Net assets attributable to shareholders (continued)

| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
|---|--|--|
| <u>China E-Commerce and Logistics ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets | 16,493,311 | 29,757,733 |
| Adjustment for timing difference | – | 75 |
| Dealing NAV | <u>16,493,311</u> | <u>29,757,808</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>China Games and Entertainment ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets | 10,629,975 | 17,733,039 |
| Adjustment for timing difference | – | 40 |
| Dealing NAV | <u>10,629,975</u> | <u>17,733,079</u> |
| | As at 30 September 2023 (unaudited) USD | As at 31 March 2023 (audited) USD |
| <u>FinTech ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets/ Dealing NAV | <u>1,309,458</u> | <u>1,545,427</u> |
| | As at 30 September 2023 (unaudited) USD | As at 31 March 2023 (audited) USD |
| <u>Autonomous and Electric Vehicles ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets/ Dealing NAV | <u>2,782,030</u> | <u>2,805,014</u> |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| <u>Asia Innovator Active ETF</u> | | |
| Accounting NAV as reporting in the statement of net assets | 12,474,857 | 13,387,883 |
| Adjustment for timing difference | – | 1,145 |
| Dealing NAV | <u>12,474,857</u> | <u>13,389,028</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(b) Movement of shares

The table below summarises the movement of shares for the period/year ended 30 September 2023 and 31 March 2023, and the Accounting NAV per share and the Dealing NAV per share for each of the Sub-Funds as at 30 September 2023 and 31 March 2023.

For the period ended 30 September 2023 (unaudited)

| | Number of shares | | | | Net assets attributable to shareholders | |
|--|--|----------------------------|------------------------------|----------------------------------|---|-------------------------|
| | Shares in issue at beginning of period | Creation during the period | Redemption during the period | Shares in issue at end of period | Accounting NAV | Dealing NAV |
| | | | | | Per share at period end | Per share at period end |
| China Clean Energy ETF | 12,850,000 | – | (2,700,000) | 10,150,000 | RMB77.9215 | RMB77.9215 |
| China Consumer Brand ETF | 14,150,000 | 850,000 | (2,300,000) | 12,700,000 | RMB42.0952 | RMB42.0952 |
| China Electric Vehicle and Battery ETF | 29,000,000 | 200,000 | (6,100,000) | 23,100,000 | RMB75.9941 | RMB75.9941 |
| China Robotics and AI ETF | 3,450,000 | – | (500,000) | 2,950,000 | RMB43.2550 | RMB43.2550 |
| China Semiconductor ETF | 14,450,000 | – | (1,200,000) | 13,250,000 | RMB33.2721 | RMB33.2721 |
| China Global Leaders ETF | 1,350,000 | – | (250,000) | 1,100,000 | RMB36.2927 | RMB36.2927 |
| China Innovator Active ETF | 5,150,000 | 500,000 | (4,900,000) | 750,000 | RMB29.7464 | RMB29.7425 |
| Asia Semiconductor ETF | 1,600,000 | 150,000 | (200,000) | 1,550,000 | RMB42.7943 | RMB42.7943 |
| China E-Commerce and Logistics ETF | 750,000 | – | (300,000) | 450,000 | RMB36.6518 | RMB36.6518 |
| China Games and Entertainment ETF | 500,000 | – | (150,000) | 350,000 | RMB30.3714 | RMB30.3714 |
| FinTech ETF | 400,000 | – | (50,000) | 350,000 | USD3.7413 | USD3.7413 |
| Autonomous and Electric Vehicles ETF | 450,000 | – | – | 450,000 | USD6.1823 | USD6.1823 |
| Asia Innovator Active ETF | 400,000 | – | – | 400,000 | RMB31.1871 | RMB31.1871 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

(b) Movement of shares (continued)

For the period ended 31 March 2023 (audited)

| | Number of shares | | | | Net assets attributable to shareholders | |
|---|---|---------------------------------|-----------------------------------|---------------------------------------|--|---|
| | Shares in issue at beginning of year/period | Creation during the year/period | Redemption during the year/period | Shares in issue at end of year/period | Accounting NAV Per share at period year/end | Dealing NAV Per share at period year/end |
| China Clean Energy ETF | 22,050,000 | 1,750,000 | (10,950,000) | 12,850,000 | RMB99.8596 | RMB99.8596 |
| China Consumer Brand ETF | 10,850,000 | 4,600,000 | (1,300,000) | 14,150,000 | RMB47.4759 | RMB47.4759 |
| China Electric Vehicle and Battery ETF | 44,850,000 | 1,150,000 | (17,000,000) | 29,000,000 | RMB95.5626 | RMB95.5626 |
| China Robotics and AI ETF | 4,300,000 | 50,000 | (900,000) | 3,450,000 | RMB50.1435 | RMB50.1435 |
| China Semiconductor ETF | 17,650,000 | 1,100,000 | (4,300,000) | 14,450,000 | RMB40.0147 | RMB40.0148 |
| China Global Leaders ETF | 1,800,000 | 350,000 | (800,000) | 1,350,000 | RMB38.8118 | RMB38.8118 |
| China Innovator Active ETF | 2,850,000 | 8,500,000 | (6,200,000) | 5,150,000 | RMB32.6648 | RMB32.6648 |
| Asia Semiconductor ETF | 2,150,000 | 100,000 | (650,000) | 1,600,000 | RMB41.1485 | RMB41.1485 |
| China E-Commerce and Logistics ETF | 550,000 | 200,000 | – | 750,000 | RMB39.6770 | RMB39.6771 |
| China Games and Entertainment ETF | 550,000 | 300,000 | (350,000) | 500,000 | RMB35.4661 | RMB35.4662 |
| FinTech ETF ¹ | – | 500,000 | (100,000) | 400,000 | USD3.8636 | USD3.8636 |
| Autonomous and Electric Vehicles ETF ¹ | – | 650,000 | (200,000) | 450,000 | USD6.2334 | USD6.2334 |
| Asia Innovator Active ETF ¹ | – | 400,000 | – | 400,000 | RMB33.4697 | RMB33.4726 |

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

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4. CREATION AND REDEMPTION

China Clean Energy ETF

During the period ended 30 September 2023, China Clean Energy ETF:

- issued nil shares (2022: 1,700,000 shares) in exchange for a cash balance of RMBnil (2022: RMB203,989,009); and
- redeemed 2,700,000 shares (2022: 3,150,000 shares) in exchange for a cash balance of RMB239,957,334 (2022: RMB351,306,789).

China Consumer Brand ETF

During the period ended 30 September 2023, China Consumer Brand ETF:

- issued 850,000 shares (2022: 1,300,000 shares) in exchange for a cash balance of RMB38,259,707 (2022: RMB63,824,612); and
- redeemed 2,300,000 shares (2022: 650,000 shares) in exchange for a cash balance of RMB100,344,304 (2022: RMB30,630,169).

China Electric Vehicle and Battery ETF

During the period ended 30 September 2023, China Electric Vehicle and Battery ETF:

- issued 200,000 shares (2022: 1,100,000 shares) in exchange for a cash balance of RMB16,934,998 (2022: RMB128,802,855); and
- redeemed 6,100,000 shares (2022: 6,500,000 shares) in exchange for a cash balance of RMB525,675,746 (2022: RMB838,491,479).

China Robotics and AI ETF

During the period ended 30 September 2023, China Robotics and AI ETF:

- issued nil shares (2022: 50,000 shares) in exchange for a cash balance of RMBnil (2022: RMB1,942,517); and
- redeemed 500,000 shares (2022: 650,000 shares) in exchange for a cash balance of RMB22,538,165 (2022: RMB27,423,935).

China Semiconductor ETF

During the period ended 30 September 2023, China Semiconductor ETF:

- issued nil shares (2022: 1,100,000 shares) in exchange for a cash balance of RMBnil (2022: RMB45,912,849); and
- redeemed 1,200,000 shares (2022: 2,200,000 shares) in exchange for a cash balance of RMB45,634,561 (2022: RMB85,410,932).

China Global Leaders ETF

During the period ended 30 September 2023, China Global Leaders ETF:

- issued nil shares (2022: 150,000 shares) in exchange for a cash balance of RMBnil (2022: RMB6,173,422); and
- redeemed 250,000 shares (2022: 650,000 shares) in exchange for a cash balance of RMB9,130,611 (2022: RMB27,186,872).

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4. CREATION AND REDEMPTION (CONTINUED)

China Innovator Active ETF

During the period ended 30 September 2023, China Innovator Active ETF:

- Issued 500,000 shares (2022: 7,600,000 shares) in exchange for a cash balance of RMB16,217,828 (2022: RMB256,571,220); and
- redeemed 4,900,000 shares (2022: 3,900,000 shares) in exchange for a cash balance of RMB152,311,929 (2022: RMB129,072,808).

Asia Semiconductor ETF

During the period ended 30 September 2023, Asia Semiconductor ETF:

- issued 150,000 shares (2022: 100,000 shares) in exchange for a cash balance of RMB6,663,094 (2022: RMB4,407,203); and
- redeemed 200,000 shares (2022: 100,000 shares) in exchange for a cash balance of RMB8,628,968 (2022: RMB3,922,440).

China E-Commerce and Logistics ETF

During the period ended 30 September 2023, China E-Commerce and Logistics ETF:

- issued nil shares (2022: 100,000 shares) in exchange for a cash balance of RMBnil (2022: RMB4,073,689); and
- redeemed 300,000 shares (2022: nil shares) in exchange for a cash balance of RMB11,962,747 (2022: RMBnil).

China Games and Entertainment ETF

During the period ended 30 September 2023, China Games and Entertainment ETF:

- issued nil shares (2022: 200,000 shares) in exchange for a cash balance of RMBnil (2022: RMB5,663,607); and
- redeemed 150,000 shares (2022: 200,000 shares) in exchange for a cash balance of RMB4,956,658 (2022: RMB5,640,179).

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4. CREATION AND REDEMPTION (CONTINUED)

FinTech ETF

During the period ended 30 September 2023, Asia Semiconductor ETF:

- issued nil shares (2022¹: 450,000 shares) shares in exchange for a cash balance of USDnil (2022¹: USD3,315,112); and
- redeemed 50,000 shares (2022¹: 100,000 shares) shares in exchange for a cash balance of USD205,093 (2022¹: USD389,681).

Autonomous and Electric Vehicles ETF

During the period ended 30 September 2023, China Innovator Active ETF:

- issued nil shares (2022¹: 650,000 shares) shares in exchange for a cash balance of USDnil (2022¹: USD5,026,438); and
- redeemed nil shares (2022¹: 100,000 shares) shares in exchange for a cash balance of USDnil (2022¹: USD656,491).

Asia Innovator Active ETF

During the period ended 30 September 2023, China E-Commerce and Logistics ETF:

- issued nil shares (2022¹: 350,000 shares) shares in exchange for a cash balance of RMBnil (2022¹: RMB17,416,954); and
- redeemed nil shares (2022¹: nil shares) shares in exchange for a cash balance of RMBnil (2022¹: RMBnil).

¹The financial period of these Sub-Funds in 2022 refers to the period from 9 December 2021 (date of inception) to 30 September 2022.

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS

Related parties are those as defined in note 2(q). Related parties of the Sub-Funds also include the Custodian and the Manager of the Sub-Funds and its connected persons. Connected persons of the Custodian and the Manager are those as defined in the UT Code. All transactions entered into during the period between the Sub-Funds and their related parties, including the Custodian, the Manager and their connected persons were carried out in the ordinary course of business and on normal commercial terms. To the best of the Manager's knowledge, the Sub-Funds do not have any other transactions with their related parties, including the Custodian, the Manager and their connected persons except for those disclosed below.

(a) Brokerage commission

The Sub-Funds utilise the trading services of brokers who are related to the Custodian and the Manager in their purchases and sales of investments. The details of such transactions and the brokerage commission are shown below:

| | Total transaction value | % of total transaction value of the Sub-Fund | Total brokerage commission | Average rate of commission |
|--|-------------------------|--|----------------------------|----------------------------|
| <u>China Clean Energy ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 455,053,107 | 31.86% | 249,191 | 0.05% |
| Mirae Asset Securities (HK) Limited* | 633,593,890 | 44.35% | 215,082 | 0.03% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 624,560,077 | 23.54% | 366,093 | 0.06% |
| Mirae Asset Securities (HK) Limited* | 397,566,867 | 14.98% | 226,626 | 0.06% |
| <u>China Consumer Brand ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 458,294,116 | 36.82% | 159,560 | 0.03% |
| Mirae Asset Securities (HK) Limited* | 87,141,632 | 7.00% | 46,615 | 0.05% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 26,532,950 | 2.66% | 12,763 | 0.05% |
| Mirae Asset Securities (HK) Limited* | 41,356,587 | 4.15% | 21,501 | 0.05% |
| <u>China Electric Vehicle and Battery ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 29,391,618 | 0.92% | 17,635 | 0.06% |
| Mirae Asset Securities (HK) Limited* | 990,832,681 | 31.09% | 594,500 | 0.06% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 977,115,908 | 29.93% | 586,086 | 0.06% |
| Mirae Asset Securities (HK) Limited* | 899,480,453 | 27.55% | 539,688 | 0.06% |
| <u>China Robotics and AI ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 75,132,206 | 63.97% | 43,463 | 0.06% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 20,843,751 | 12.65% | 11,927 | 0.06% |
| Mirae Asset Securities (HK) Limited* | 28,943,463 | 17.56% | 17,366 | 0.06% |

[#]Affiliate of the Custodian

*Affiliate of the Manager

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(a) Brokerage commission (continued)

| | Total transaction value | % of total transaction value of the Sub-Fund | Total brokerage commission | Average rate of commission |
|--|-------------------------|--|----------------------------|----------------------------|
| <u>China Semiconductor ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A.# | 134,724,542 | 28.60% | 72,122 | 0.05% |
| Mirae Asset Securities (HK) Limited* | 148,584,767 | 31.54% | 89,035 | 0.06% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A.# | 17,525,040 | 5.78% | 10,431 | 0.06% |
| Mirae Asset Securities (HK) Limited* | 81,658,138 | 26.94% | 48,772 | 0.06% |
| <u>Global X China Global Leaders ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A.# | 26,625,727 | 43.97% | 12,054 | 0.05% |
| Mirae Asset Securities (HK) Limited* | 5,989,426 | 9.89% | 3,035 | 0.05% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A.# | 5,809,015 | 5.22% | 1,788 | 0.03% |
| Mirae Asset Securities (HK) Limited* | 41,661,512 | 37.42% | 22,888 | 0.05% |
| <u>Global X China Innovator Active ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A.# | 37,879,700 | 10.68% | 16,972 | 0.04% |
| Mirae Asset Securities (HK) Limited* | 37,607,599 | 10.60% | 17,625 | 0.05% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A.# | 93,423,404 | 10.29% | 42,765 | 0.05% |
| Mirae Asset Securities (HK) Limited* | 219,699,985 | 24.19% | 105,805 | 0.05% |
| <u>Global X Asia Semiconductor ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A.# | 7,071,681 | 10.83% | 1,648 | 0.02% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A.# | 8,195,841 | 13.36% | 1,175 | 0.01% |
| <u>Global X China E-Commerce and Logistics ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A.# | 1,024,083 | 2.40% | 249 | 0.02% |
| Mirae Asset Securities (HK) Limited* | 7,333,046 | 17.18% | 3,492 | 0.05% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A.# | 1,296,050 | 3.76% | 526 | 0.04% |
| Mirae Asset Securities (HK) Limited* | 2,669,263 | 7.74% | 1,194 | 0.04% |

#Affiliate of the Custodian

*Affiliate of the Manager

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6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(a) Brokerage commission (continued)

| | Total transaction value | % of total transaction value of the Sub-Fund | Total brokerage commission | Average rate of commission |
|--|-------------------------|--|----------------------------|----------------------------|
| <u>Global X China Games and Entertainment ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 95,100 | 0.33% | 20 | 0.02% |
| <i>For the six months ended 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 1,343,913 | 4.11% | 553 | 0.04% |
| Mirae Asset Securities (HK) Limited* | 1,943,537 | 5.94% | 921 | 0.05% |
| <u>Global X FinTech ETF (in USD)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 114,119 | 21.76% | 17 | 0.01% |
| <i>For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 3,280,599 | 41.27% | 997 | 0.03% |
| <u>Global X Autonomous and Electric Vehicles ETF (in USD)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 90,428 | 8.05% | 10 | 0.01% |
| <i>For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 6,996,891 | 55.33% | 1,951 | 0.03% |
| <u>Global X Asia Innovator Active ETF (in RMB)</u> | | | | |
| <i>For the six months ended 30 September 2023 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 223,921 | 1.83% | 83 | 0.04% |
| <i>For the period from 9 December 2021 (date of inception) to 30 September 2022 (unaudited):</i> | | | | |
| Citibank, N.A. [#] | 21,593,002 | 32.55% | 8,543 | 0.04% |

[#]Affiliate of the Custodian

*Affiliate of the Manager

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30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(b) Management fee

The Manager is entitled to receive a management fee, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears.

| | <u>Maximum rate of the net asset value in %</u> | <u>Annual rate of the net asset value in %</u> | |
|---|---|--|--|
| | | For the period ended 30 September 2023 (unaudited) | For the period ended 30 September 2022 (unaudited) |
| China Clean Energy ETF | 2% | 0.68% | 0.68% |
| China Consumer Brand ETF | 2% | 0.68% | 0.68% |
| China Electric Vehicle and Battery ETF | 2% | 0.68% | 0.68% |
| China Robotics and AI ETF | 2% | 0.68% | 0.68% |
| China Semiconductor ETF | 2% | 0.68% | 0.68% |
| China Global Leaders ETF | 2% | 0.68% | 0.68% |
| China Innovator Active ETF | 2% | 0.75% | 0.75% |
| Asia Semiconductor ETF | 2% | 0.68% | 0.68% |
| China E-Commerce and Logistics ETF | 2% | 0.68% | 0.68% |
| China Games and Entertainment ETF | 2% | 0.68% | 0.68% |
| FinTech ETF ¹ | 2% | 0.68% | 0.68% |
| Autonomous and Electric Vehicles ETF ¹ | 2% | 0.68% | 0.68% |
| Asia Innovator Active ETF ¹ | 2% | 0.75% | 0.75% |

The management fee entitled to the Manager for the period ended 30 September 2023 and 30 September 2022 are as follows:

| | <u>Management fee</u> | |
|--|--|--|
| | For the period ended 30 September 2023 (unaudited) | For the period ended 30 September 2022 (unaudited) |
| China Clean Energy ETF (in RMB) | 3,581,994 | 8,368,228 |
| China Consumer Brand ETF (in RMB) | 2,062,864 | 1,783,151 |
| China Electric Vehicle and Battery ETF (in RMB) | 7,976,527 | 17,141,327 |
| China Robotics and AI ETF (in RMB) | 506,203 | 569,086 |
| China Semiconductor ETF (in RMB) | 1,754,139 | 2,381,177 |
| China Global Leaders ETF (in RMB) | 158,306 | 210,758 |
| China Innovator Active ETF (in RMB) | 239,990 | 760,438 |
| Asia Semiconductor ETF (in RMB) | 250,578 | 296,624 |
| China E-Commerce and Logistics ETF (in RMB) | 83,248 | 79,491 |
| China Games and Entertainment ETF (in RMB) | 52,454 | 52,872 |
| FinTech ETF (in USD) ¹ | 5,132 | 10,952 |
| Autonomous and Electric Vehicles ETF (in USD) ¹ | 9,875 | 18,443 |
| Asia Innovator Active ETF (in RMB) ¹ | 49,313 | 81,325 |

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 30 September 2022, commence from 9 December 2021 (date of inception) to 30 September 2022.

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30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(b) Management fee (continued)

The management fee payable as at 30 September 2023 and 31 March 2023 are as follows:

| | <u>Management fee payable</u> | |
|--|---|-------------------------------------|
| | As at 30 September 2023 (unaudited) | As at 31 March 2023 (audited) |
| China Clean Energy ETF (in RMB) | 450,062 | 756,856 |
| China Consumer Brand ETF (in RMB) | 315,306 | 383,869 |
| China Electric Vehicle and Battery ETF (in RMB) | 1,046,802 | 1,643,989 |
| China Robotics and AI ETF (in RMB) | 75,088 | 92,508 |
| China Semiconductor ETF (in RMB) | 252,870 | 319,267 |
| China Global Leaders ETF (in RMB) | 22,261 | 29,665 |
| China Innovator Active ETF (in RMB) | 14,594 | 103,755 |
| Asia Semiconductor ETF (in RMB) | 42,285 | 36,863 |
| China E-Commerce and Logistics ETF (in RMB) | 9,383 | 17,031 |
| China Games and Entertainment ETF (in RMB) | 6,791 | 9,512 |
| FinTech ETF (in USD) ¹ | 763 | 869 |
| Autonomous and Electric Vehicles ETF (in USD) ¹ | 1,600 | 1,561 |
| Asia Innovator Active ETF (in RMB) ¹ | 7,931 | 8,345 |

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

(c) Custodian fee

Prior to the adoption of the single management fee structure with effect from 1 October 2021, the Custodian is entitled to receive a custodian fee, calculated at an annual rate of 0.05% for the first US\$200 million of the net asset value, 0.04% for the next US\$300 million (i.e. between US\$200 million and US\$500 million), and 0.03% for the remainder (i.e. US\$500 million onwards) for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF and China Global Leaders ETF at each dealing day, accrued daily and payable monthly in arrears. The Sub-Funds are also subject to a minimum fee of US\$2,500 per month. However, the applied minimum monthly fee can be revised downwards upon concession from the Custodian.

The custodian fee paid by the Manager on behalf of the Sub-Funds and such amounts payable to the Manager as at 30 September 2023 and 31 March 2023 are as follows:

| | <u>Custodian fee payable</u> | |
|---|---|-------------------------------------|
| | As at 30 September 2023 (unaudited) | As at 31 March 2023 (audited) |
| China Clean Energy ETF (in RMB) | 107,080 | 107,080 |
| China Consumer Brand ETF (in RMB) | 41,093 | 41,093 |
| China Electric Vehicle and Battery ETF (in RMB) | 199,853 | 199,853 |
| China Robotics and AI ETF (in RMB) | 16,349 | 16,349 |
| China Semiconductor ETF (in RMB) | 47,364 | 47,364 |
| China Global Leaders ETF (in RMB) | 11,676 | 11,676 |

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30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(d) Other expenses

(i) *Fees payable*

Prior to the adoption of the single management fee structure with effective from 1 October 2021, the Manager is responsible for the payment of certain fees (including but not limited to audit remuneration and index licensing fee) on behalf of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF and China Global Leaders ETF. The Manager recharges all or a portion of these fees to China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF and China Global Leaders ETF.

The fees paid by the Manager on behalf of the Sub-Funds prior to the adoption of single management fee structure and such amounts payable to the Manager as at 30 September 2023 and 31 March 2023 are as follows:

| | <u>Fees payable</u> | |
|---|---------------------|---------------|
| | As at | As at |
| | 30 September 2023 | 31 March 2023 |
| | (unaudited) | (audited) |
| China Clean Energy ETF (in RMB) | 204,995 | 204,995 |
| China Consumer Brand ETF (in RMB) | 69,861 | 69,861 |
| China Electric Vehicle and Battery ETF (in RMB) | 461,018 | 461,018 |
| China Robotics and AI ETF (in RMB) | 26,115 | 26,115 |
| China Semiconductor ETF (in RMB) | 75,783 | 75,783 |
| China Global Leaders ETF (in RMB) | 9,718 | 9,718 |

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)
- (e) Bank balances and financial assets at fair value through profit or loss

Bank balances

The bank balances included in the statement of net assets of all the Sub-Funds were placed with interest-bearing accounts in Citibank, N.A., the Sub-Custodian of the Sub-Funds. The carrying amounts of the bank balances approximate to their fair values. Interest was earned on these bank balances during the period ended 30 September 2023 and 30 September 2022.

Financial assets at fair value through profit or loss

Financial assets at fair value through profit or loss included in the statement of net assets of the Sub-Funds are held with Citibank, N.A., the Sub-Custodian of the Sub-Funds and Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds as at 30 September 2023 and 31 March 2023 are as follows:

| | As at 30 September 2023 (unaudited) | As at 31 March 2023 (audited) |
|---|---|-------------------------------------|
| <u>Citibank, N.A.</u> | | |
| China Clean Energy ETF (in RMB) | 598,785,771 | 1,282,578,540 |
| China Consumer Brand ETF (in RMB) | 510,066,433 | 670,299,479 |
| China Electric Vehicle and Battery ETF (in RMB) | 1,188,705,383 | 2,766,584,772 |
| China Robotics and AI ETF (in RMB) | 127,574,072 | 172,443,864 |
| China Semiconductor ETF (in RMB) | 331,478,241 | 577,925,366 |
| China Global Leaders ETF (in RMB) | 39,841,050 | 52,352,278 |
| China Innovator Active ETF (in RMB) | 21,477,690 | 155,427,213 |
| Asia Semiconductor ETF (in RMB) | 66,192,231 | 65,609,354 |
| China E-Commerce and Logistics ETF (in RMB) | 16,455,228 | 29,738,089 |
| China Games and Entertainment ETF (in RMB) | 10,576,076 | 17,701,266 |
| FinTech ETF (in USD) | 1,307,336 | 1,541,276 |
| Autonomous and Electric Vehicles ETF (in USD) | 2,777,387 | 2,798,740 |
| Asia Innovator Active ETF (in RMB) | 11,513,647 | 12,533,581 |
| <u>Mirae Asset Securities (HK) Limited</u> | | |
| China Clean Energy ETF (in RMB) | 190,883,823 | – |
| China Consumer Brand ETF (in RMB) | 23,463,602 | – |
| China Electric Vehicle and Battery ETF (in RMB) | 565,890,185 | – |
| China Semiconductor ETF (in RMB) | 109,303,944 | – |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(f) Registration fee payable

For each application of share creation and share redemption, the Custodian is entitled to a registration fee of RMB6,000 for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, and Asia Innovator Active ETF and USD1,000 for FinTech ETF and Autonomous and Electric Vehicles ETF. These fees are borne by the participating dealer. The registration fee would be paid to the Sub-Funds or may be set off and deducted against any cash components. The Sub-Funds would pay the registration fee to the Custodian on behalf of the participating dealer.

The registration fee payable to the Manager for such amounts paid by the Manager on behalf of the Sub-Funds up to 31 July 2023 and the Custodian as at 30 September 2023 and 31 March 2023 are as follows:

| | <u>Registration fee payable to the Custodian/the Manager</u> | |
|--|--|-------------------------------------|
| | As at 30 September 2023 (unaudited) | As at 31 March 2023 (audited) |
| China Clean Energy ETF (in RMB) | 870,000 | 744,000 |
| China Consumer Brand ETF (in RMB) | 716,000 | 560,000 |
| China Electric Vehicle and Battery ETF (in RMB) | 1,084,000 | 928,000 |
| China Robotics and AI ETF (in RMB) | 296,000 | 272,000 |
| China Semiconductor ETF (in RMB) | 678,000 | 642,000 |
| China Global Leaders ETF (in RMB) | 182,000 | 158,000 |
| China Innovator Active ETF (in RMB) | 468,000 | 378,000 |
| Asia Semiconductor ETF (in RMB) | 108,000 | 83,999 |
| China E-Commerce and Logistics ETF (in RMB) | 48,000 | 36,000 |
| China Games and Entertainment ETF (in RMB) | 102,000 | 90,000 |
| FinTech ETF (in USD) ¹ | 9,000 | 8,000 |
| Autonomous and Electric Vehicles ETF (in USD) ¹ | 12,000 | 12,000 |
| Asia Innovator Active ETF (in RMB) ¹ | 24,000 | 24,000 |

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

(g) Redemption payable

As at 30 September 2023, the redemption payable of China Electric Vehicle and Battery ETF of RMB30,405,088 was to Mirae Asset Securities (HK) Limited, a participating dealer of the Sub-Fund. As at 31 March 2023, there were no redemption payable by China Electric Vehicle and Battery ETF. The amounts were interest-free, unsecured and repayable less than 1 month.

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NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

(h) Directors' remuneration

Per Instrument of Incorporation, the directors of the Company shall be entitled to remuneration for their services as directors of the Company up to an amount per financial year equivalent to US\$100,000 per director and, where payable, such remuneration shall be borne by the Manager. Currently, Mr. Wanyoun Cho and Mr. Se Han Song receive no remuneration for their services as directors of the Company. Mr. Stewart Robert Kenneth Aldcroft receives US\$23,000 per annum for his services as director of the Company.

(i) Amounts due from/to brokers

Certain amount of due from/to brokers of the Sub-Funds as at 30 September 2023 and 31 March 2023 are due from/to Citibank, N.A., the Sub-Custodian of the Sub-Funds, and Mirae Asset Securities (HK) Limited, a related company of the Manager. Refer to note 7 for the details.

7. AMOUNTS DUE FROM/TO BROKERS

China Semiconductor ETF

As at 30 September 2023, there were no amounts due from brokers of China Semiconductor ETF. As at 31 March 2023, the amounts due from brokers of China Semiconductor ETF, of RMB7,011 from Citibank N.A., which is the Sub-Custodian, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date, and of RMB4 from the Hongkong and Shanghai Banking Corporation Limited that represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

China Innovator Active ETF

As at 30 September 2023, the amounts due from brokers of China Innovator Active ETF of RMB2,612 to the Hongkong and Shanghai Banking Corporation Limited, represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. As at 31 March 2023, there were no amounts due from brokers of China Innovator Active ETF. The balances are receivable in less than 1 month.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

7. AMOUNTS DUE FROM/TO BROKERS (CONTINUED)

Asia Semiconductor ETF

As at 30 September 2023, the amount of RMB8 due from brokers of Asia Semiconductor ETF, Citibank, N.A., which is the Sub-Custodian, represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date, and the amount of RMB428,696 due from brokers of Asia Semiconductor ETF, UBS Securities, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. As at 31 March 2023, there were no amounts due from brokers of Asia Semiconductor ETF. The balances are receivable in less than 1 month.

As at 30 September 2023, there were no amounts due to brokers of Asia Semiconductor ETF. As at 31 March 2023, the amount of RMB42 due to brokers of Asia Semiconductor ETF, Citibank, N.A., which is the Sub-Custodian, represented payables for purchase of foreign exchange spot that have been contracted for but not yet settled on the reporting date. The balances are repayable in less than 1 month.

China E-Commerce and Logistics ETF

As at 30 September 2023, there were no amounts due from brokers of China E-Commerce and Logistics ETF. As at 31 March 2023, the amounts due from brokers of China E-Commerce and Logistics ETF, of RMB13,652 from Citibank N.A., which is the Sub-Custodian, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

As at 30 September 2023, there were no amounts due to brokers of China E-Commerce and Logistics ETF. As at 31 March 2023, the amount of RMB12 due to brokers of China E-Commerce and Logistics ETF, the Hongkong and Shanghai Banking Corporation Limited, represented payables for purchase of foreign exchange spot that have been contracted for but not yet settled on the reporting date. The balances are repayable in less than 1 month.

China Games and Entertainment ETF

As at 30 September 2023, there were no amounts due from brokers of China Games and Entertainment ETF. As at 31 March 2023, the amounts due from brokers of China Games and Entertainment ETF, of RMB9 from the Hongkong and Shanghai Banking Corporation Limited, that represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

As at 30 September 2023, there were no amounts due to brokers of China Games and Entertainment ETF. As at 31 March 2023, the amount of RMB19,160 due to brokers of China Games and Entertainment ETF, Citibank N.A., which is the Sub-Custodian, of RMB31,839 to Citigroup Global Markets Ltd and of RMB19,880 to UBS Securities Co. Ltd, that represented payables for purchase of securities that have been contracted for but not yet settled on the reporting date. The balances are repayable in less than 1 month.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

7. AMOUNTS DUE FROM/TO BROKERS (CONTINUED)

Autonomous and Electric Vehicle ETF

As at 30 September 2023, there were no amounts due from brokers of Autonomous and Electric Vehicle ETF. As at 31 March 2023, the amounts due from brokers of Autonomous and Electric Vehicles ETF, of USD1 from Citibank N.A., which is the Sub-Custodian, that represented receivable for sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

Asia Innovator Active ETF

As at 30 September 2023, there were no amounts due from brokers of Asia Innovator Active ETF. As at 31 March 2023, the amounts due from brokers of Asia Innovator Active ETF, of RMB46,507 from Hongkong and Shanghai Banking Corporation Limited, that represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balances are receivable in less than 1 month.

8. SOFT COMMISSION ARRANGEMENTS

The Manager may effect transactions, provided that any such transaction is consistent with standards of “best execution”, by or through the agency of another person for the accounts of the Sub-Funds with whom the Manager or any of its connected persons has an arrangement under which that party will from time to time provide to or procure for the Manager or any of its connected persons goods, services or other benefits (such as advisory services, computer hardware associated with specialised software or research services and performance measures), the nature of which is such that their provision can reasonably be expected to benefit the Sub-Funds as a whole and may contribute to an improvement in the performance of the Sub-Funds. For the avoidance of doubt, such goods and services may not include travel, accommodation, entertainment, general administrative goods or services, general office equipment or premises, membership fees, employees’ salaries and direct money payments. Since the inception of the Sub-Funds, the Manager has not participated in any soft commission arrangements in respect of any transactions for the accounts of the Sub-Funds.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

9. TAXATION

No provision for Hong Kong profits tax has been made for the Sub-Funds as they are authorised as collective investment schemes under section 104 of the Hong Kong Securities and Futures Ordinance and are therefore exempt from Hong Kong profits tax under section 26A(1A) of the Hong Kong Inland Revenue Ordinance.

The Sub-Funds invest in shares of companies in the People's Republic of China ("PRC") listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange ("A-shares") and the SEHK ("H-shares"). Under the PRC Corporate Income Tax Law, the Sub-Funds may be liable to pay PRC tax on the capital gains realised from the trading of A-shares and H-shares.

PRC tax provision

Under the general tax provision of PRC Corporate Income Tax Law ("PRC CIT Law"), the non-PRC residents with no place of effective management, establishment or place of business in the PRC may be subject to 10% PRC withholding income tax ("WIT") on the capital gain derived from disposal of securities, unless exempt or reduced under current PRC tax laws and regulations or relevant tax treaties.

No provision was made for taxation from such gains in the financial statements as the Manager believes that the taxation on capital gains derived from H-Shares is not probable under the current enforcement environment.

Withholding income tax of 10% was charged on dividend income received from A-shares and H-shares during the year.

10. DISTRIBUTIONS TO SHAREHOLDERS

The Manager may in its absolute discretion distribute income to shareholders at such time or times as it may determine in each financial period or determine that no distribution shall be made in any financial period. The amount to be distributed to shareholders, if any, will be derived from the net income of the Sub-Funds.

The Sub-Funds did not make any distribution for the period ended 30 September 2023 and 31 March 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

11. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

| | <u>China Clean Energy ETF</u> | | <u>China Consumer Brand ETF</u> | | <u>China Electric Vehicle and Battery ETF</u> | | <u>China Robotics and AI ETF</u> | |
|--|--|--|--|--|--|--|--|--|
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| Listed equities | 598,785,771 | 1,282,578,540 | 510,066,433 | 670,299,479 | 1,188,705,383 | 2,766,584,772 | 127,574,072 | 172,443,864 |
| Unlisted funded total return swaps (i) | 190,883,823 | – | 23,463,602 | – | 565,890,185 | – | – | – |
| | <u>789,669,594</u> | <u>1,282,578,540</u> | <u>533,530,035</u> | <u>670,299,479</u> | <u>1,754,595,568</u> | <u>2,766,584,772</u> | <u>127,574,072</u> | <u>172,443,864</u> |
| | | | | | | | | |
| | <u>China Semiconductor ETF</u> | | <u>China Global Leaders ETF</u> | | <u>China Innovator Active ETF</u> | | <u>Asia Semiconductor ETF</u> | |
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| Listed equities | 331,478,241 | 577,925,366 | 39,841,050 | 52,352,278 | 21,477,690 | 155,427,213 | 66,192,231 | 65,609,354 |
| Unlisted funded total return swaps (i) | 109,303,944 | – | – | – | – | – | – | – |
| | <u>440,782,185</u> | <u>577,925,366</u> | <u>39,841,050</u> | <u>52,352,278</u> | <u>21,477,690</u> | <u>155,427,213</u> | <u>66,192,231</u> | <u>65,609,354</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

11. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS (CONTINUED)

| | <u>China E-Commerce and Logistics ETF</u> | | <u>China Games and Entertainment ETF</u> | | <u>FinTech ETF</u> | | <u>Autonomous and Electric Vehicles ETF</u> | |
|--|--|--|--|--|--|--|--|--|
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB | As at 30 September 2023 (unaudited) USD | As at 31 March 2023 (audited) USD | As at 30 September 2023 (unaudited) USD | As at 31 March 2023 (audited) USD |
| Listed equities | 16,455,228 | 29,738,089 | 10,576,076 | 17,701,266 | 1,307,336 | 1,541,276 | 2,777,387 | 2,798,740 |
| Unlisted funded total return swaps (i) | — | — | — | — | — | — | — | — |
| | <u>16,455,228</u> | <u>29,738,089</u> | <u>10,576,076</u> | <u>17,701,266</u> | <u>1,307,336</u> | <u>1,541,276</u> | <u>2,777,387</u> | <u>2,798,740</u> |

| | <u>Asia Innovator Active ETF</u> | |
|--|--|--|
| | As at 30 September 2023 (unaudited) RMB | As at 31 March 2023 (audited) RMB |
| Listed equities | 11,513,647 | 12,533,581 |
| Unlisted funded total return swaps (i) | — | — |
| | <u>11,513,647</u> | <u>12,533,581</u> |

(i) The total return swaps were fully funded and held with Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

12. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE

The UT Code allows the Sub-Funds to invest in constituent securities issued by a single issuer for more than 10% of the Sub-Funds' net asset value provided that:

- (a) the investment is limited to any constituent securities that each accounts for more than 10% of the weighting of the tracked index; and
- (b) the Sub-Funds' holding of any such constituent securities may not exceed their respective weightings in the tracked index, except where weightings are exceeded as a result of changes in the composition of the tracked index and the excess is only transitional and temporary in nature.

Constituent securities that account for more than 10% of the net asset value of the Sub-Funds as at period end were as follows:

| <u>As at 30 September 2023</u> (unaudited) | Weighting in the tracked index | Weighting in the Sub-Fund's net asset value |
|---|--------------------------------|---|
| <i>China Clean Energy ETF</i> | | |
| China Yangtze Power Co Ltd-A | 10.63% | 10.62% |
| <i>China Electric Vehicle and Battery ETF</i> | | |
| BYD Co Ltd-A | 10.65% | 10.65% |
| Contemporary Amperex Techn-A | 10.58% | 10.56% |
| <i>Asia Semiconductor ETF</i> | | |
| SK Hynix Inc | 10.20% | 10.17% |

China Consumer Brand ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, and Asia Innovator Active did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 30 September 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

12. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE (CONTINUED)

| <u>As at 31 March 2023</u> (audited) | Weighting in the tracked index | Weighting in the Sub-Fund's net asset value |
|---|--------------------------------|---|
| <i>China Electric Vehicle and Battery ETF</i> | | |
| Shenzhen Inovance Technolo-A | 10.01% | 10.00% |
| BYD Co Ltd-A | 10.15% | 10.14% |
| Contemporary Amperex Techn-A | 10.21% | 10.19% |
| <i>Asia Semiconductor ETF</i> | | |
| Samsung Electronics Co Ltd | 10.38% | 10.34% |

China Clean Energy ETF, China Consumer Brand ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF and Asia Innovator Active ETF did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 31 March 2023.

13. SEGMENT INFORMATION

The Manager makes the strategic resource allocations on behalf of the Sub-Funds and has determined the operating segments based on the reports reviewed which are used to make strategic decisions.

The Manager considers that each Sub-Fund has a single operating segment which is investing in securities. The objectives of the Sub-Funds are to track the performance of their respective indices and invest in substantially all the index constituents with security weight and industry weight that are closely aligned with the characteristics of the tracked indices.

The internal financial information used by the Manager for the Sub-Funds' assets, liabilities and performance is the same as that disclosed in the statement of net assets and statement of profit or loss and other comprehensive income.

The Sub-Funds are domiciled in Hong Kong. Majority of the Sub-Funds' income is derived from investments in securities which constitute the relevant tracked indices. The Sub-Funds' investments have been categorised by the relevant industry but no industrial information for performance is provided as the Manager considers that the cost to develop it would be excessive and the information is generally not used by the Manager in managing the Sub-Funds.

The Sub-Funds have portfolios that closely correspond to the security weight and industry weight of the relevant tracked indices.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

14. EVENTS AFTER THE REPORTING PERIOD

China Clean Energy ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements, there is net redemption of shares of RMB103,037,140 which there is of shares redemption of 1,400,000 shares of RMB103,037,140 and no issuance of shares.

China Consumer Brand ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB32,784,130 which there is of shares redemption of 800,000 shares of RMB32,784,130 and no issuance of shares.

China Electric Vehicle and Battery ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB89,406,260 which there is of shares redemption of 1,650,000 shares of RMB122,015,550 and issue of 450,000 shares of RMB32,609,290.

China Robotics and AI ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB2,174,090 which there is of shares redemption of 50,000 shares of RMB2,174,090 and no issuance of shares.

China Semiconductor ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB8,880,585 which there is of shares redemption of 300,000 shares of RMB10,670,670 and issue of 50,000 shares of RMB1,790,085.

China Global Leaders ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB7,433,260 which there is of shares redemption of 200,000 shares of RMB7,433,260 and no issuance of shares.

China Innovator Active ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB1,505,100 which there is of shares redemption of 50,000 shares of RMB1,505,100 and no issuance of shares.

Asia Semiconductor ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net subscription of shares of RMB4,669,605 which there is of shares redemption of 150,000 shares of RMB6,740,745 and issue of 250,000 shares of RMB11,410,350.

China E-Commerce and Logistics ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB9,121,015 which there is of shares redemption of 250,000 shares of RMB9,121,015 and no issuance of shares.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

14. EVENTS AFTER THE REPORTING PERIOD (CONTINUED)

China Games and Entertainment ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB3,160,850 which there is of shares redemption of 100,000 shares of RMB3,160,850 and no issuance of shares.

FinTech ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of USD368,480 which there is of shares redemption of 100,000 shares of USD368,480 and no issuance of shares.

Autonomous and Electric Vehicle ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of USD1,499,070 which there is of shares redemption of 250,000 shares of USD1,499,070 and no issuance of shares.

Asia Innovator Active ETF

Subsequent to 30 September 2023 and up to the date of the authorisation of these financial statements, there is net redemption of shares of RMB3,119,960 which there is of shares redemption of 100,000 shares of RMB3,119,960 and no issuance of shares.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

ESG DISCLOSURE FOR GLOBAL X CHINA CLEAN ENERGY ETF (UNAUDITED)

As at 30 September 2023

| | Global X Exchange Traded Funds Series OFC Global X China Clean Energy ETF (1 April 2023 – 30 September 2023) |
|--|---|
| Actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus | From 1 April 2023 to 30 September 2023, 99.8% of the Sub-Fund's average net asset value was invested in securities that are commensurate with the Sub-Fund's ESG focus. |
| Actual proportion of the investment universe that was eliminated or selected as a result of the Sub-Fund's ESG-related screening | <p>Global X China Clean Energy ETF is an index-tracking fund, which invests in constituents of the Solactive China Clean Energy Index (the "Underlying Index"). The investment decisions are based on criteria set forth in the index methodology established by index provider. The Manager places reliance on the index methodology of the Underlying Index</p> <p>The Underlying Index is a free float market capitalisation weighted index which is designed to represent PRC companies that are active in the field of clean energy, i.e. companies which offer products, services or solutions that contribute to the shift away from fossil fuels, create renewable sources of energy generation or improve energy efficiency and access to sustainable energy consumption (i.e. the Sub-Fund's ESG focus). Constituents of the Underlying Index have significant exposure to "Affordable and Clean Energy" and its supply chain. "Affordable and Clean Energy" is one of the 17 United Nations Sustainable Development Goals, which is to ensure access to affordable, reliable, sustainable and modern energy.</p> |
| Comparison of the performance of the Sub-Fund's ESG factors against the designated reference benchmark (if any) | Not applicable |
| Actions taken by the Sub-Fund in attaining the Sub-Fund's ESG focus | <p>The Manager engages directly with the index provider of Solactive China Clean Energy Index on an ongoing basis to ensure that the Sub-Fund's ESG focus has been duly considered and the suitable ESG criteria have been used in the index methodology.</p> <p>The Manager has retained an independent third-party proxy adviser to provide research and voting recommendations for the Sub-Fund. During the period from 1 April 2023 to 30 September 2023, 99.9% of the proxy votes were casted in accordance with the proxy voting guidelines adopted by the Manager and the voting recommendations provided by the third-party proxy adviser</p> |
| Description of the basis of the assessment performed | The underlying index of the Sub-Fund only includes securities issued by companies that are commensurate with the Sub-Fund's ESG focus. The actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus is determined based on the proportion of net asset value of the Sub-Fund invested in constituents of underlying index of the Sub-Fund. |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2023

China Clean Energy ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|------------|--------------------|-----------------|
| Listed equities | | | |
| China | | | |
| CECEP WIND POWER CORP-A | 509,600 | 1,666,392 | 0.21% |
| CHINA THREE GORGES RENEWAB-A | 3,376,400 | 16,139,192 | 2.04% |
| CHINA YANGTZE POWER CO LTD-A | 3,777,300 | 84,007,152 | 10.62% |
| FLAT GLASS GROUP CO LTD-A | 29,300 | 829,776 | 0.11% |
| GINLONG TECHNOLOGIES CO LT-A | 32,200 | 2,528,022 | 0.32% |
| GOODWE TECHNOLOGIES CO LTD-A | 45,256 | 5,982,390 | 0.76% |
| HAINAN DRINDA NEW ENERGY T-A | 19,200 | 1,838,784 | 0.23% |
| HENGDIAN GROUP DMEGC -A | 459,600 | 7,509,864 | 0.95% |
| HOYUAN GREEN ENERGY CO LTD-A | 13,901 | 582,174 | 0.07% |
| HUANENG LANCANG RIVER HYDR-A | 999,800 | 7,418,516 | 0.94% |
| JA SOLAR TECHNOLOGY CO LTD-A | 436,835 | 11,174,239 | 1.41% |
| JIANGSU LINYANG ELECTRONIC-A | 299,100 | 2,141,556 | 0.27% |
| JINKO SOLAR CO LTD-A | 1,622,408 | 16,386,321 | 2.07% |
| LONGI GREEN ENERGY TECHNOL-A | 2,825,636 | 77,083,350 | 9.75% |
| MING YANG SMART ENERGY GRO-A | 999,200 | 15,767,376 | 1.99% |
| NARI TECHNOLOGY DEVELOPMEN-A | 2,343,100 | 51,993,389 | 6.57% |
| NAURA TECHNOLOGY GROUP CO-A | 176,100 | 42,492,930 | 5.37% |
| NINGBO DEYE TECHNOLOGY CO -A | 207,100 | 16,037,824 | 2.03% |
| NINGBO ORIENT WIRES & CABL-A | 31,500 | 1,254,015 | 0.16% |
| RISEN ENERGY CO LTD-A | 353,400 | 6,728,736 | 0.85% |
| SHANGHAI AIKO SOLAR ENERGY-A | 681,500 | 15,204,265 | 1.92% |
| SHENZHEN SC NEW ENERGY TEC-A | 151,700 | 11,521,615 | 1.46% |
| SICHUAN CHUANTOU ENERGY CO-A | 718,400 | 10,632,320 | 1.35% |
| SUNGROW POWER SUPPLY CO LT-A | 590,000 | 52,810,900 | 6.68% |
| TCL ZHONGHUAN RENEWABLE EN-A | 713,700 | 16,686,306 | 2.11% |
| TITAN WIND ENERGY SUZHOU-A | 133,100 | 1,718,321 | 0.22% |
| TRINA SOLAR CO LTD-A | 435,461 | 13,312,043 | 1.68% |
| WUHAN DR LASER TECHNOLOGY -A | 32,000 | 2,290,880 | 0.29% |
| XINJIANG GOLDWIND SCI&TECH-A | 1,501,500 | 13,858,845 | 1.75% |
| ZHEJIANG CHINT ELECTRICS-A | 610,500 | 14,218,545 | 1.80% |
| ZHEJIANG JINGSHENG MECHANI-A | 393,100 | 18,754,801 | 2.37% |
| | | <u>540,570,839</u> | |
| Hong Kong | | | |
| CHINA LONGYUAN POWER GROUP-H | 2,061,141 | 13,076,862 | 1.65% |
| GCL POLY ENERGY HOLDINGS LTD | 13,561,400 | 18,419,119 | 2.33% |
| XINYI SOLAR HLDGS | 2,980,742 | 16,249,255 | 2.06% |
| | | <u>47,745,236</u> | |
| United States | | | |
| DAQO NEW ENERGY CORP-ADR | 47,473 | 10,469,696 | 1.33% |
| | | <u>10,469,696</u> | |
| Total listed equities | | <u>598,785,771</u> | <u>75.71%</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2023

China Clean Energy ETF (continued)

| Financial assets at fair value through profit or loss | Maturity | Underlying assets | Counterparty | Holdings | Fair value RMB | % of net assets |
|---|-----------|-------------------------------|-------------------------------------|-----------|----------------|-----------------|
| Unlisted funded total return swaps | | | | | | |
| China | | | | | | |
| CECEP WIND POWER CORP-(Fully funded Swap) | 16-Feb-24 | CECEP WIND POWER CORP-A | Mirae Asset Securities (HK) Limited | 1,622,000 | 5,303,940 | 0.67% |
| CHINA THREE GORGES RENEWAB-(Fully funded Swap) | 15-Dec-23 | CHINA THREE GORGES RENEWAB-A | Mirae Asset Securities (HK) Limited | 2,700,000 | 12,906,000 | 1.63% |
| CHINA THREE GORGES RENEWAB-(Fully funded Swap) | 19-Dec-23 | CHINA THREE GORGES RENEWAB-A | Mirae Asset Securities (HK) Limited | 5,000,000 | 23,900,000 | 3.02% |
| FLAT GLASS GROUP CO LTD-(Fully funded Swap) | 15-Nov-23 | FLAT GLASS GROUP CO LTD-A | Mirae Asset Securities (HK) Limited | 293,000 | 8,297,760 | 1.05% |
| GINLONG TECHNOLOGIES CO LT-(Fully funded Swap) | 16-Feb-24 | GINLONG TECHNOLOGIE S CO LT-A | Mirae Asset Securities (HK) Limited | 102,000 | 8,008,020 | 1.01% |
| HAINAN DRINDA NEW ENERGY T-(Fully funded Swap) | 15-Dec-23 | HAINAN DRINDA NEW ENERGY T-A | Mirae Asset Securities (HK) Limited | 64,500 | 6,177,165 | 0.78% |
| HOYUAN GREEN ENERGY CO LTD-(Fully funded Swap) | 15-Dec-23 | HOYUAN GREEN ENERGY CO LTD-A | Mirae Asset Securities (HK) Limited | 141,199 | 5,913,416 | 0.75% |
| JA SOLAR TECHNOLOGY CO LTD-(Fully funded Swap) | 27-Oct-23 | JA SOLAR TECHNOLOGY CO LTD -A | Mirae Asset Securities (HK) Limited | 603,500 | 15,437,530 | 1.95% |
| JIANGSU LINYANG ENERGY CO-(Fully funded Swap) | 16-Feb-24 | JIANGSU LINYANG ELECTRONIC-A | Mirae Asset Securities (HK) Limited | 951,000 | 6,809,160 | 0.86% |
| JINKO SOLAR CO LTD-(Fully funded Swap) | 15-Nov-23 | JINKO SOLAR CO LTD-A | Mirae Asset Securities (HK) Limited | 2,840,000 | 28,684,000 | 3.63% |
| JINKO SOLAR CO LTD-(Fully funded Swap) | 16-Feb-24 | JINKO SOLAR CO LTD-A | Mirae Asset Securities (HK) Limited | 2,327,000 | 23,502,700 | 2.97% |
| NINGBO ORIENT WIRES & CABL-(Fully funded Swap) | 15-Dec-23 | NINGBO ORIENT WIRES & CABL-A | Mirae Asset Securities (HK) Limited | 207,000 | 8,240,670 | 1.04% |
| RISEN ENERGY CO LTD-(Fully funded Swap) | 15-Dec-23 | RISEN ENERGY CO LTD-A | Mirae Asset Securities (HK) Limited | 236,800 | 4,508,672 | 0.57% |
| TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap) | 14-Dec-23 | TCL ZHONGHUAN RENEWABLE EN-A | Mirae Asset Securities (HK) Limited | 625,000 | 14,612,500 | 1.85% |
| TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap) | 15-Dec-23 | TCL ZHONGHUAN RENEWABLE EN-A | Mirae Asset Securities (HK) Limited | 250,000 | 5,845,000 | 0.74% |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2023

China Clean Energy ETF (continued)

| Financial assets at fair value through profit or loss | Maturity | Underlying assets | Counterparty | Holdings | Fair value RMB | % of net assets |
|---|-----------|-------------------------------------|-------------------------------------|----------|----------------------|-----------------|
| Unlisted funded total return swaps (continued) | | | | | | |
| China (continued) | | | | | | |
| TITAN WIND ENERGY SUZHOU- (Fully funded Swap) | 14-Mar-24 | TITAN WIND ENERGY SUZHOU-A WUHAN DR | Mirae Asset Securities (HK) Limited | 421,000 | 5,435,110 | 0.69% |
| WUHAN DR LASER TECHNOLOGY- (Fully funded Swap) | 16-Feb-24 | LASER TECHNOLOGY -A | Mirae Asset Securities (HK) Limited | 102,000 | 7,302,180 | 0.92% |
| | | | | | <u>190,883,823</u> | |
| Total unlisted funded total return swaps | | | | | <u>190,883,823</u> | <u>24.13%</u> |
| Total investments | | | | | <u>789,669,594</u> | <u>99.84%</u> |
| Total investments, at cost | | | | | <u>1,013,263,536</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Consumer Brand ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|-----------|--------------------|-----------------|
| Listed equities | | | |
| China | | | |
| EASTROC BEVERAGE GROUP CO -A | 4,500 | 822,915 | 0.15% |
| ECOVACS ROBOTICS CO LTD-A | 51,100 | 2,478,350 | 0.46% |
| FOSHAN HAITIAN FLAVOURING -A | 368,300 | 13,991,717 | 2.62% |
| FU JIAN ANJOY FOODS CO LTD-A | 53,900 | 6,683,600 | 1.25% |
| FUYAO GROUP GLASS INDUSTR-A | 381,200 | 14,073,904 | 2.63% |
| GEMDALE CORP-A | 281,600 | 1,920,512 | 0.36% |
| GREAT WALL MOTOR CO LTD-A | 53,200 | 1,365,644 | 0.26% |
| HAIER SMART HOME CO LTD-A | 1,015,700 | 23,970,520 | 4.48% |
| HENAN SHUANGHUI INVESTMENT-A | 73,600 | 1,942,304 | 0.36% |
| JASON FURNITURE HANGZHOU C-A | 99,300 | 4,020,657 | 0.75% |
| KWEICHOW MOUTAI CO LTD-A | 28,900 | 51,978,095 | 9.72% |
| LUZHOU LAOJIAO CO LTD-A | 190,000 | 41,163,500 | 7.70% |
| MIDEA GROUP CO LTD-A | 875,400 | 48,567,192 | 9.09% |
| OPPEIN HOME GROUP INC-A | 48,578 | 4,658,144 | 0.87% |
| SAIC MOTOR CORPORATION LTD-A | 748,700 | 11,080,760 | 2.07% |
| SHANGHAI JINJIANG INTERNET-A | 112,900 | 4,245,040 | 0.79% |
| WULIANGYE YIBIN CO LTD-A | 292,130 | 45,601,493 | 8.53% |
| YIHAI KERRY ARAWANA HOLDIN-A | 24,400 | 838,872 | 0.16% |
| YUNNAN BOTANEE BIO-TECHNOL-A | 87,400 | 8,155,294 | 1.53% |
| | | <u>287,558,513</u> | |
| Hong Kong | | | |
| ANTA SPORTS PRODUCTS LTD | 371,329 | 30,450,376 | 5.70% |
| CHINA MENGNIU DAIRY CO | 889,633 | 21,724,594 | 4.06% |
| HENGAN INTL GROUP CO LTD | 192,781 | 4,474,518 | 0.84% |
| LI NING CO LTD | 684,978 | 20,996,336 | 3.93% |
| NONGFU SPRING CO LTD-H | 510,060 | 21,352,329 | 3.99% |
| SHENZHOU INTERNATIONAL GROUP | 213,519 | 14,897,358 | 2.79% |
| TECHTRONIC INDUSTRIES CO | 376,582 | 26,624,694 | 4.98% |
| TINGYI (CAYMAN ISLN) HLDG CO | 560,997 | 5,709,385 | 1.07% |
| WANT WANT CHINA HOLDINGS LTD | 713,360 | 3,391,104 | 0.64% |
| | | <u>149,620,694</u> | |
| United States | | | |
| HUAZHU GROUP LTD-ADR | 81,579 | 23,435,819 | 4.38% |
| LI AUTO INC - ADR LI | 190,390 | 49,451,407 | 9.25% |
| | | <u>72,887,226</u> | |
| Total listed equities | | <u>510,066,433</u> | <u>95.41%</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Consumer Brand ETF (continued)

| Financial assets at fair value through profit or loss | Maturity | Underlying assets | Counterparty | Holdings | Fair value RMB | % of net assets |
|---|-----------|-------------------------------|-------------------------------------|-----------|--------------------|-----------------|
| Unlisted funded total return swaps | | | | | | |
| China | | | | | | |
| EASTROC BEVERAGE GROUP CO-(Fully funded Swap) | 15-Nov-23 | EASTROC BEVERAGE GROUP CO - A | Mirae Asset Securities (HK) Limited | 12,000 | 2,194,442 | 0.41% |
| GEMDALE CORP-(Fully funded Swap) | 15-Dec-23 | GEMDALE CORP-A GREAT | Mirae Asset Securities (HK) Limited | 1,073,000 | 7,317,863 | 1.37% |
| GREAT WALL MOTOR CO LTD-(Fully funded Swap) | 16-Feb-24 | WALL MOTOR CO LTD-A HENAN | Mirae Asset Securities (HK) Limited | 183,700 | 4,715,580 | 0.88% |
| HENAN SHUANGHUI INVESTMENT-(Fully funded Swap) | 16-Feb-24 | SHUANGHUI INVESTMEN T-A | Mirae Asset Securities (HK) Limited | 218,000 | 5,753,021 | 1.08% |
| YIHAI KERRY ARAWANA HOLDIN-(Fully funded Swap) | 15-Dec-23 | YIHAI KERRY ARAWANA HOLDIN-A | Mirae Asset Securities (HK) Limited | 101,300 | 3,482,696 | 0.65% |
| | | | | | <u>23,463,602</u> | |
| Total unlisted funded total return swaps | | | | | <u>23,463,602</u> | <u>4.39%</u> |
| Total investments | | | | | <u>533,530,035</u> | <u>99.80%</u> |
| Total investments, at cost | | | | | <u>615,351,538</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|-----------|----------------------|-----------------|
| Listed equities | | | |
| China | | | |
| BEIJING EASPRING MATERIAL-A | 158,900 | 6,689,690 | 0.38% |
| BYD CO LTD -A | 789,800 | 186,945,660 | 10.65% |
| CANMAX TECHNOLOGIES CO LTD-A | 142,180 | 3,654,026 | 0.21% |
| CNGR ADVANCED MATERIAL CO -A | 164,100 | 8,311,665 | 0.47% |
| CONTEMPORARY AMPEREX TECHN-A | 912,800 | 185,325,784 | 10.56% |
| DO-FLUORIDE NEW MATERIALS -A | 213,460 | 3,426,033 | 0.19% |
| EVE ENERGY CO LTD-A | 1,773,700 | 80,029,344 | 4.56% |
| FUYAO GROUP GLASS INDUSTR-A | 1,868,700 | 68,992,404 | 3.93% |
| GANFENG LITHIUM GROUP CO L-A | 1,974,800 | 88,076,080 | 5.02% |
| GEM CO LTD-A | 2,678,264 | 16,310,628 | 0.93% |
| GOTION HIGH-TECH CO LTD-A | 362,300 | 8,405,360 | 0.48% |
| GUANGZHOU TINCI MATERIALS -A | 522,400 | 14,120,472 | 0.80% |
| HONGFA TECHNOLOGY CO LTD-A | 941,678 | 31,593,297 | 1.80% |
| HUIZHOU DESAY SV AUTOMOTIV-A | 128,500 | 18,457,740 | 1.05% |
| NINGBO RONBAY NEW ENERGY T-A | 127,928 | 5,369,138 | 0.31% |
| NINGBO SHANSHAN CO LTD-A | 232,800 | 3,235,920 | 0.18% |
| NINGBO TUOPU GROUP CO LTD-A | 549,200 | 40,712,196 | 2.32% |
| PYLON TECHNOLOGIES CO LTD-A | 25,588 | 3,344,351 | 0.19% |
| SHANGHAI PUTAILAI NEW ENER-A | 1,092,700 | 32,005,183 | 1.82% |
| SHENZHEN CAPCHEM TECHNOLOG-A | 153,500 | 6,726,370 | 0.38% |
| SHENZHEN DYNANONIC CO LTD-A | 28,153 | 2,155,394 | 0.12% |
| SHENZHEN INOVANCE TECHNOLO-A | 1,885,400 | 125,360,246 | 7.14% |
| SHENZHEN KEDALI INDUSTRY C-A | 59,519 | 5,724,537 | 0.33% |
| SHENZHEN SENIOR TECHNOLOGY-A | 348,604 | 4,556,254 | 0.26% |
| SINOMINE RESOURCE GROUP CO-A | 128,000 | 4,660,480 | 0.27% |
| SUNWODA ELECTRONIC CO LTD-A | 1,812,100 | 30,624,490 | 1.74% |
| TIANNENG BATTERY GROUP CO -A | 1,196,981 | 41,714,788 | 2.38% |
| TIANQI LITHIUM CORP-A | 925,958 | 50,862,873 | 2.90% |
| WUXI AUTOWELL TECHNOLOGY C-A | 29,900 | 4,271,514 | 0.24% |
| WUXI LEAD INTELLIGENT EQUI-A | 896,806 | 24,411,059 | 1.39% |
| XIAMEN FARATRONIC CO LTD-A | 39,900 | 3,867,507 | 0.22% |
| YUNNAN ENERGY NEW MATERIAL-A | 180,663 | 10,821,714 | 0.62% |
| ZHEJIANG HUAYOU COBALT CO - A | 322,900 | 12,111,979 | 0.69% |
| ZHEJIANG SANHUA INTELLIGEN-A | 603,352 | 17,919,554 | 1.02% |
| ZHUZHOU CRRC TIMES ELECTRI-A | 953,033 | 37,911,653 | 2.16% |
| | | <u>1,188,705,383</u> | |
| Total listed equities | | <u>1,188,705,383</u> | <u>67.71%</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF (continued)

| Financial assets at fair value through profit or loss | Maturity | Underlying assets | Counterparty | Holdings | Fair value RMB | % of net assets |
|---|-----------|-------------------------------|-------------------------------------|-----------|----------------|-----------------|
| Unlisted funded total return swaps | | | | | | |
| China | | | | | | |
| BEIJING EASPRING MATERIAL-(Fully funded Swap) | 15-Nov-23 | BEIJING EASPRING MATERIAL-A | Mirae Asset Securities (HK) Limited | 173,000 | 7,283,300 | 0.41% |
| BEIJING EASPRING MATERIAL-(Fully funded Swap) | 16-Feb-24 | BEIJING EASPRING MATERIAL-A | Mirae Asset Securities (HK) Limited | 174,000 | 7,325,400 | 0.42% |
| CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap) | 15-Nov-23 | CANMAX TECHNOLOGI ES CO LTD-A | Mirae Asset Securities (HK) Limited | 550,000 | 14,135,000 | 0.81% |
| CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap) | 15-Dec-23 | CANMAX TECHNOLOGI ES CO LTD-A | Mirae Asset Securities (HK) Limited | 200,000 | 5,140,000 | 0.29% |
| CNGR ADVANCED MATERIAL CO-(Fully funded Swap) | 15-Nov-23 | CNGR ADVANCED MATERIAL CO-A | Mirae Asset Securities (HK) Limited | 140,000 | 7,091,000 | 0.40% |
| CNGR ADVANCED MATERIAL CO-(Fully funded Swap) | 15-Dec-23 | CNGR ADVANCED MATERIAL CO-A | Mirae Asset Securities (HK) Limited | 123,700 | 6,265,405 | 0.36% |
| CNGR ADVANCED MATERIAL CO-(Fully funded Swap) | 16-Feb-24 | CNGR ADVANCED MATERIAL CO-A | Mirae Asset Securities (HK) Limited | 262,000 | 13,270,300 | 0.76% |
| DO-FLUORIDE NEW MATERIALS-(Fully funded Swap) | 10-Nov-23 | DO-FLUORIDE NEW MATERIALS - A | Mirae Asset Securities (HK) Limited | 415,300 | 6,665,565 | 0.38% |
| DO-FLUORIDE NEW MATERIALS-(Fully funded Swap) | 15-Nov-23 | DO-FLUORIDE NEW MATERIALS - A | Mirae Asset Securities (HK) Limited | 750,000 | 12,037,500 | 0.68% |
| GEM CO LTD-(Fully funded Swap) | 15-Nov-23 | GEM CO LTD-A | Mirae Asset Securities (HK) Limited | 1,168,000 | 7,113,120 | 0.41% |
| GEM CO LTD-(Fully funded Swap) | 16-Feb-24 | GEM CO LTD-A | Mirae Asset Securities (HK) Limited | 2,378,000 | 14,482,020 | 0.82% |
| GOTION HIGH-TECH CO LTD-(Fully funded Swap) | 15-Nov-23 | GOTION HIGH-TECH CO LTD-A | Mirae Asset Securities (HK) Limited | 632,000 | 14,662,400 | 0.83% |
| GOTION HIGH-TECH CO LTD-(Fully funded Swap) | 15-Dec-23 | GOTION HIGH-TECH CO LTD-A | Mirae Asset Securities (HK) Limited | 250,000 | 5,800,000 | 0.33% |
| GOTION HIGH-TECH CO LTD-(Fully funded Swap) | 16-Feb-24 | GOTION HIGH-TECH CO LTD-A | Mirae Asset Securities (HK) Limited | 265,000 | 6,148,000 | 0.35% |
| GUANGZHOU TINCI MATERIALS-(Fully funded Swap) | 16-Feb-24 | GUANGZHOU TINCI MATERIALS-A | Mirae Asset Securities (HK) Limited | 1,842,000 | 49,789,260 | 2.84% |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF (continued)

| Financial assets at fair value through profit or loss | Maturity | Underlying assets | Counterparty | Holdings | Fair value RMB | % of net assets |
|--|-----------------|-------------------------------|-------------------------------------|-----------------|-----------------------|------------------------|
| Unlisted funded total return swaps (continued) | | | | | | |
| China (continued) | | | | | | |
| HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap) | 15-Nov-23 | HUIZHOU DESAY SV AUTOMOTIV-A | Mirae Asset Securities (HK) Limited | 55,000 | 7,900,200 | 0.45% |
| HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap) | 16-Feb-24 | HUIZHOU DESAY SV AUTOMOTIV-A | Mirae Asset Securities (HK) Limited | 52,000 | 7,469,280 | 0.43% |
| NINGBO RONBAY NEW ENERGY T-(Fully funded Swap) | 27-Mar-24 | NINGBO RONBAY NEW ENERGY T-A | Mirae Asset Securities (HK) Limited | 451,000 | 18,928,470 | 1.08% |
| NINGBO SHANSHAN CO LTD-(Fully funded Swap) | 06-Nov-23 | NINGBO SHANSHAN CO LTD-A | Mirae Asset Securities (HK) Limited | 1,631,800 | 22,682,020 | 1.29% |
| PYLON TECHNOLOGIES CO LTD-(Fully funded Swap) | 15-Nov-23 | PYLON TECHNOLOGIES CO LTD-A | Mirae Asset Securities (HK) Limited | 137,000 | 17,905,900 | 1.02% |
| SHENZHEN CAPCHEM TECHNOLOG-(Fully funded Swap) | 16-Feb-24 | SHENZHEN CAPCHEM TECHNOLOG-A | Mirae Asset Securities (HK) Limited | 492,000 | 21,559,440 | 1.23% |
| SHENZHEN DYNANONIC CO LTD-(Fully funded Swap) | 06-Nov-23 | SHENZHEN DYNANONIC CO LTD-A | Mirae Asset Securities (HK) Limited | 201,347 | 15,415,127 | 0.88% |
| SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap) | 15-Nov-23 | SHENZHEN KEDALI INDUSTRY C-A | Mirae Asset Securities (HK) Limited | 100,000 | 9,618,000 | 0.55% |
| SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap) | 16-Feb-24 | SHENZHEN KEDALI INDUSTRY C-A | Mirae Asset Securities (HK) Limited | 110,000 | 10,579,800 | 0.60% |
| SHENZHEN SENIOR TECHNOLOGY-(Fully funded Swap) | 16-Feb-24 | SHENZHEN SENIOR TECHNOLOG Y-A | Mirae Asset Securities (HK) Limited | 1,120,000 | 14,638,400 | 0.83% |
| SINOMINE RESOURCE GROUP CO-(Fully funded Swap) | 15-Nov-23 | SINOMINE RESOURCE GROUP CO-A | Mirae Asset Securities (HK) Limited | 670,000 | 24,394,700 | 1.39% |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF (continued)

| Financial assets at fair value through profit or loss | Maturity | Underlying assets | Counterparty | Holdings | Fair value RMB | % of net assets |
|---|-----------|-------------------------------|-------------------------------------|-----------|----------------------|-----------------|
| Unlisted funded total return swaps (continued) | | | | | | |
| China (continued) | | | | | | |
| TIANQI LITHIUM CORP-(Fully funded Swap) | 27-Mar-24 | TIANQI LITHIUM INDUSTR IND-A | Mirae Asset Securities (HK) Limited | 451,000 | 24,773,430 | 1.41% |
| WUXI AUTOWELL TECHNOLOGY C-(Fully funded Swap) | 15-Nov-23 | WUXI AUTOWELL TECHNOLOG Y C-A | Mirae Asset Securities (HK) Limited | 148,000 | 21,143,280 | 1.20% |
| WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap) | 15-Nov-23 | WUXI LEAD INTELLIGENT EQUI-A | Mirae Asset Securities (HK) Limited | 270,000 | 7,349,400 | 0.42% |
| WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap) | 16-Feb-24 | WUXI LEAD INTELLIGENT EQUI-A | Mirae Asset Securities (HK) Limited | 270,000 | 7,349,400 | 0.42% |
| XIAMEN FARATRONIC CO LTD-(Fully funded Swap) | 14-Mar-24 | XIAMEN FARATRONIC CO LTD-A | Mirae Asset Securities (HK) Limited | 138,000 | 13,376,340 | 0.76% |
| YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap) | 10-Nov-23 | YUNNAN ENERGY NEW MATERIAL-A | Mirae Asset Securities (HK) Limited | 263,800 | 15,801,620 | 0.90% |
| YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap) | 29-Dec-23 | YUNNAN ENERGY NEW MATERIAL-A | Mirae Asset Securities (HK) Limited | 40,000 | 2,396,000 | 0.14% |
| YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap) | 16-Feb-24 | YUNNAN ENERGY NEW MATERIAL-A | Mirae Asset Securities (HK) Limited | 325,000 | 19,467,500 | 1.11% |
| ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap) | 06-Nov-23 | ZHEJIANG HUAYOU COBALT CO-A | Mirae Asset Securities (HK) Limited | 546,800 | 20,510,468 | 1.17% |
| ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap) | 16-Feb-24 | ZHEJIANG HUAYOU COBALT CO-A | Mirae Asset Securities (HK) Limited | 594,000 | 22,280,940 | 1.27% |
| ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap) | 21-Dec-23 | ZHEJIANG SANHUA INTELLIGEN-A | Mirae Asset Securities (HK) Limited | 928,000 | 27,561,600 | 1.57% |
| ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap) | 14-Mar-24 | ZHEJIANG SANHUA INTELLIGEN-A | Mirae Asset Securities (HK) Limited | 1,198,000 | 35,580,600 | 2.03% |
| | | | | | <u>565,890,185</u> | |
| Total unlisted funded total return swaps | | | | | <u>565,890,185</u> | <u>32.24%</u> |
| Total investments | | | | | <u>1,754,595,568</u> | <u>99.95%</u> |
| Total investments, at cost | | | | | <u>2,226,148,970</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Robotics and AI ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|----------|--------------------|-----------------|
| Listed equities | | | |
| China | | | |
| BEIJING KINGSOFT OFFICE SO-A | 21,900 | 8,120,520 | 6.36% |
| ESTUN AUTOMATION CO LTD-A | 90,900 | 2,005,254 | 1.57% |
| HANGZHOU HIKVISION DIGITAL-A | 260,100 | 8,791,380 | 6.89% |
| HAN'S LASER TECHNOLOGY IN-A | 143,400 | 3,309,672 | 2.59% |
| HUAGONG TECH CO LTD-A | 124,300 | 4,185,181 | 3.28% |
| IFLYTEK CO LTD | 178,600 | 9,047,876 | 7.09% |
| INSPUR ELECTRONIC INFORMAT-A | 166,800 | 6,273,348 | 4.92% |
| LEADER HARMONIOUS DRIVE SY-A | 19,900 | 2,349,792 | 1.84% |
| MONTAGE TECHNOLOGY CO LTD-A | 110,000 | 5,467,000 | 4.29% |
| NARI TECHNOLOGY DEVELOPMEN-A | 384,200 | 8,525,398 | 6.68% |
| OPT MACHINE VISION TECH CO-A | 19,100 | 2,169,378 | 1.70% |
| SHANGHAI FRIENDESS ELECTRO-A | 7,800 | 1,945,242 | 1.53% |
| SHENZHEN INOVANCE TECHNOLO-A | 126,000 | 8,377,740 | 6.57% |
| SIASUN ROBOT & AUTOMATION-A | 178,700 | 2,223,028 | 1.74% |
| SUZHOU TFC OPTICAL COMMUNI-A | 38,100 | 3,619,500 | 2.84% |
| THUNDER SOFTWARE TECHNOLOG-A | 68,200 | 5,222,074 | 4.09% |
| WUHAN DR LASER TECHNOLOGY -A | 36,900 | 2,641,671 | 2.07% |
| WUHAN JINGCE ELECTRONIC GR-A | 36,600 | 3,317,058 | 2.60% |
| WUXI AUTOWELL TECHNOLOGY C-A | 22,000 | 3,142,920 | 2.46% |
| XI'AN BRIGHT LASER TECHNOL-A | 16,500 | 1,947,000 | 1.53% |
| ZHEJIANG DAHUA TECHNOLOGY-A | 425,900 | 9,484,793 | 7.43% |
| ZHEJIANG SHUANGHUAN DRIVEL-A | 102,900 | 2,930,592 | 2.30% |
| ZHEJIANG SUPCON TECHNOLOGY-A | 85,800 | 4,101,240 | 3.21% |
| ZHONGJI INNOLIGHT CO LTD-A | 83,900 | 9,715,620 | 7.61% |
| | | <u>118,913,277</u> | |
| United States | | | |
| BAIDU INC - SPON ADR | 8,848 | 8,660,795 | 6.79% |
| | | <u>8,660,795</u> | |
| Total investments | | <u>127,574,072</u> | <u>99.98%</u> |
| Total investments, at cost | | <u>129,203,998</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Semiconductor ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|-----------|--------------------|-----------------|
| Listed equities | | | |
| China | | | |
| 3PEAK INC-A | 26,300 | 4,786,600 | 1.09% |
| ACM RESEARCH SHANGHAI I-A | 141,100 | 16,618,758 | 3.77% |
| ADVANCED MICRO-FABRICATION-A | 70,700 | 10,643,885 | 2.41% |
| BOE TECHNOLOGY GROUP CO LT-A | 8,081,900 | 31,196,134 | 7.08% |
| GALAXYCORE INC-A | 603,800 | 9,274,368 | 2.10% |
| GIGADEVICE SEMICONDUCTOR B-A | 81,127 | 7,999,122 | 1.82% |
| HANGZHOU CHANG CHUAN TECHN-A | 255,176 | 8,556,051 | 1.94% |
| HANGZHOU LION ELECTRONICS -A | 61,701 | 2,034,899 | 0.46% |
| HANGZHOU SILAN MICROELECTR-A | 444,589 | 10,830,188 | 2.46% |
| JCET GROUP CO LTD | 734,939 | 22,415,640 | 5.09% |
| MAXSCEND MICROELECTRONICS -A | 203,668 | 23,768,056 | 5.39% |
| MONTAGE TECHNOLOGY CO LTD-A | 127,100 | 6,316,870 | 1.43% |
| NAURA TECHNOLOGY GROUP CO-A | 120,400 | 29,052,520 | 6.59% |
| NAVTECH INC-A | 326,500 | 6,905,475 | 1.57% |
| SEMICONDUCTOR MANUFACTURING INTL CORP -A | 611,100 | 31,257,765 | 7.09% |
| SG MICRO CORP-A | 78,877 | 6,134,264 | 1.39% |
| SHENZHEN SC NEW ENERGY TEC-A | 162,484 | 12,340,660 | 2.80% |
| STARPOWER SEMICONDUCTOR LT-A | 10,000 | 1,796,400 | 0.41% |
| TIANSHUI HUATIAN TECHNOLOG-A | 302,889 | 2,719,943 | 0.62% |
| TONGFU MICROELECTRONIC CO-A | 121,800 | 2,334,906 | 0.53% |
| UNIGROUP GUOXIN MICROELECT-A | 84,442 | 7,363,342 | 1.67% |
| WILL SEMICONDUCTOR CO LTD-A | 347,443 | 32,333,046 | 7.33% |
| WINGTECH TECHNOLOGY CO LTD-A | 375,846 | 16,405,678 | 3.72% |
| ZHEJIANG JINGSHENG MECHANI-A | 351,796 | 16,784,187 | 3.81% |
| | | <u>319,868,757</u> | |
| Hong Kong | | | |
| ASMPT LTD | 178,026 | 11,609,484 | 2.63% |
| | | <u>11,609,484</u> | |
| Total listed equities | | <u>331,478,241</u> | <u>75.20%</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Semiconductor ETF (continued)

| Financial assets at fair value through profit or loss | Maturity | Underlying assets | Counterparty | Holdings | Fair value RMB | % of net assets |
|---|-----------|------------------------------|-------------------------------------|----------|--------------------|-----------------|
| Unlisted funded total return swaps | | | | | | |
| China | | | | | | |
| 3PEAK INC-(Fully funded Swap) | 14-Mar-24 | 3PEAK INC-A | Mirae Asset Securities (HK) Limited | 22,500 | 4,095,000 | 0.93% |
| ADVANCED MICRO-FABRICATION-(Fully funded Swap) | 27-Oct-23 | ADVANCED MICRO-FABRICATION-A | Mirae Asset Securities (HK) Limited | 106,000 | 15,958,300 | 3.62% |
| GIGADEVICE SEMICONDUCTOR-CL-(Fully funded Swap) | 15-Nov-23 | GIGADEVICE SEMICONDUCTOR B-A | Mirae Asset Securities (HK) Limited | 241,000 | 23,762,600 | 5.39% |
| HANGZHOU LION ELECTRONICS-(Fully funded Swap) | 15-Dec-23 | HANGZHOU LION ELECTRONICS -A | Mirae Asset Securities (HK) Limited | 161,800 | 5,336,164 | 1.21% |
| MONTAGE TECHNOLOGY CO LTD-(Fully funded Swap) | 27-Oct-23 | MONTAGE TECHNOLOGY CO LTD-A | Mirae Asset Securities (HK) Limited | 238,800 | 11,868,360 | 2.69% |
| SG MICRO CORP-(Fully funded Swap) | 15-Nov-23 | SG MICRO CORP-A | Mirae Asset Securities (HK) Limited | 92,000 | 7,154,840 | 1.62% |
| STARPOWER SEMICONDUCTOR LT-(Fully funded Swap) | 16-Feb-24 | STARPOWER SEMICONDUCTOR LT-A | Mirae Asset Securities (HK) Limited | 28,000 | 5,029,920 | 1.14% |
| TIANSHUI HUATIAN TECHNOLOG-(Fully funded Swap) | 16-Feb-24 | TIANSHUI HUATIAN TECHNOLOG-A | Mirae Asset Securities (HK) Limited | 908,000 | 8,153,840 | 1.85% |
| TONGFU MICROELECTRONIC CO-(Fully funded Swap) | 15-Dec-23 | TONGFU MICROELECTRONIC CO-A | Mirae Asset Securities (HK) Limited | 316,000 | 6,057,720 | 1.37% |
| UNIGROUP GUOXIN MICROELECT-(Fully funded Swap) | 15-Nov-23 | UNIGROUP GUOXIN MICROELECT-A | Mirae Asset Securities (HK) Limited | 166,000 | 14,475,200 | 3.28% |
| UNIGROUP GUOXIN MICROELECT-(Fully funded Swap) | 16-Feb-24 | UNIGROUP GUOXIN MICROELECT-A | Mirae Asset Securities (HK) Limited | 85,000 | 7,412,000 | 1.68% |
| | | | | | <u>109,303,944</u> | |
| Total unlisted funded total return swaps | | | | | <u>109,303,944</u> | <u>24.78%</u> |
| Total investments | | | | | <u>440,782,185</u> | <u>99.98%</u> |
| Total investments, at cost | | | | | <u>546,427,244</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Global Leaders ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| China | | | |
| AVARY HOLDING SHENZHEN CO -A | 8,400 | 171,024 | 0.43% |
| BOE TECHNOLOGY GROUP CO LT-A | 564,700 | 2,179,742 | 5.46% |
| GIGADEVICE SEMICONDUCTOR B-A | 8,300 | 818,380 | 2.05% |
| GOERTEK INC -A | 39,300 | 620,154 | 1.55% |
| HANGZHOU HIKVISION DIGITAL-A | 84,600 | 2,859,480 | 7.16% |
| JCET GROUP CO LTD | 19,100 | 582,550 | 1.46% |
| LONGI GREEN ENERGY TECHNOL-A | 98,484 | 2,686,644 | 6.73% |
| LUXSHARE PRECISION INDUSTR-A | 67,100 | 2,000,922 | 5.01% |
| MIDEA GROUP CO LTD-A | 51,700 | 2,868,316 | 7.18% |
| MONTAGE TECHNOLOGY CO LTD-A | 10,038 | 498,889 | 1.25% |
| SANY HEAVY INDUSTRY CO LTD-A | 95,800 | 1,522,262 | 3.81% |
| SHENZHEN MINDRAY BIO-MEDIC-A | 7,600 | 2,050,556 | 5.14% |
| SHENZHEN TRANSSION HOLDING-A | 6,072 | 884,933 | 2.22% |
| TCL TECHNOLOGY GROUP CORP | 231,100 | 942,888 | 2.36% |
| WILL SEMICONDUCTOR CO LTD-A | 9,600 | 893,376 | 2.24% |
| WINGTECH TECHNOLOGY CO LTD-A | 10,200 | 445,230 | 1.12% |
| XCMG CONSTRUCTION MACHIN-A | 185,500 | 1,181,635 | 2.96% |
| ZHEJIANG DAHUA TECHNOLOGY-A | 43,900 | 977,653 | 2.45% |
| ZHEJIANG HUAYOU COBALT CO - A | 19,540 | 732,945 | 1.84% |
| ZHONGJI INNOLIGHT CO LTD-A | 8,300 | 961,140 | 2.41% |
| | | <u>25,878,719</u> | |
| Hong Kong | | | |
| BUDWEISER BREWING CO APAC LT | 29,639 | 426,270 | 1.07% |
| CK HUTCHISON HOLDINGS LTD | 46,909 | 1,828,444 | 4.58% |
| CK INFRASTRUCTURE HOLDINGS LTD | 10,730 | 369,828 | 0.93% |
| HAIER SMART HOME CO LTD-H | 40,572 | 930,368 | 2.33% |
| LENOVO GROUP LTD | 114,028 | 856,045 | 2.14% |
| SEMICONDUCTOR MANUFACTURING INTL CORP | 65,376 | 1,219,394 | 3.05% |
| SHENZHOU INTERNATIONAL GROUP | 14,156 | 987,673 | 2.47% |
| TECHTRONIC INDUSTRIES CO | 23,497 | 1,661,259 | 4.16% |
| WUXI BIOLOGICS CAYMAN INC | 64,389 | 2,734,412 | 6.85% |
| XIAOMI CORP-CLASS B | 256,859 | 2,948,638 | 7.39% |
| | | <u>13,962,331</u> | |
| Total investments | | <u>39,841,050</u> | <u>99.80%</u> |
| Total investments, at cost | | <u>50,990,583</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Innovator Active ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| China | | | |
| BEIJING KINGSOFT OFFICE SO-A | 796 | 295,157 | 1.32% |
| CONTEMPORARY AMPEREX TECHN-A | 5,225 | 1,060,832 | 4.76% |
| EAST MONEY INFORMATION CO-A | 38,336 | 582,707 | 2.61% |
| ESTUN AUTOMATION CO LTD-A | 3,700 | 81,622 | 0.37% |
| GUANGZHOU KDT MACHINERY CO-A | 25,860 | 506,856 | 2.27% |
| GUANGZHOU SIE CONSULTING C-A | 11,200 | 257,824 | 1.16% |
| HANGZHOU TIGERMED CONSULTI-A | 3,000 | 199,800 | 0.90% |
| HITHINK ROYALFLUSH INFORM-A | 3,200 | 478,272 | 2.14% |
| IFLYTEK CO LTD | 6,595 | 334,103 | 1.50% |
| JIANGSU HENGLI HIGHPRESSUR-A | 6,100 | 389,790 | 1.75% |
| JIANGSU HENGRUI MEDICINE C-A | 15,800 | 710,052 | 3.18% |
| LONGI GREEN ENERGY TECHNOL-A | 13,444 | 366,752 | 1.64% |
| LONGSHINE TECHNOLOGY GROUP-A | 14,200 | 273,350 | 1.23% |
| LUXSHARE PRECISION INDUSTR-A | 8,300 | 247,506 | 1.11% |
| MIDEA GROUP CO LTD-A | 10,400 | 576,992 | 2.59% |
| NARI TECHNOLOGY DEVELOPMEN-A | 21,188 | 470,162 | 2.11% |
| NINGBO ORIENT WIRES & CABL-A | 3,600 | 143,316 | 0.64% |
| RIYUE HEAVY INDUSTRY CO LT-A | 11,600 | 184,208 | 0.83% |
| SANGFOR TECHNOLOGIES INC-A | 2,200 | 205,282 | 0.92% |
| SHANGHAI AWINIC TECHNOLOGY-A | 5,709 | 377,079 | 1.69% |
| SHENZHEN ENVICOOL TECHNOLO-A | 13,100 | 344,268 | 1.54% |
| SHENZHEN INOVANCE TECHNOLO-A | 6,750 | 448,807 | 2.01% |
| SHENZHEN MINDRAY BIO-MEDIC-A | 2,200 | 593,582 | 2.66% |
| SUNGROW POWER SUPPLY CO LT-A | 3,300 | 295,383 | 1.32% |
| TONGWEI CO LTD-A | 2,200 | 70,972 | 0.32% |
| WUXI LEAD INTELLIGENT EQUI-A | 27,060 | 736,573 | 3.30% |
| YONYOU NETWORK TECHNOLOGY CO LTD | 6,600 | 109,692 | 0.49% |
| ZHEJIANG HUAYOU COBALT CO - A | 5,900 | 221,309 | 0.99% |
| ZHEJIANG SHUANGHUAN DRIVEL-A | 8,001 | 227,868 | 1.02% |
| | | 10,790,116 | |
| Hong Kong | | | |
| ALIBABA GROUP HOLDING LTD | 14,381 | 1,145,181 | 5.13% |
| BAIDU INC-CLASS A | 6,663 | 826,870 | 3.71% |
| BYD CO LTD-H | 5,438 | 1,224,239 | 5.49% |
| BYD ELECTRONIC INTL CO LTD | 22,952 | 762,255 | 3.42% |
| FUYAO GLASS INDUSTRY GROUP-H | 15,437 | 515,548 | 2.31% |
| HUA HONG SEMICONDUCTOR LTD | 7,231 | 133,460 | 0.60% |
| KE HOLDINGS INC-CL A | 12,412 | 481,492 | 2.16% |
| L.K. TECH HLDG LTD COMMON SHARES | 53,767 | 389,141 | 1.74% |
| LENOVO GROUP LTD | 45,202 | 339,346 | 1.52% |
| MEITUAN-CLASS B | 4,759 | 507,355 | 2.27% |
| NETEASE INC | 2,252 | 334,149 | 1.50% |
| PING AN HEALTHCARE AND TECHNOLOGY CO LTD | 15,615 | 265,540 | 1.19% |
| SINO BIOPHARMACEUTICAL | 53,750 | 141,506 | 0.63% |
| TENCENT HOLDINGS LTD | 4,270 | 1,216,311 | 5.45% |
| WUXI APPTec CO LTD-H | 3,057 | 266,611 | 1.20% |
| WUXI BIOLOGICS CAYMAN INC | 14,406 | 611,781 | 2.74% |
| ZIJIN MINING GROUP CO LTD-H | 44,068 | 491,125 | 2.20% |
| | | 9,651,910 | |
| United States | | | |
| PDD HOLDINGS INC | 1,134 | 810,260 | 3.63% |
| ZTO EXPRESS CAYMAN INC-ADR | 1,280 | 225,404 | 1.01% |
| | | 1,035,664 | |
| Total investments | | 21,477,690 | 96.27% |
| Total investments, at cost | | 23,905,043 | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Asia Semiconductor ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| China | | | |
| ACM RESEARCH SHANGHAI I-A | 4,100 | 482,898 | 0.73% |
| ADVANCED MICRO-FABRICATION-A | 4,608 | 693,734 | 1.05% |
| BOE TECHNOLOGY GROUP CO LT-A | 533,090 | 2,057,727 | 3.10% |
| GIGADEVICE SEMICONDUCTOR B-A | 8,272 | 815,619 | 1.23% |
| JCET GROUP CO LTD | 18,925 | 577,213 | 0.87% |
| LONGI GREEN ENERGY TECHNOL-A | 82,550 | 2,251,964 | 3.40% |
| MAXSCEND MICROELECTRONICS -A | 5,182 | 604,739 | 0.91% |
| MONTAGE TECHNOLOGY CO LTD-A | 9,480 | 471,156 | 0.71% |
| NAURA TECHNOLOGY GROUP CO-A | 3,927 | 947,585 | 1.43% |
| TCL TECHNOLOGY GROUP CORP | 225,015 | 918,061 | 1.38% |
| UNIGROUP GUOXIN MICROELECT-A | 8,597 | 749,659 | 1.13% |
| WILL SEMICONDUCTOR CO LTD-A | 8,944 | 832,329 | 1.25% |
| WINGTECH TECHNOLOGY CO LTD-A | 9,662 | 421,746 | 0.64% |
| ZHEJIANG JINGSHENG MECHANI-A | 9,036 | 431,108 | 0.65% |
| | | 12,255,538 | |
| Hong Kong | | | |
| SEMICONDUCTOR MANUFACTURING INTL CORP | 61,790 | 1,152,508 | 1.74% |
| | | 1,152,508 | |
| Japan | | | |
| ADVANTEST CORP NPV | 4,552 | 929,214 | 1.40% |
| CANON INC NPV | 5,613 | 987,947 | 1.49% |
| DISCO CORP | 549 | 739,265 | 1.11% |
| FUJITSU LIMITED | 1,102 | 946,951 | 1.43% |
| HITACHI LTD NPV | 5,512 | 2,496,069 | 3.76% |
| HOYA CORP NPV | 2,172 | 1,625,151 | 2.45% |
| LASERTEC CORP NPV | 464 | 527,167 | 0.79% |
| MINEBEA MITSUMI INC | 2,101 | 250,447 | 0.38% |
| RENESAS ELECTRONICS CORP NPV | 7,162 | 799,188 | 1.20% |
| ROHM CO LTD NPV | 2,052 | 282,226 | 0.43% |
| SONY GROUP CORP | 11,090 | 6,627,449 | 9.99% |
| TDK CORP NPV | 2,307 | 624,234 | 0.94% |
| TOKYO ELECTRON LTD NPV | 2,771 | 2,765,355 | 4.17% |
| | | 19,600,663 | |
| South Korea | | | |
| SAMSUNG ELECTRONICS CO LTD | 17,624 | 6,508,708 | 9.81% |
| SK HYNIX INC | 10,898 | 6,749,078 | 10.17% |
| | | 13,257,786 | |
| Taiwan | | | |
| ASE TECHNOLOGY HOLDINGS CO LTD | 55,185 | 1,363,840 | 2.06% |
| GLOBALWAFERS CO LTD | 3,680 | 376,664 | 0.57% |
| MEDIA TEK INC | 25,743 | 4,270,462 | 6.44% |
| NANYA TECHNOLOGY CORPORATION | 19,541 | 289,320 | 0.44% |
| NOVATEK MICROELECTRONICS COR | 9,824 | 937,901 | 1.41% |
| PEGATRON CORP | 34,843 | 602,383 | 0.91% |
| QUANTA COMPUTER INC | 48,694 | 2,632,142 | 3.97% |
| TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD | 55,239 | 6,520,424 | 9.83% |
| UNIMICRON TECHNOLOGY CORP | 21,048 | 824,212 | 1.24% |
| UNITED MICROELECTRONICS CORP | 206,673 | 2,108,388 | 3.18% |
| | | 19,925,736 | |
| Total investments | | 66,192,231 | 99.79% |
| Total investments, at cost | | 73,834,567 | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China E-Commerce and Logistics ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| China | | | |
| CHINA COSCO HOLDINGS-A | 93,400 | 916,254 | 5.56% |
| CHINA MERCHANTS ENERGY -A | 49,100 | 315,713 | 1.91% |
| CHINA MERCHANTS EXPRESSWAY-A | 96,400 | 930,260 | 5.64% |
| CHINA RAILWAY MATERIALS CO-A | 33,400 | 89,846 | 0.54% |
| CHINA RAILWAY SPECIAL CARG-A | 39,400 | 172,178 | 1.04% |
| CHINA TRANSINFO TECHNOLOGY-A | 18,400 | 219,696 | 1.33% |
| DAQIN RAILWAY CO LTD -A | 105,100 | 766,179 | 4.65% |
| DEPPON LOGISTICS CO LTD -A | 5,400 | 83,268 | 0.51% |
| GUANGSHEN RAILWAY CO LTD-A | 57,500 | 166,175 | 1.01% |
| JIANGSU EXPRESSWAY CO LTD-A | 9,800 | 101,822 | 0.62% |
| S F HOLDING CO LTD-A | 23,600 | 962,880 | 5.84% |
| SHANDONG HI-SPEED CO LTD -A | 23,900 | 171,124 | 1.04% |
| SHANGHAI INTERNATIONAL AIR-A | 23,000 | 871,470 | 5.28% |
| SHANGHAI ZHONGGU LOGISTICS-A | 38,800 | 381,016 | 2.31% |
| SINOTRANS LIMITED-A | 23,600 | 117,292 | 0.71% |
| XIAMEN C & D INC-A | 31,900 | 316,448 | 1.92% |
| YTO EXPRESS GROUP CO LTD-A | 28,100 | 422,343 | 2.56% |
| YUNDA HOLDING CO LTD-A | 25,000 | 246,500 | 1.50% |
| ZHEJIANG CHINA COMMODITIES-A | 47,600 | 430,304 | 2.61% |
| | | <u>7,680,768</u> | |
| Hong Kong | | | |
| JD LOGISTICS INC | 49,417 | 457,415 | 2.77% |
| MEITUAN-CLASS B | 8,588 | 915,563 | 5.55% |
| MTR CORP | 32,767 | 944,952 | 5.73% |
| ORIENT OVERSEAS INTL LTD | 2,726 | 265,005 | 1.61% |
| SITC INTERNATIONAL HOLDINGS | 26,471 | 324,069 | 1.97% |
| | | <u>2,907,004</u> | |
| United States | | | |
| ALIBABA GROUP HOLDING-SP ADR | 1,520 | 960,590 | 5.82% |
| FULL TRUCK ALLIANCE -SPN ADR | 18,149 | 930,894 | 5.64% |
| JD.COM INC-ADR JD.COM INC-ADR | 4,215 | 894,567 | 5.42% |
| PDD HOLDINGS INC | 1,436 | 1,026,043 | 6.22% |
| VIPSHOP HOLDINGS LTD - ADS | 9,177 | 1,070,452 | 6.49% |
| ZTO EXPRESS CAYMAN INC-ADR | 5,593 | 984,910 | 5.97% |
| | | <u>5,867,456</u> | |
| Total investments | | <u>16,455,228</u> | <u>99.77%</u> |
| Total investments, at cost | | <u>19,741,751</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Games and Entertainment ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| China | | | |
| 37 INTERACTIVE ENTERTAINME-A | 22,400 | 486,080 | 4.57% |
| BEIJING ENLIGHT MEDIA CO L-A | 30,600 | 263,160 | 2.48% |
| BEIJING JETSEN TECHNOLOGY-A | 54,400 | 282,880 | 2.66% |
| BEIJING ULTRAPOWER SOFTWARE-A | 33,900 | 341,034 | 3.21% |
| BTG HOTELS GROUP CO LTD-A | 1,800 | 31,014 | 0.29% |
| CHINESE UNIVERSE PUBLISHIN-A | 12,100 | 151,613 | 1.43% |
| G-BITS NETWORK TECHNOLOGY-A | 900 | 329,058 | 3.10% |
| GIANT NETWORK GROUP CO LTD-A | 17,800 | 230,332 | 2.17% |
| KINGNET NETWORK CO LTD-A | 30,500 | 384,300 | 3.62% |
| MANGO EXCELLENT MEDIA CO L-A | 12,200 | 345,382 | 3.25% |
| OURPALM CO LTD-A | 56,200 | 270,322 | 2.54% |
| PERFECT WORLD CO LTD-A | 29,700 | 392,040 | 3.69% |
| SHANGHAI JINJIANG INTERNET-A | 1,500 | 56,400 | 0.53% |
| SONGCHENG PERFORMANCE DEVELOPMENT CO LTD | 29,600 | 361,712 | 3.40% |
| SOUTHERN PUBLISHING & MEDI-A | 6,500 | 102,310 | 0.96% |
| WANDA FILM HOLDING CO LTD-A | 26,600 | 358,568 | 3.37% |
| ZHEJIANG CENTURY HUATONG -A | 102,300 | 494,109 | 4.65% |
| ZHEJIANG JINKE CULTURE IND-A | 57,600 | 301,248 | 2.83% |
| ZHEJIANG PUBLISHING & MEDI-A | 25,600 | 201,984 | 1.90% |
| | | <u>5,383,546</u> | |
| Hong Kong | | | |
| CHINA LITERATURE LTD | 8,537 | 227,532 | 2.14% |
| CHINA RUYI HOLDINGS LTD | 131,360 | 248,068 | 2.33% |
| TENCENT HOLDINGS LTD | 3,164 | 901,266 | 8.48% |
| TONGCHENG TRAVEL HOLDINGS LTD | 4,030 | 64,483 | 0.61% |
| | | <u>1,441,349</u> | |
| United States | | | |
| ATOUR LIFESTYLE HOLDINGS-ADR | 348 | 47,793 | 0.45% |
| BILIBILI INC-SPONSORED ADR | 7,154 | 717,725 | 6.75% |
| HUAZHU GROUP LTD-ADR | 1,038 | 298,194 | 2.81% |
| IQIYI INC-ADR IQ | 11,398 | 393,625 | 3.70% |
| NETEASE INC-ADR | 1,336 | 974,935 | 9.17% |
| TENCENT MUSIC ENTERTAINM-ADR TME | 16,403 | 762,463 | 7.17% |
| TRIP.COM GROUP LTD | 2,184 | 556,446 | 5.23% |
| | | <u>3,751,181</u> | |
| Total investments | | <u>10,576,076</u> | <u>99.49%</u> |
| Total investments, at cost | | <u>11,580,068</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

FinTech ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value USD | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| Australia | | | |
| HUB24 LTD | 382 | 8,013 | 0.61% |
| IRESS LTD NPV | 881 | 3,309 | 0.25% |
| XERO LTD XRO | 717 | 52,027 | 3.97% |
| ZIP CO LTD | 3,469 | 604 | 0.05% |
| | | <u>63,953</u> | |
| Canada | | | |
| GALAXY DIGITAL HOLDINGS LTD | 468 | 1,727 | 0.13% |
| HUT 8 MINING CORP | 885 | 1,748 | 0.13% |
| NUVEI CORP-SUBORDINATE VTG | 311 | 4,686 | 0.36% |
| | | <u>8,161</u> | |
| Germany | | | |
| HYPOPORT SE | 33 | 4,483 | 0.34% |
| | | <u>4,483</u> | |
| Hong Kong | | | |
| LINKLOGIS INC-CLASS B | 9,108 | 2,035 | 0.16% |
| YEAHKA LTD | 2,129 | 4,023 | 0.31% |
| | | <u>6,058</u> | |
| Italy | | | |
| NEXI SPA NEXI | 6,259 | 38,355 | 2.93% |
| | | <u>38,355</u> | |
| Japan | | | |
| WEALTHNAVI INC | 220 | 1,871 | 0.14% |
| | | <u>1,871</u> | |
| South Korea | | | |
| KAKAO PAY CORP | 640 | 19,327 | 1.48% |
| | | <u>19,327</u> | |
| Switzerland | | | |
| LEONTEQ AG LEON | 90 | 3,768 | 0.29% |
| TEMENOS AG - REG | 358 | 25,210 | 1.93% |
| | | <u>28,978</u> | |
| Netherlands | | | |
| ADYEN NV ADYEN | 60 | 44,830 | 3.42% |
| | | <u>44,830</u> | |
| United Kingdom | | | |
| WISE PLC - A | 4,888 | 40,903 | 3.12% |
| | | <u>40,903</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

FinTech ETF (continued)

| Financial assets at fair value through profit or loss | Holdings | Fair value USD | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities (continued) | | | |
| United States | | | |
| ACI WORLDWIDE INC COM | 537 | 12,115 | 0.93% |
| AFFIRM HOLDINGS INC | 1,117 | 23,759 | 1.81% |
| AVANTAX INC | 230 | 5,883 | 0.45% |
| BAKKT HOLDINGS INC | 346 | 405 | 0.03% |
| BILL HOLDINGS INC | 508 | 55,154 | 4.21% |
| BLEND LABS INC-A | 993 | 1,360 | 0.10% |
| BLOCK INC SQ | 1,105 | 48,907 | 3.73% |
| COINBASE GLOBAL INC -CLASS A | 854 | 64,118 | 4.90% |
| DLOCAL LTD/URUGUAY | 773 | 14,818 | 1.13% |
| ENVESTNET INC ENVESTNET INC | 264 | 11,624 | 0.89% |
| FIDELITY NATIONAL INFO SERV FIS | 1,350 | 74,614 | 5.70% |
| FISERV INC | 737 | 83,252 | 6.36% |
| FLYWIRE CORP-VOTING | 498 | 15,881 | 1.21% |
| GLOBAL PAYMENTS INC COM NPV | 584 | 67,388 | 5.15% |
| GUIDEWIRE SOFTWARE INC | 391 | 35,190 | 2.69% |
| HEALTH EQUITY INC HQY | 404 | 29,512 | 2.25% |
| I3 VERTICALS INC-CLASS A IIIV | 104 | 2,199 | 0.17% |
| INTUIT INC | 215 | 109,852 | 8.39% |
| JACK HENRY & ASSOCIATES INC COM | 349 | 52,748 | 4.03% |
| LEMONADE INC | 330 | 3,835 | 0.29% |
| LENDINGCLUB CORP | 509 | 3,105 | 0.24% |
| LENDINGTREE INC TREE | 58 | 899 | 0.07% |
| LUFAX HOLDING LTD | 10,914 | 11,569 | 0.88% |
| MARATHON DIGITAL HOLDINGS IN | 558 | 4,743 | 0.36% |
| MERIDIANLINK INC | 386 | 6,585 | 0.50% |
| MITEK SYSTEMS INC | 202 | 2,165 | 0.17% |
| NCINO INC | 530 | 16,854 | 1.29% |
| OPEN LENDING CORP - CL A | 603 | 4,414 | 0.34% |
| PAGAYA TECHNOLOGIES LTD -A | 2,417 | 3,819 | 0.29% |
| PAGSEGURO DIGITAL LTD-CL A | 954 | 8,214 | 0.63% |
| PAYMENTUS HOLDINGS INC-A | 88 | 1,461 | 0.11% |
| PAYONEER GLOBAL INC | 1,672 | 10,233 | 0.78% |
| PAYPAL HOLDINGS INC | 1,164 | 68,047 | 5.20% |
| PAYSAFE LTD | 289 | 3,465 | 0.26% |
| QIWI PLC-SPONSORED ADR ¹ | 738 | - | 0.00% |
| RIOT PLATFORMS INC | 799 | 7,455 | 0.57% |
| SAPIENS INTERNATIONAL CORP | 263 | 7,477 | 0.57% |
| SHIFT4 PAYMENTS INC-CLASS A | 253 | 14,009 | 1.07% |
| SOFI TECHNOLOGIES INC | 4,431 | 35,404 | 2.70% |
| SS&C TECHNOLOGIES HOLDINGS | 1,093 | 57,426 | 4.39% |
| STONECO LTD-A STNE | 1,340 | 14,298 | 1.09% |
| TOAST INC-CLASS A | 1,685 | 31,560 | 2.41% |
| UPSTART HOLDINGS INC | 389 | 11,102 | 0.85% |
| VERTEX INC - CLASS A VERX | 233 | 5,382 | 0.41% |
| VIRTU FINANCIAL INC-CLASS A | 470 | 8,117 | 0.62% |
| | | <u>1,050,417</u> | |
| Total investments | | <u>1,307,336</u> | <u>99.84%</u> |
| Total investments, at cost | | <u>2,149,812</u> | |

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Autonomous and Electric Vehicles ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value USD | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| Australia | | | |
| ALLKEM LTD | 3,033 | 23,020 | 0.83% |
| IGO LTD | 3,218 | 26,294 | 0.95% |
| PILBARA MINERALS LTD PLS | 10,204 | 28,318 | 1.02% |
| | | <u>77,632</u> | |
| Canada | | | |
| LITHIUM AMERICAS CORP | 1,597 | 27,262 | 0.98% |
| LUNDIN MINING CORP COM NPV | 3,947 | 29,573 | 1.06% |
| | | <u>56,835</u> | |
| France | | | |
| FAURECIA EUR7 | 1,305 | 27,095 | 0.97% |
| RENAULT SA | 820 | 33,750 | 1.21% |
| STMICROELECTRONICS NV | 837 | 36,320 | 1.31% |
| | | <u>97,165</u> | |
| Germany | | | |
| CONTINENTAL AG NPV | 455 | 32,160 | 1.16% |
| INFINEON TECHNOLOGIES AG | 1,197 | 39,737 | 1.43% |
| VOLKSWAGEN AG-PREF NON VTG PRF NPV | 301 | 34,717 | 1.25% |
| | | <u>106,614</u> | |
| Hong Kong | | | |
| GANFENG LITHIUM CO LTD-H | 5,035 | 20,669 | 0.74% |
| GEELY AUTOMOBILE HOLDINGS LTD | 27,843 | 32,849 | 1.18% |
| NEXTEER AUTOMOTIVE HKD0.1 | 47,557 | 25,929 | 0.93% |
| | | <u>79,447</u> | |
| Italy | | | |
| STELLANTIS NV | 2,468 | 47,583 | 1.71% |
| | | <u>47,583</u> | |
| Japan | | | |
| DENSO CORP NPV | 2,484 | 39,967 | 1.44% |
| GS YUASA CORP NPV | 1,637 | 29,213 | 1.05% |
| HITACHI LTD NPV | 811 | 50,407 | 1.81% |
| HONDA MOTOR CO LTD NPV | 4,632 | 52,210 | 1.88% |
| NISSAN MOTOR CO LTD | 8,664 | 38,337 | 1.38% |
| TOYOTA MOTOR CORP NPV | 5,156 | 92,513 | 3.33% |
| | | <u>302,647</u> | |
| South Korea | | | |
| HYUNDAI MOTOR CO | 250 | 35,405 | 1.27% |
| KIA CORP | 556 | 33,540 | 1.21% |
| LG ENERGY SOLUTION | 88 | 31,075 | 1.12% |
| | | <u>100,020</u> | |
| Taiwan | | | |
| ADVANCED ENERGY SOLUTION HOL | 1,420 | 28,109 | 1.01% |
| | | <u>28,109</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Autonomous and Electric Vehicles ETF (continued)

| Financial assets at fair value through profit or loss | Holdings | Fair value USD | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities (continued) | | | |
| Netherlands | | | |
| APERAM NPV | 1,000 | 29,221 | 1.05% |
| TOMTOM | 4,074 | 29,158 | 1.05% |
| | | <u>58,379</u> | |
| United Kingdom | | | |
| JOHNSON MATTHEY PLC JMAT | 1,455 | 28,912 | 1.04% |
| | | <u>28,912</u> | |
| United States | | | |
| ALBEMARLE CORP | 175 | 29,757 | 1.07% |
| ALLEGHENY TECHNOLOGIES INC | 744 | 30,616 | 1.10% |
| ALPHABET INC-CL A GOOGL | 773 | 101,155 | 3.64% |
| AMBARELLA INC AMBA | 400 | 21,212 | 0.76% |
| AMERICAN AXLE & MFG HOLDINGS | 3,728 | 27,065 | 0.97% |
| APPLE INC | 505 | 86,461 | 3.11% |
| APTIV PLC | 385 | 37,957 | 1.36% |
| BAIDU INC - SPON ADR | 310 | 41,649 | 1.50% |
| BALLARD POWER SYSTEMS INC | 7,068 | 25,940 | 0.93% |
| BLACKBERRY LTD COM NPV | 6,630 | 31,227 | 1.12% |
| BLOOM ENERGY CORP- A | 1,880 | 24,929 | 0.90% |
| CABOT CORP | 487 | 33,734 | 1.21% |
| CEVA INC | 1,245 | 24,141 | 0.87% |
| COHERENT CORP | 655 | 21,379 | 0.77% |
| ENERSYS | 308 | 29,158 | 1.05% |
| FORD MOTOR CO | 3,433 | 42,638 | 1.53% |
| GENERAL MOTORS CO | 1,274 | 42,004 | 1.51% |
| GENTHERM INC COM NPV | 601 | 32,610 | 1.17% |
| HONEYWELL INTERNATIONAL INC | 381 | 70,386 | 2.53% |
| HYSTER-YALE MATERIALS | 685 | 30,537 | 1.10% |
| INDIE SEMICONDUCTOR INC-A | 3,428 | 21,596 | 0.78% |
| INNOVIZ TECHNOLOGIES LTD | 9,723 | 18,960 | 0.68% |
| INTEL CORP | 2,363 | 84,005 | 3.02% |
| ITT INC | 360 | 35,248 | 1.27% |
| LEAR CORP | 232 | 31,134 | 1.12% |
| LIVENT CORP LTHM | 1,210 | 22,276 | 0.80% |
| LUCID GROUP INC | 4,720 | 26,385 | 0.95% |
| LUMINAR TECHNOLOGIES INC | 4,368 | 19,874 | 0.71% |
| MICROSOFT CORP COM | 187 | 59,045 | 2.12% |
| MICROVAST HOLDINGS INC | 12,279 | 23,207 | 0.83% |
| MICROVISION INC WA USD0.01 | 7,509 | 16,445 | 0.59% |
| NIKOLA CORP | 14,891 | 23,379 | 0.84% |
| NIO INC - ADR | 3,546 | 32,056 | 1.15% |
| NVIDIA CORP | 209 | 90,913 | 3.27% |
| NXP SEMICONDUCTORS NV | 238 | 47,581 | 1.71% |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Autonomous and Electric Vehicles ETF (continued)

| Financial assets at fair value through profit or loss | Holdings | Fair value USD | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities (continued) | | | |
| United States (continued) | | | |
| ON SEMICONDUCTOR | 465 | 43,222 | 1.55% |
| PIEDMONT LITHIUM INC | 557 | 22,113 | 0.79% |
| PLUG POWER INC | 2,820 | 21,432 | 0.77% |
| QUALCOMM INC | 639 | 70,967 | 2.55% |
| QUANTUMSCAPE CORP | 3,297 | 22,057 | 0.79% |
| QUIMICA Y MINERA CHIL-SP ADR | 463 | 27,627 | 0.99% |
| SITIME CORP | 255 | 29,134 | 1.05% |
| STANDARD LITHIUM LTD | 7,101 | 20,096 | 0.72% |
| TESLA INC | 346 | 86,576 | 3.11% |
| VISTEON CORP | 219 | 30,237 | 1.09% |
| WABTEC CORP | 349 | 37,088 | 1.33% |
| XPENG INC - ADR | 2,551 | 46,836 | 1.68% |
| YANDEX NV-A YNDX ¹ | 802 | – | 0.00% |
| | | <u>1,794,044</u> | |
| Total investments | | <u>2,777,387</u> | <u>99.83%</u> |
| Total investments, at cost | | <u>3,503,555</u> | |

¹These suspended stocks have been fully written off by the Manager for the period ended 30 September 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Asia Innovator Active ETF

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|---|----------|-------------------|-----------------|
| Listed equities | | | |
| China | | | |
| CONTEMPORARY AMPEREX TECHN-A | 3,420 | 694,363 | 5.57% |
| EAST MONEY INFORMATION CO-A | 16,056 | 244,051 | 1.96% |
| EVE ENERGY CO LTD-A | 4,200 | 189,504 | 1.52% |
| GUANGZHOU KDT MACHINERY CO-A | 5,340 | 104,664 | 0.84% |
| HAN'S LASER TECHNOLOGY IN-A | 9,100 | 210,028 | 1.68% |
| LONGI GREEN ENERGY TECHNOL-A | 15,520 | 423,386 | 3.39% |
| LUXSHARE PRECISION INDUSTR-A | 4,400 | 131,208 | 1.05% |
| NARI TECHNOLOGY DEVELOPMEN-A | 9,696 | 215,154 | 1.72% |
| SHENZHEN INOVANCE TECHNOLO-A | 2,400 | 159,576 | 1.28% |
| SHENZHEN MINDRAY BIO-MEDIC-A | 1,000 | 269,810 | 2.16% |
| SUNGROW POWER SUPPLY CO LT-A | 1,100 | 98,461 | 0.79% |
| VENUSTECH GROUP INC-A | 4,500 | 127,080 | 1.02% |
| WUXI LEAD INTELLIGENT EQUI-A | 7,000 | 190,540 | 1.53% |
| ZHEJIANG SHUANGHUAN DRIVEL-A | 8,300 | 236,384 | 1.90% |
| | | <u>3,294,209</u> | |
| Hong Kong | | | |
| ALIBABA GROUP HOLDING LTD | 3,658 | 291,292 | 2.34% |
| BAIDU INC-CLASS A | 1,033 | 128,194 | 1.03% |
| BYD CO LTD-H | 4,818 | 1,084,660 | 8.70% |
| CHINASOFT INTERNATIONAL LTD | 47,545 | 246,803 | 1.98% |
| FUYAO GLASS INDUSTRY GROUP-H | 7,597 | 253,716 | 2.03% |
| HUA HONG SEMICONDUCTOR LTD | 4,109 | 75,838 | 0.61% |
| JD.COM INC - CL A | 1,229 | 131,595 | 1.05% |
| L.K. TECH HLDG LTD COMMON SHARES | 13,023 | 94,254 | 0.76% |
| MEITUAN-CLASS B | 2,088 | 222,601 | 1.79% |
| PING AN HEALTHCARE AND TECHNOLOGY CO LTD | 4,977 | 84,636 | 0.68% |
| TENCENT HOLDINGS LTD | 1,762 | 501,906 | 4.02% |
| WUXI BIOLOGICS CAYMAN INC | 2,817 | 119,630 | 0.96% |
| XINYI SOLAR HLDGS | 31,601 | 172,270 | 1.38% |
| | | <u>3,407,395</u> | |
| Japan | | | |
| KEYENCE CORP NPV | 101 | 273,683 | 2.19% |
| NIDEC CORP NPV | 383 | 129,607 | 1.04% |
| SONY GROUP CORP | 451 | 269,520 | 2.16% |
| | | <u>672,810</u> | |
| South Korea | | | |
| HANWHA SOLUTIONS CORP | 706 | 113,403 | 0.91% |
| HYBE CO LTD | 14 | 17,990 | 0.14% |
| LG CHEM LTD | 16 | 42,892 | 0.34% |
| NAVER CORP | 86 | 93,564 | 0.75% |
| SAMSUNG BIOLOGICS CO LTD | 23 | 84,569 | 0.68% |
| SAMSUNG ELECTRONICS CO LTD | 758 | 279,936 | 2.24% |
| SAMSUNG SDI CO LTD | 33 | 91,226 | 0.73% |
| | | <u>723,580</u> | |
| Taiwan | | | |
| MEDIATEK INC | 506 | 83,939 | 0.67% |
| TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD | 8,296 | 979,262 | 7.85% |
| UNIMICRON TECHNOLOGY CORP | 4,962 | 194,305 | 1.56% |
| | | <u>1,257,506</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

Asia Innovator Active ETF (continued)

| Financial assets at fair value through profit or loss | Holdings | Fair value RMB | % of net assets |
|--|-----------------|---------------------------|------------------------|
| Listed equities (continued) | | | |
| United States | | | |
| COUPANG INC | 1,766 | 218,733 | 1.75% |
| FUTU HOLDINGS LTD-ADR | 515 | 216,913 | 1.74% |
| GRAB HOLDINGS LTD - CL A | 7,683 | 198,157 | 1.59% |
| HDFC BANK LTD-ADR | 1,182 | 508,181 | 4.07% |
| ICICI BANK LTD-SPON ADR | 2,181 | 367,383 | 2.94% |
| INFOSYS LTD-SP ADR SPON ADR EACH REP 1 INR5 | 3,306 | 412,124 | 3.30% |
| PDD HOLDINGS INC | 225 | 160,766 | 1.29% |
| SEA LTD-ADR SE | 237 | 75,890 | 0.61% |
| | | <u>2,158,147</u> | |
| Total investments | | <u>11,513,647</u> | <u>92.29%</u> |
| Total investments, at cost | | <u>14,927,108</u> | |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED)

For the period from 1 April 2023 to 30 September 2023

China Clean Energy ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|------------|----------------------|------------|---|
| Listed equities | | | | | |
| Industrials | | | | | |
| DAJIN HEAVY INDUSTRY CO LT-A | 288,500 | – | – | 288,500 | – |
| GINLONG TECHNOLOGIES CO LT-A | 219,700 | 6,000 | – | 193,500 | 32,200 |
| GOODWE TECHNOLOGIES CO LTD-A | 48,042 | – | 17,795 | 20,581 | 45,256 |
| JIANGSU LINYANG ELECTRONIC-A | – | 1,442,300 | – | 1,143,200 | 299,100 |
| LUOYANG XINQIANGLIAN SLEWI-A | 200,770 | – | – | 200,770 | – |
| MING YANG SMART ENERGY GRO-A | 1,664,292 | 4,100 | – | 669,192 | 999,200 |
| NARI TECHNOLOGY DEVELOPMEN-A | 3,139,390 | 27,100 | 581,518 | 1,404,908 | 2,343,100 |
| NINGBO DEYE TECHNOLOGY CO -A | – | 242,500 | – | 35,400 | 207,100 |
| NINGBO ORIENT WIRES & CABL-A | 388,913 | – | – | 357,413 | 31,500 |
| SUNGROW POWER SUPPLY CO LT-A | 912,360 | 20,200 | – | 342,560 | 590,000 |
| SUZHOU MAXWELL TECHNOLOGIE-A | 76,408 | – | 43,144 | 119,552 | – |
| TITAN WIND ENERGY SUZHOU-A | 1,261,005 | 5,200 | – | 1,133,105 | 133,100 |
| XINJIANG GOLDWIND SCI&TECH-A | 2,603,282 | – | – | 1,101,782 | 1,501,500 |
| ZHEJIANG CHINT ELECTRICS-A | 918,378 | 2,600 | – | 310,478 | 610,500 |
| Information Technology | | | | | |
| DAQO NEW ENERGY CORP-ADR | 71,607 | 999 | – | 25,133 | 47,473 |
| FLAT GLASS GROUP CO LTD-A | 423,926 | 2,900 | – | 397,526 | 29,300 |
| GCL POLY ENERGY HOLDINGS LTD | – | 15,638,400 | – | 2,077,000 | 13,561,400 |
| HAINAN DRINDA NEW ENERGY T-A | – | 96,100 | – | 76,900 | 19,200 |
| HENGDIAN GROUP DMEGC -A | 645,200 | 5,200 | – | 190,800 | 459,600 |
| HOYUAN GREEN ENERGY CO LTD-A | 168,409 | 2,000 | 20,371 | 176,879 | 13,901 |
| JA SOLAR TECHNOLOGY CO LTD-A | 918,011 | 32,100 | 364,324 | 877,600 | 436,835 |
| JIANGSU AKCOME SCIENCE & T-A | 4,250,000 | – | – | 4,250,000 | – |
| JINKO SOLAR CO LTD-A | – | 7,826,900 | – | 6,204,492 | 1,622,408 |
| JINKOSOLAR HOLDING CO-ADR | 38,149 | – | – | 38,149 | – |
| LONGI GREEN ENERGY TECHNOL-A | 2,847,836 | 664,000 | – | 686,200 | 2,825,636 |
| NAURA TECHNOLOGY GROUP CO-A | – | 214,100 | – | 38,000 | 176,100 |
| RISEN ENERGY CO LTD-A | 340,500 | 369,500 | – | 356,600 | 353,400 |
| SHANGHAI AIKO SOLAR ENERGY-A | 789,740 | 10,300 | 292,336 | 410,876 | 681,500 |
| SHENZHEN SC NEW ENERGY TEC-A | 230,300 | 2,800 | – | 81,400 | 151,700 |
| TCL ZHONGHUAN RENEWABLE EN-A | 2,134,749 | 23,500 | 369,262 | 1,813,811 | 713,700 |
| TRINA SOLAR CO LTD-A | 918,519 | 9,800 | – | 492,858 | 435,461 |
| WUHAN DR LASER TECHNOLOGY -A | 122,220 | 1,000 | 67,632 | 158,852 | 32,000 |
| XINYI SOLAR HLDGS | 4,345,133 | – | – | 1,364,391 | 2,980,742 |
| ZHEJIANG JINGSHENG MECHANI-A | 603,381 | 1,700 | – | 211,981 | 393,100 |
| Materials | | | | | |
| JIANGSU CNANO TECHNOLOGY C-A | 178,952 | – | 78,284 | 257,236 | – |
| Utilities | | | | | |
| CECEP WIND POWER CORP-A | 4,105,433 | – | – | 3,595,833 | 509,600 |
| CHINA LONGYUAN POWER GROUP-H | 3,286,107 | – | – | 1,224,966 | 2,061,141 |
| CHINA THREE GORGES RENEWAB-A | 21,163,909 | 38,100 | – | 17,825,609 | 3,376,400 |
| CHINA YANGTZE POWER CO LTD-A | 5,905,334 | 16,500 | – | 2,144,534 | 3,777,300 |
| HUANENG LANCANG RIVER HYDR-A | 1,686,300 | – | – | 686,500 | 999,800 |
| SICHUAN CHUANTOU ENERGY CO-A | 1,194,134 | – | – | 475,734 | 718,400 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Clean Energy ETF (continued)

| Financial assets at fair value through profit or loss | Maturity date | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|---------------|--|-----------|-------------------|-----------|--|
| Unlisted funded total return swaps | | | | | | |
| Industrials | | | | | | |
| GINLONG TECHNOLOGIES CO LT-(Fully funded Swap) | 16-Feb-24 | – | 102,000 | – | – | 102,000 |
| JIANGSU LINYANG ENERGY CO-(Fully funded Swap) | 16-Feb-24 | – | 951,000 | – | – | 951,000 |
| NINGBO ORIENT WIRES & CABL-(Fully funded Swap) | 15-Dec-23 | – | 207,000 | – | – | 207,000 |
| TITAN WIND ENERGY SUZHOU-(Fully funded Swap) | 14-Mar-24 | – | 421,000 | – | – | 421,000 |
| Information Technology | | | | | | |
| FLAT GLASS GROUP CO LTD-(Fully funded Swap) | 15-Nov-23 | – | 293,000 | – | – | 293,000 |
| HAINAN DRINDA NEW ENERGY T-(Fully funded Swap) | 15-Dec-23 | – | 64,500 | – | – | 64,500 |
| HOYUAN GREEN ENERGY CO LTD-(Fully funded Swap) | 15-Dec-23 | – | 101,045 | 40,154 | – | 141,199 |
| JA SOLAR TECHNOLOGY CO LTD-(Fully funded Swap) | 27-Oct-23 | – | 603,500 | – | – | 603,500 |
| JINKO SOLAR CO LTD-(Fully funded Swap) | 15-Nov-23 | – | 2,840,000 | – | – | 2,840,000 |
| JINKO SOLAR CO LTD-(Fully funded Swap) | 16-Feb-24 | – | 2,327,000 | – | – | 2,327,000 |
| RISEN ENERGY CO LTD-(Fully funded Swap) | 15-Dec-23 | – | 236,800 | – | – | 236,800 |
| TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap) | 14-Dec-23 | – | 500,000 | 125,000 | – | 625,000 |
| TCL ZHONGHUAN RENEWABLE EN-(Fully funded Swap) | 15-Dec-23 | – | 250,000 | – | – | 250,000 |
| WUHAN DR LASER TECHNOLOGY-(Fully funded Swap) | 16-Feb-24 | – | 102,000 | – | – | 102,000 |
| Utilities | | | | | | |
| CECEP WIND POWER CORP-(Fully funded Swap) | 16-Feb-24 | – | 1,622,000 | – | – | 1,622,000 |
| CHINA THREE GORGES RENEWAB-(Fully funded Swap) | 15-Dec-23 | – | 2,700,000 | – | – | 2,700,000 |
| CHINA THREE GORGES RENEWAB-(Fully funded Swap) | 19-Dec-23 | – | 5,000,000 | – | – | 5,000,000 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Consumer Brand ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Consumer Discretionary | | | | | |
| ANTA SPORTS PRODUCTS LTD | 410,340 | 34,236 | – | 73,247 | 371,329 |
| BYD CO LTD -A | 225,073 | 7,600 | – | 232,673 | – |
| CHOW TAI FOOK JEWELRY LTD | 585,281 | 16,000 | – | 601,281 | – |
| ECOVACS ROBOTICS CO LTD-A | 52,100 | 8,200 | – | 9,200 | 51,100 |
| FUYAO GROUP GLASS INDUSTRY LTD | 468,512 | 33,900 | – | 121,212 | 381,200 |
| GALAXY ENTERTAINMENT GROUP LTD | 769,683 | 33,759 | – | 803,442 | – |
| GREAT WALL MOTOR CO LTD-A | 279,774 | 20,500 | – | 247,074 | 53,200 |
| HAILAO INTERNATIONAL HOLDINGS LTD | 554,980 | 16,000 | – | 570,980 | – |
| HAIER SMART HOME CO LTD-A | 1,163,628 | 92,500 | – | 240,428 | 1,015,700 |
| HUALI INDUSTRIAL GROUP CO -A | 32,550 | 800 | – | 33,350 | – |
| HUAZHU GROUP LTD-ADR | 105,971 | 6,478 | – | 30,870 | 81,579 |
| JASON FURNITURE HANGZHOU CO LTD | 116,217 | 6,800 | – | 23,717 | 99,300 |
| LI AUTO INC - ADR | – | 210,624 | – | 20,234 | 190,390 |
| LI NING CO LTD | 829,119 | 53,217 | – | 197,358 | 684,978 |
| MIDEA GROUP CO LTD-A | – | 968,500 | – | 93,100 | 875,400 |
| OPPEIN HOME GROUP INC-A | 47,678 | 10,100 | – | 9,200 | 48,578 |
| SAIC MOTOR CORPORATION LTD-A | 908,860 | 59,800 | – | 219,960 | 748,700 |
| SHANGHAI JINJIANG INTERNET-CO LTD | 153,900 | 8,000 | – | 49,000 | 112,900 |
| SHENZHEN INTERNATIONAL GROUP CO LTD | – | 235,119 | – | 21,600 | 213,519 |
| SONGCHENG PERFORMANCE DEVELOPMENT CO LTD | 480,897 | 13,600 | – | 494,497 | – |
| YUM CHINA HOLDINGS INC | 135,600 | 4,596 | – | 140,196 | – |
| ZHEJIANG SUPOR COOKWARE CO-A | 46,512 | 1,600 | – | 48,112 | – |
| Consumer Staples | | | | | |
| CHINA MENGNIU DAIRY CO | – | 970,633 | – | 81,000 | 889,633 |
| EASTROCK BEVERAGE GROUP CO -A | 20,800 | 1,700 | – | 18,000 | 4,500 |
| FOSHAN HAITIAN FLAVOURING -A | 357,151 | 28,780 | 71,170 | 88,801 | 368,300 |
| FU JIAN ANJOY FOODS CO LTD-A | 61,500 | 3,400 | – | 11,000 | 53,900 |
| HENAN SHUANGHUI INVESTMENT-A | – | 324,000 | – | 250,400 | 73,600 |
| HENGAN INTL GROUP CO LTD | – | 206,281 | – | 13,500 | 192,781 |
| KWEICHOW MOUTAI CO LTD-A | 32,754 | 2,200 | – | 6,054 | 28,900 |
| LUZHOU LAOJIAO CO LTD-A | – | 210,200 | – | 20,200 | 190,000 |
| NONGFU SPRING CO LTD-H | 634,694 | 39,871 | – | 164,505 | 510,060 |
| SHANXI XINGHUACUN FEN WINE-A | 124,996 | 4,500 | – | 129,496 | – |
| TINGYI (CAYMAN ISLANDS) HOLDINGS LTD | 675,038 | 34,000 | – | 148,041 | 560,997 |
| WANT WANT CHINA HOLDINGS LTD | – | 794,360 | – | 81,000 | 713,360 |
| WULIANGYE YIBIN CO LTD-A | 315,230 | 28,800 | – | 51,900 | 292,130 |
| YIHAI KERRY ARAWANA HOLDINGS LTD | 162,900 | 28,300 | – | 166,800 | 24,400 |
| YUNNAN BOTANEE BIO-TECHNOLOGY CO LTD | – | 95,500 | – | 8,100 | 87,400 |
| Industrials | | | | | |
| TECHTRONIC INDUSTRIES CO | 447,439 | 27,744 | – | 98,601 | 376,582 |
| Real Estate | | | | | |
| GEMDALE CORP-A | 991,400 | 575,500 | – | 1,285,300 | 281,600 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Consumer Brand ETF (continued)

| Financial assets at fair value through profit or loss | Maturity date | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|---------------|--|-----------|----------------------|-----------|--|
| Unlisted funded total return swaps | | | | | | |
| Consumer Discretionary | | | | | | |
| GREAT WALL MOTOR CO LTD-(Fully funded Swap) | 16-Feb-24 | – | 183,700 | – | – | 183,700 |
| Consumer Staples | | | | | | |
| EASTROC BEVERAGE GROUP CO-(Fully funded Swap) | 15-Nov-23 | – | 12,000 | – | – | 12,000 |
| HENAN SHUANGHUI INVESTMENT-(Fully funded Swap) | 16-Feb-24 | – | 218,000 | – | – | 218,000 |
| YIHAI KERRY ARAWANA HOLDIN-(Fully funded Swap) | 15-Dec-23 | – | 120,300 | – | 19,000 | 101,300 |
| Real Estate | | | | | | |
| GEMDALE CORP-(Fully funded Swap) | 15-Dec-23 | – | 1,073,000 | – | – | 1,073,000 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Electric Vehicle and Battery ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Consumer Discretionary | | | | | |
| BYD CO LTD -A | 1,097,131 | 19,400 | – | 326,731 | 789,800 |
| FUYAO GROUP GLASSIndustr-A | 1,225,900 | 1,051,000 | – | 408,200 | 1,868,700 |
| HUIZHOU DESAY SV AUTOMOTIV-A | – | 275,500 | – | 147,000 | 128,500 |
| NINGBO TUOPU GROUP CO LTD-A | 286,000 | 386,600 | – | 123,400 | 549,200 |
| SHENZHEN KEDALI INDUSTRY C-A | 141,919 | 184,000 | – | 266,400 | 59,519 |
| TIANNENG BATTERY GROUP CO -A | – | 1,404,487 | – | 207,506 | 1,196,981 |
| Industrials | | | | | |
| BEIJING EASPRING MATERIAL-A | 724,765 | 4,700 | – | 570,565 | 158,900 |
| CONTEMPORARY AMPEREX TECHN-A | 695,758 | 13,400 | 546,046 | 342,404 | 912,800 |
| EVE ENERGY CO LTD-A | 3,395,130 | 45,900 | – | 1,667,330 | 1,773,700 |
| GOTION HIGH-TECH CO LTD-A | 2,460,955 | 42,400 | – | 2,141,055 | 362,300 |
| GUANGZHOU GREAT POWER ENER-A | 319,740 | 213,833 | – | 533,573 | – |
| HONGFA TECHNOLOGY CO LTD-A | 639,898 | 523,480 | – | 221,700 | 941,678 |
| NINGBO RONBAY NEW ENERGY T-A | 1,027,213 | 20,464 | – | 919,749 | 127,928 |
| PYLON TECHNOLOGIES CO LTD-A | 127,931 | 72,265 | – | 174,608 | 25,588 |
| SHENZHEN INOVANCE TECHNOLO-A | 3,941,697 | 33,900 | – | 2,090,197 | 1,885,400 |
| SUNWODA ELECTRONIC CO LTD-A | 3,445,474 | 49,400 | – | 1,682,774 | 1,812,100 |
| WUXI LEAD INTELLIGENT EQUI-A | 887,206 | 864,800 | – | 855,200 | 896,806 |
| ZHEJIANG SANHUA INTELLIGEN-A | 1,494,852 | 1,851,100 | – | 2,742,600 | 603,352 |
| ZHUZHOU CRRC TIMES ELECTRI-A | 667,358 | 499,305 | – | 213,630 | 953,033 |
| Information Technology | | | | | |
| STARPOWER SEMICONDUCTOR LT-A | 111,128 | 400 | – | 111,528 | – |
| WUXI AUTOWELL TECHNOLOGY C-A | 96,673 | 119,119 | – | 185,892 | 29,900 |
| XIAMEN FARATRONIC CO LTD-A | 113,400 | 104,900 | – | 178,400 | 39,900 |
| Materials | | | | | |
| CANMAX TECHNOLOGIES CO LTD-A | 434,400 | 521,900 | 127,680 | 941,800 | 142,180 |
| CHENGXIN LITHIUM GROUP CO-A | 541,439 | 1,800 | – | 543,239 | – |
| CNGR ADVANCED MATERIAL CO -A | 1,163,081 | 10,400 | – | 1,009,381 | 164,100 |
| DO-FLUORIDE NEW MATERIALS -A | 620,500 | 813,500 | 243,360 | 1,463,900 | 213,460 |
| GANFENG LITHIUM GROUP CO L-A | 3,676,295 | 28,200 | – | 1,729,695 | 1,974,800 |
| GEM CO LTD-A | 4,095,964 | 3,508,800 | – | 4,926,500 | 2,678,264 |
| GUANGZHOU TINCI MATERIALS -A | 3,940,240 | 82,100 | – | 3,499,940 | 522,400 |
| NINGBO SHANSHAN CO LTD-A | 3,696,822 | 898,000 | – | 4,362,022 | 232,800 |
| SHANGHAI PUTAILAI NEW ENER-A | 1,449,379 | 19,500 | 639,845 | 1,016,024 | 1,092,700 |
| SHENZHEN CAPCHEM TECHNOLOG-A | 1,067,008 | 12,500 | – | 926,008 | 153,500 |
| SHENZHEN DYNANONIC CO LTD-A | 261,752 | 62,313 | 50,431 | 346,343 | 28,153 |
| SHENZHEN SENIOR TECHNOLOGY-A | 917,404 | 874,400 | – | 1,443,200 | 348,604 |
| SINOMINE RESOURCE GROUP CO-A | – | 934,000 | – | 806,000 | 128,000 |
| TIANQI LITHIUM CORP-A | 2,412,016 | 26,058 | – | 1,512,116 | 925,958 |
| YUNNAN ENERGY NEW MATERIAL-A | 400,663 | 602,100 | – | 822,100 | 180,663 |
| ZHEJIANG HUAYOU COBALT CO - A | 829,600 | 948,900 | – | 1,455,600 | 322,900 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Electric Vehicle and Battery ETF (continued)

| Financial assets at fair value through profit or loss | Maturity date | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|---------------|---------------------------------------|-----------|-------------------|-----------|--|
| Unlisted funded total return swaps | | | | | | |
| Consumer Discretionary | | | | | | |
| HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap) | 15-Nov-23 | – | 55,000 | – | – | 55,000 |
| HUIZHOU DESAY SV AUTOMOTIV-(Fully funded Swap) | 16-Feb-24 | – | 52,000 | – | – | 52,000 |
| SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap) | 15-Nov-23 | – | 100,000 | – | – | 100,000 |
| SHENZHEN KEDALI INDUSTRY C-(Fully funded Swap) | 16-Feb-24 | – | 110,000 | – | – | 110,000 |
| Industrials | | | | | | |
| BEIJING EASPRING MATERIAL-(Fully funded Swap) | 15-Nov-23 | – | 173,000 | – | – | 173,000 |
| BEIJING EASPRING MATERIAL-(Fully funded Swap) | 16-Feb-24 | – | 174,000 | – | – | 174,000 |
| GOTION HIGH-TECH CO LTD-(Fully funded Swap) | 15-Nov-23 | – | 632,000 | – | – | 632,000 |
| GOTION HIGH-TECH CO LTD-(Fully funded Swap) | 15-Dec-23 | – | 250,000 | – | – | 250,000 |
| GOTION HIGH-TECH CO LTD-(Fully funded Swap) | 16-Feb-24 | – | 265,000 | – | – | 265,000 |
| GUANGZHOU GREAT POWER ENER-(Fully funded Swap) | 10-Nov-23 | – | 212,700 | – | 212,700 | – |
| NINGBO RONBAY NEW ENERGY T-(Fully funded Swap) | 27-Mar-24 | – | 451,000 | – | – | 451,000 |
| PYLON TECHNOLOGIES CO LTD-(Fully funded Swap) | 15-Nov-23 | – | 137,000 | – | – | 137,000 |
| WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap) | 15-Nov-23 | – | 270,000 | – | – | 270,000 |
| WUXI LEAD INTELLIGENT EQUI-(Fully funded Swap) | 16-Feb-24 | – | 270,000 | – | – | 270,000 |
| ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap) | 21-Dec-23 | – | 928,000 | – | – | 928,000 |
| ZHEJIANG SANHUA INTELLIGEN-(Fully funded Swap) | 14-Mar-24 | – | 1,198,000 | – | – | 1,198,000 |
| Information Technology | | | | | | |
| WUXI AUTOWELL TECHNOLOGY C-(Fully funded Swap) | 15-Nov-23 | – | 148,000 | – | – | 148,000 |
| XIAMEN FARATRONIC CO LTD-(Fully funded Swap) | 14-Mar-24 | – | 138,000 | – | – | 138,000 |
| Materials | | | | | | |
| CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap) | 15-Dec-23 | – | 200,000 | – | – | 200,000 |
| CANMAX TECHNOLOGIES CO LTD-(Fully funded Swap) | 15-Nov-23 | – | 550,000 | – | – | 550,000 |
| CNGR ADVANCED MATERIAL CO-(Fully funded Swap) | 15-Dec-23 | – | 123,700 | – | – | 123,700 |
| CNGR ADVANCED MATERIAL CO-(Fully funded Swap) | 15-Nov-23 | – | 140,000 | – | – | 140,000 |
| CNGR ADVANCED MATERIAL CO-(Fully funded Swap) | 16-Feb-24 | – | 262,000 | – | – | 262,000 |
| DO-FLUORIDE NEW MATERIALS-(Fully funded Swap) | 10-Nov-23 | – | 415,300 | – | – | 415,300 |
| DO-FLUORIDE NEW MATERIALS-(Fully funded Swap) | 15-Nov-23 | – | 750,000 | – | – | 750,000 |
| GEM CO LTD-(Fully funded Swap) | 15-Nov-23 | – | 1,168,000 | – | – | 1,168,000 |
| GEM CO LTD-(Fully funded Swap) | 16-Feb-24 | – | 2,378,000 | – | – | 2,378,000 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Electric Vehicle and Battery ETF (continued)

| Financial assets at fair value through profit or loss | Maturity date | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|---------------|---------------------------------------|-----------|-------------------|-----------|--|
| Unlisted funded total return swaps | | | | | | |
| (continued) | | | | | | |
| Materials (continued) | | | | | | |
| GUANGZHOU TINCI MATERIALS-(Fully funded Swap) | 16-Feb-24 | – | 1,842,000 | – | – | 1,842,000 |
| NINGBO SHANSHAN CO LTD-(Fully funded Swap) | 06-Nov-23 | – | 2,491,800 | – | 860,000 | 1,631,800 |
| SHENZHEN CAPCHEM TECHNOLOG-(Fully funded Swap) | 16-Feb-24 | – | 492,000 | – | – | 492,000 |
| SHENZHEN DYNANONIC CO LTD-(Fully funded Swap) | 06-Nov-23 | – | 163,300 | 97,980 | 59,933 | 201,347 |
| SHENZHEN SENIOR TECHNOLOGY-(Fully funded Swap) | 16-Feb-24 | – | 1,120,000 | – | – | 1,120,000 |
| SINOMINE RESOURCE GROUP CO-(Fully funded Swap) | 15-Nov-23 | – | 670,000 | – | – | 670,000 |
| TIANQI LITHIUM CORP-(Fully funded Swap) | 15-Dec-23 | – | 451,000 | – | – | 451,000 |
| YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap) | 10-Nov-23 | – | 263,800 | – | – | 263,800 |
| YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap) | 29-Dec-23 | – | 40,000 | – | – | 40,000 |
| YUNNAN ENERGY NEW MATERIAL-(Fully funded Swap) | 16-Feb-24 | – | 325,000 | – | – | 325,000 |
| ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap) | 06-Nov-23 | – | 546,800 | – | – | 546,800 |
| ZHEJIANG HUAYOU COBALT CO-(Fully funded Swap) | 16-Feb-24 | – | 594,000 | – | – | 594,000 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Robotics and AI ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Communication Services | | | | | |
| BAIDU INC - SPON ADR | 11,749 | 71 | – | 2,972 | 8,848 |
| Consumer Discretionary | | | | | |
| ZHEJIANG SHUANGHUAN DRIVEL-A | – | 103,400 | – | 500 | 102,900 |
| Industrials | | | | | |
| DONGGUAN YIHEDA AUTOMATION-A | 46,794 | – | 8,518 | 55,312 | – |
| ESTUN AUTOMATION CO LTD-A | 152,400 | – | – | 61,500 | 90,900 |
| GUANGDONG CREATE CENTURY I-A | 407,300 | – | – | 407,300 | – |
| GUANGZHOU KDT MACHINERY CO-A | 95,000 | – | – | 95,000 | – |
| HAN'S LASER TECHNOLOGY IN-A | 237,374 | 1,700 | – | 95,674 | 143,400 |
| HEFEI MEIYA OPTOELECTRONIC | 75,300 | – | – | 75,300 | – |
| LEADER HARMONIOUS DRIVE SY-A | – | 20,000 | – | 100 | 19,900 |
| NARI TECHNOLOGY DEVELOPMEN-A | 412,565 | 2,700 | 75,513 | 106,578 | 384,200 |
| SHENZHEN INOVANCE TECHNOLO-A | 148,649 | 1,100 | – | 23,749 | 126,000 |
| SHENZHEN YINGHE TECHNOLOGY-A | 102,533 | – | – | 102,533 | – |
| SHENZHEN ZHAOWEI MACHINERY-A | 40,800 | – | – | 40,800 | – |
| SIASUN ROBOT & AUTOMATION-A | – | 179,500 | – | 800 | 178,700 |
| WUXI LEAD INTELLIGENT EQUI-A | 259,320 | 800 | – | 260,120 | – |
| XI'AN BRIGHT LASER TECHNOL-A | – | 16,600 | – | 100 | 16,500 |
| Information Technology | | | | | |
| BEIJING KINGSOFT OFFICE SO-A | 34,429 | 200 | – | 12,729 | 21,900 |
| CASTECH INC -A | 87,000 | – | – | 87,000 | – |
| HANGZHOU HIKVISION DIGITAL-A | 284,400 | 17,700 | – | 42,000 | 260,100 |
| HOLLYSYS AUTOMATION TECHNOLO | 16,352 | – | – | 16,352 | – |
| HUAGONG TECH CO LTD-A | – | 124,300 | – | – | 124,300 |
| IFLYTEK CO LTD | 225,739 | 1,400 | – | 48,539 | 178,600 |
| INSPUR ELECTRONIC INFORMAT-A | – | 167,500 | – | 700 | 166,800 |
| MONTAGE TECHNOLOGY CO LTD-A | – | 110,400 | – | 400 | 110,000 |
| OPT MACHINE VISION TECH CO-A | – | 19,200 | – | 100 | 19,100 |
| SHANGHAI FRIENDESS ELECTRO-A | 12,897 | – | – | 5,097 | 7,800 |
| SUZHOU TFC OPTICAL COMMUNI-A | – | 38,100 | – | – | 38,100 |
| THUNDER SOFTWARE TECHNOLOG-A | 107,176 | 900 | – | 39,876 | 68,200 |
| WUHAN DR LASER TECHNOLOGY -A | 37,604 | – | 20,762 | 21,466 | 36,900 |
| WUHAN JINGCE ELECTRONIC GR-A | 63,446 | 300 | – | 27,146 | 36,600 |
| WUHAN RAYCUS FIBER LASER T-A | 79,500 | – | – | 79,500 | – |
| WUXI AUTOWELL TECHNOLOGY C-A | 36,394 | 200 | – | 14,594 | 22,000 |
| ZHEJIANG DAHUA TECHNOLOGY-A | 668,215 | 3,900 | – | 246,215 | 425,900 |
| ZHEJIANG SUPCON TECHNOLOGY-A | 82,477 | 700 | 33,877 | 31,254 | 85,800 |
| ZHONGJI INNOLIGHT CO LTD-A | – | 84,300 | – | 400 | 83,900 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Semiconductor ETF

| Financial assets at fair value through profit or loss | Maturity date | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|---------------|---------------------------------------|-----------|-------------------|-----------|--|
| Listed equities | | | | | | |
| Information Technology | | | | | | |
| 3PEAK INC-A | | 32,731 | 18,781 | – | 25,212 | 26,300 |
| ACM RESEARCH SHANGHAI I-A | | – | 141,400 | – | 300 | 141,100 |
| ADVANCED MICRO-FABRICATION-A | | 169,672 | 21,727 | – | 120,699 | 70,700 |
| ASMPT LTD | | – | 178,026 | – | – | 178,026 |
| BOE TECHNOLOGY GROUP CO LT-A | | 9,139,300 | – | – | 1,057,400 | 8,081,900 |
| CHANGSHA JINGJIA MICROELEC-A | | 163,100 | – | – | 163,100 | – |
| GALAXYCORE INC-A | | – | 603,800 | – | – | 603,800 |
| GIGADEVICE SEMICONDUCTOR B-A | | 289,927 | 56,700 | – | 265,500 | 81,127 |
| HANGZHOU CHANG CHUAN TECHN-A | | 247,576 | 29,200 | – | 21,600 | 255,176 |
| HANGZHOU LION ELECTRONICS -A | | 221,401 | 21,300 | – | 181,000 | 61,701 |
| HANGZHOU SILAN MICROELECTR-A | | 453,089 | 29,900 | – | 38,400 | 444,589 |
| HUA HONG SEMICONDUCTOR LTD 1347 | | 349,692 | – | – | 349,692 | – |
| JCET GROUP CO LTD | | 713,839 | 82,300 | – | 61,200 | 734,939 |
| MAXSCEND MICROELECTRONICS -A | | 206,368 | 14,500 | – | 17,200 | 203,668 |
| MONTAGE TECHNOLOGY CO LTD-A | | 344,696 | 50,647 | – | 268,243 | 127,100 |
| NAURA TECHNOLOGY GROUP CO-A | | 142,404 | – | – | 22,004 | 120,400 |
| NAVTECH INC-A | | – | 326,500 | – | – | 326,500 |
| SEMICONDUCTOR MANUFACTURING | | | | | | |
| INTL CORP -A | | 865,044 | – | – | 253,944 | 611,100 |
| SG MICRO CORP-A | | 129,475 | 16,400 | 38,002 | 105,000 | 78,877 |
| SHANGHAI FUDAN MICROELE-DO-A | | 176,740 | – | – | 176,740 | – |
| SHENZHEN SC NEW ENERGY TEC-A | | 136,484 | 38,000 | – | 12,000 | 162,484 |
| STARPOWER SEMICONDUCTOR LT-A | | 86,255 | – | – | 76,255 | 10,000 |
| TCL CORP-A | | 7,889,813 | – | 775,331 | 8,665,144 | – |
| TIANSHUI HUATIAN TECHNOLOG-A | | 1,233,989 | 80,100 | – | 1,011,200 | 302,889 |
| TONGFU MICROELECTRONIC CO-A | | 465,100 | 11,100 | – | 354,400 | 121,800 |
| UNIGROUP GUOXIN MICROELECT-A | | 351,542 | 13,200 | – | 280,300 | 84,442 |
| WILL SEMICONDUCTOR CO LTD-A | | 356,143 | 21,500 | – | 30,200 | 347,443 |
| WINGTECH TECHNOLOGY CO LTD-A | | 361,346 | 46,400 | – | 31,900 | 375,846 |
| ZHEJIANG JINGSHENG MECHAN-A | | 359,296 | 22,100 | – | 29,600 | 351,796 |
| Unlisted funded total return swaps | | | | | | |
| Information Technology | | | | | | |
| 3PEAK INC-(Fully funded Swap) | 14-Mar-24 | – | 22,500 | – | – | 22,500 |
| ADVANCED MICRO-FABRICATION-(Fully funded Swap) | 27-Oct-23 | – | 106,000 | – | – | 106,000 |
| GIGADEVICE SEMICONDUCTO-CL-(Fully funded Swap) | 15-Nov-23 | – | 241,000 | – | – | 241,000 |
| HANGZHOU LION ELECTRONICS-(Fully funded Swap) | 15-Dec-23 | – | 161,800 | – | – | 161,800 |
| MONTAGE TECHNOLOGY CO LTD-(Fully funded Swap) | 27-Oct-23 | – | 238,800 | – | – | 238,800 |
| SG MICRO CORP-(Fully funded Swap) | 15-Nov-23 | – | 92,000 | – | – | 92,000 |
| STARPOWER SEMICONDUCTOR LT-(Fully funded Swap) | 16-Feb-24 | – | 28,000 | – | – | 28,000 |
| TIANSHUI HUATIAN TECHNOLOG-(Fully funded Swap) | 16-Feb-24 | – | 908,000 | – | – | 908,000 |
| TONGFU MICROELECTRONIC CO-(Fully funded Swap) | 15-Dec-23 | – | 316,000 | – | – | 316,000 |
| UNIGROUP GUOXIN MICROELECT-(Fully funded Swap) | 15-Nov-23 | – | 166,000 | – | – | 166,000 |
| UNIGROUP GUOXIN MICROELECT-(Fully funded Swap) | 16-Feb-24 | – | 85,000 | – | – | 85,000 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Global Leaders ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Consumer Discretionary | | | | | |
| BYD CO LTD-H | 18,652 | – | – | 18,652 | – |
| HAIER SMART HOME CO LTD-H | 53,815 | – | – | 13,243 | 40,572 |
| HUALI INDUSTRIAL GROUP CO -A | 20,500 | – | – | 20,500 | – |
| MIDEA GROUP CO LTD-A | – | 58,900 | – | 7,200 | 51,700 |
| SHENZHOU INTERNATIONAL GROUP | 18,598 | – | – | 4,442 | 14,156 |
| Consumer Staples | | | | | |
| BUDWEISER BREWING CO APAC LT | 39,102 | – | – | 9,463 | 29,639 |
| WH GROUP LTD | 182,824 | – | – | 182,824 | – |
| Health Care | | | | | |
| SHENZHEN MINDRAY BIO-MEDIC-A | 10,000 | 200 | – | 2,600 | 7,600 |
| WUXI BIOLOGICS CAYMAN INC | 59,244 | 18,145 | – | 13,000 | 64,389 |
| Industrials | | | | | |
| CHINA CSSC HOLDINGS LTD-A | 36,000 | – | – | 36,000 | – |
| CK HUTCHISON HOLDINGS LTD | 62,010 | 731 | – | 15,832 | 46,909 |
| EVE ENERGY CO LTD-A | 27,800 | – | – | 27,800 | – |
| SANY HEAVY INDUSTRY CO LTD-A | – | 109,000 | – | 13,200 | 95,800 |
| TECHTRONIC INDUSTRIES CO | 31,979 | – | – | 8,482 | 23,497 |
| XCMG CONSTRUCTION MACHIN-A | – | 210,700 | – | 25,200 | 185,500 |
| Information Technology | | | | | |
| AVARY HOLDING SHENZHEN CO -A | 11,500 | – | – | 3,100 | 8,400 |
| BOE TECHNOLOGY GROUP CO LT-A | 686,500 | 6,600 | – | 128,400 | 564,700 |
| GIGADEVICE SEMICONDUCTOR B-A | 11,620 | – | – | 3,320 | 8,300 |
| GOERTEK INC -A | 56,800 | – | – | 17,500 | 39,300 |
| HANGZHOU HIKVISION DIGITAL-A | 88,800 | 14,100 | – | 18,300 | 84,600 |
| JCET GROUP CO LTD | – | 21,800 | – | 2,700 | 19,100 |
| LENOVO GROUP LTD | 144,803 | 4,402 | – | 35,177 | 114,028 |
| LENS TECHNOLOGY CO LTD-A | 36,300 | – | – | 36,300 | – |
| LONGI GREEN ENERGY TECHNOL-A | 85,284 | 33,100 | – | 19,900 | 98,484 |
| LUXSHARE PRECISION INDUSTR-A | 101,400 | 700 | – | 35,000 | 67,100 |
| MONTAGE TECHNOLOGY CO LTD-A | – | 11,400 | – | 1,362 | 10,038 |
| SEMICONDUCTOR MANUFACTURING INTL CORP | 97,580 | – | – | 32,204 | 65,376 |
| SHENZHEN TRANSSION HOLDING-A | – | 6,900 | – | 828 | 6,072 |
| TCL TECHNOLOGY GROUP CORP | 313,500 | – | 31,350 | 113,750 | 231,100 |
| WILL SEMICONDUCTOR CO LTD-A | 14,065 | – | – | 4,465 | 9,600 |
| WINGTECH TECHNOLOGY CO LTD-A | 14,200 | – | – | 4,000 | 10,200 |
| XIAOMI CORP-CLASS B | 360,162 | 2,083 | – | 105,386 | 256,859 |
| YEALINK NETWORK TECHNOLOGY-A | 11,000 | – | 4,240 | 15,240 | – |
| ZHEJIANG DAHUA TECHNOLOGY-A | – | 49,900 | – | 6,000 | 43,900 |
| ZHONGJI INNOLIGHT CO LTD-A | – | 9,500 | – | 1,200 | 8,300 |
| Materials | | | | | |
| ZHEJIANG HUAYOU COBALT CO - A | 23,740 | 300 | – | 4,500 | 19,540 |
| Real Estate | | | | | |
| ESR GROUP LTD | 56,732 | – | – | 56,732 | – |
| Utilities | | | | | |
| CK INFRASTRUCTURE HOLDINGS LTD | 11,099 | 2,131 | – | 2,500 | 10,730 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Innovator Active ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Communication Services | | | | | |
| BAIDU INC-CLASS A | – | 14,113 | – | 7,450 | 6,663 |
| NETEASE INC | 13,552 | 1,000 | – | 12,300 | 2,252 |
| TENCENT HOLDINGS LTD | 30,670 | 3,000 | – | 29,400 | 4,270 |
| Consumer Discretionary | | | | | |
| ALIBABA GROUP HOLDING LTD | 120,582 | 12,000 | – | 118,201 | 14,381 |
| BYD CO LTD-H | 67,532 | 5,000 | – | 67,094 | 5,438 |
| FUYAO GLASS INDUSTRY GROUP-H | 87,200 | 13,037 | – | 84,800 | 15,437 |
| LI NING CO LTD | 31,979 | 5,000 | – | 36,979 | – |
| MEITUAN-CLASS B | 42,463 | 4,000 | – | 41,704 | 4,759 |
| MIDEA GROUP CO LTD-A | 53,400 | 8,300 | – | 51,300 | 10,400 |
| PDD HOLDINGS INC | 3,449 | 1,630 | – | 3,945 | 1,134 |
| ZHEJIANG SHUANGHUAN DRIVEL-A | 52,001 | 5,000 | – | 49,000 | 8,001 |
| Consumer Staples | | | | | |
| PING AN HEALTHCARE AND TECHNOLOGY CO LTD | 104,115 | 10,000 | – | 98,500 | 15,615 |
| Financials | | | | | |
| EAST MONEY INFORMATION CO-A | 214,780 | 21,000 | 47,156 | 244,600 | 38,336 |
| HITHINK ROYALFLUSH INFORMA-A | – | 5,400 | – | 2,200 | 3,200 |
| Health Care | | | | | |
| HANGZHOU TIGERMED CONSULTI-A | 20,600 | 2,000 | – | 19,600 | 3,000 |
| JIANGSU HENGRUI MEDICINE C-A | 82,100 | 18,400 | – | 84,700 | 15,800 |
| SHENZHEN MINDRAY BIO-MEDIC-A | 11,000 | 1,000 | – | 9,800 | 2,200 |
| SINO BIOPHARMACEUTICAL | 337,750 | 30,000 | – | 314,000 | 53,750 |
| WUXI APPTec CO LTD-H | 42,227 | 4,000 | – | 43,170 | 3,057 |
| WUXI BIOLOGICS CAYMAN INC | 102,406 | 10,000 | – | 98,000 | 14,406 |
| Industrials | | | | | |
| CONTEMPORARY AMPEREX TECHN-A | 22,214 | 6,000 | 17,611 | 40,600 | 5,225 |
| ESTUN AUTOMATION CO LTD-A | 29,000 | 3,000 | – | 28,300 | 3,700 |
| EVE ENERGY CO LTD-A | 43,161 | 4,000 | – | 47,161 | – |
| GUANGDONG KINLONG HARDWARE-A | 15,100 | 1,000 | – | 16,100 | – |
| GUANGZHOU KDT MACHINERY CO-A | 181,760 | 18,000 | – | 173,900 | 25,860 |
| HAN'S LASER TECHNOLOGY IN-A | 111,428 | 11,000 | – | 122,428 | – |
| JIANGSU HENGLI HIGHPRESSUR-A | – | 12,500 | – | 6,400 | 6,100 |
| L.K. TECH HLDG LTD COMMON SHARES | 293,767 | 25,000 | – | 265,000 | 53,767 |
| NARI TECHNOLOGY DEVELOPMEN-A | 161,390 | 16,000 | 6,798 | 163,000 | 21,188 |
| NINGBO ORIENT WIRES & CABL-A | 21,200 | 2,000 | – | 19,600 | 3,600 |
| RIYUE HEAVY INDUSTRY CO LT-A | 78,700 | 8,000 | – | 75,100 | 11,600 |
| S F HOLDING CO LTD-A | 28,000 | 3,000 | – | 31,000 | – |
| SHENZHEN ENVICOOL TECHNOLO-A | – | 27,500 | – | 14,400 | 13,100 |
| SHENZHEN INOVANCE TECHNOLO-A | 43,950 | 4,000 | – | 41,200 | 6,750 |
| SUNGROW POWER SUPPLY CO LT-A | 14,500 | 4,500 | – | 15,700 | 3,300 |
| WUXI LEAD INTELLIGENT EQUI-A | 136,760 | 25,800 | – | 135,500 | 27,060 |
| ZTO EXPRESS CAYMAN INC-ADR | 17,446 | 1,690 | – | 17,856 | 1,280 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Innovator Active ETF (continued)

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities (continued) | | | | | |
| Information Technology | | | | | |
| BEIJING KINGSOFT OFFICE SO-A | – | 796 | – | – | 796 |
| BOE TECHNOLOGY GROUP CO LT-A | 411,900 | 40,000 | – | 451,900 | – |
| BYD ELECTRONIC INTL CO LTD | 127,200 | 20,752 | – | 125,000 | 22,952 |
| GUANGZHOU SIE CONSULTING C-A | – | 24,000 | – | 12,800 | 11,200 |
| HUA HONG SEMICONDUCTOR LTD | 222,000 | 20,000 | – | 234,769 | 7,231 |
| IFLYTEK CO LTD | 70,995 | 13,600 | – | 78,000 | 6,595 |
| LENOVO GROUP LTD | – | 47,202 | – | 2,000 | 45,202 |
| LONGI GREEN ENERGY TECHNOL-A | 184,944 | 18,000 | – | 189,500 | 13,444 |
| LONGSHINE TECHNOLOGY GROUP-A | – | 30,200 | – | 16,000 | 14,200 |
| LUXSHARE PRECISION INDUSTR-A | 54,000 | 5,000 | – | 50,700 | 8,300 |
| SANGFOR TECHNOLOGIES INC-A | 12,400 | 1,000 | – | 11,200 | 2,200 |
| SHANGHAI AWINIC TECHNOLOGY-A | – | 9,900 | – | 4,191 | 5,709 |
| TONGWEI CO LTD-A | 19,200 | 2,000 | – | 19,000 | 2,200 |
| XINYI SOLAR HLDGS | 313,265 | 40,000 | – | 353,265 | – |
| YONYOU NETWORK TECHNOLOGY CO LTD | 48,600 | 5,000 | – | 47,000 | 6,600 |
| Materials | | | | | |
| GANFENG LITHIUM GROUP CO LTD-H | 72,880 | 8,000 | – | 80,880 | – |
| ZHEJIANG HUAYOU COBALT CO - A | – | 5,900 | – | – | 5,900 |
| ZIJIN MINING GROUP CO LTD-H | – | 76,068 | – | 32,000 | 44,068 |
| Real Estate | | | | | |
| KE HOLDINGS INC-CL A | – | 12,412 | – | – | 12,412 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Asia Semiconductor ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Consumer Discretionary | | | | | |
| SONY GROUP CORP | 10,405 | 2,118 | – | 1,433 | 11,090 |
| Health Care | | | | | |
| HOYA CORP NPV | 2,445 | 265 | – | 538 | 2,172 |
| Industrials | | | | | |
| HITACHI LTD NPV | 6,223 | 669 | – | 1,380 | 5,512 |
| MINEBEA MITSUMI INC | 2,370 | 223 | – | 492 | 2,101 |
| Information Technology | | | | | |
| ACM RESEARCH SHANGHAI I-A | – | 4,100 | – | – | 4,100 |
| ADVANCED MICRO-FABRICATION-A | 4,275 | 925 | – | 592 | 4,608 |
| ADVANTEST CORP NPV | 1,276 | 138 | 3,414 | 276 | 4,552 |
| ASE TECHNOLOGY HOLDINGS CO LTD | 53,542 | 8,777 | – | 7,134 | 55,185 |
| BOE TECHNOLOGY GROUP CO LT-A | 436,020 | 166,000 | – | 68,930 | 533,090 |
| CANON INC NPV | 6,400 | 693 | – | 1,480 | 5,613 |
| DISCO CORP | 615 | 68 | – | 134 | 549 |
| FUJITSU LIMITED | 1,250 | 135 | – | 283 | 1,102 |
| GIGADEVICE SEMICONDUCTOR B-A | 7,348 | 2,000 | – | 1,076 | 8,272 |
| GLOBALWAFERS CO LTD | 3,662 | 494 | – | 476 | 3,680 |
| HANGZHOU SILAN MICROELECTR-A | 11,407 | 1,000 | – | 12,407 | – |
| JCET GROUP CO LTD | 17,977 | 3,400 | – | 2,452 | 18,925 |
| LASERTEC CORP NPV | 520 | 48 | – | 104 | 464 |
| LONGI GREEN ENERGY TECHNOL-A | 82,436 | 10,800 | – | 10,686 | 82,550 |
| MAXSCEND MICROELECTRONICS -A | 5,162 | 700 | – | 680 | 5,182 |
| MEDIATEK INC | 27,156 | 2,918 | – | 4,331 | 25,743 |
| MONTAGE TECHNOLOGY CO LTD-A | 8,689 | 2,011 | – | 1,220 | 9,480 |
| NANYA TECHNOLOGY CORPORATION | – | 22,069 | – | 2,528 | 19,541 |
| NAURA TECHNOLOGY GROUP CO-A | 3,847 | 600 | – | 520 | 3,927 |
| NOVATEK MICROELECTRONICS COR | 9,769 | 1,324 | – | 1,269 | 9,824 |
| PEGATRON CORP | – | 39,348 | – | 4,505 | 34,843 |
| QUANTA COMPUTER INC | 48,456 | 6,535 | – | 6,297 | 48,694 |
| RENESAS ELECTRONICS CORP NPV | 7,967 | 864 | – | 1,669 | 7,162 |
| ROHM CO LTD NPV | 577 | 54 | 1,539 | 118 | 2,052 |
| SAMSUNG ELECTRONICS CO LTD | 20,170 | 2,195 | – | 4,741 | 17,624 |
| SEMICONDUCTOR MANUFACTURING INTL CORP | 62,071 | 7,708 | – | 7,989 | 61,790 |
| SG MICRO CORP-A | 3,289 | 300 | 1,046 | 4,635 | – |
| SILERGY CORP | 5,633 | 536 | – | 6,169 | – |
| SK HYNIX INC | 11,708 | 1,257 | – | 2,067 | 10,898 |
| STARPOWER SEMICONDUCTOR LT-A | 2,216 | 200 | – | 2,416 | – |
| TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD | 54,565 | 7,816 | – | 7,142 | 55,239 |
| TCL TECHNOLOGY GROUP CORP | 198,932 | 35,300 | 19,893 | 29,110 | 225,015 |
| TDK CORP NPV | 2,589 | 244 | – | 526 | 2,307 |
| TOKYO ELECTRON LTD NPV | 3,078 | 330 | – | 637 | 2,771 |
| UNIGROUP GUOXIN MICROELECT-A | 8,717 | 1,000 | – | 1,120 | 8,597 |
| UNIMICRON TECHNOLOGY CORP | – | 23,769 | – | 2,721 | 21,048 |
| UNITED MICROELECTRONICS CORP | 205,370 | 28,024 | – | 26,721 | 206,673 |
| WILL SEMICONDUCTOR CO LTD-A | 8,808 | 1,300 | – | 1,164 | 8,944 |
| WINGTECH TECHNOLOGY CO LTD-A | 9,115 | 1,800 | – | 1,253 | 9,662 |
| ZHEJIANG JINGSHENG MECHANI-A | 9,009 | 1,200 | – | 1,173 | 9,036 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China E-Commerce and Logistics ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Consumer Discretionary | | | | | |
| ALIBABA GROUP HOLDING-SP ADR | 3,012 | 91 | – | 1,583 | 1,520 |
| JD.COM INC-ADR JD.COM INC-ADR | 6,174 | 579 | – | 2,538 | 4,215 |
| MEITUAN-CLASS B | 15,364 | 780 | – | 7,556 | 8,588 |
| PDD HOLDINGS INC | 2,914 | 92 | – | 1,570 | 1,436 |
| VIPSHOP HOLDINGS LTD - ADS | 17,220 | 537 | – | 8,580 | 9,177 |
| ZHEJIANG CHINA COMMODITIES-A | – | 47,600 | – | – | 47,600 |
| Consumer Staples | | | | | |
| DADA NEXUS LTD-ADR | 10,087 | 269 | – | 10,356 | – |
| Energy | | | | | |
| CHINA MERCHANTS ENERGY -A | – | 49,100 | – | – | 49,100 |
| Industrials | | | | | |
| CHINA COSCO HOLDINGS-A | 157,400 | 4,500 | – | 68,500 | 93,400 |
| CHINA MERCHANTS EXPRESSWAY-A | 103,000 | 36,000 | – | 42,600 | 96,400 |
| CHINA RAILWAY MATERIALS CO-A | 67,000 | 1,900 | – | 35,500 | 33,400 |
| CHINA RAILWAY SPECIAL CARG-A | – | 39,400 | – | – | 39,400 |
| DAQIN RAILWAY CO LTD -A | 195,800 | 5,600 | – | 96,300 | 105,100 |
| DEPPON LOGISTICS CO LTD -A | 8,200 | 800 | – | 3,600 | 5,400 |
| FULL TRUCK ALLIANCE -SPN ADR | 34,368 | 1,020 | – | 17,239 | 18,149 |
| GUANGSHEN RAILWAY CO LTD-A | – | 57,500 | – | – | 57,500 |
| JD LOGISTICS INC | 94,784 | 2,717 | – | 48,084 | 49,417 |
| JIANGSU EXPRESSWAY CO LTD-A | 18,700 | 600 | – | 9,500 | 9,800 |
| MILKYWAY CHEMICAL SUPPLY C-A | 3,300 | 100 | – | 3,400 | – |
| MTR CORP | 49,119 | 4,648 | – | 21,000 | 32,767 |
| ORIENT OVERSEAS INTL LTD | 5,234 | 1,180 | – | 3,688 | 2,726 |
| PACIFIC BASIN SHIPPING LTD | 209,048 | 4,667 | – | 213,715 | – |
| S F HOLDING CO LTD-A | 33,100 | 4,300 | – | 13,800 | 23,600 |
| SHANDONG HI-SPEED CO LTD -A | 45,400 | 1,300 | – | 22,800 | 23,900 |
| SHANGHAI INTERNATIONAL AIR-A | – | 23,000 | – | – | 23,000 |
| SHANGHAI ZHONGGU LOGISTICS-A | – | 38,800 | – | – | 38,800 |
| SHENZHEN AIRPORT CO-A | 33,400 | 1,000 | – | 34,400 | – |
| SHENZHEN INTL HOLDINGS | 53,076 | 1,521 | – | 54,597 | – |
| SINOTRANS LIMITED-A | – | 23,600 | – | – | 23,600 |
| SITC INTERNATIONAL HOLDINGS | 51,003 | 4,472 | – | 29,004 | 26,471 |
| XIAMEN C & D INC-A | 60,700 | 2,000 | – | 30,800 | 31,900 |
| XIAMEN XIANGYU CO LTD-A | 37,700 | 1,100 | – | 38,800 | – |
| YTO EXPRESS GROUP CO LTD-A | 54,500 | 1,600 | – | 28,000 | 28,100 |
| YUNDA HOLDING CO LTD-A | 47,500 | 1,600 | – | 24,100 | 25,000 |
| ZTO EXPRESS CAYMAN INC-ADR | 9,707 | 304 | – | 4,418 | 5,593 |
| Information Technology | | | | | |
| CHINA TRANSINFO TECHNOLOGY-A | 47,500 | 1,600 | – | 30,700 | 18,400 |
| Real Estate | | | | | |
| XIANGCAI CO LTD-A | 58,100 | 1,900 | – | 60,000 | – |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

China Games and Entertainment ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Communication Services | | | | | |
| 37 INTERACTIVE ENTERTAINME-A | 19,800 | 9,800 | – | 7,200 | 22,400 |
| BEIJING ENLIGHT MEDIA CO L-A | 19,600 | 19,400 | – | 8,400 | 30,600 |
| BEIJING JETSEN TECHNOLOGY-A | 49,700 | 22,500 | – | 17,800 | 54,400 |
| BILIBILI INC-SPONSORED ADR | 6,624 | 2,886 | – | 2,356 | 7,154 |
| CHINA LITERATURE LTD | 7,996 | 3,341 | – | 2,800 | 8,537 |
| CHINA RUYI HOLDINGS LTD | 115,803 | 59,557 | – | 44,000 | 131,360 |
| CHINESE UNIVERSE PUBLISHIN-A | 11,100 | 4,900 | – | 3,900 | 12,100 |
| G-BITS NETWORK TECHNOLOGY-A | 800 | 400 | – | 300 | 900 |
| GIANT NETWORK GROUP CO LTD-A | 15,900 | 7,600 | – | 5,700 | 17,800 |
| IQIYI INC-ADR IQ | 10,385 | 4,733 | – | 3,720 | 11,398 |
| KINGNET NETWORK CO LTD-A | 24,200 | 15,500 | – | 9,200 | 30,500 |
| MANGO EXCELLENT MEDIA CO L-A | 11,200 | 4,900 | – | 3,900 | 12,200 |
| NETEASE INC-ADR | 2,487 | 10 | – | 1,161 | 1,336 |
| OURPALM CO LTD-A | – | 64,300 | – | 8,100 | 56,200 |
| PERFECT WORLD CO LTD-A | 26,100 | 13,100 | – | 9,500 | 29,700 |
| SOUTHERN PUBLISHING & MEDI-A | – | 7,400 | – | 900 | 6,500 |
| STAR CM HOLDINGS LTD | 1,228 | – | – | 1,228 | – |
| TENCENT HOLDINGS LTD | 4,877 | 35 | – | 1,748 | 3,164 |
| TENCENT MUSIC ENTERTAINM-ADR TME | 17,430 | 4,825 | – | 5,852 | 16,403 |
| WANDA FILM HOLDING CO LTD-A | 20,100 | 14,300 | – | 7,800 | 26,600 |
| WEIBO CORP-SPON ADR | 2,875 | – | – | 2,875 | – |
| ZHEJIANG CENTURY HUATONG -A | 91,000 | 44,400 | – | 33,100 | 102,300 |
| ZHEJIANG JINKE CULTURE IND-A | 52,300 | 24,200 | – | 18,900 | 57,600 |
| ZHEJIANG PUBLISHING & MEDI-A | – | 29,300 | – | 3,700 | 25,600 |
| Consumer Discretionary | | | | | |
| ATOUR LIFESTYLE HOLDINGS-ADR | 2,140 | 18 | – | 1,810 | 348 |
| BTG HOTELS GROUP CO LTD-A | 12,000 | – | – | 10,200 | 1,800 |
| HUAZHU GROUP LTD-ADR | 4,265 | 15 | – | 3,242 | 1,038 |
| MEITUAN-CLASS B | 12,694 | 88 | – | 12,782 | – |
| SHANGHAI JINJIANG INTERNET-A | 8,700 | 100 | – | 7,300 | 1,500 |
| SONGCHENG PERFORMANCE DEVELOPMENT CO LTD | 27,200 | 12,000 | – | 9,600 | 29,600 |
| TONGCHENG TRAVEL HOLDINGS LTD | 23,753 | – | – | 19,723 | 4,030 |
| TRIP.COM GROUP LTD | 5,711 | 20 | – | 3,547 | 2,184 |
| Industrials | | | | | |
| FOSUN INTERNATIONAL | 42,969 | 532 | – | 43,501 | – |
| Information Technology | | | | | |
| BEIJING ULTRAPOWERS SOFTWARE-A | – | 38,800 | – | 4,900 | 33,900 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

FinTech ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Financials | | | | | |
| ADYEN NV ADYEN | 65 | 3 | – | 8 | 60 |
| AFFIRM HOLDINGS INC | 1,207 | 61 | – | 151 | 1,117 |
| AVANTAX INC | 249 | 12 | – | 31 | 230 |
| BAKKT HOLDINGS INC | 395 | – | – | 49 | 346 |
| BLOCK INC SQ | 1,195 | 60 | – | 150 | 1,105 |
| COINBASE GLOBAL INC -CLASS A | 923 | 47 | – | 116 | 854 |
| DLOCAL LTD/URUGUAY | 836 | 42 | – | 105 | 773 |
| FIDELITY NATIONAL INFO SERV FIS | 1,459 | 74 | – | 183 | 1,350 |
| FISERV INC | 796 | 41 | – | 100 | 737 |
| FLYWIRE CORP-VOTING | 539 | 27 | – | 68 | 498 |
| GALAXY DIGITAL HOLDINGS LTD | 535 | – | – | 67 | 468 |
| GLOBAL PAYMENTS INC COM NPV | 631 | 32 | – | 79 | 584 |
| HUB24 LTD | 413 | 21 | – | 52 | 382 |
| HYPOPORT SE | 35 | 2 | – | 4 | 33 |
| I3 VERTICALS INC-CLASS A IIIV | 119 | – | – | 15 | 104 |
| JACK HENRY & ASSOCIATES INC COM | 377 | 19 | – | 47 | 349 |
| KAKAO PAY CORP | 692 | 35 | – | 87 | 640 |
| LEMONADE INC | 357 | 18 | – | 45 | 330 |
| LENDINGCLUB CORP | 550 | 28 | – | 69 | 509 |
| LENDINGTREE INC TREE | 66 | – | – | 8 | 58 |
| LEONTEQ AG LEON | 98 | 4 | – | 12 | 90 |
| LUFAX HOLDING LTD | 11,799 | 594 | – | 1,479 | 10,914 |
| NEXI SPA NEXI | 6,767 | 340 | – | 848 | 6,259 |
| NUVEI CORP-SUBORDINATE VTG | 336 | 17 | – | 42 | 311 |
| OPEN LENDING CORP - CL A | 652 | 33 | – | 82 | 603 |
| PAGSEGURO DIGITAL LTD-CL A | 1,031 | 52 | – | 129 | 954 |
| PAYMENTUS HOLDINGS INC-A | 101 | – | – | 13 | 88 |
| PAYONEER GLOBAL INC | 1,808 | 91 | – | 227 | 1,672 |
| PAYPAL HOLDINGS INC | 1,258 | 64 | – | 158 | 1,164 |
| PAYSAFE LTD | 314 | 14 | – | 39 | 289 |
| QIWI PLC-SPONSORED ADR | 738 | – | – | – | 738 |
| SHIFT4 PAYMENTS INC-CLASS A | 274 | 13 | – | 34 | 253 |
| SOFI TECHNOLOGIES INC | 4,790 | 241 | – | 600 | 4,431 |
| STONECO LTD-A STNE | 1,449 | 73 | – | 182 | 1,340 |
| TOAST INC-CLASS A | 1,821 | 92 | – | 228 | 1,685 |
| UPSTART HOLDINGS INC | 420 | 22 | – | 53 | 389 |
| VIRTU FINANCIAL INC-CLASS A | 515 | 20 | – | 65 | 470 |
| WEALTHNAVI INC | 251 | – | – | 31 | 220 |
| WISE PLC - A | 5,285 | 265 | – | 662 | 4,888 |
| YEAHKA LTD | 2,302 | 115 | – | 288 | 2,129 |
| ZIP CO LTD | 3,966 | – | – | 497 | 3,469 |
| Health Care | | | | | |
| HEALTH EQUITY INC HQY | 437 | 22 | – | 55 | 404 |
| Industrials | | | | | |
| SS&C TECHNOLOGIES HOLDINGS | 1,182 | 59 | – | 148 | 1,093 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

FinTech ETF (continued)

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities (continued) | | | | | |
| Information Technology | | | | | |
| ACI WORLDWIDE INC COM | 580 | 30 | – | 73 | 537 |
| BILL HOLDINGS INC | 549 | 28 | – | 69 | 508 |
| BLACK KNIGHT INC | 805 | – | (704) | 101 | – |
| BLEND LABS INC-A | 1,135 | – | – | 142 | 993 |
| ENVESTNET INC ENVESTNET INC | 286 | 14 | – | 36 | 264 |
| GUIDEWIRE SOFTWARE INC | 423 | 21 | – | 53 | 391 |
| HUT 8 MINING CORP | 1,012 | – | – | 127 | 885 |
| INTUIT INC | 233 | 11 | – | 29 | 215 |
| IRESS LTD NPV | 952 | 48 | – | 119 | 881 |
| LINKLOGIS INC-CLASS B | 10,413 | – | – | 1,305 | 9,108 |
| MARATHON DIGITAL HOLDINGS IN | 603 | 31 | – | 76 | 558 |
| MERIDIANLINK INC | 418 | 20 | – | 52 | 386 |
| MITEK SYSTEMS INC | 231 | – | – | 29 | 202 |
| NCINO INC | 573 | 29 | – | 72 | 530 |
| PAGAYA TECHNOLOGIES LTD -A | 2,613 | 131 | – | 327 | 2,417 |
| RIOT PLATFORMS INC | 864 | 43 | – | 108 | 799 |
| SAPIENS INTERNATIONAL CORP | 284 | 15 | – | 36 | 263 |
| SIMCORP A/S | 209 | 7 | – | 216 | – |
| TEMENOS AG - REG | 387 | 19 | – | 48 | 358 |
| VERTEX INC - CLASS A VERX | 252 | 13 | – | 32 | 233 |
| XERO LTD XRO | 775 | 39 | – | 97 | 717 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Autonomous and Electric Vehicles ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Communication Services | | | | | |
| ALPHABET INC-CL A GOOGL | 859 | – | – | 86 | 773 |
| BAIDU INC - SPON ADR | 296 | 14 | – | – | 310 |
| YANDEX NV-A YNDX | 802 | – | – | – | 802 |
| Consumer Discretionary | | | | | |
| AMERICAN AXLE & MFG HOLDINGS | 2,805 | 923 | – | – | 3,728 |
| APTIV PLC | 352 | 33 | – | – | 385 |
| CONTINENTAL AG NPV | 386 | 69 | – | – | 455 |
| DENSO CORP NPV | 635 | – | 1,863 | 14 | 2,484 |
| FAURECIA EUR7 | 1,345 | – | – | 40 | 1,305 |
| FORD MOTOR CO | 3,433 | – | – | – | 3,433 |
| GEELY AUTOMOBILE HOLDINGS LTD | 18,045 | 9,798 | – | – | 27,843 |
| GENERAL MOTORS CO | 1,218 | 56 | – | – | 1,274 |
| GENTHERM INC COM NPV | 357 | 244 | – | – | 601 |
| HONDA MOTOR CO LTD NPV | 1,597 | – | 3,088 | 53 | 4,632 |
| HYUNDAI MOTOR CO | 239 | 11 | – | – | 250 |
| KIA CORP | 583 | – | – | 27 | 556 |
| LEAR CORP | 203 | 29 | – | – | 232 |
| LUCID GROUP INC | 3,161 | 1,559 | – | – | 4,720 |
| LUMINAR TECHNOLOGIES INC | 4,368 | – | – | – | 4,368 |
| NEXTEER AUTOMOTIVE HKD0.1 | 33,259 | 14,298 | – | – | 47,557 |
| NIO INC - ADR | 2,709 | 837 | – | – | 3,546 |
| NISSAN MOTOR CO LTD | 8,355 | 309 | – | – | 8,664 |
| PANASONIC HOLDINGS CORP | 3,698 | – | – | 3,698 | – |
| QUANTUMSCAPE CORP | 3,339 | – | – | 42 | 3,297 |
| RENAULT SA | 683 | 137 | – | – | 820 |
| STELLANTIS NV | 2,425 | 43 | – | – | 2,468 |
| TESLA INC | 634 | – | – | 288 | 346 |
| TOYOTA MOTOR CORP NPV | 5,471 | – | – | 315 | 5,156 |
| VISTEON CORP | 184 | 35 | – | – | 219 |
| VOLKSWAGEN AG-PREF NON VTG PRF NPV | 244 | 59 | – | 2 | 301 |
| XPENG INC - ADR | 2,707 | – | – | 156 | 2,551 |
| Industrials | | | | | |
| ADVANCED ENERGY SOLUTION HOL | 1,041 | 379 | – | – | 1,420 |
| BALLARD POWER SYSTEMS INC | 4,261 | 2,807 | – | – | 7,068 |
| BLOOM ENERGY CORP- A | 1,111 | 769 | – | – | 1,880 |
| ENERSYS | 321 | – | – | 13 | 308 |
| GS YUASA CORP NPV | 1,506 | 131 | – | – | 1,637 |
| HITACHI LTD NPV | 853 | – | – | 42 | 811 |
| HONEYWELL INTERNATIONAL INC | 362 | 19 | – | – | 381 |
| HYSTER-YALE MATERIALS | 805 | – | – | 120 | 685 |
| ITT INC | 316 | 44 | – | – | 360 |
| LG ENERGY SOLUTION | 78 | 10 | – | – | 88 |
| MICROVAST HOLDINGS INC | 13,902 | – | – | 1,623 | 12,279 |
| NIKOLA CORP | 9,960 | 4,931 | – | – | 14,891 |
| PLUG POWER INC | 1,678 | 1,142 | – | – | 2,820 |
| QUIMICA Y MINERA CHIL-SP ADR | 348 | 115 | – | – | 463 |
| WABTEC CORP | 311 | 40 | – | 2 | 349 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Autonomous and Electric Vehicles ETF (continued)

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities (continued) | | | | | |
| Information Technology | | | | | |
| AMBARELLA INC AMBA | 305 | 95 | – | – | 400 |
| APPLE INC | 587 | – | – | 82 | 505 |
| BLACKBERRY LTD COM NPV | 6,685 | – | – | 55 | 6,630 |
| CEVA INC | 834 | 411 | – | – | 1,245 |
| COHERENT CORP | 655 | – | – | – | 655 |
| INDIE SEMICONDUCTOR INC-A | 3,569 | – | – | 141 | 3,428 |
| INFINEON TECHNOLOGIES AG | 1,254 | – | – | 57 | 1,197 |
| INNOVIZ TECHNOLOGIES LTD | 5,176 | 4,547 | – | – | 9,723 |
| INTEL CORP | 2,480 | – | – | 117 | 2,363 |
| MICROSOFT CORP COM | 219 | – | – | 32 | 187 |
| MICROVISION INC WA USD0.01 | 9,772 | – | – | 2,263 | 7,509 |
| NVIDIA CORP | 474 | – | – | 265 | 209 |
| NXP SEMICONDUCTORS NV | 249 | – | – | 11 | 238 |
| ON SEMICONDUCTOR | 554 | – | – | 89 | 465 |
| QUALCOMM INC | 645 | – | – | 6 | 639 |
| SITIME CORP | – | 255 | – | – | 255 |
| STMICROELECTRONICS NV | 874 | – | – | 37 | 837 |
| TOMTOM | 3,432 | 642 | – | – | 4,074 |
| Materials | | | | | |
| ALBEMARLE CORP | 148 | 27 | – | – | 175 |
| ALLEGHENY TECHNOLOGIES INC | 779 | – | – | 35 | 744 |
| ALLKEM LTD | 3,033 | – | – | – | 3,033 |
| APERAM NPV | 688 | 312 | – | – | 1,000 |
| CABOT CORP | 361 | 126 | – | – | 487 |
| GANFENG LITHIUM CO LTD-H | 3,256 | 1,779 | – | – | 5,035 |
| IGO LTD | 2,650 | 568 | – | – | 3,218 |
| JOHNSON MATTHEY PLC JMAT | 1,002 | 453 | – | – | 1,455 |
| LITHIUM AMERICAS CORP | 1,225 | 372 | – | – | 1,597 |
| LIVENT CORP LTHM | 1,111 | 99 | – | – | 1,210 |
| LUNDIN MINING CORP COM NPV | 3,627 | 320 | – | – | 3,947 |
| PIEDMONT LITHIUM INC | 410 | 147 | – | – | 557 |
| PILBARA MINERALS LTD PLS | 9,569 | 635 | – | – | 10,204 |
| STANDARD LITHIUM LTD | 6,336 | 765 | – | – | 7,101 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Asia Innovator Active ETF

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities | | | | | |
| Communication Services | | | | | |
| BAIDU INC-CLASS A | – | 1,033 | – | – | 1,033 |
| HYBE CO LTD | 256 | – | – | 242 | 14 |
| NAVER CORP | 219 | – | – | 133 | 86 |
| SEA LTD-ADR SE | 237 | – | – | – | 237 |
| TENCENT HOLDINGS LTD | 1,550 | 212 | – | – | 1,762 |
| Consumer Discretionary | | | | | |
| ALIBABA GROUP HOLDING LTD | 3,658 | – | – | – | 3,658 |
| BYD CO LTD-H | 5,288 | – | – | 470 | 4,818 |
| COUPANG INC | 1,766 | – | – | – | 1,766 |
| FUYAO GLASS INDUSTRY GROUP-H | 7,597 | – | – | – | 7,597 |
| JD.COM INC - CL A | 1,229 | – | – | – | 1,229 |
| MEITUAN-CLASS B | 1,988 | 574 | – | 474 | 2,088 |
| PDD HOLDINGS INC | 225 | – | – | – | 225 |
| SONY GROUP CORP | – | 451 | – | – | 451 |
| ZHEJIANG SHUANGHUAN DRIVEL-A | 8,300 | – | – | – | 8,300 |
| Consumer Staples | | | | | |
| PING AN HEALTHCARE AND TECHNOLOGY CO LTD | 4,977 | – | – | – | 4,977 |
| Financials | | | | | |
| EAST MONEY INFORMATION CO-A | 13,380 | – | 2,676 | – | 16,056 |
| FUTU HOLDINGS LTD-ADR | 515 | – | – | – | 515 |
| HDFC BANK LTD-ADR | 900 | 282 | – | – | 1,182 |
| ICICI BANK LTD-SPON ADR | – | 2,181 | – | – | 2,181 |
| Health Care | | | | | |
| SAMSUNG BIOLOGICS CO LTD | 23 | – | – | – | 23 |
| SHENZHEN MINDRAY BIO-MEDIC-A | 1,000 | – | – | – | 1,000 |
| WUXI BIOLOGICS CAYMAN INC | 8,049 | – | – | 5,232 | 2,817 |
| Industrials | | | | | |
| CONTEMPORARY AMPEREX TECHN-A | 1,900 | – | 1,520 | – | 3,420 |
| EVE ENERGY CO LTD-A | 3,400 | 800 | – | – | 4,200 |
| GRAB HOLDINGS LTD - CL A | 9,589 | – | – | 1,906 | 7,683 |
| GUANGDONG KINLONG HARDWARE-A | 1,500 | – | – | 1,500 | – |
| GUANGZHOU KDT MACHINERY CO-A | 5,340 | – | – | – | 5,340 |
| HAN'S LASER TECHNOLOGY IN-A | 9,100 | – | – | – | 9,100 |
| L.K. TECH HLDG LTD COMMON SHARES | 13,023 | – | – | – | 13,023 |
| NARI TECHNOLOGY DEVELOPMEN-A | 8,080 | – | 1,616 | – | 9,696 |
| NIDEC CORP NPV | 383 | – | – | – | 383 |
| SHENZHEN INOVANCE TECHNOLO-A | 3,000 | – | – | 600 | 2,400 |
| SUNGROW POWER SUPPLY CO LT-A | 1,100 | – | – | – | 1,100 |
| WUXI LEAD INTELLIGENT EQUI-A | 12,000 | – | – | 5,000 | 7,000 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

Asia Innovator Active ETF (continued)

| Financial assets at fair value through profit or loss | Beginning holdings as at 1 April 2023 | Additions | Corporate actions | Disposals | Closing holdings as at 30 September 2023 |
|---|--|-----------|----------------------|-----------|---|
| Listed equities (continued) | | | | | |
| Information Technology | | | | | |
| CHINASOFT INTERNATIONAL LTD | 62,677 | – | – | 15,132 | 47,545 |
| HUA HONG SEMICONDUCTOR LTD | 9,503 | – | – | 5,394 | 4,109 |
| INFOSYS LTD-SP ADR SPON ADR EACH REP 1 INR5 | 3,470 | 470 | – | 634 | 3,306 |
| KEYENCE CORP NPV | 83 | 18 | – | – | 101 |
| LONGI GREEN ENERGY TECHNOL-A | 13,820 | 1,700 | – | – | 15,520 |
| LUXSHARE PRECISION INDUSTR-A | 4,400 | – | – | – | 4,400 |
| MEDIATEK INC | 937 | – | – | 431 | 506 |
| SAMSUNG ELECTRONICS CO LTD | 591 | 303 | – | 136 | 758 |
| SAMSUNG SDI CO LTD | 64 | 16 | – | 47 | 33 |
| TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD | 8,697 | – | – | 401 | 8,296 |
| UNIMICRON TECHNOLOGY CORP | 7,022 | 2,196 | – | 4,256 | 4,962 |
| VENUSTECH GROUP INC-A | – | 4,500 | – | – | 4,500 |
| XINYI SOLAR HLDGS | 18,722 | 12,879 | – | – | 31,601 |
| Materials | | | | | |
| HANWHA SOLUTIONS CORP | – | 706 | – | – | 706 |
| LG CHEM LTD | 37 | 51 | – | 72 | 16 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED)

As at 30 September 2023

| China Clean Energy ETF | | | |
|---|---|--------------------------------|---|
| Collateral Provider | Nature of Collateral | Value of the collateral RMB | % of net assets covered by collateral |
| Mirae Asset Securities (HK) Limited | Equities | 190,496,397 | 24.09% |
| Custody/safe-keeping arrangement | Amount of collateral received/held as of 30 Sep 2023 | RMB | Proportion of collateral posted by the sub-fund as of 30 Sep 2023 % |
| Custodian of collateral | | | |
| Citibank, N.A., Hong Kong Branch | | 190,496,397 | 100.00 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2023

| China Consumer Brand ETF | | | |
|---|-----------------------------|---|--|
| Collateral Provider | Nature of Collateral | Value of the collateral RMB | % of net assets covered by collateral |
| Mirae Asset Securities (HK) Limited | Equities | 24,661,938 | 4.61% |
| Custody/safe-keeping arrangement | | Amount of collateral received/held as of 30 Sep 2023 RMB | Proportion of collateral posted by the sub-fund as of 30 Sep 2023 % |
| Custodian of collateral | | | |
| Citibank, N.A., Hong Kong Branch | | <u>24,661,938</u> | <u>100.00</u> |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Electric Vehicle and Battery ETF

| Collateral Provider | Nature of Collateral | Value of the collateral RMB | % of net assets covered by collateral |
|---|----------------------|---|--|
| Mirae Asset Securities (HK) Limited | Equities | 564,691,728 | 32.17% |
| Custody/safe-keeping arrangement | | Amount of collateral received/held as of 30 Sep 2023 RMB | Proportion of collateral posted by the sub-fund as of 30 Sep 2023 % |
| Custodian of collateral | | | |
| Citibank, N.A., Hong Kong Branch | | 564,691,728 | 100.00 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2023

China Semiconductor ETF

| Collateral Provider | Nature of Collateral | Value of the collateral RMB | % of net assets covered by collateral |
|---|---|--------------------------------|---|
| Mirae Asset Securities (HK) Limited | Equities | 109,075,274 | 24.74% |
| Custody/safe-keeping arrangement | Amount of collateral received/held as of 30 Sep 2023 | RMB | Proportion of collateral posted by the sub-fund as of 30 Sep 2023 % |
| Custodian of collateral | | | |
| Citibank, N.A., Hong Kong Branch | | 109,075,274 | 100.00 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

PERFORMANCE RECORD (UNAUDITED)

NET ASSET VALUE

| | <u>As at 30 September 2023</u> | | <u>As at 31 March 2023</u> | | <u>As at 31 March 2022</u> | |
|--|--------------------------------|-----------------------------------|----------------------------|-----------------------------------|----------------------------|-----------------------------------|
| | Dealing net asset value | Dealing net asset value per share | Dealing net asset value | Dealing net asset value per share | Dealing net asset value | Dealing net asset value per share |
| China Clean Energy ETF (in RMB) ¹ | 790,903,207 | 77.9215 | 1,283,195,710 | 99.8596 | 2,465,824,183 | 111.8288 |
| China Consumer Brand ETF (in RMB) ¹ | 534,608,774 | 42.0952 | 671,784,088 | 47.4759 | 489,360,114 | 45.1023 |
| China Electric Vehicle and Battery ETF (in RMB) ¹ | 1,755,464,522 | 75.9941 | 2,771,314,730 | 95.5626 | 5,322,805,114 | 118.6802 |
| China Robotics and AI ETF (in RMB) ² | 127,602,185 | 43.2550 | 172,995,029 | 50.1435 | 181,456,113 | 42.1992 |
| China Semiconductor ETF (in RMB) ² | 440,854,773 | 33.2721 | 578,213,676 | 40.0148 | 760,130,828 | 43.0669 |
| China Global Leaders ETF (in RMB) ³ | 39,922,003 | 36.2927 | 52,395,975 | 38.8118 | 75,918,337 | 42.1769 |
| China Innovator Active ETF (in RMB) ³ | 22,306,878 | 29.7425 | 168,223,606 | 32.6648 | 97,610,654 | 34.2494 |
| Asia Semiconductor ETF (in RMB) ⁴ | 66,331,151 | 42.7943 | 65,837,590 | 41.1485 | 97,546,137 | 45.3703 |
| China E-Commerce and Logistics ETF (in RMB) ⁴ | 16,493,311 | 36.6518 | 29,757,808 | 39.6771 | 20,549,927 | 37.3635 |
| China Games and Entertainment ETF (in RMB) ⁴ | 10,629,975 | 30.3714 | 17,733,079 | 35.4662 | 16,616,517 | 30.2118 |
| FinTech ETF (in USD) ⁵ | 1,309,458 | 3.7413 | 1,545,427 | 3.8636 | – | – |
| Autonomous and Electric Vehicles ETF (in USD) ⁵ | 2,782,030 | 6.1823 | 2,805,014 | 6.2334 | – | – |
| Asia Innovator Active ETF (in RMB) ⁵ | 12,474,857 | 31.1871 | 13,389,028 | 33.4726 | – | – |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER SHARE

| | <u>For the period ended 30 September 2023</u> | | <u>For the period ended 31 March 2023</u> | | <u>For the period ended 31 March 2022</u> | |
|--|---|-----------------------------------|---|-----------------------------------|---|-----------------------------------|
| | Highest issue price per share | Lowest redemption price per share | Highest issue price per share | Lowest redemption price per share | Highest issue price per share | Lowest redemption price per share |
| China Clean Energy ETF (in RMB) ¹ | 100.3833 | 75.0626 | 129.3482 | 91.9401 | 137.3514 | 84.1293 |
| China Consumer Brand ETF (in RMB) ¹ | 47.5371 | 41.0158 | 51.8292 | 36.8655 | 71.6463 | 41.3395 |
| China Electric Vehicle and Battery ETF (in RMB) ¹ | 96.4193 | 75.6980 | 147.7430 | 88.2945 | 173.9260 | 90.7279 |
| China Robotics and AI ETF (in RMB) ² | 51.4311 | 41.2780 | 50.1435 | 34.3728 | 58.0673 | 41.0812 |
| China Semiconductor ETF (in RMB) ² | 43.8312 | 32.3506 | 44.2258 | 32.5872 | 62.8456 | 43.0670 |
| China Global Leaders ETF (in RMB) ³ | 39.8910 | 35.0735 | 46.4561 | 33.1446 | 57.5353 | 39.1821 |
| China Innovator Active ETF (in RMB) ³ | 32.9286 | 29.2945 | 38.5188 | 28.1787 | 55.3382 | 30.2095 |
| Asia Semiconductor ETF (in RMB) ⁴ | 46.5695 | 39.3929 | 44.9418 | 33.2839 | 54.4102 | 43.7328 |
| China E-Commerce and Logistics ETF (in RMB) ⁴ | 41.0783 | 35.6803 | 43.5667 | 33.8376 | 52.3097 | 33.4318 |
| China Games and Entertainment ETF (in RMB) ⁴ | 36.0329 | 29.4994 | 35.4662 | 20.7754 | 49.9991 | 24.5835 |
| FinTech ETF (in USD) ⁵ | 4.5995 | 3.6694 | 7.9999 | 3.4502 | – | – |
| Autonomous and Electric Vehicles ETF (in USD) ⁵ | 7.2966 | 5.7298 | 8.1248 | 5.0974 | – | – |
| Asia Innovator Active ETF (in RMB) ⁵ | 34.3604 | 30.9615 | 49.9990 | 29.8654 | – | – |

For the period ended 31 March 2021

| | Highest issue price per share | Lowest redemption price per share |
|--|-------------------------------|-----------------------------------|
| China Clean Energy ETF (in RMB) ¹ | 106.6927 | 43.9877 |
| China Consumer Brand ETF (in RMB) ¹ | 81.9421 | 39.1943 |
| China Electric Vehicle and Battery ETF (in RMB) ¹ | 125.4896 | 44.9081 |
| China Robotics and AI ETF (in RMB) ² | 57.2702 | 43.6934 |
| China Semiconductor ETF (in RMB) ² | 54.5649 | 40.4773 |
| China Global Leaders ETF (in RMB) ³ | – | – |
| China Innovator Active ETF (in RMB) ³ | – | – |
| Asia Semiconductor ETF (in RMB) ⁴ | – | – |
| China E-Commerce and Logistics ETF (in RMB) ⁴ | – | – |
| China Games and Entertainment ETF (in RMB) ⁴ | – | – |
| FinTech ETF (in USD) ⁵ | – | – |
| Autonomous and Electric Vehicles ETF (in USD) ⁵ | – | – |
| Asia Innovator Active ETF (in RMB) ⁵ | – | – |

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 16 January 2020 (date of inception) to 31 March 2021.

²The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 8 August 2020 (date of inception) to 31 March 2021.

³The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 10 March 2021 (date of inception) to 31 March 2022.

⁴The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 22 July 2021 (date of inception) to 31 March 2022.

⁵The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

PERFORMANCE OF THE SUB-FUNDS

| | <u>For the period ended 30 September 2023</u> | | <u>For the period ended 31 March 2023</u> | | <u>For the period ended 31 March 2022</u> | |
|--|---|-----------------------------|---|-----------------------------|---|-----------------------------|
| | Sub-Fund performance (%) | Index performance (%) | Sub-Fund performance (%) | Index performance (%) | Sub-Fund performance (%) | Index performance (%) |
| China Clean Energy ETF ^{1# ^} | (21.97) | (21.67) | (10.70) | (9.81) | 28.57 | 29.71 |
| China Consumer Brand ETF ^{1# ^} | (11.33) | (10.99) | 5.26 | 6.08 | (33.84) | (33.18) |
| China Electric Vehicle and Battery ETF ^{1# ^} | (20.48) | (20.17) | (19.48) | (18.53) | 26.19 | 27.69 |
| China Robotics and AI ETF ^{2# ^} | (13.74) | (13.31) | 18.83 | 19.80 | (18.07) | (17.56) |
| China Semiconductor ETF ^{2# ^} | (16.85) | (16.53) | (7.09) | (6.39) | 0.09 | 0.78 |
| China Global Leaders ETF ^{3# ^} | (6.49) | (6.11) | (7.98) | (7.29) | (17.72) | (17.02) |
| China Innovator Active ETF ^{3# ^} | (8.94) | (6.72) | (4.63) | 1.55 | (34.44) | (29.34) |
| Asia Semiconductor ETF ^{3# ^} | 4.00 | 4.43 | (9.31) | (8.52) | (9.90) | (9.28) |
| China E-Commerce and Logistics ETF ^{4# ^} | (7.62) | (7.34) | 6.19 | 7.02 | (23.52) | (23.20) |
| China Games and Entertainment ETF ^{4# ^} | (14.36) | (14.08) | 17.39 | 18.41 | (35.42) | (35.30) |
| FinTech ETF ^{5# ^} | (3.16) | (2.86) | (49.63) | (49.12) | – | – |
| Autonomous and Electric Vehicles ETF ^{5# ^} | (0.82) | (0.40) | (21.06) | (20.84) | – | – |
| Asia Innovator Active ETF ^{5# ^} | (6.83) | 1.69 | (32.35) | (9.84) | – | – |
| | <u>For the period ended 31 March 2021</u> | | | | | |
| | Sub-Fund performance (%) | Index performance (%) | | | | |
| China Clean Energy ETF ^{1# ^} | 73.10 | 75.32 | | | | |
| China Consumer Brand ETF ^{1# ^} | 36.30 | 38.09 | | | | |
| China Electric Vehicle and Battery ETF ^{1# ^} | 84.85 | 86.03 | | | | |
| China Robotics and AI ETF ^{2# ^} | 3.52 | 4.02 | | | | |
| China Semiconductor ETF ^{2# ^} | (14.67) | (14.43) | | | | |
| China Global Leaders ETF ^{3# ^} | – | – | | | | |
| China Innovator Active ETF ^{3# ^} | – | – | | | | |
| Asia Semiconductor ETF ^{3# ^} | – | – | | | | |
| China E-Commerce and Logistics ETF ^{4# ^} | – | – | | | | |
| China Games and Entertainment ETF ^{4# ^} | – | – | | | | |
| FinTech ETF ^{5# ^} | – | – | | | | |
| Autonomous and Electric Vehicles ETF ^{5# ^} | – | – | | | | |
| Asia Innovator Active ETF ^{5# ^} | – | – | | | | |

¹The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 16 January 2020 (date of inception) to 31 March 2021.

²The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 8 August 2020 (date of inception) to 31 March 2021.

³The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 10 March 2021 (date of inception) to 31 March 2022.

⁴The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 22 July 2021 (date of inception) to 31 March 2022.

⁵The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

[#]The respective tracking indices of these Sub-Funds are net total return indices. A net total return index calculates the performance of the index constituents on the basis that any dividends or distributions are reinvested after the deduction of any withholding taxes that may apply.

[^]The Sub-Funds' performances are calculated on the basis that any dividends or distributions are reinvested.

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

INFORMATION ON EXPOSURE ARISING FROM FINANCIAL DERIVATIVE INSTRUMENTS (UNAUDITED)

| | <u>For the period ended 30 September 2023</u> | | | | | |
|---|---|---------------------------------|----------------|----------------|-------------------------------|----------------|
| | Highest (%) | Gross exposure Lowest (%) | Average (%) | Highest (%) | Net exposure Lowest (%) | Average (%) |
| <u>Unlisted funded total return swaps</u> | | | | | | |
| China Clean Energy ETF | 24.21 | 0.00 | 7.64 | 24.21 | 0.00 | 7.64 |
| China Consumer Brand ETF | 4.45 | 0.00 | 1.12 | 4.45 | 0.00 | 1.12 |
| China Electric Vehicle and Battery ETF | 32.24 | 0.00 | 9.01 | 32.24 | 0.00 | 9.01 |
| China Semiconductor ETF | 24.81 | 0.00 | 6.92 | 24.81 | 0.00 | 6.92 |

GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

MANAGEMENT AND ADMINISTRATION

Manager

Mirae Asset Global Investments (Hong Kong) Limited
Room 1101, 11th Floor
Lee Garden Three, 1 Sunning Road
Causeway Bay, Hong Kong

Directors of the Manager

Mr. Wanyoun Cho
Ms. Joo Hee An
Mr. Se Han Song

Directors of the Company

Mr Wanyoun Cho
Mr. Se Han Song
Mr. Stewart Robert Kenneth Aldcroft

Custodian

Cititrust Limited
50th Floor, Champion Tower
Three Garden Road
Central, Hong Kong

Sub-Custodian

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50th Floor, Champion Tower
Three Garden Road
Central, Hong Kong

Service Agent

HK Conversion Agency Services Limited
8th Floor, Two Exchange Square
8 Connaught Place
Central, Hong Kong

Legal Counsel to the Manager

Simmons & Simmons
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979 King's Road
Hong Kong

Auditors

PricewaterhouseCoopers
Certified Public Accountant
Registered Public Interest Entity Auditor
22/F, Prince's Building
10 Chater Road
Central, Hong Kong

Listing Agent

Altus Capital Limited
21 Wing Wo Street
Central, Hong Kong

Participating Dealers

ABN AMRO Clearing Hong Kong Limited
Units 7001-06, 7008B, Level 70
International Commerce Centre
1 Austin Road West
Kowloon, Hong Kong

Barclays Bank PLC
41/F Cheung Kong Center
2 Queen's Road Central
Central, Hong Kong

China Galaxy International Securities (Hong Kong) Co., Limited
20/F Wing On Centre
111 Connaught Road Central
Central, Hong Kong

China Merchants Securities (HK) Co., Limited[^]
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Citigroup Global Markets Asia Limited[&]
50/F, Champion Tower
Three Garden Road
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Haitong International Securities Company Limited
22/F Li Po Chun Chambers
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Mirae Asset Securities (HK) Limited
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Market Makers
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Flow Traders Hong Kong Limited
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Mirae Asset Securities (HK) Limited
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Optiver Trading Hong Kong Limited[@]
25/F 33 DES VOEUX ROAD CENTRAL
Central District Hong Kong

[^] In respect of China Clean Energy ETF, China Consumer Brand ETF and China Electric Vehicle and Battery ETF only.

[&] In respect of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF and China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-Commerce and Logistics ETF and China Games and Entertainment ETF only.

[#] In respect of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF and China Semiconductor ETF only.

^{*} In respect of China Clean Energy ETF, China Consumer Brand ETF, China Games and Entertainment ETF, FinTech ETF and Autonomous and Electric Vehicles ETF only.

[@] In respect of China Electric Vehicle and Battery ETF, China Robotics and AI ETF and China Semiconductor ETF only.