

## Mirae Asset Global Discovery Fund

未來資產環球「搜·選」系列

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### Hong Kong Subscription Form

供香港地區使用的基金認購表格



#### Investment details 投資詳情

The application for subscription should be received by the distributors by 4:00pm Hong Kong time on a business day for further processing by the Registrar and Transfer Agent on the same day.

基金認購申請須於任何營業日香港時間下午四時正前由分銷商接獲，以供過戶登記代理於同日進一步處理。

A subscription fee may apply depending on the selected class of shares of Mirae Asset Global Discovery Fund (the "Company"). Please refer to the Prospectus and Information for Hong Kong Investors of the Company for further details on the applicability of the subscription fee.

視乎未來資產環球「搜·選」系列（「本公司」）的所選股份類別，可能會收取認購費。有關認購費適用性的進一步詳情，請參閱本公司的基金說明書及致香港投資者資料。

#### Investment Amount 投資金額

Name of the Sub-Fund 子基金名稱	Investment Class (A / I) * 投資類別 (A / I 類) *	Currency (USD / EUR) * 貨幣 (美元/歐元) *	Investment Amount (HKD / USD / EUR) * 申購金額 (港元/美元/歐元) *
Mirae Asset ESG Asia Great Consumer Equity Fund 未來資產 ESG 亞洲卓越消費股票基金			
Mirae Asset ESG Emerging Asia ex China Equity Fund 未來資產 ESG 新興亞洲（中國除外）股票基金			
Mirae Asset ESG Asia Sector Leader Equity Fund 未來資產 ESG 亞洲龍頭股票基金			
Mirae Asset India Sector Leader Equity Fund 未來資產印度龍頭股票基金			
Mirae Asset India Mid Cap Equity Fund 未來資產印度中型股票基金			
Mirae Asset China Growth Equity Fund 未來資產中國增長股票基金			
Mirae Asset ESG Asia Growth Equity Fund 未來資產 ESG 亞洲增長股票基金			

\* Minimum Initial Investment: equivalent to 1 share unit for Class A & USD 1,000,000/EUR 1,000,000 for Class I  
最低首次申購金額：A 類相當於 1 單位股份及 I 類 1,000,000 美元 / 1,000,000 歐元

#### Fund Payment Instructions 付款指示 (Remitter must be the investor 匯款人必須為投資者本人)

Telegraphic transfer in 電匯資料如下	Telegraphic transfer 電匯資料		HK CHATS 香港結算所自動轉帳系統		
	USD 美元	EUR 歐元	HKD 港元	USD 美元	EUR 歐元
Beneficiary Bank 受款銀行：	Citibank N.A., Hong Kong Branch	Citibank N.A., Hong Kong Branch	Citibank N.A., Hong Kong Branch	Citibank N.A., Hong Kong Branch	Citibank N.A., Hong Kong Branch
SWIFT Code SWIFT 代號：	CITIHKHX	CITIHKHX	CITIHKHX	CITIHKHX	CITIHKHX
Beneficiary A/C No. 受款人賬戶號碼：	5-150433-012	5-150433-039	5-150433-004	5-150433-012	5-150433-039
Beneficiary Name 受款人賬戶名稱：	Citi Lux-Mirae Fund Sub	Citi Lux-Mirae Fund Sub	Citi Lux-Mirae Fund Sub	Citi Lux-Mirae Fund Sub	Citi Lux-Mirae Fund Sub
Correspondent Bank 代理銀行：	Citibank N.A., New York (CITIUS33)	Citibank N.A., London (CITIGB2L)	N/A	N/A	N/A

Important Notice: Due to international banking laws, your bank is required to send a SWIFT MT103 message and complete field 50 ("Ordering Customer") and field 52D ("Beneficiary") on subscription wires. It should be noted that subscription monies must come from an account in the name of the investor(s).

重要提示：根據國際銀行法例，代表閣下的銀行就基金認購進行電匯時，必須發送 SWIFT MT103 電文，並填寫第 50 欄（「匯款申請人」"Ordering Customer"）及第 52D 欄（「受款人」"Beneficiary"）資料。務請注意，認購款項必須來自以投資者名義開立的賬戶。

If payment is made by bank draft/cashier order, proof of the purchaser of the bank draft/cashier order must be provided. Bank certification or bank advice is acceptable. If payment is made by cheque deposit to the collection account, source of payment (e.g. cheque copy) must be provided with the bank pay-in slip. Please write your name on the bank pay-in slip or remittance advice and send it to the Registrar and Transfer Agent.

凡以銀行匯票/本票付款，必須出示銀行匯票/本票的購買證明。銀行證明書或銀行通知書均可接納。凡以支票將款項存入收款賬戶，必須出示付款證明（即支票副本）及銀行存款收據。請於銀行存款收據或匯款通知書上填寫閣下姓名，並提交予過戶登記代理。

# Mirae Asset Global Discovery Fund Hong Kong Subscription Form

## 未來資產環球「搜·選」系列供香港地區使用的基金認購表格

Initial Investment 首次申請       Subsequent Investment 再申請       Investor(s) Account No. 投資者賬戶號碼：

### Investor(s)' details 投資者資料

(If investor(s) do(es) not reside in Hong Kong, it is strongly recommended that the investor(s) contact Mirae Asset Global Investments (Hong Kong) Limited ("Mirae Asset HK") first before returning this Subscription Form.)

(投資者如非定居香港，請於交回本基金認購表格前，先向未來資產環球投資(香港)有限公司(「未來資產(香港)查詢」)。

#### Account Type 賬戶類別 (please tick) (請剔選)

**I. First investor 第一投資者\***       Individual 個人       Joint Holder 聯名持有人

<input type="checkbox"/> Mr 先生 <input type="checkbox"/> Mrs 太太 <input type="checkbox"/> Ms 女士	Surname 姓氏	Given name 名字
ID/ passport no. 身份證/護照號碼	Date of birth 出生日期	Nationality 國籍
Source of funds/wealth 資金/財富來源		
Residential Address 居住地址	Tel 電話	
Street No./ Street Name 街道編號/街道名稱	Fax 傳真	
Postal Code (if any) 郵編(如有)	Email 電郵	
Town 城市	Country 國家	Profession 職業

**II. Second investor 第二投資者\***       Individual 個人       Joint Holder 聯名持有人

<input type="checkbox"/> Mr 先生 <input type="checkbox"/> Mrs 太太 <input type="checkbox"/> Ms 女士	Surname 姓氏	Given name 名字
ID/ passport no. 身份證/護照號碼	Date of birth 出生日期	Nationality 國籍
Source of funds/wealth 資金/財富來源		
Residential Address 居住地址	Tel 電話	
Street No./ Street Name 街道編號/街道名稱	Fax 傳真	
Postal Code (if any) 郵編(如有)	Email 電郵	
Town 城市	Country 國家	Profession 職業

\* Please attach a certified true copy of valid ID card/Passport of all investor(s) (Please make sure that your identification document also contains English version)  
請隨附所有投資者的有效身份證/護照的核實副本。(請確保閣下所持身份證明文件輔以英語)

#### III. Legal Entity 法定實體

Name 名稱	Business Nature 業務性質	Legal Form 法定形式
Sector of activities 業務行業	Date of Incorporation 註冊成立日期	Registration No. 註冊編號
Source of Fund/Wealth 資金/財富來源	Registered Office Address 註冊辦事處地址	Place of Incorporation 註冊成立地點
Mailing Address (if different from Registered office) 郵寄地址(如與註冊辦事處不同) · Principal Place of Business (please provide such address if different from Mailing Address) · 主要營業地點(如不同於郵寄地址，請提供該地址)	Contact Name 聯絡人名稱	
Email 電郵	Tel 電話	Fax 傳真

#### IV. Financial and Risk Profile 財務及風險承擔能力 (please tick) (請剔選)

Estimated Annual Income (in USD) 估計每年收入(美元計)

- Under 25,000 以下       25,000 – 65,000       65,001 – 130,000  
 130,001 – 650,000       Above 650,000 以上

Approximate Net Asset Value (i.e. Total Assets – Total Liabilities) (in USD) 淨資產約值(總負債)(美元計)

- Under 65,000 以下       65,000 – 350,000       350,001 – 650,000  
 650,001 – 3,500,000       Above 3,500,000 以上

Investment Objective(s) and Strategy(ies) (you may choose more than one option) 投資目標及策略(可選擇多項)

- Capital Preservation 資本保值       Regular Income 定期收入       Building Wealth 建立財富       Beat Inflation 對抗通脹  
 Speculation 投機       Hedging 對沖       Others 其他： - - - - -

Investment Period 投資期限

- Short Term (i.e. less than 1 year) 短期(即不足 1 年)       Short to Medium Term (i.e. 1 to 5 years) 中短期(即 1 - 5 年)  
 Medium Term (i.e. 6 to 10 years) 中期(即 6 - 10 年)       Long Term (i.e. over 10 years) 長期(即 10 年以上)

#### Investment Experience 投資經驗

Products 產品	Frequency of Transactions Per Annum 每年交易次數			Investment Experience (year) 投資經驗(年)			
Listed Shares 上市股票	<input type="checkbox"/> <20	<input type="checkbox"/> 20 – 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1 – 5	<input type="checkbox"/> >5
Debt Securities/Bonds 債務證券/債券	<input type="checkbox"/> <20	<input type="checkbox"/> 20 – 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1 – 5	<input type="checkbox"/> >5
Leveraged Foreign Exchange 槓桿式外匯	<input type="checkbox"/> <20	<input type="checkbox"/> 20 – 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1 – 5	<input type="checkbox"/> >5
Mutual Funds/Unit Trusts 互惠基金/單位信託	<input type="checkbox"/> <20	<input type="checkbox"/> 20 – 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1 – 5	<input type="checkbox"/> >5
Hedge Funds/Private Equity Funds 對沖基金/私募基金	<input type="checkbox"/> <20	<input type="checkbox"/> 20 – 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1 – 5	<input type="checkbox"/> >5
OTC Structured Products 場外結構性產品	<input type="checkbox"/> <20	<input type="checkbox"/> 20 – 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1 – 5	<input type="checkbox"/> >5
Other Products (please specify) 其他產品(請註明): - - - - -	<input type="checkbox"/> <20	<input type="checkbox"/> 20 – 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1 – 5	<input type="checkbox"/> >5

#### V. Proof of Identity (please refer to the required documents set out below) 身份證明文件(請參閱以下列出的所需文件)

N.B. not applicable in case of subsequent investment unless changes have occurred. 務請注意，除非情況有變，否則於再申請基金的情況下，本部分將不會適用。

### Required documents 所需文件

#### Individual Investor 個人投資者：

- Copy of valid passport or identity card duly certified (bearing the investor's signature and picture)  
有效護照或身份證的核實副本(附有投資者的簽名及相片)
- Address proof (within the last 3 months)  
最近 3 個月住址證明
- Identification documents and written authority of person purporting to act on behalf of the investor (if any)  
代表投資者之人士的身份證明文件及書面授權書(如有)

#### Financial Institution regulated in FATF Member jurisdictions or equivalent jurisdictions:

於財務特別行動組織成員地區及同等司法管轄區受監管之金融機構：

- Evidence of regulatory licence (from the regulating authority or the official website of the regulated institution)  
由監管機關或相關受監管機構官方網站提供的監管許可證明文件
- Authorised signatories list with specimen signatures (on company letterhead or copy of official signatory booklet) and board resolution (or similar written authority) approving the list. Both documents to be confirmed by a department or person within the investor, which or who is independent of the persons whose identities are being verified  
授權簽字人名單與簽名式樣(公司抬頭之正式簽署小冊子或副本)及董事會決議(或類似書面授權)批准名單。兩份文件需由獨立於被認證身份人士的投資者部門或人士核實。
- List of directors 董事名冊

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## 未來資產環球「搜·選」系列供香港地區使用的基金認購表格

### Investment Vehicle 投資工具：

Investment vehicles where a person (e.g. manager, trustee, administrator, transfer agent, registrar and transfer agent, registrar or custodian) has been appointed to conduct customer due diligence on underlying investors, and that person is:

當任一人士 (如經理、受託人、行政管理人、過戶代理、過戶登記代理、登記處或保管人) 被任命為投資工具的相關投資者進行客戶盡職調查，而該人士是：

- (i) a financial institution in Hong Kong; or  
香港一金融機構; 或
- (ii) an institution incorporated or established in Hong Kong, or an institution incorporated or established in a FATF Member jurisdiction or equivalent jurisdiction which is regulated or supervised to carry out customer due diligence measures which are the same as or similar to Hong Kong anti-money laundering requirements.  
一機構註冊或成立於香港，或一機構註冊或成立於財務特別行動組織成員司法管轄區或同等司法管轄區於此為客戶進行的盡職調查措施乃受到相同或相似於香港打擊洗錢規定的規範或監管。
- Proof of the establishment of the investment vehicle (depending on the form of the vehicle e.g. legal person, trust, collective investment scheme) and showing the authority to operate the account  
投資工具的成立證明文件(視乎工具的形式，如法人、信託基金、集體投資計劃)及操作帳戶授權書
- Confirmation from the relevant person that there are reliable systems and controls in place to conduct customer due diligence on underlying investors of the investment vehicle  
相關人士確認實施了可靠的制度和控制措施，為投資工具的相關投資者進行客戶盡職調查

### Unit Trust 單位信託：

- Trust Deed evidencing the good standing of the legal arrangement  
證明具有良好信譽的法律安排的信託契據
- Certificate of registration of the unit trust (if registered)  
單位信託的註冊證書 (如註冊)
- Power of Attorney granted to its trustees, directors and/or investment managers to transact business on its behalf with specimen signatures  
授予受託人、董事及/或投資經理代表其辦理業務交易的授權書與簽名式樣
- Identification documents of trustees or person exercising effective control over the trust, protectors, settlors / grantors, if applicable  
對信託、保護者、財產授予人/委託人 (如適用) 行使實際控制權的受託人或任何人士的身份證明文件
- Identification information (including full name, date of birth, nationality, identity document type and number, residential address of individuals; or for corporations, including full name, date and place of incorporation, incorporation number and registered office address (and principal place of business if different)) and documentations (i.e. copy of valid passport or identity card duly certified (bearing the investor's signature and picture and address proof issued within last 3 months) for beneficiaries who are entitled to a vested interest of more than 25% of the capital of the trust property, the settlor, the protector or enforcer or an individual who has ultimate control over the trust.  
受益人身份識別信息(包括個人的姓名、出生日期、國籍、身份證明文件種類和號碼、住址; 或公司的全稱、註冊成立日期及地點、註冊成立編號及註冊辦事處地址 (及主要營業地點、如果不同))及證件 (即經正式認證的有效護照或身份證明本 (載有投資者簽名及照片，以及最近3個月內發出的住址證明))、受益人指擁有信託財產資本25%以上既得利益者、財產授予人、保護人或執行人、或擁有信託最終控制權的個人。

### Financial Institution regulated in non-FATF Member jurisdiction/ Listed Company/ Unlisted Company/Partnership/Unincorporated Business:

於非財務特別行動組織成員地區受監管之金融機構/上市公司/非上市公司/合夥人/非公司企業：

Investors falling under these categories are recommended to contact the Registrar and Transfer Agent to ascertain which document(s) are required before completing the Subscription Form.

茲建議屬以上類別的投資者與過戶登記代理聯絡，以便於填寫本基金認購表格前確定所需文件。

Suitable certifiers to certify the required documents may include:

核實所需文件的適合證明人選可包括：

- A financial institution that is an authorized institution, a licensed corporation, an authorized insurer, an appointed insurance agent or an authorized insurance broker;  
屬認可機構、持牌法團、獲委任保險代理人或獲授權保險經紀的金融機構;
- A lawyer, a notary public, an auditor, a professional accountant, a trust or company service provider or a tax advisor practising in a FATF Member jurisdiction or equivalent jurisdiction;  
在財務特別行動組織成員司法管轄區或同等司法管轄區執業的律師、公證人、核數師、專業會計師、信託或公司服務提供者或稅務顧問;
- A member of the judiciary in a FATF Member jurisdiction or equivalent jurisdiction;  
在財務特別行動組織成員司法管轄區或同等司法管轄區的司法人員;
- An officer of an embassy, consulate or high commission of the country of issue of documentary verification of identity;  
發出身份證明文件的國家的大使館、領事館或高級專員公署人員;
- A Justice of the Peace; or  
太平紳士; 或
- A chartered secretary who is a current full member of the Institute of Chartered Secretaries and Administrators or its designated divisions  
現任特許秘書及行政人員公會或其指定分會的正式會員的特許秘書

The stamp of the certifying institution must be clearly affixed on the copy of the documents.

核證機構的印章必須清晰蓋於文件副本上。

In all cases, the Registrar and Transfer Agent reserves the right to request additional information and documents.

於所有情況下，過戶登記代理均保留索取額外資料及文件的權利。

### VI. Joint investors' Special Authorization (please tick) / 聯名投資者特別授權(請劃選) ✓

We authorise Mirae Asset HK and its agents to act upon instructions signed by any of the joint investor(s) in respect of the subscription, redemption or switching of shares.  
吾等授權未來資產(香港)及其代理根據任何聯名投資者簽署的指示，就認購、贖回或轉換股份的事項行事。

Joint investor(s) who do(es) NOT wish their rights to be exercised on their behalf by the first named investor should tick this box.  
聯名投資者倘不願排名首位的投資者代為行使其權利，請劃選此空格。

If one or more shares are jointly owned, all persons claiming a right to such share(s) have to appoint one single attorney to represent such share(s) towards the Company.  
倘一隻或以上股份屬聯名擁有，所有申索擁有該等股份權利的人士，須各自委任一名律師，作為本公司該等股份的代表。

倘一隻或以上股份屬聯名擁有，所有申索擁有該等股份權利的人士，須各自委任一名律師，作為本公司該等股份的代表。

### VII. Instructions by Facsimile (please tick) / 以傳真方式發出指示(請劃選) ✓

I/We wish to place my/our subsequent instructions by facsimile (see 1(a) under "Note and instructions").  
本人/吾等希望日後透過傳真方式發出交易指示(請參閱「備註及指示」下第1(a)項)。

本人/吾等希望日後透過傳真方式發出交易指示(請參閱「備註及指示」下第1(a)項)。

## Payment instructions 付款指示

The following details must be given in order to ensure timely processing of payments made to you. As a rule, any distribution or redemption proceeds will be paid according to your below bank account details. Therefore, if you subscribe for more than one share class denominated in different currencies, details of the receiving bank are required for each currency. Changes in bank account details are only accepted upon receipt of original instructions. Payment of redemption proceeds will be made in accordance with the Redemption Form if payment information is provided in the Redemption Form.

請填寫以下資料，以確保依時向閣下付款。原則上，我們將根據閣下於下方提供的銀行賬戶資料分派股息及支付贖回款項。因此，閣下如若申購一個以上以不同貨幣計值的股份類別，須就每種貨幣填寫相關收款銀行的資料。僅於接獲付款指示的正本下，才會接納更改銀行賬戶資料。倘贖回表格列有付款資料，將依照贖回表格支付贖回款項。

Currency 貨幣：	Beneficiary Bank 受款銀行：
Bank Address 銀行地址：	BIC/SWIFT Code 代碼：
Beneficiary Account 受款人賬戶： (Must be in the name of investor(s)) (必須以投資者名義開立)	Reference 參考編號：
Correspondent Bank 代理銀行：	

## Licensed agent/ qualified intermediary information 獲發牌代理人/合資格中介人資料

To be completed by Licensed Agent/ Qualified Intermediary, if any 由獲發牌代理人/合資格中介人(如有)填寫

Name of Licensed Agent/ Qualified Intermediary 獲發牌代理人/合資格中介人名稱	
Licensed Agent / Qualified Intermediary Code 獲發牌代理人/合資格中介人編號	

## Third party certification 第三者聲明

This certification must be completed where the investor(s) do(es) not reside in Hong Kong and do(es) not sign/execute this Subscription Form in the presence of a member of Mirae Asset HK, licensed agent or qualified intermediary.

倘投資者並非定居香港，亦非於未來資產(香港)公司職員、獲發牌代理人或合資格中介人見證下簽署/簽立本基金認購表格，則必須填寫本部分以茲證明。

Where this applies, any of the persons mentioned below must (a) witness the investor(s)' signature/execution of this Subscription Form; (b) certify one copy of the investor(s)' passport/corporate documents specified in the section of "Required documents" of this Subscription Form against production of the original document; and (c) complete the certification below. The certified copies must be returned with this Subscription Form.

於適用情況下，下述任何人士必須(a)見證投資者簽署/簽立本基金認購表格；(b)於申請人出示有關文件正本下，證明本基金認購表格「所需文件」部分所述投資者護照/公司文件的副本為有效副本；及(c)填寫以下聲明。經核實的文件副本必須與本基金認購表格一併遞交。

I hereby certify that the investor(s) named in the section of "Investor(s) details" of this Subscription Form has/have signed or executed this Subscription Form in my presence and that I have seen the original identification documents/Passport and other applicable documents for the investor(s)/each investor certified true copies of which are required to be, and which are, enclosed with this Subscription Form.

本人謹此證明，本基金認購表格「投資者資料」部分所述投資者於本人見證下簽署或簽立本基金認購表格。本人已親自過目該名/每名投資者的身份證明文件/護照及其他適當文件的正本，證明現時隨附於本基金認購表格的所需文件副本為有效副本。

Certified this \_\_\_\_\_ day of \_\_\_\_\_ 謹於本日期作此聲明。

Signature or corporate seal of certifier 證明人簽署或公司蓋章

Name 姓名

# Mirae Asset Global Discovery Fund Hong Kong Subscription Form

## 未來資產環球「搜·選」系列供香港地區使用的基金認購表格

### Signature 簽署

- a. I/We certify that I am/we are over 18 years of age. 本人/吾等已證實年滿 18 歲。
- b. An account cannot be opened in the name of any person below the age of 18 years but an application can be made by an adult and the account designated with the minor's initials. 凡年齡未滿 18 歲的人士，一概不得以其名義開戶，但可由成年人代為申請，並成為註冊持有人，而未成年者的姓名欄稱可附加於註冊持有人之後。
- c. I am/We are not, nor am I/are we acting on behalf of, a US Person or resident of Canada. 本人/吾等並非美國人士或加拿大居民，亦非美國人士或加拿大居民的代表。
- d. I/We have received, read and understood the Prospectus and any further addenda and supplements as may be issued from time to time and the latest financial reports of the Company and undertake to be bound by the terms of the Prospectus, latest financial reports and Articles of Incorporation of the Company. 本人/吾等已收訖、閱畢及知悉基金說明書、可能不時發行的任何相關附錄和增補文件及本公司最近期刊發的財務報告，並承諾願意受基金說明書、最近期刊發的財務報告及本公司註冊成立章程細則的條款約束。
- e. For the purposes of providing investment-related products and services to me/us, my/our personal data including my/our name and contact details (defined as "Data" in the Personal Data Privacy Statement in [Appendix 1](#) of this Subscription Form (the "Statement")) may be transferred to a place outside Hong Kong to our agents situated in countries outside the European Union, where there may not be in place data protection laws which are substantially similar to, or serve the same purposes as, the Personal Data (Privacy) Ordinance. That means my/our personal data may not be protected to the same or similar level in Hong Kong. I/we may refer to paragraph 14 of the Statement for further details. If I/we prefer not to give the preceding consent, I/we can tick the box below to indicate my/our objection. Please note however that in such case, the Company may reject my/our request for subscription of shares in the Company. **By not ticking the box further below and by signing at the end of this Subscription Form, I/we understand and confirm that I/we have indicated our consent for such transfer.**
- 為向本人/吾等提供投資相關產品及服務，本人/吾等的姓名及聯絡方式（於本基金認購表格附錄 1 個人資料私隱聲明（「聲明」）中界定為「資料」）可能會被傳輸至香港境外，轉給我們位於歐盟以外國家/地區的代理。這些國家/地區可能沒有與（個人資料私隱條例）大體相似或目的相同的資料保護法律。這意味著本人/吾等的個人資料可能不會受到與在香港相同或相似的保護。本人/吾等可參閱聲明第 14 段，了解更多詳情。倘本人/吾等不願提供事先同意，本人/吾等可以剔選下面的方格表明本人/吾等的反對意見。但請注意，在此情況下，本公司可能會拒絕受理本人/吾等認購本公司股份的請求。本人/吾等不剔選下方的方格並在本基金認購表格格尾處簽署，即表示本人/吾等理解並確認本人/吾等已表示同意該等傳輸。

I/We have read the Statement in [Appendix 1](#) of this Subscription Form carefully and confirm that I/we understand the contents of the Statement including the purposes and transfer of my/our Data and in particular the direct marketing purposes. I/We also understand that words beginning with capital letters below are defined in the Statement.

本人/吾等已仔細閱讀本基金認購表格附錄 1 中的聲明，並確認本人/吾等理解聲明的內容，包括目的及對本人/吾等資料的傳輸，特別是直接行銷目的。本人/吾等亦了解下文以大寫字母開頭的詞語已在聲明中界定。

- f. Beneficial owner declaration (Please tick the box below before signing.)  
實益擁有人聲明 (請在簽署前剔選以下方格。)
- I/We declare that I/we will be the beneficial owner(s)\* of the shares. If I am/we are not the beneficial owner(s)\* of the shares subscribed, I/we have provided all the relevant identification documentation for the beneficial owner(s)\* as required. 本人/吾等將為股份的實益擁有人\*。如本人/吾等並非認購股份的實益擁有人\*，則本人/吾等已按規定提供實益擁有人\*所有相關的身份核證文件。

Consent for transfer of personal data outside Hong Kong  
同意將個人資料傳輸至香港境外

**Please tick ("X") below if you do not wish your personal data to be transferred to a place outside Hong Kong; 倘閣下不希望閣下的個人資料被傳輸至香港境外，請剔選以下（「X」）：**

- I/We object to the transfer of my/our personal data outside Hong Kong. 本人/吾等反對將本人/吾等的個人資料傳輸至香港境外。

Direct marketing consent  
直接行銷同意書

By signing this Subscription Form, you agree to the direct marketing use/transfer/purposes, as stated in paragraphs 5, 7(f), 8 to 11 (both inclusive) of the Personal Data Privacy Statement. If you find such use/transfer/purposes of your Contact Details not acceptable, please indicate your objection before signing by ticking the relevant box(es) below. **If you do not tick any of the relevant boxes, by signing this Subscription Form you agree that Mirae Asset Entities and the Third Parties may use/transfer your Contact Details for the relevant direct marketing purposes described in the Statement.** 閣下簽署本基金認購表格，即表示閣下同意個人資料私隱聲明第 5、7(f)、8 至 11 段（包括首尾兩段）中所述的直接行銷用途/傳輸目的。倘閣下不同意將閣下的聯絡方式用於該等用途/傳輸目的，請在簽署前剔選下方的相關方格，表明閣下的反對意見。倘閣下未剔選任何相關方格，則閣下簽署本基金認購表格，即表示閣下同意未來資產實體及第三方可以出於聲明中所述的相關直接行銷目的傳輸閣下的聯絡方式/將之用於聲明中所述的相關直接行銷目的。

**Please tick ("X") the boxes below (as appropriate) if you do not wish your Contact Details to be used in direct marketing and/or be transferred to the Third Parties for use in direct marketing activities; 倘閣下不希望閣下的聯絡方式被用於直接行銷及/或被傳輸給第三方用於直接行銷活動，請剔選（「X」）下面的方格（視情況而定）：**

- I/We object to the proposed use of my/our Contact Details for direct marketing purposes of investment-related products and services by Mirae Asset Entities. 本人/吾等反對未來資產實體擬將本人/吾等的聯絡方式用於投資相關產品及服務的直接行銷目的。
- I/We object to the proposed transfer of my/our personal data (not for gain) by Mirae Asset Entities to the Third Parties for their direct marketing purposes of investment-related products and services. 本人/吾等反對未來資產實體擬將本人/吾等的個人資料（並非出於牟利目的）傳輸給第三方，用於其投資相關產品及服務的直接行銷目的。

Signature of first investor 第一投資者簽署

Signature of second investor 第二投資者簽署

Date (DD/MM/YY) 日期(日/月/年)

Date (DD/MM/YY) 日期(日/月/年)

Authorized Representative signature (Legal Entity) 授權代表簽署(法定實體)

Date (DD/MM/YY) 日期(日/月/年)

\* Beneficial owner is defined as any natural person who ultimately owns or controls the investor(s) and/or any natural person on whose behalf a transaction or activity is being conducted. 實益擁有人定義為任何對投資書具有最終擁有權或控制權的自然人，及/或任何代其進行交易或活動的自然人。

### Note and instructions 備註及指示

1. (a) If the box in Section VII of "Investor(s) details" is ticked, the investor(s) may send the following instructions by facsimile (without the need to send further original written instructions):  
投資者剔選「投資者資料」第 VII 部分之空格外，可於毋須再寄回書面指示正本下，以傳真方式提交以下指示：  
i) redemption of shares; or 贖回股份；或  
ii) switching between Sub-Funds of the Company; or 本公司子基金之間進行轉換；或  
iii) subscription of shares. 認購股份。
- (b) If the box in Section VII of "Investor(s) details" is not ticked, any instruction under 1 (a) (i) - (iii) above must be received in writing or, if by facsimile, such instructions must be followed immediately by original written instructions. 如無剔選第 VII 部分「投資者資料」的空格，上述 1(a) (i) - (iii) 項下任何指示必須以書面形式發出，如指示透過傳真方式發出，其書面指示正本必須隨後立即補回。
- (c) A facsimile transmission report disclosing that the facsimile instructions were sent shall not (in the absence of written confirmation of receipt from the Company or its Global Distributor) be sufficient proof of receipt by the Company or its Global Distributor. Neither the Company, its Directors, its Administrative Agent nor its Global Distributor will accept any responsibility for any loss arising from applications sent by facsimile but of which the original was not received by the Company or its Global Distributor.  
於本公司或其全球分銷商並無出書面收據下，表明傳真指示已發出的傳真發送報告並不足以證明本公司或其全球分銷商已收受傳真指示。本公司、其董事、行政代理或全球分銷商不會就以傳真發送而指示正本未獲本公司或其全球分銷商收妥的申請所產生任何損失負責。
- (d) The Company, its Directors, its Administrative Agent or its Global Distributor may, in its absolute discretion, refuse to act upon any facsimile instructions purportedly given by the investor(s) or on the investor(s)' behalf and may require original written instructions instead. Neither the Company, its Directors, its Administrative Agent nor its Global Distributor is obliged to verify the authority or identity of the person giving such instructions as long as they in good faith believe that person to be the investor or someone authorised by the investor.  
本公司、其董事、行政代理或全球分銷商絕對有權拒絕就聲稱由投資者或投資者代表以傳真發出的指示行事，並可要求以書面指示正本取代。只要本公司、其董事、行政代理或全球分銷商誠信相信發出上述指示的人士為投資者或投資者授權人士，則並無義務核實該名人士的授權或身份。
- (e) The investor(s) should ensure the instructions are clear, accurate and not misleading. The Company, its Directors, its Administrative Agent or its Global Distributor shall be entitled to rely on such instructions and at its absolute discretion to implement such instructions. Neither the Company, its Directors, its Administrative Agent nor its Global Distributor will be liable for any direct or indirect loss resulting from relying and acting on such instructions or on the instructions contained in this Subscription Form, including, without limitation, arising from the Company, its Directors, its Administrative Agent or its Global Distributor exercising its discretion given in 1(d) above.  
投資者須確保指示條款清晰準確及並無誤導成份。本公司、其董事、行政代理或全球分銷商有權依據及全權酌情執行上述指示。本公司、其董事、行政代理或全球分銷商概不就因依據及遵照上述指示或本基金認購表格所載指示行事所產生任何直接或間接損失負責，包括但不限於本公司、其董事、行政代理或全球分銷商因行使其於上述第 1(d) 項下所賦予酌情決定權所產生者。
2. Compliance measures aimed towards prevention of money-laundering entail the obligation for the investor(s) to prove his/her identity to the Company.  
為遵照防止洗錢的措施規定，投資者必須向本公司提供身份證明資料。  
Therefore, for your subscription to be considered valid and acceptable by the Company, please attach to this Subscription Form the required documents duly certified (if applicable) by a suitable certifier as proof of identity (please refer to Section V "Proof of identity" for details).  
因此，為使閣下的基金認購被視為有效及為本公司所接納，閣下於遞交本基金認購表格時，請隨附經適合證明人選妥為認證(倘適用)的所需文件，以作身份證明(詳情請參閱第 V 部分「身份證明文件」)。

Any such information provided to the Company is collected for anti-money laundering compliance purposes only.

所有提供予本公司的資料僅為遵守打擊洗錢的目的而收集。

Investor(s) is/are recommended to contact the Registrar and Transfer Agent to ascertain which document(s), if any, are required before completing this Subscription Form.

茲建議投資者在填寫本基金認購表格前，請向過戶登記代理查詢以確定所需文件(如有)。

Unless the information required to complete this Subscription Form is supplied in full, it may not be possible to process the application to invest in the Company.

請填寫本基金認購表格要求的所有資料，否則可能無法辦理投資本公司的申請。

All capitalized terms in this Subscription Form shall have the same meaning as in the Prospectus.

本基金認購表格所載一切詞彙均具有基金說明書所賦予涵義。

## Mirae Asset Global Discovery Fund Hong Kong Subscription Form 未來資產環球『搜·選』系列供香港地區使用的基金認購表格

### Additional disclaimer 其他免責聲明

1. I/We warrant that I am/we are duly authorized to sign this Subscription Form and to subscribe for shares of the Company and that by virtue of my/our subscription for and holding of shares, I/we will not be in breach of any laws or regulations of any competent jurisdiction and I/we hereby indemnify the Company, its Directors, its Administrative Agent, its Global Distributor or their affiliated companies, or other shareholders of the Company for any loss suffered by them as a result of this warranty/representation not being true in every respect.  
本人/吾等保證本人/吾等獲正式授權簽署本基金認購表格及認購本公司股份。本人/吾等認購及持有股份，並無違反任何司法管轄區任何法例或規例。本人/吾等謹此就本保證/聲明於各方面不盡真確而導致本公司、其董事、行政代理、全球分銷商或該等聯屬公司或其他本公司股東蒙受任何損失向其作出彌償保證。
2. I/We declare that this subscription does not violate any laws or regulations in the country/territory in which I am/we are domiciled.  
本人/吾等表明本基金認購並無違反本人/吾等居籍所在國家/地區任何法例或規例。
3. I/We will notify the Company and its Administrative Agent immediately in the event that I/we become a US Person or resident of Canada.  
本人/吾等如若成為美國人士或加拿大居民，將即時知會本公司及其行政代理。
4. I/We understand that the Company has not and will not be registered under the United States Investment Company Act of 1940, as amended, and the shares have not and will not be registered under the United States Securities Act of 1933, or the Securities Laws of the United States. I/We agree that shares will not be transferred or delivered either directly or indirectly to any person who is not qualified to hold shares of the Company.  
本人/吾等知悉本公司從來及將來不會根據《美國 1940 年投資公司法》(United States Investment Company Act of 1940) (經修訂)註冊，而股份從來及將來不會根據《美國 1933 年證券法》(United States Securities Act of 1933)或《美國證券法》(Securities Laws of the United States)註冊。本人/吾等同意，股份不會直接或間接轉讓或交付予任何不合資格持有本公司股份的人士。
5. I am/We are aware that investments in the Company are subject to risks inherent in investing in securities and it is possible that the principal is capable of fluctuation.  
本人/吾等知悉投資於本公司須承受投資證券存有的風險，投資本金可能承受波動。
6. I/We agree to provide the declaration and warranties contained herein to the Company and at such times as it may request and to provide on request such certificates, documents or other evidence as it may reasonably require to substantiate such representations.  
本人/吾等同意向本公司作出本基金認購表格所載聲明及保證，並應本公司可能提出的要求出具所需證書、文件或其他其可能合理要求以確立該等陳述的憑證。
7. I/We agree to notify the Company immediately if I/we become aware that any of the declarations and warranties contained herein is/are no longer accurate and complete in all respects.  
本人/吾等如若得悉本基金認購表格所載任何聲明及保證不再於所有方面準確完備，本人/吾等同意即時知會本公司。

**PERSONAL DATA PRIVACY STATEMENT**

The following Personal Data Privacy Statement is provided to you pursuant to the Personal Data (Privacy) Ordinance of Hong Kong ("Ordinance") in connection with your dealings with, and provision of personal data or information ("Data") to Mirae Asset Global Investments (Hong Kong) Limited ("Mirae Asset HK") or its affiliates (together "Mirae Asset Entities") from time to time. This statement forms part of the Hong Kong Subscription Form which governs your relationship with Mirae Asset HK and Mirae Asset Entities.

**Collection:**

1. From time to time, it is necessary for you to supply Mirae Asset Entities with Data in connection with various matters such as investment account opening or continuations, provision of investment-related services to you or compliance with any laws or guidelines issued by regulatory or other authorities.
2. The kinds of Data that may be collected includes, but is not limited to, name and address, occupation, contact details, date and country of birth, nationality, identity card, social security or national insurance numbers, country of tax residence, tax reference numbers or passport numbers, and details of financial status.
3. Failure to supply such Data may result in Mirae Asset Entities being unable to open an investment account or continue to provide investment-related services to you or unable to comply with any laws or regulations or guidelines and codes issued by regulatory or other authorities.
4. It is also the case that Data is collected from you in the ordinary course of the continuation of your relationship with Mirae Asset Entities, for example, when you open an account, write cheques, transfer funds, effect transactions, attend seminars/events, participate in online activities or generally communicate verbally or in writing with Mirae Asset Entities.
5. In addition, certain contact information will be used for direct marketing purposes as described further below. This is separate from the provision of Data which is obligatory so that Mirae Asset Entities can provide investment-related services to you; your provision of certain Data for direct marketing purposes is voluntary and your prior consent is required.
6. Insofar as the Data provided, or to be provided, by you to Mirae Asset Entities includes the personal data or information of individuals, you represent, warrant and undertake that you have obtained sufficient informed consent from each individual (including your employees, contractors, shareholders, authorised signatories, directors and individuals connected to all of the foregoing) to whom any such Data relates, in order for Mirae Asset Entities to comply with the Ordinance and which allows Mirae Asset Entities to collect, use, disclose, process, transfer (whether within or outside of Hong Kong) and store such information for the purposes specified in this statement, including in the circumstances described above, and will provide Mirae Asset Entities with such consent as and when requested by Mirae Asset Entities.

**Purposes:**

7. The purposes for which Data may be used will vary depending on the nature of your relationship with Mirae Asset Entities. Mirae Asset Entities intends to use your Data (including any third party Data provided by you) for any or all of the following purposes:
  - (a) the processing of an application for an investment account with Mirae Asset HK including confirming and verifying your identity;
  - (b) the daily operation of your account and the services to be provided to you;
  - (c) any purpose related to the administration of the investment products and services offered by Mirae Asset Entities;
  - (d) researching, designing and launching financial, investment services or related products for clients;
  - (e) meeting the disclosure, reporting and compliance requirements (including but not limited to tax reporting) under any laws or regulations, codes, guidelines or internal Mirae Asset Entities compliance policies applicable to Mirae Asset Entities in Hong Kong or elsewhere;
  - (f) promoting and direct marketing of the following services and products by Mirae Asset Entities subject to your consent prior to such use:
    - i. financial planning and investment, wealth management and related services and products;
    - ii. financial, investment and educational seminars and forums;
    - iii. other financial services and products offered by Mirae Asset Entities; and
  - (g) any other purpose directly related to any of the above.
8. You have the right at any time to object to the use of your Data for direct marketing purposes as set out in paragraph 7(f) above. Mirae Asset Entities will cease to use your Data for direct marketing purposes without charge if you so request.

**Direct Marketing Purposes by Mirae Asset Entities:**

9. Mirae Asset Entities intends to use your name, telephone number, email address and/or correspondence/residential address ("Contact Details") amongst the Data collected for direct marketing purposes in relation to investments but may not so use the Data unless your consent (which includes an indication of no objection to intended use) is received.

**Direct Marketing Purposes by Third Parties:**

10. Furthermore, Mirae Asset Entities intends to transfer your Contact Details (not for gain) to all or any of the transferees which does not fall under Mirae Asset Entities, including service providers and related third parties (including consultants) described in paragraphs 131(a) to 1(e) below (the "Third Parties") for use by them in direct marketing purposes related to investment-related products and services. However, the Contact Details may not be so provided unless your prior written consent is received.

**Direct Marketing Purposes both by Mirae Asset Entities and Third Parties**

11. Mirae Asset Entities/Third Parties would like to use the Contact Details for the following direct marketing purposes through various communication means such as direct-mail, email, telephone, SMS and brochures:
  - (a) Mirae Asset Entities' and/or the Third Parties' financial planning and investment, wealth management and related services and products, financial, investment and educational seminars and forums or other financial services and products offered by Mirae Asset Entities and/or the Third Parties; and
  - (b) Mirae Asset Entities' and/or the Third Parties' new investment product and service launch and other investment-related promotional offers.

**Transfer, Retention and Access:**

12. The Data collected may be maintained for such period of time required under applicable law and as otherwise needed to fulfil the purposes set out above.
13. Data held by Mirae Asset Entities relating to you will be kept confidential and Mirae Asset Entities will take all reasonable measures to ensure that Data is kept secure against unauthorised access, loss, disclosure and destruction. Mirae Asset HK may transfer the Data to the following parties, whether inside or outside of Hong Kong, for the purposes set out in the "Purpose and Use" section above:
  - (a) the ultimate holding company of Mirae Asset HK, its subsidiaries, representative offices, associated companies and/or affiliates;
  - (b) the service providers of Mirae Asset HK including the trustee, investment manager, registrar, transfer agent, the custodian, administrative service agent, and the auditor of each product offered by Mirae Asset Entities;
  - (c) any agent, contractor, subcontractor, consultant, insurer, or third party service provider who provides administrative, research, design, launch, digital or telecommunication, clearing and settlement or other services to Mirae Asset Entities in connection with the operation of its business;
  - (d) the employees, officers, directors of Mirae Asset HK;
  - (e) any party (including but not limited to, any local or foreign governmental, tax or regulatory Authority, or court of competent jurisdiction) entitled thereto by law or regulation, or in response to a regulatory request.
14. For the abovementioned transfer, Mirae Asset Entities may transfer Data outside Hong Kong to the Third Parties including transferee, please note that the Data transferred outside of Hong Kong may be subject to a lower level of protection and/or cease to be protected by any relevant banking secrecy, client confidentiality or data protection laws of Hong Kong under the Ordinance. We will need your consent to Mirae Asset Entities, and its duly authorised agents collecting, recording, obtaining, storing, adapting, transferring or otherwise processing and disclosing the Data, for the purposes mentioned in paragraph 7 above. Data may be transferred for processing in connection with the investment to Mirae Asset Entities or their appointees located in countries outside the European Union ("EU") that either do not have data protection laws or have data

protection laws which do not provide the same level of protection as the European Union data protection law. If you prefer not to give the preceding consent, please write to the designated person of Mirae Asset HK below. Please note however that in such case, the Company may reject your request for subscription of shares in the fund.

15. Under the Ordinance, you have the right to:
- (a) check whether Mirae Asset Entities holds Data about you, and access to such Data;
  - (b) require Mirae Asset Entities to correct any Data relating to you which is inaccurate;
  - (c) ascertain Mirae Asset Entities' policies and practices in relation to data and to be informed of the kinds of Data held by Mirae Asset Entities; and
  - (d) object to the use of your Data for direct marketing purposes at any time and Mirae Asset Entities shall not use your Data for direct marketing purposes after you communicate your objection to Mirae Asset Entities.

In accordance with the terms of the Ordinance, Mirae Asset HK has the right to charge a reasonable fee for the process of any data access request.

For access to your Data held by Mirae Asset Entities, correction of such Data, objection to use of your Data for direct marketing purposes or for information regarding policies and practices and kinds of Data held, please contact:

The Data Protection Officer  
Mirae Asset Global Investments (Hong Kong) Limited  
Unit 1101, Level 11, Lee Garden Three  
1 Sunning Road, Causeway Bay, Hong Kong  
Telephone: (852) 2285 1500  
Fax: (852) 2295 0698  
Email: HK-ComplianceTeam@miraeasset.com

Nothing in this statement shall limit your rights under the Ordinance.

## 個人資料私隱聲明

以下個人資料私隱聲明乃根據香港《個人資料(私隱)條例》(「條例」)就閣下不時與未來資產環球投資(香港)有限公司(「未來資產香港」)或其聯屬公司(統稱「未來資產實體」)的交易及向其提供個人資料或資訊(「資料」)而提供給閣下。本聲明構成香港地區使用的基金認購表格的一部分，該表格管理閣下與未來資產香港及未來資產實體的關係。

### 收集：

- 閣下不時需就各種事宜(如開立或延用投資賬戶、向閣下提供投資相關服務或遵守監管或其他機構發佈的任何法律或指引)向未來資產實體提供資料。
- 可能收集的資料類型包括但不限於姓名及地址、職業、聯絡方式、出生日期及出生地、國籍、身份證、社會保障或國家保險號碼、稅收居住國、稅務參考號碼或護照號碼，以及財務狀況詳情。
- 不提供該等資料可能導致未來資產實體無法開立投資賬戶或繼續向閣下提供投資相關服務或無法遵守監管或其他機構發佈的任何法律、法規或指引及守則。
- 在閣下與未來資產實體維持關係的正常過程中，亦會向閣下收集資料，例如：當閣下開戶、開支票、轉賬、進行交易、參加研討會/活動、參加網上活動，或與未來資產實體進行一般口頭或書面交流時。
- 此外，某些聯絡方式將如下所述被用於直接行銷目的。這與為便於未來資產實體向閣下提供投資相關服務而有義務提供的資料是分開的；閣下出於直接行銷目的提供特定資料是自願的，並需事先經閣下同意。
- 不論何時，只要閣下向未來資產實體提供資料，包括個人的個人資料或資訊，閣下聲明、保證並承諾，閣下已獲得與任何該等資料有關的每名個人(包括閣下的僱員、承包商、股東、授權簽署人、董事及與上述所有人士關連的個人)的充分知情同意，以確保未來資產實體遵守條例，並允許未來資產實體出於本聲明中指定的目的(包括在上述情況下)收集、使用、披露、處理、傳輸(不論在香港境內抑或境外)及存儲該等資訊，並將應未來資產實體要求，向未來資產實體提供該等同意書。

### 目的：

- 資料的可能用途將視閣下與未來資產實體的關係性質而有所不同。未來資產實體擬將閣下的資料(包括閣下提供的任何第三方資料)用於以下任何或所有目的：
  - 處理對未來資產香港的投資賬戶的申請，包括確認及核實閣下的身份；
  - 閣下的賬戶及將向閣下提供的服務的日常運作；
  - 與管理未來資產實體提供的投資產品及服務有關的任何目的；
  - 為客戶研究、設計及發佈金融、投資服務或相關產品；
  - 遵守在香港或其他地方適用於未來資產實體的任何法律或法規、守則、指引或未來資產實體內部合規政策項下的披露、報告及合規要求(包括但不限於稅務申報)；
  - 未來資產實體在將閣下的資料用於推廣及直接行銷以下服務及產品前，須徵得閣下的同意：
    - 財務規劃及投資、理財以及相關服務及產品；
    - 金融、投資及教育研討會及論壇；
    - 未來資產實體提供的其他金融服務及產品；及
  - 與上述任何一項直接相關的任何其他目的。
- 如上文第 7(f) 段所述，閣下隨時有權反對將閣下的資料用於直接行銷目的。如果閣下提出此要求，未來資產實體將免費停止將閣下的資料用於直接行銷目的。

### 未來資產實體的直接行銷目的：

- 未來資產實體擬將所收集資料中的閣下姓名、電話號碼、電子郵件地址及/或通訊/居住地址(「聯絡方式」)用於投資相關的直接行銷目的，但除非得到閣下同意(包括不反對擬議用途的指示)，否則未來資產實體不得如此使用資料。

### 第三方的直接行銷目的：

- 此外，未來資產實體擬將閣下的聯絡方式(並非出於牟利目的)傳輸給不屬於未來資產實體的所有或任何受讓人，包括下文第 13(a) 至(e) 段所述的服務提供商及相關第三方(包括顧問)(「第三方」)，供他們用於與投資相關產品及服務相關的直接行銷目的。但除非事先獲得閣下的書面同意，否則不得如此提供聯絡方式。

### 未來資產實體及第三方的直接行銷目的

- 未來資產實體/第三方希望透過各種通訊方式(如直接郵件、電子郵件、電話、短訊息及小冊子)將聯絡方式用於以下直接行銷目的：
  - 未來資產實體及/或第三方的財務規劃及投資、理財及相關服務及產品、金融、投資及教育研討會及論壇或未來資產實體及/或第三方提供的其他金融服務及產品；及
  - 未來資產實體及/或第三方的新投資產品及服務發佈以及其他與投資相關的推廣活動。

### 傳輸、保留及存取：

- 可能會在適用法律要求及為實現上述目的而另行需要的期限內保存所收集的資料。
- 未來資產實體持有的與閣下相關的資料將受到保密，未來資產實體將採取一切合理措施確保資料的安全，防止其丟失及受到未經授權存取、披露及破壞。未來資產香港可能會出於上文「目的及用途」一節所述的目的，將資料傳輸給香港境內或境外的以下各方：
  - 未來資產香港、其附屬公司、代表處、聯營公司及/或附屬公司的最終控股公司；
  - 未來資產香港的服務提供者，包括未來資產實體所提供的每項產品的受託人、投資經理、登記處、轉讓代理、保管人、行政服務代理及核數師；
  - 就未來資產實體的業務營運向其提供行政、研究、設計、發行、數位或電訊、清算及結算或其他服務的任何代理、承包商、分包商、顧問、保險公司或第三方服務提供商；
  - 未來資產香港的僱員、高級職員、董事；
  - 根據法律或法規或因應監管要求而有權獲得此權利的任何一方(包括但不限於任何當地或外國政府、稅務或監管機構，或具司法管轄權的法院)。
- 對於上述傳輸，未來資產實體可能會將資料傳輸給香港境外的第三方，包括受讓人，請注意，傳輸至香港境外的資料所受保護可能級別較低及/或不再受到條例項下的任何相關香港銀行保密法、客戶保密法或資料保護法的保護。我們將需要閣下同意未來資產實體及其正式授權的代理為上文第 7 段所述目的收集、記錄、獲取、存儲、改編、傳輸或以其他方式處理及披露資料。資料可能會傳輸給位於歐盟(「歐盟」)境外國家/地區的未來資產實體或其指定人員進行與投資相關的處理，這些國家/地區可能沒有資料保護法，或其資料保護法未提供與歐盟資料保護法相同級別的保護。倘閣下不願提供上述同意，請致函未來資產香港的以下指定人士。但請注意，在此情況下，本公司可能會拒絕受理閣下認購基金股份的請求。
- 根據條例，閣下有權：
  - 查看未來資產實體是否持有有關閣下的資料，並查閱該等資料；
  - 要求未來資產實體更正任何與閣下有關的不準確資料；
  - 查明未來資產實體有關資料的政策及做法，並了解未來資產實體持有的資料類型；及
  - 隨時反對將閣下的資料用於直接行銷目的，並且在閣下向未來資產實體傳達閣下的反對意見後，未來資產實體不得將閣下的資料用於直接行銷目的。

根據條例的條款，未來資產香港有權就受理任何資料查閱要求的手續收取合理費用。

如需查閱未來資產實體持有的閣下的資料、更正該等資料、反對將閣下的資料用於直接行銷目的或獲取有關政策及實務以及所持有資料類型的資訊，請聯絡：

#### 資料保護總監

未來資產環球投資(香港)有限公司

香港銅鑼灣新寧道一號

利園三期 11 樓 1101 室

電話：(852) 2285 1500

傳真：(852) 2295 0698

電子郵件：HK-ComplianceTeam@miraesasset.com

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