



3006

For Hong Kong Investors Only

Global X AI & Innovative Technology Active ETF



<https://www.globalxetfs.com.hk/>

Important Information

Investors should not base investment decisions on this material alone. Please refer to the Prospectus for details including the product features and the risk factors. Investment involves risks. There is no guarantee of the repayment of the principal. Investors should note:

- **Global X AI & Innovative Technology Active ETF** (the "Fund")'s investment objective is to achieve long term capital growth by primarily investing in equities of exchange-listed companies globally, which fall within the investment theme of artificial intelligence ("AI") and innovative technologies.
- The Fund will invest primarily (i.e. at least 70% of its net asset value (the "Net Asset Value")) in equity securities and equity-related securities (such as common shares, preferred stock as well as American depositary receipts ("ADRs"), global depositary receipts ("GDRs") and participation notes) of companies which (i) create, design and develop, or (ii) benefit from the advancement of, AI and Innovative Technologies Companies. Risk associated with AI and Innovative Technologies Companies include Operational and business risk, Changes in technology risk, Governmental intervention risk, Regulatory risk, Intellectual property risk, Significant capital investment risk, Cyberattack risk.
- The performance of the Fund may be exposed to risks associated with different sectors including but not limited to industrial, consumer discretionary, financial services, information technology, semiconductor, communication services, entertainment and healthcare. Fluctuations in the business for companies in these sectors will have an adverse impact on the Net Asset Value of the Fund.
- The Fund employs an actively managed investment strategy. The Fund does not seek to track any index or benchmark, and there is no replication or representative sampling conducted by the Manager. It may fail to meet its objective as a result of the Manager's selection of investments, and/or the implementation of processes which may cause the Fund to underperform as compared to other index tracking funds with a similar objective.
- The Fund's investment in equity securities is subject to general market risks, whose value may fluctuate due to various factors, such as changes in investment sentiment, political and economic conditions and issuer-specific factors.
- There is no industry sector requirement and the Fund may from time to time concentrate in a particular sector. The performance of the Fund may be exposed to risks associated with different sectors and themes, including but not limited to industrial, consumer discretionary, financial services including fintech, information technology, semiconductor, communication services, entertainment, and healthcare. The Fund may experience relatively higher volatility in price performance when compared to other economic sectors.
- Securities lending transactions may involve the risk that the borrower may fail to return the securities lent out in a timely manner or at all. The Fund may as a result suffer from a loss or delay when recovering the securities lent out. This may restrict the Fund's ability in meeting delivery or payment obligations from redemption requests.
- Investors should note that Unitholders will only receive distributions in USD and not HKD. In the event the relevant Unitholder has no USD account, the Unitholder may have to bear the fees and charges associated with the conversion of such distribution from USD into HKD or any other currency.
- Payments of distributions out of capital and/or effectively out of capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment. Any such distributions involving payment of dividends out of capital or effectively out of capital of the Fund may result in an immediate reduction in the Net Asset Value per Unit of the Fund and will reduce the capital available for future investment.
- The trading price of the Listed Class of Units on the SEHK is driven by market factors such as the demand for and supply of the Listed Class of Units. Therefore, the Listed Class of Units may trade at a substantial premium or discount to the Fund's Net Asset Value.
- The Fund may invest in financial derivative instruments ("FDIs") for non-hedging (i.e. investment) and/or hedging purposes, in order to achieve efficient portfolio management. Risks associated with FDIs include counterparty/credit risk, liquidity risk, valuation risk, volatility risk and over-the-counter transaction risk. FDIs are susceptible to price fluctuations and higher volatility, and may have large bid and offer spreads and no active secondary markets. The leverage element/component of an FDI can result in a loss significantly greater than the amount invested in the FDI by the Fund.

Global X AI & Innovative Technology Active ETF (3006)



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Investment Case

- AI is set to revolutionize technology and productivity, enabled by breakthrough in Semiconductor technology and training techniques.
- Invest in global industry leaders in the AI and technology supply chain
- Focus on exposure to the latest technology development through active management

Top 10 Holdings

Company	Weight (%)
Meta	10.00
Apple	9.61
TSMC	9.59
Microsoft	9.49
Alphabet	9.17
NVIDIA	8.94
Tesla	7.88
Tencent	6.51
Autodesk	2.94
Marvell Technology	2.48

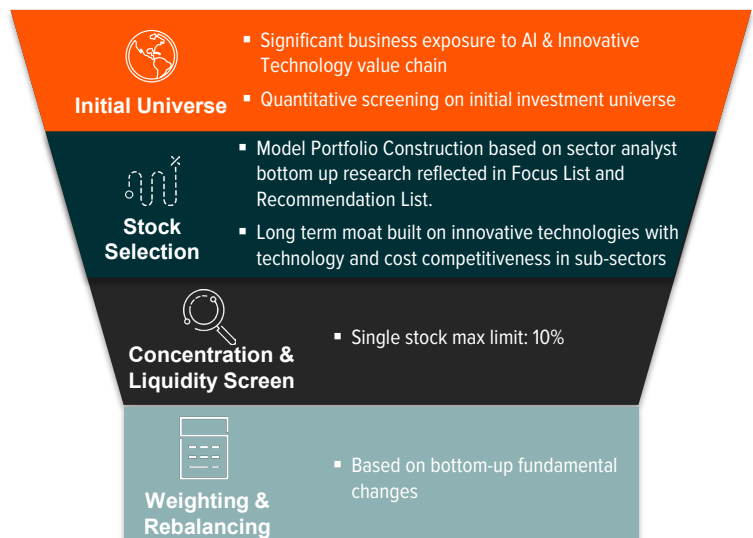
Source: Mirae Asset, 28 June 2024

Key Information

Listing Date	2022.03.21
Ongoing Charges over a Year ¹	0.75%
Exchange	HKEX

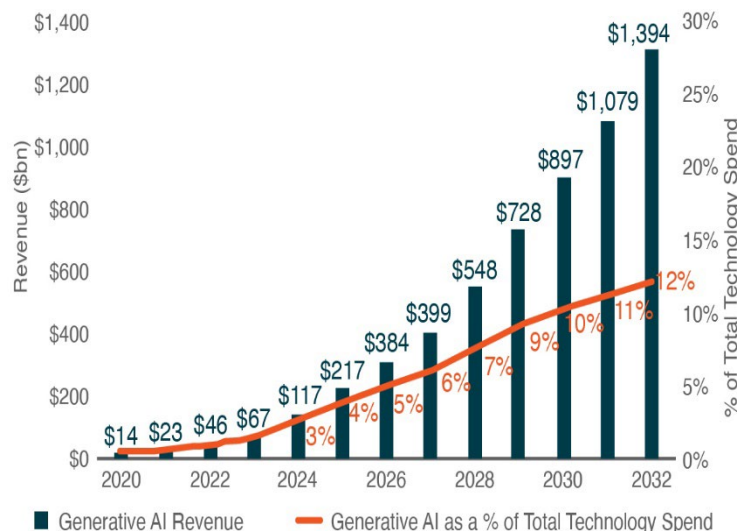
Source: Mirae Asset, 30 July 2024. 1. The Fund adopts a single management fee structure, whereby a single flat fee will be paid out of the assets of the Fund to cover all of the costs, fees and expenses of the Fund. The ongoing charges figure is an annualised figure based on the ongoing expenses of the Fund, expressed as a percentage of the Fund's average net asset value over the same period. It may be different upon actual operation of the Fund and may vary from year to year. As the Fund adopts a single management fee structure, the ongoing charges of the Fund will be equal to the amount of the single management fee, which is capped at 0.75% of the average Net Asset Value of the Fund. Any ongoing expenses exceeding 0.75% of the average Net Asset Value of the Fund will be borne by the Manager and will not be charged to the Fund. Please refer to the Key Facts Statement and the Prospectus for further details.

Active Stock Selection Process



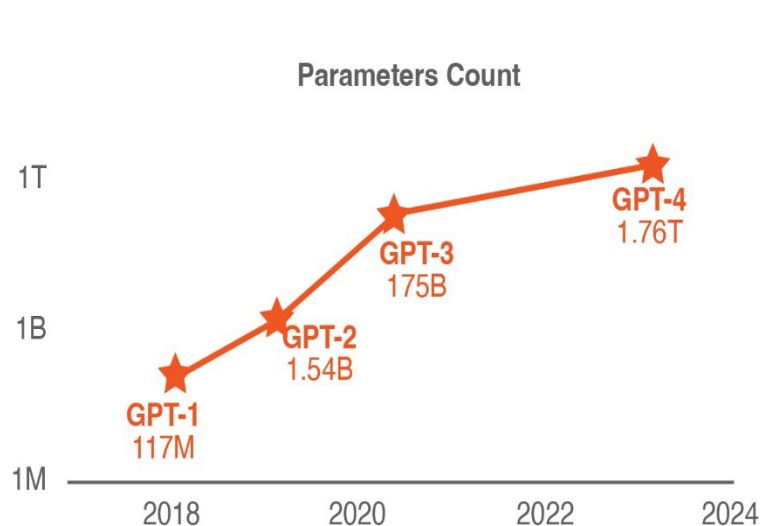
Source: Mirae Asset, 2023

Generative AI: \$1.3 Trillion Market Potential by 2032



Source: Bloomberg 2023

AI Models Improve: Higher Parameters Drive Better Performance



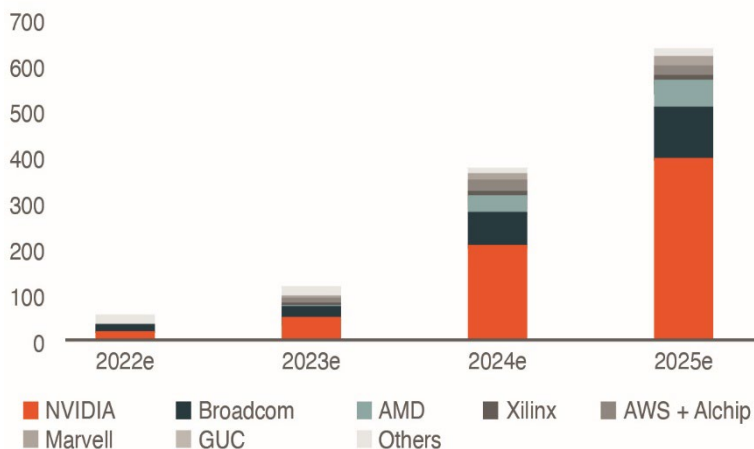
Source: Francesco, Medium 2023

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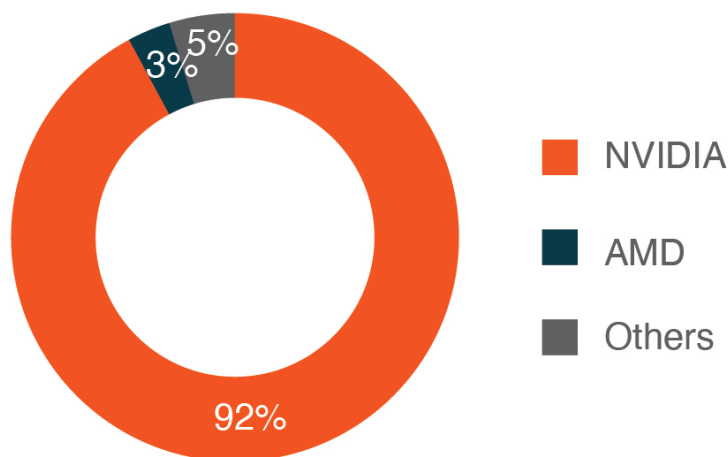
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TSMC Advanced Packaging Demand Driven by AI (K Wafer)



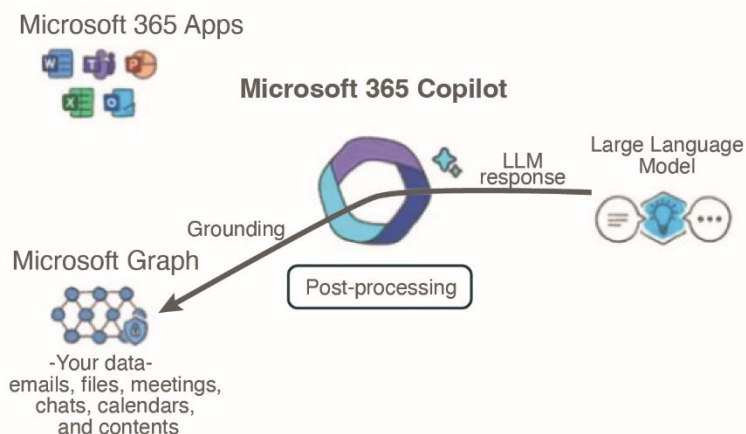
Source: MS 2024

NVIDIA Dominant Market Share in AI Chips



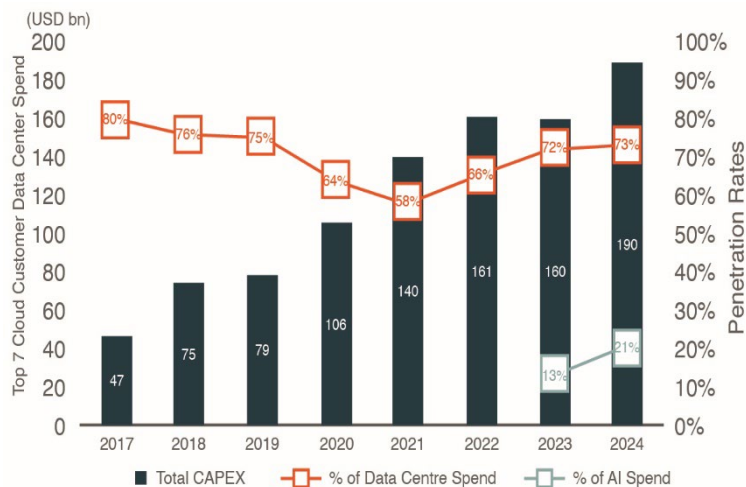
Source: IoT Analytics 2023

Microsoft Commercializing AI Rapidly



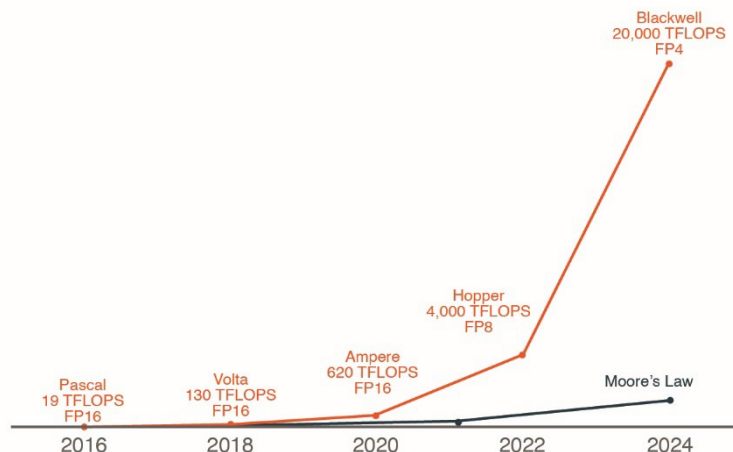
Source: Microsoft 2023 .

AI accelerated Cloud CAPEX



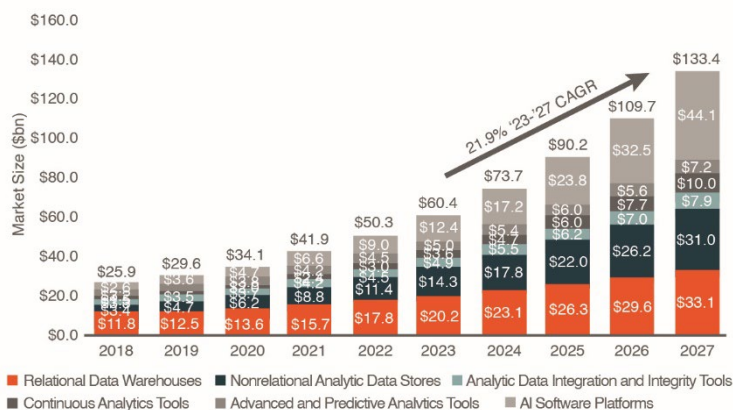
Source: MS 2024

NVIDIA's Aggressive Roadmap to Boost AI Compute Performance



Source: Nvidia 2024

Ai-Enabled Cloud Services Tam to Reach \$133Bn by 2027



Source: IDC, MS 2024

Fund Map

Global X ETFs Hong Kong



A lineup that spans emerging trends and disruptive tech, income, core and commodities ETFs. Or simply put, we strive to offer investors something beyond ordinary.

This document is intended for Hong Kong Investors only.

The following list consists of ETFs traded on the HKD counter (excluding USD and RMB counters). October 2024.

Investing in the Equity ETF(s) may expose to risks (if applicable) including general investment risk, equity market risk, sector/market concentration risk, active / passive investment management risk, tracking error risk, trading risk, risk in investing financial derivative instruments, securities lending risk, distributions paid out of capital or effectively out of capital risk.

Investing in the S&P Crude Oil Futures Enhanced ER ETF may expose to risks (if applicable) including passive investment risk, crude oil market risk, futures contracts risk, risk of material non-correlation with spot/current market price of the West Texas Intermediate crude oil risk, margin risk, trading risk, risk of investing in fixed income securities, tracking error risk, termination risk.

Investing in the USD Money Market Active ETF may expose to risks including general investment risk, active investment management risk, interest rate risk, risks associated with bank deposits, concentration risk, currency risk, trading risk, credit rating risk, downgrading risk, counterparty risk and distributions paid out of capital or effectively out of capital risk.

Investing in the Fixed Income Passive ETF(s) may expose to risks (if applicable) including general investment risk, passive investment management risk, interest rate risk, emerging markets risk, risks associated with PRC inter-bank bond market, Foreign Access Regime and Bond Connect, concentration risk, RMB/USD currency risk, securities lending risk, trading risk, credit rating risk, downgrading risk, counterparty risk, tracking error risk and distributions paid out of capital or effectively out of capital risk.

Investing in the Covered Call Active ETF may expose to risks (if applicable) including active investment management risk, futures contracts risk, margin requirement risk, failure of clearing house risk, concentration risk, securities lending transaction risks, currency risk, distributions paid out of capital or effectively out of capital risk, and trading risks.



THEMATIC GROWTH



INCOME

CHINA

DISRUPTIVE TECHNOLOGY

2845	Global X China Electric Vehicle and Battery ETF
3191	Global X China Semiconductor ETF
2826	Global X China Cloud Computing ETF
2807	Global X China Robotics and AI ETF

PEOPLE & DEMOGRAPHICS

2820	Global X China Biotech ETF
2841	Global X China MedTech ETF
2806	Global X China Consumer Brand ETF

PHYSICAL ENVIRONMENT

2809	Global X China Clean Energy ETF
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MULTI-THEME

3050	Global X China Global Leaders ETF
2815	Global X China Little Giant ETF

GLOBAL

DISRUPTIVE TECHNOLOGY

3185	Global X FinTech ETF
3139	Global X Electric Vehicle and Battery Active ETF
3006	Global X AI & Innovative Technology Active ETF
3422	Global X Innovative Bluechip Top 10 ETF

ASIA

DISRUPTIVE TECHNOLOGY

3119	Global X Asia Semiconductor ETF
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MULTI-THEME

3150	Global X Japan Global Leaders ETF
3184	Global X India Select Top 10 ETF
3158	Global X K-pop and Culture ETF



COMMODITIES

COMMODITIES

3097	Global X S&P Crude Oil Futures Enhanced ER ETF
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CORE

CORE

3040	Global X MSCI China ETF
2837	Global X Hang Seng TECH ETF
3029	Global X Hang Seng ESG ETF

DIVIDEND

3110	Global X Hang Seng High Dividend Yield ETF
3116	Global X Asia Pacific High Dividend Yield ETF
3419	Global X HSI Components Covered Call Active ETF
3416	Global X HSCEI Components Covered Call Active ETF

Dividend is not guaranteed and may be paid out of capital

FIXED INCOME

3059	Global X Bloomberg MSCI Asia Ex Japan Green Bond ETF
3041	Global X FTSE China Policy Bank Bond ETF
3137	Global X USD Money Market ETF
3075	Global X Asia USD Investment Grade Bond ETF
3450	Global X US Treasury 3-5 Year ETF

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