



by Mirae Asset

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## **Global X Exchange Traded Funds Series OFC (the “Company”)**

### **Global X China Semiconductor ETF**

(HKD Counter Stock Code: 3191; USD Counter Stock Code: 9191)

### **Global X China Robotics and AI ETF**

(HKD Counter Stock Code: 2807; USD Counter Stock Code: 9807)

**(each a “Sub-Fund, and collectively, the “Sub-Funds”)**

*(Each a sub-fund of Global X Exchange Traded Funds Series OFC, a Hong Kong public umbrella open-ended fund company with variable capital, limited liability and segregated liability between sub-funds and authorized under section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)<sup>1</sup>*

## **Announcement**

### **The Changes of FactSet China Semiconductor Index and FactSet China Robotics and Artificial Intelligence Index**

Mirae Asset Global Investments (Hong Kong) Limited, the Manager of the Company and the Sub-funds (the “Manager”), wish to inform investors of certain changes relating to the Sub-Funds, which will take effect on 14 March 2025 (the “Effective Date”) unless specified otherwise:

#### **1. Changes to the Index**

For Global X China Semiconductor ETF:

<b>Current Index Universe</b>	<b>Index Universe from the effective date</b>
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<p>Classified as being focused on one or more of the following FactSet Revere Business Industry Classification System Focus at its Level 6 sub-industries:</p> <ul style="list-style-type: none"> <li>i. assembly equipment manufacturing;</li> <li>ii. conventional flat panel display equipment;</li> <li>iii. diversified semiconductor capital equipment makers;</li> <li>iv. diversified semiconductors;</li> <li>v. flash memory semiconductors;</li> <li>vi. light emitting diode discrete semiconductors;</li> <li>vii. other discrete semiconductors;</li> <li>viii. other front-end processing equipment makers**;</li> <li>ix. other processor semiconductors;</li> <li>x. security and identification semiconductors;</li> <li>xi. semiconductor foundry services;</li> <li>xii. semiconductor packaging and testing services;</li> <li>xiii. specialty analog and mixed signal semiconductors;</li> <li>xiv. test, measurement and metrology equipment makers;</li> <li>xv. image sensor and image capture semiconductors;</li> <li>xvi. RF analog and mixed signal semiconductors;</li> <li>xvii. general analog and mixed signal semiconductors;</li> <li>xviii. other power analog and mixed signal semiconductors;</li> <li>xix. other memory semiconductors; and</li> <li>xx. turnkey assembly manufacturing services.</li> </ul> <p>** For securities classified to 'Other Front-End Processing Equipment Makers', exclude those that are mapped as focusing on Photovoltaic Wafers in Factset Revere Hierarchy.</p>	<p>Classified as being focused on one or more of the following FactSet Revere Business Industry Classification System Focus at its Level 6 sub-industries:</p> <ul style="list-style-type: none"> <li>i. assembly equipment manufacturing;</li> <li>ii. autonomous vehicles semiconductors;</li> <li>iii. A.I./large scale processing graphics controller;</li> <li>iv. conventional flat panel display equipment;</li> <li>v. diversified semiconductor capital equipment makers;</li> <li>vi. diversified semiconductors;</li> <li>vii. embedded automotive software;</li> <li>viii. electronics manufacturing equipment manufacturing;</li> <li>ix. flash memory semiconductors;</li> <li>x. general automotive semiconductors;</li> <li>xi. light emitting diode discrete semiconductors;</li> <li>xii. other discrete semiconductors;</li> <li>xiii. other front-end processing equipment makers**;</li> <li>xiv. other processor semiconductors;</li> <li>xv. quantum processor semiconductors</li> <li>xvi. security and identification semiconductors;</li> <li>xvii. semiconductor foundry services;</li> <li>xviii. semiconductor packaging and testing services;</li> <li>xix. specialty analog and mixed signal semiconductors;</li> <li>xx. test, measurement and metrology equipment makers;</li> <li>xxi. image sensor and image capture semiconductors;</li> <li>xxii. RF analog and mixed signal semiconductors;</li> <li>xxiii. general analog and mixed signal semiconductors;</li> <li>xxiv. other power analog and mixed signal semiconductors;</li> <li>xxv. other memory semiconductors; and</li> <li>xxvi. turnkey assembly manufacturing services.</li> </ul> <p>** Before July 30, 2023, securities classified to 'Other Front-End Processing Equipment Makers', exclude those that are mapped as focusing on Photovoltaic Wafers in Factset Revere Hierarchy.</p>
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For Global X China Robotics and AI ETF:

Current Index Universe	Index Universe from the effective date
<p>The Index universe of the Index ("Index Universe") includes common stocks or ADRs issued by companies which are headquartered or incorporated in Mainland China or Hong Kong, and which fulfil all of the following criteria:</p> <ul style="list-style-type: none"> <li>1. Listed on one of the following exchanges :a) Hong Kong: SEHK; b) Mainland China: SSE and SZSE; c) United States of America: the New York Stock Exchange and the NASDAQ Stock Market.</li> <li>2. Having a minimum three-month average daily trading value ("ADTV") of HKD20 million on the Selection Day. Existing constituents may remain in the Index if they have a</li> </ul>	<p>The Index universe of the Index ("Index Universe") includes common stocks or ADRs issued by companies which are headquartered or incorporated in Mainland China or Hong Kong, and which fulfil all of the following criteria:</p> <ul style="list-style-type: none"> <li>1. Listed on one of the following exchanges :a) Hong Kong: SEHK; b) Mainland China: SSE and SZSE; c) United States of America: the New York Stock Exchange and the NASDAQ Stock Market.</li> <li>2. Having a minimum three-month average daily trading value ("ADTV") of HKD20 million on the Selection Day. Existing constituents may remain in the Index if they have a minimum three-month ADTV of HKD15 million on the Selection Day.</li> </ul>

<p>minimum three-month ADTV of HKD15 million on the Selection Day.</p> <p>3. The Securities' LTM ROE is greater than zero, where "LTM ROE" is defined as the last twelve month's net income divided by shareholders' equity.</p> <p>4. Companies are then categorised into two categories: (i) industrial automation machineries and robotics makers or (ii) artificial intelligence software.</p> <p>For (i) "industrial automation machineries and robotics makers" category, shortlist securities which are classified as one of the following 13 FactSet Revere Business Industry Classification Systems Focus Level 6 sub-industries, and they must also mention keywords related to automation and robotics in either their FactSet Company Descriptions or their Business Overview section in their annual report filings. These keywords are subject to regular review and revision by FactSet:</p> <ul style="list-style-type: none"> <li>i. 3D modelling/rapid prototyping automation providers;</li> <li>ii. closed circuit television (CCTV) systems/products;</li> <li>iii. industrial robots and robotic assembly line makers;</li> <li>iv. machine vision and quality control manufacturing;</li> <li>v. motion control and precision motors manufacturing;</li> <li>vi. other automation support product manufacturing;</li> <li>vii. other Industrial electrical Product Manufacturing;</li> <li>viii. paper and textile automation providers;</li> <li>ix. laser and optical instrument manufacturing;</li> <li>x. electrical systems and equipment manufacturing;</li> <li>xi. mixed industrial machinery parts equipment makers;</li> <li>xii. diversified industrial manufacturing; and</li> <li>xiii. general factory automation makers.</li> </ul> <p>For (ii) "artificial intelligence software" category, shortlist companies which are classified as one of the following four RBICS Focus Level 6 sub-industries, and which also include mentions of keywords related to artificial intelligence in either their FactSet Company Descriptions or their Business Overview section in their annual report filings. These keywords are subject to regular review and revision by FactSet:</p> <ul style="list-style-type: none"> <li>i. productivity software;</li> <li>ii. general and mixed-type software;</li> <li>iii. web search sites and software; and</li> <li>iv. operating system software.</li> </ul>	<p>3. Companies are then categorised into two categories: (i) industrial automation machineries and robotics makers or (ii) artificial intelligence software.</p> <p>For (i) "industrial automation machineries and robotics makers" category, shortlist securities which are classified as one of the following 23 FactSet Revere Business Industry Classification Systems Focus Level 6 sub-industries, and they must also mention keywords related to automation and robotics in either their FactSet Company Descriptions or their Business Overview section in their annual report filings. These keywords are subject to regular review and revision by FactSet:</p> <ul style="list-style-type: none"> <li>i. 3D modelling/rapid prototyping automation providers;</li> <li>ii. closed circuit television (CCTV) systems/products;</li> <li>iii. industrial robots and robotic assembly line makers;</li> <li>iv. machine vision and quality control manufacturing;</li> <li>v. mechanical power transmission (MPT) manufacturing;</li> <li>vi. motion control and precision motors manufacturing;</li> <li>vii. multi-industry-specific factory machinery makers;</li> <li>viii. other automation support product manufacturing;</li> <li>ix. mixed industrial electrical product manufacturing;</li> <li>x. paper and textile automation providers;</li> <li>xi. power module and subassembly electronic components;</li> <li>xii. powertrain manufacturing;</li> <li>xiii. laser and optical instrument manufacturing;</li> <li>xiv. mixed industrial machinery parts equipment makers;</li> <li>xv. diversified industrial manufacturing;</li> <li>xvi. general factory automation makers;</li> <li>xvii. photovoltaic power module/subassembly components;</li> <li>xviii. alternative energy transit vehicles makers;</li> <li>xix. automotive and marine electronics manufacturing;</li> <li>xx. embedded automotive software;</li> <li>xxi. vehicle autonomous control electronics makers;</li> <li>xxii. vehicle autonomous control software; and</li> <li>xxiii. household robot makers.</li> </ul> <p>For (ii) "artificial intelligence software" category, shortlist companies which are classified as one of the following 7 RBICS Focus Level 6 sub-industries, and which also include mentions of keywords related to artificial intelligence in either their FactSet Company Descriptions or their Business Overview section in their annual report filings. These keywords are subject to regular review and revision by FactSet:</p>
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<p>5. Securities are further ranked within their RBICS Focus sub-industries by total market capitalisation in descending order and the top 5 Securities of each subindustry are shortlisted.</p> <p>6. Where a company has multiple share classes, the most liquid issue based on the highest three-month ADTV on the Selection Day are selected.</p> <p>7. The selected Securities are ranked in descending order by free float adjusted market capitalisation. Up to the top 25 and a minimum of 15 securities (as further explained at step 8 below) will be selected as constituents of the Index.</p> <p>8. If the number of selected Securities is less than 15 after the aforementioned steps 1 to 7, the list of RBICS Focus Level 6 sub-industries referred to in step 4 shall be expanded upon consultation with the Index Provider's index oversight committee and the offering documents will be updated to reflect the expanded list of sub-industries. The Index methodology will also be updated and published on the Index Provider's website in a timely manner.</p> <p>9. On each Selection Day, the selected Securities are weighed by free float adjusted market capitalisation by dividing their individual float-adjusted market capitalisation to the sum of float-adjusted market capitalisation of all Securities. The weight of each Index constituent are then capped at 7.0%, and excess weights are redistributed proportionally among remaining uncapped constituents. If such redistribution leads to the weight of a constituent to exceed 7.0%, the aforementioned redistribution process is repeated until no constituent weighs more than 7.0%. Notwithstanding that the weight of certain Index constituents may have exceeded 7.0% during the period between the Selection Day and the Rebalancing Day, the aforementioned weighing, capping and redistribution of the Index constituents will not be repeated on the Rebalancing Day. Please refer to the section headed "Index adjustments" below for details of the ordinary rebalancing of the Index which will be conducted on each Rebalancing Day.</p>	<p>i. artificial intelligence productivity software;</p> <p>ii. general and mixed-type software;</p> <p>iii. network design and implementation consulting;</p> <p>iv. operating systems software;</p> <p>v. productivity software;</p> <p>vi. web search sites and software; and</p> <p>vii. software development software.</p> <p>4. Securities are further ranked within their RBICS Focus sub-industries by total market capitalisation in descending order and the top 5 Securities of each subindustry are shortlisted.</p> <p>5. Where a company has multiple share classes, the most liquid issue based on the highest three-month ADTV on the Selection Day are selected.</p> <p>6. The selected Securities are ranked in descending order by free float adjusted market capitalisation. Up to the top 25 and a minimum of 15 securities (as further explained at step 8 below) will be selected as constituents of the Index.</p> <p>7. Tabulate the number of securities with an LTM return on equity (ROE) less than 0. These securities will be capped at no more than 25% of the total index constituents (e.g., if the index has 25 constituents, a maximum of 6 can have negative ROE). If the proportion exceeds 25%, remove securities with the smallest free float adjusted market capitalization first and replace them with securities that have positive LTM ROE and the largest free float adjusted market capitalization, not already selected in Step 6.</p> <p>8. If the number of selected Securities is less than 15 after the aforementioned steps 1 to 7, the list of RBICS Focus Level 6 sub-industries referred to in step 3 and 4 shall be expanded upon consultation with the Index Provider's index oversight committee and the offering documents will be updated to reflect the expanded list of sub-industries. The Index methodology will also be updated and published on the Index Provider's website in a timely manner.</p> <p>9. On each Selection Day, the selected Securities are weighed by free float adjusted market capitalisation by dividing their individual float-adjusted market capitalisation to the sum of float-adjusted market capitalisation of all Securities. The weight of each Index constituent are then capped at 7.0%, and excess weights are redistributed proportionally among remaining uncapped constituents. If such redistribution leads to the weight of a constituent to exceed 7.0%, the aforementioned redistribution process is repeated until no constituent weighs more than 7.0%. Notwithstanding that the weight of certain Index constituents may have exceeded 7.0% during the period between the Selection Day and the Rebalancing Day, the aforementioned weighing, capping and redistribution of the Index constituents will not be repeated on the Rebalancing Day. Please refer to the section headed "Index adjustments" below for details of the ordinary rebalancing of the Index which will be conducted on each Rebalancing Day.</p>
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## **2. Impact on the Sub-Funds**

Apart from the foregoing, there will be no change to the fee level or cost in managing the Sub-Funds following the implementation of these changes.

Save as otherwise set out above, there will be no impact on the operation and/or manner in which the Sub-Funds are being managed and the above changes will not affect the existing investors of the Sub-Funds, and there will be no change to the features or risk profiles of the Sub-Funds. The investors' rights or interests will not be materially prejudiced as a result of the changes set out in this Announcement.

The changes described in this Announcement do not require investors' approval.

## **3. General**

The Prospectus and the KFS of the Sub-Funds will be revised to reflect the above changes as well as consequential changes, editorial and miscellaneous updates. The updated offering documents will be available on the Manager's website at <https://www.globalxetfs.com.hk/2> and the HKEX's website at [www.hkex.com.hk](http://www.hkex.com.hk) on the Effective Date.

Investors who have any queries in relation to this Announcement, please contact the Manager at Room 1101, 11/F, Lee Garden Three, 1 Sunning Road, Causeway Bay, Hong Kong or its enquiry hotline at (852) 2295-1500 during office hours.

The Manager accepts responsibility for the accuracy of the contents of this announcement.

**Mirae Asset Global Investments (Hong Kong) Limited**  
**as Manager of the Company and the Sub-Funds**  
**Date: 14 February 2025**

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<sup>2</sup> This website has not been reviewed or approved by the SFC.