

# INTERIM FINANCIAL STATEMENTS

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

GLOBAL X CHINA CLEAN ENERGY ETF

GLOBAL X CHINA CONSUMER BRAND ETF

GLOBAL X CHINA ELECTRIC VEHICLE AND BATTERY ETF

GLOBAL X CHINA ROBOTICS AND AI ETF

GLOBAL X CHINA SEMICONDUCTOR ETF

GLOBAL X CHINA GLOBAL LEADERS ETF

GLOBAL X CHINA INNOVATOR ACTIVE ETF

GLOBAL X ASIA SEMICONDUCTOR ETF

GLOBAL X CHINA E-COMMERCE AND LOGISTICS ETF

GLOBAL X CHINA GAMES AND ENTERTAINMENT ETF

GLOBAL X FINTECH ETF

GLOBAL X AUTONOMOUS AND ELECTRIC VEHICLES ETF

GLOBAL X ASIA INNOVATOR ACTIVE ETF

GLOBAL X CHINA MEDTECH ETF

GLOBAL X ASIA USD INVESTMENT GRADE BOND ETF

GLOBAL X CHINA LITTLE GIANT ETF

FOR THE SIX MONTHS ENDED 30 SEPTEMBER 2024

(SUB-FUNDS OF GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC)

## **GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

### Unaudited Condensed Interim Financial Statements

#### **CONTENTS**

	Page
Interim financial statements	
Statement of net assets (Unaudited)	1 - 4
Statement of profit or loss and other comprehensive income (Unaudited)	5 - 8
Statement of changes in net assets attributable to shareholders (Unaudited)	9 - 12
Statement of cash flows (Unaudited)	13 - 28
Notes to the financial statements (Unaudited)	29 - 74
ESG Disclosure for Global X China Clean Energy ETF (Unaudited)	75
Investment portfolio (Unaudited)	76 - 100
Statement of movements in investment portfolio (Unaudited)	101 - 129
Details in respect of financial derivative instruments (Unaudited)	130
Holdings of Collateral (Unaudited)	130 - 134
Performance record (Unaudited)	135 - 139
Information on exposure arising from financial derivative instruments (Unaudited)	140
Management and administration	141

#### **IMPORTANT:**

Any opinion expressed herein reflects the Manager's view only and is subject to change. For more information about the Global X Exchange Traded Funds Series OFC, please refer to the prospectus of Global X Exchange Traded Funds Series OFC which is available at our website: [www.globalxetfshk.com](http://www.globalxetfshk.com).

Investors should not rely on the information contained in this report for their investment decisions.

# GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

## STATEMENT OF NET ASSETS

As at 30 September 2024 (unaudited)

	Notes	Global X Exchange Traded Funds Series OFC		Global X China Clean Energy ETF		Global X China Consumer Brand ETF		Global X China Electric Vehicle and Battery ETF		Global X China Robotics and AI ETF	
		As at 30 September 2024 (unaudited) HKD	As at 31 March 2024 (audited) HKD	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<b>ASSETS</b>											
Financial assets at fair value through profit or loss	6(d), 11	–	–	499,700,842	542,035,691	302,335,864	357,547,721	1,170,613,597	1,231,982,693	112,737,337	126,026,085
Dividends receivable		–	–	276,828	115,518	293,102	–	–	–	–	–
Amounts due from brokers	6(h), 7	–	–	–	–	–	–	–	10,240,107	–	–
Prepayment and other receivables		–	–	21,052	17,807	12,789	9,146	108,418	53,529	9,480	–
Bank balances	6(d)	–	–	816,138	494,738	4,736,696	378,635	3,076,313	–	615,539	282,351
<b>TOTAL ASSETS</b>		<b>–</b>	<b>–</b>	<b>500,814,860</b>	<b>542,663,754</b>	<b>307,378,451</b>	<b>357,935,502</b>	<b>1,173,798,328</b>	<b>1,242,276,329</b>	<b>113,362,356</b>	<b>126,308,436</b>
<b>LIABILITIES</b>											
Management fee payable	6(b)	–	–	242,413	320,272	136,654	207,914	533,548	716,160	51,422	85,174
Redemption payable	6(f)	–	–	–	–	–	–	–	–	–	–
Amounts due to brokers	6(h), 7	–	–	–	–	3,688,387	–	–	–	354,706	–
Registration fee payable	6(e)	–	–	124,000	118,000	124,000	166,000	178,000	208,000	66,000	42,000
Bank overdraft		–	–	–	–	–	–	–	8,737,101	–	–
<b>TOTAL LIABILITIES</b>		<b>–</b>	<b>–</b>	<b>366,413</b>	<b>438,272</b>	<b>3,949,041</b>	<b>373,914</b>	<b>711,548</b>	<b>9,661,261</b>	<b>472,128</b>	<b>127,174</b>
<b>EQUITY</b>											
Net assets attributable to shareholders	3(a)	–	–	<b>500,448,447</b>	<b>542,225,482</b>	<b>303,429,410</b>	<b>357,561,588</b>	<b>1,173,086,780</b>	<b>1,232,615,068</b>	<b>112,890,228</b>	<b>126,181,262</b>
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>–</b>	<b>–</b>	<b>500,814,860</b>	<b>542,663,754</b>	<b>307,378,451</b>	<b>357,935,502</b>	<b>1,173,798,328</b>	<b>1,242,276,329</b>	<b>113,362,356</b>	<b>126,308,436</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2024 (unaudited)

	Notes	Global X China Semiconductor ETF		Global X China Global Leaders ETF		Global X China Innovator Active ETF		Global X Asia Semiconductor ETF		Global X China E-commerce and Logistics ETF	
		As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<b>ASSETS</b>											
Financial assets at fair value through profit or loss	6(d), 11	372,313,000	381,681,023	20,028,990	17,178,796	–	13,293,700	50,463,054	91,067,477	–	7,147,684
Dividends receivable		19,080	19,080	5,745	329	–	4,376	72,980	116,908	9,280	12,728
Amounts due from brokers	6(h), 7	11,516,183	–	–	–	–	–	1,646,803	–	–	–
Prepayment and other receivables		37,517	18,172	–	–	–	–	–	–	–	–
Bank balances	6(d)	8,772,369	463,096	133,308	144,924	10,532,780	147,112	5,854,857	429,283	5,930,420	29,679
<b>TOTAL ASSETS</b>		<b>392,658,149</b>	<b>382,181,371</b>	<b>20,168,043</b>	<b>17,324,049</b>	<b>10,532,780</b>	<b>13,445,188</b>	<b>58,037,694</b>	<b>91,613,668</b>	<b>5,939,700</b>	<b>7,190,091</b>
<b>LIABILITIES</b>											
Management fee payable	6(b)	170,936	242,833	9,934	11,972	5,330	10,754	29,837	53,373	2,549	4,093
Redemption payable	6(f)	–	–	–	–	–	–	–	–	–	–
Amounts due to brokers	6(h), 7	19,952,147	–	–	–	–	–	3,419,345	67	–	–
Registration fee payable	6(e)	90,000	84,000	62,000	104,000	24,000	24,000	126,000	72,001	6,000	12,000
Bank overdraft		–	–	–	–	–	–	3,642,047	–	–	–
<b>TOTAL LIABILITIES</b>		<b>20,213,083</b>	<b>326,833</b>	<b>71,934</b>	<b>115,972</b>	<b>29,330</b>	<b>34,754</b>	<b>7,217,229</b>	<b>125,441</b>	<b>8,549</b>	<b>16,093</b>
<b>EQUITY</b>											
Net assets attributable to shareholders	3(a)	<b>372,445,066</b>	<b>381,854,538</b>	<b>20,096,109</b>	<b>17,208,077</b>	<b>10,503,450</b>	<b>13,410,434</b>	<b>50,820,465</b>	<b>91,488,227</b>	<b>5,931,151</b>	<b>7,173,998</b>
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>392,658,149</b>	<b>382,181,371</b>	<b>20,168,043</b>	<b>17,324,049</b>	<b>10,532,780</b>	<b>13,445,188</b>	<b>58,037,694</b>	<b>91,613,668</b>	<b>5,939,700</b>	<b>7,190,091</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2024 (unaudited)

	Notes	Global X China Games and Entertainment ETF		Global X FinTech ETF		Global X Autonomous and Electric Vehicles ETF		Global X Asia Innovator Active ETF		Global X China MedTech ETF	
		As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<b>ASSETS</b>											
Financial assets at fair value through profit or loss	6(d), 11	–	7,440,059	1,553,056	1,284,471	–	1,303,014	–	8,678,426	13,005,052	29,041,096
Dividends receivable		–	–	38	42	119	2,451	6,473	7,942	–	–
Amounts due from brokers	6(h), 7	–	76,117	–	–	–	4,411	–	–	–	408,319
Prepayment and other receivables		–	–	–	–	–	–	–	–	–	–
Bank balances	6(d)	7,228,082	118,907	9,316	8,001	1,213,789	7,049	8,114,355	612,127	133,779	1,731,598
<b>TOTAL ASSETS</b>		<b>7,228,082</b>	<b>7,635,083</b>	<b>1,562,410</b>	<b>1,292,514</b>	<b>1,213,908</b>	<b>1,316,925</b>	<b>8,120,828</b>	<b>9,298,495</b>	<b>13,138,831</b>	<b>31,181,013</b>
<b>LIABILITIES</b>											
Management fee payable	6(b)	3,133	4,415	759	724	546	741	4,771	5,887	5,606	23,292
Redemption payable	6(f)	–	–	–	–	–	–	–	–	–	1,928,743
Amounts due to brokers	6(h), 7	–	–	–	–	–	–	–	–	–	–
Registration fee payable	6(e)	–	12,000	1,000	1,000	–	3,000	6,000	6,000	102,000	138,000
Bank overdraft		–	–	–	–	–	–	–	–	–	–
<b>TOTAL LIABILITIES</b>		<b>3,133</b>	<b>16,415</b>	<b>1,759</b>	<b>1,724</b>	<b>546</b>	<b>3,741</b>	<b>10,771</b>	<b>11,887</b>	<b>107,606</b>	<b>2,090,035</b>
<b>EQUITY</b>											
Net assets attributable to shareholders	3(a)	<b>7,224,949</b>	<b>7,618,668</b>	<b>1,560,651</b>	<b>1,290,790</b>	<b>1,213,362</b>	<b>1,313,184</b>	<b>8,110,057</b>	<b>9,286,608</b>	<b>13,031,225</b>	<b>29,090,978</b>
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>7,228,082</b>	<b>7,635,083</b>	<b>1,562,410</b>	<b>1,292,514</b>	<b>1,213,908</b>	<b>1,316,925</b>	<b>8,120,828</b>	<b>9,298,495</b>	<b>13,138,831</b>	<b>31,181,013</b>

The accompanying notes are an integral part of these financial statements.

# GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

## STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2024 (unaudited)

	Notes	Global X Asia USD Investment Grade Bond ETF		Global X China Little Giant ETF	
		As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<b>ASSETS</b>					
Financial assets at fair value through profit or loss	6(d), 11	9,826,215	19,471,018	25,767,236	50,533,058
Dividends receivable		–	–	–	–
Amounts due from brokers	6(h), 7	399,787	11,462	–	–
Prepayment and other receivables		–	–	–	–
Bank balances	6(d)	47,676	122,852	236,654	6,685,840
<b>TOTAL ASSETS</b>		<b>10,273,678</b>	<b>19,605,332</b>	<b>26,003,890</b>	<b>57,218,898</b>
<b>LIABILITIES</b>					
Management fee payable	6(b)	2,946	5,789	9,114	33,868
Redemption payable	6(f)	–	–	–	6,366,882
Amounts due to brokers	6(h), 7	305,044	–	–	–
Registration fee payable	6(e)	5,000	8,000	108,000	96,000
Bank overdraft		–	–	–	–
<b>TOTAL LIABILITIES (EXCLUDING NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS)</b>		<b>312,990</b>	<b>13,789</b>	<b>117,114</b>	<b>6,496,750</b>
<b>EQUITY</b>					
Net assets attributable to shareholders*	3(a)	–	19,591,543	25,886,776	50,722,148
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>–</b>	<b>19,605,332</b>	<b>26,003,890</b>	<b>57,218,898</b>
Net assets attributable to shareholders*	3(a)	<b>9,960,688</b>	–	–	–
Accounting net assets attributable to shareholders		9,960,688	–	–	–
Adjustment for different basis adopted by the Sub-Fund in arriving at net assets attributable to shareholders	3(a)	(131)	–	–	–
Net assets attributable to shareholders (in accordance with the Prospectus of the Sub-Fund)	3(a)	9,960,557	–	–	–

\*Net assets attributable to shareholders of Global X Asia USD Investment Grade Bond ETF is classified as financial liabilities as at 30 September 2024 and equity as at 31 March 2024. Refer to Note 2(i).

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME

For the period ended 30 September 2024 (unaudited)

	Notes	Global X Exchange Traded Funds Series OFC		Global X China Clean Energy ETF		Global X China Consumer Brand ETF		Global X China Electric Vehicle and Battery ETF		Global X China Robotics and AI ETF	
		For the six months ended 30 September 2024	For the six months ended 30 September 2023	For the six months ended 30 September 2024	For the six months ended 30 September 2023	For the six months ended 30 September 2024	For the six months ended 30 September 2023	For the six months ended 30 September 2024	For the six months ended 30 September 2023	For the six months ended 30 September 2024	For the six months ended 30 September 2023
		(unaudited)	(unaudited)	(unaudited)	(unaudited)	(unaudited)	(unaudited)	(unaudited)	(unaudited)	(unaudited)	(unaudited)
		HKD	HKD	RMB	RMB	RMB	RMB	RMB	RMB	RMB	RMB
<b>INCOME</b>											
Dividend income		–	–	8,675,372	12,616,849	7,824,137	9,887,691	20,264,639	27,060,278	1,260,922	1,050,475
Interest income		–	–	3,955	11,344	1,706	4,471	8,162	28,214	2,984	2,280
Net gain/(loss) on financial assets at fair value through profit or loss	5	–	–	39,166,231	(258,797,077)	7,674,032	(81,666,189)	118,543,932	(520,274,791)	2,993,880	(23,198,535)
Net exchange (loss)/gain		–	–	(6,924)	(3,058)	(102,997)	104,201	(86,731)	(352)	(1,911)	8,860
Other income		–	–	–	–	–	–	–	–	–	–
		<u>–</u>	<u>–</u>	<u>47,838,634</u>	<u>(246,171,942)</u>	<u>15,396,878</u>	<u>(71,669,826)</u>	<u>138,730,002</u>	<u>(493,186,651)</u>	<u>4,255,875</u>	<u>(22,136,920)</u>
<b>EXPENSES</b>											
Management fee	6(b)	–	–	(1,606,742)	(3,581,994)	(976,118)	(2,062,864)	(3,628,022)	(7,976,527)	(357,415)	(506,203)
Transaction costs on financial assets at fair value through profit or loss	6(a)	–	–	(489,394)	(1,378,248)	(338,861)	(658,025)	(981,798)	(3,240,253)	(89,460)	(106,510)
		<u>–</u>	<u>–</u>	<u>(2,096,136)</u>	<u>(4,960,242)</u>	<u>(1,314,979)</u>	<u>(2,720,889)</u>	<u>(4,609,820)</u>	<u>(11,216,780)</u>	<u>(446,875)</u>	<u>(612,713)</u>
<b>Operating profit/(loss)</b>		<b>–</b>	<b>–</b>	<b>45,742,498</b>	<b>(251,132,184)</b>	<b>14,081,899</b>	<b>(74,390,715)</b>	<b>134,120,182</b>	<b>(504,403,431)</b>	<b>3,809,000</b>	<b>(22,749,633)</b>
<b>FINANCE COSTS</b>											
Interest expense		–	–	(4,226)	(39)	–	(4,294)	(11,530)	–	(78)	–
<b>Profit/(loss) before tax</b>		<b>–</b>	<b>–</b>	<b>45,738,272</b>	<b>(251,132,223)</b>	<b>14,081,899</b>	<b>(74,395,009)</b>	<b>134,108,652</b>	<b>(504,403,431)</b>	<b>3,808,922</b>	<b>(22,749,633)</b>
Withholding tax on dividend income	9	–	–	(822,248)	(1,202,946)	(526,908)	(695,708)	(2,026,464)	(2,706,029)	(126,092)	(105,046)
<b>Profit/(loss) after tax and total comprehensive income for the period</b>		<b>–</b>	<b>–</b>	<b>44,916,024</b>	<b>(252,335,169)</b>	<b>13,554,991</b>	<b>(75,090,717)</b>	<b>132,082,188</b>	<b>(507,109,460)</b>	<b>3,682,830</b>	<b>(22,854,679)</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X China Semiconductor ETF		Global X China Global Leaders ETF		Global X China Innovator Active ETF		Global X Asia Semiconductor ETF		Global X China E-Commerce and Logistics ETF	
		For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
<b>INCOME</b>											
Dividend income		1,617,991	1,744,969	400,773	663,095	151,996	448,150	843,489	1,298,762	143,875	937,883
Interest income		7,317	2,855	1,309	375	1,185	12,330	639	288	63	334
Net gain/(loss) on financial assets at fair value through profit or loss	5	41,867,268	(91,139,312)	2,393,172	(3,773,475)	(529,545)	(9,748,833)	(4,928,680)	1,729,297	457,665	(2,090,915)
Net exchange gain/(loss)		3,395	(13,050)	(3,291)	4,333	(12,103)	19,942	(89,579)	(32,793)	(2,739)	2,969
Other income		–	–	–	–	–	–	–	–	–	–
		<b>43,495,971</b>	<b>(89,404,538)</b>	<b>2,791,963</b>	<b>(3,105,672)</b>	<b>(388,467)</b>	<b>(9,268,411)</b>	<b>(4,174,131)</b>	<b>2,995,554</b>	<b>598,864</b>	<b>(1,149,729)</b>
<b>EXPENSES</b>											
Management fee	6(b)	(1,157,910)	(1,754,139)	(57,686)	(158,306)	(45,378)	(239,990)	(242,380)	(250,578)	(19,480)	(83,248)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(242,386)	(390,483)	(12,655)	(47,168)	(14,444)	(276,547)	(98,953)	(26,753)	(6,635)	(19,623)
		<b>(1,400,296)</b>	<b>(2,144,622)</b>	<b>(70,341)</b>	<b>(205,474)</b>	<b>(59,822)</b>	<b>(516,537)</b>	<b>(341,333)</b>	<b>(277,331)</b>	<b>(26,115)</b>	<b>(102,871)</b>
<b>Operating profit/(loss)</b>		<b>42,095,675</b>	<b>(91,549,160)</b>	<b>2,721,622</b>	<b>(3,311,146)</b>	<b>(448,289)</b>	<b>(9,784,948)</b>	<b>(4,515,464)</b>	<b>2,718,223</b>	<b>572,749</b>	<b>(1,252,600)</b>
<b>FINANCE COSTS</b>											
Interest expense		(323)	–	(15)	–	(20)	–	(2,126)	(466)	–	–
<b>Profit/(loss) before tax</b>		<b>42,095,352</b>	<b>(91,549,160)</b>	<b>2,721,607</b>	<b>(3,311,146)</b>	<b>(448,309)</b>	<b>(9,784,948)</b>	<b>(4,517,590)</b>	<b>2,717,757</b>	<b>572,749</b>	<b>(1,252,600)</b>
Withholding tax on dividend income	9	(145,184)	(174,497)	(22,563)	(32,215)	(9,727)	(34,788)	(164,062)	(258,280)	(8,127)	(49,075)
<b>Profit/(loss) after tax and total comprehensive income for the period</b>		<b>41,950,168</b>	<b>(91,723,657)</b>	<b>2,699,044</b>	<b>(3,343,361)</b>	<b>(458,036)</b>	<b>(9,819,736)</b>	<b>(4,681,652)</b>	<b>2,459,477</b>	<b>564,622</b>	<b>(1,301,675)</b>

The accompanying notes are an integral part of these financial statements.



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X China Games and Entertainment ETF		Global X FinTech ETF		Global X Autonomous and Electric Vehicles ETF		Global X Asia Innovator Active ETF		Global X China MedTech ETF
		For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB
<b>INCOME</b>										
Dividend income		96,154	103,205	7,371	4,943	11,107	24,013	101,322	104,672	216,676
Interest income		90	235	–	–	–	1	565	797	1,432
Net (loss)/gain on financial assets at fair value through profit or loss	5	(448,612)	(2,183,166)	8,464	(29,218)	(103,796)	(33,337)	403,225	(945,966)	(1,079,449)
Net exchange (loss)/gain		(3,697)	2,182	(101)	(127)	(574)	(108)	(12,241)	(4,596)	(917)
Other income		–	–	–	–	–	–	–	–	–
		<b>(356,065)</b>	<b>(2,077,544)</b>	<b>15,734</b>	<b>(24,402)</b>	<b>(93,263)</b>	<b>(9,431)</b>	<b>492,871</b>	<b>(845,093)</b>	<b>(862,258)</b>
<b>EXPENSES</b>										
Management fee	6(b)	(24,241)	(52,454)	(4,139)	(5,132)	(4,105)	(9,875)	(34,518)	(49,313)	(62,184)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(6,297)	(10,670)	(122)	(95)	(446)	(202)	(14,410)	(5,942)	(24,951)
		<b>(30,538)</b>	<b>(63,124)</b>	<b>(4,261)</b>	<b>(5,227)</b>	<b>(4,551)</b>	<b>(10,077)</b>	<b>(48,928)</b>	<b>(55,255)</b>	<b>(87,135)</b>
<b>Operating (loss)/profit</b>		<b>(386,603)</b>	<b>(2,140,668)</b>	<b>11,473</b>	<b>(29,629)</b>	<b>(97,814)</b>	<b>(19,508)</b>	<b>443,943</b>	<b>(900,348)</b>	<b>(949,393)</b>
<b>FINANCE COSTS</b>										
Interest expense		(126)	–	–	–	–	–	–	(87)	–
<b>(Loss)/profit before tax</b>		<b>(386,729)</b>	<b>(2,140,668)</b>	<b>11,473</b>	<b>(29,629)</b>	<b>(97,814)</b>	<b>(19,508)</b>	<b>443,943</b>	<b>(900,435)</b>	<b>(949,393)</b>
Withholding tax on dividend income	9	(6,990)	(5,738)	(759)	(1,247)	(2,008)	(3,476)	(10,980)	(12,591)	(21,668)
<b>(Loss)/profit after tax and total comprehensive income for the period</b>		<b>(393,719)</b>	<b>(2,146,406)</b>	<b>10,714</b>	<b>(30,876)</b>	<b>(99,822)</b>	<b>(22,984)</b>	<b>432,963</b>	<b>(913,026)</b>	<b>(971,061)</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X Asia USD Investment Grade Bond ETF For the six months ended 30 September 2024 (unaudited) USD	Global X China Little Giant ETF For the six months ended 30 September 2024 (unaudited) RMB
<b>INCOME</b>			
Dividend income		–	407,886
Interest income		8	1,152
Net gain/(loss) on financial assets at fair value through profit or loss	5	561,959	(2,367,126)
Net exchange (loss)/gain		(184)	11
Other income		40	–
		<b>561,823</b>	<b>(1,958,077)</b>
<b>EXPENSES</b>			
Management fee	6(b)	(23,307)	(124,899)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(96)	(65,774)
		<b>(23,403)</b>	<b>(190,673)</b>
<b>Operating profit/(loss)</b>		<b>538,420</b>	<b>(2,148,750)</b>
<b>FINANCE COSTS</b>			
Interest expense		(4)	(29)
<b>Profit/(loss) before tax</b>		<b>538,416</b>	<b>(2,148,779)</b>
Withholding tax on dividend income	9	–	(41,110)
<b>Increase in net attributable to shareholders from operations/ Loss after tax and total comprehensive income for the period</b>		<b>538,416</b>	<b>(2,189,889)</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS

For the period ended 30 September 2024 (unaudited)

	Notes	Global X Exchange Traded Funds Series OFC		Global X China Clean Energy ETF		Global X China Consumer Brand ETF		Global X China Electric Vehicle and Battery ETF		Global X China Robotics and AI ETF	
		For the six months ended 30 September 2024 (unaudited) HKD	For the six months ended 30 September 2023 (unaudited) HKD	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
<b>Balance at the beginning of the period</b>		–	–	542,225,482	1,283,195,710	357,561,588	671,784,088	1,232,615,068	2,771,314,730	126,181,262	172,995,029
Issue of shares during the period											
- cash creation and cash component	4	–	–	–	–	12,113,422	38,259,707	26,535,250	16,934,998	4,140,081	–
Redemption of shares during the period											
- cash redemption and cash component	4	–	–	(86,693,059)	(239,957,334)	(79,800,591)	(100,344,304)	(218,145,726)	(525,675,746)	(21,113,945)	(22,538,165)
Net redemption of shares		–	–	(86,693,059)	(239,957,334)	(67,687,169)	(62,084,597)	(191,610,476)	(508,740,748)	(16,973,864)	(22,538,165)
Distribution to shareholders	10	–	–	–	–	–	–	–	–	–	–
Profit/(loss) after tax and total comprehensive income for the period		–	–	44,916,024	(252,335,169)	13,554,991	(75,090,717)	132,082,188	(507,109,460)	3,682,830	(22,854,679)
<b>Balance at the end of the period</b>		<b>–</b>	<b>–</b>	<b>500,448,447</b>	<b>790,903,207</b>	<b>303,429,410</b>	<b>534,608,774</b>	<b>1,173,086,780</b>	<b>1,755,464,522</b>	<b>112,890,228</b>	<b>127,602,185</b>
<b>Number of shares in issue at beginning of period</b>	3(b)	–	–	7,550,000	12,850,000	9,000,000	14,150,000	18,350,000	29,000,000	3,050,000	3,450,000
Issue of shares during the period (shares)	3(b)	–	–	–	–	300,000	850,000	450,000	200,000	100,000	–
Redemption of shares during the period (shares)	3(b)	–	–	(1,250,000)	(2,700,000)	(2,100,000)	(2,300,000)	(3,500,000)	(6,100,000)	(550,000)	(500,000)
<b>Number of shares in issue at end of period</b>	3(b)	<b>–</b>	<b>–</b>	<b>6,300,000</b>	<b>10,150,000</b>	<b>7,200,000</b>	<b>12,700,000</b>	<b>15,300,000</b>	<b>23,100,000</b>	<b>2,600,000</b>	<b>2,950,000</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X China Semiconductor ETF		Global X China Global Leaders ETF		Global X China Innovator Active ETF		Global X Asia Semiconductor ETF		Global X China E-Commerce and Logistics ETF	
		For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
<b>Balance at the beginning of the period</b>		381,854,538	578,212,991	17,208,077	52,395,975	13,410,434	168,223,606	91,488,227	65,837,548	7,173,998	29,757,733
Issue of shares during the period											
- cash creation and cash component	4	-	-	1,887,202	-	-	16,217,828	10,848,714	6,663,094	-	-
Redemption of shares during the period											
- cash redemption and cash component	4	(51,359,640)	(45,634,561)	(1,698,214)	(9,130,611)	(2,448,948)	(152,311,929)	(46,834,824)	(8,628,968)	(1,807,469)	(11,962,747)
Net (redemption)/issue of shares		(51,359,640)	(45,634,561)	188,988	(9,130,611)	(2,448,948)	(136,094,101)	(35,986,110)	(1,965,874)	(1,807,469)	(11,962,747)
Distribution to shareholders	10	-	-	-	-	-	-	-	-	-	-
Profit/(loss) after tax and total comprehensive income for the period		41,950,168	(91,723,657)	2,699,044	(3,343,361)	(458,036)	(9,819,736)	(4,681,652)	2,459,477	564,622	(1,301,675)
<b>Balance at the end of the period</b>		<b>372,445,066</b>	<b>440,854,773</b>	<b>20,096,109</b>	<b>39,922,003</b>	<b>10,503,450</b>	<b>22,309,769</b>	<b>50,820,465</b>	<b>66,331,151</b>	<b>5,931,151</b>	<b>16,493,311</b>
<b>Number of shares in issue at beginning of period</b>	3(b)	12,600,000	14,450,000	500,000	1,350,000	500,000	5,150,000	1,650,000	1,600,000	200,000	750,000
Issue of shares during the period (shares)	3(b)	-	-	50,000	-	-	500,000	200,000	150,000	-	-
Redemption of shares during the period (shares)	3(b)	(1,750,000)	(1,200,000)	(50,000)	(250,000)	(100,000)	(4,900,000)	(900,000)	(200,000)	(50,000)	(300,000)
<b>Number of shares in issue at end of period</b>	3(b)	<b>10,850,000</b>	<b>13,250,000</b>	<b>500,000</b>	<b>1,100,000</b>	<b>400,000</b>	<b>750,000</b>	<b>950,000</b>	<b>1,550,000</b>	<b>150,000</b>	<b>450,000</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X China Games and Entertainment ETF		Global X FinTech ETF		Global X Autonomous and Electric Vehicles ETF		Global X Asia Innovator Active ETF		Global X China MedTech ETF
		For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB
<b>Balance at the beginning of the period</b>		7,618,668	17,733,039	1,290,790	1,545,427	1,313,184	2,805,014	9,286,608	13,387,883	29,090,978
Issue of shares during the period										
- cash creation and cash component	4	–	–	259,147	–	–	–	–	–	1,711,806
Redemption of shares during the period										
- cash redemption and cash component	4	–	(4,956,658)	–	(205,093)	–	–	(1,609,514)	–	(16,800,498)
Net (redemption)/issue of shares			(4,956,658)	259,147	(205,093)	–	–	(1,609,514)	–	(15,088,692)
Distribution to shareholders	10	–	–	–	–	–	–	–	–	–
(Loss)/profit after tax and total comprehensive income for the period		(393,719)	(2,146,406)	10,714	(30,876)	(99,822)	(22,984)	432,963	(913,026)	(971,061)
<b>Balance at the end of the period</b>		<b>7,224,949</b>	<b>10,629,975</b>	<b>1,560,651</b>	<b>1,309,458</b>	<b>1,213,362</b>	<b>2,782,030</b>	<b>8,110,057</b>	<b>12,474,857</b>	<b>13,031,225</b>
<b>Number of shares in issue at beginning of period</b>	3(b)	250,000	500,000	250,000	400,000	200,000	450,000	300,000	400,000	750,000
Issue of shares during the period (shares)	3(b)	–	–	50,000	–	–	–	–	–	50,000
Redemption of shares during the period (shares)	3(b)	–	(150,000)	–	(50,000)	–	–	(50,000)	–	(500,000)
<b>Number of shares in issue at end of period</b>	3(b)	<b>250,000</b>	<b>350,000</b>	<b>300,000</b>	<b>350,000</b>	<b>200,000</b>	<b>450,000</b>	<b>250,000</b>	<b>400,000</b>	<b>300,000</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X Asia USD Investment Grade Bond ETF For the six months ended 30 September 2024 (unaudited) USD	Global X China Little Giant ETF For the six months ended 30 September 2024 (unaudited) RMB
<b>Balance at the beginning of the period</b>		19,591,543	50,722,148
Issue of shares during the period			
- cash creation and cash component	4	233,310	11,493,142
Redemption of shares during the period			
- cash redemption and cash component	4	(10,081,092)	(34,138,625)
Net redemption of shares		(9,847,782)	(22,645,483)
Distribution to shareholders	10	(321,489)	-
Increase in net attributable to shareholders from operations/ Loss after tax and total comprehensive income for the period		538,416	(2,189,889)
<b>Balance at the end of the period</b>		<b><u>9,960,688</u></b>	<b><u>25,886,776</u></b>
<b>Number of shares in issue at beginning of period</b>	3(b)	2,670,000	1,189,750
Issue of shares during the period (shares)	3(b)	30,300	300,000
Redemption of shares during the period (shares)	3(b)	(1,380,000)	(900,000)
<b>Number of shares in issue at end of period</b>	3(b)	<b><u>1,320,300</u></b>	<b><u>589,750</u></b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS

For the period ended 30 September 2024 (unaudited)

	Global X China Clean Energy ETF	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax		
Adjustments for:		
Dividend income		
Interest income		
Interest expense		
Decrease in financial assets at fair value through profit or loss		
Increase in prepayment and other receivables		
Decrease in management fee payable		
Increase in registration fee payable		
Cash generated from operations		
Dividends received, net of withholding tax		
Interest received		
Interest paid		
Net cash flows generated from operating activities		
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>		
Cash paid on redemption of shares		
Net cash flows used in financing activity		
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period		
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d)	

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Global X China Consumer Brand ETF	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	14,081,899	(74,395,009)
Adjustments for:		
Dividend income	(7,824,137)	(9,887,691)
Interest income	(1,706)	(4,471)
Interest expense	–	4,294
	<u>6,256,056</u>	<u>(84,282,877)</u>
Decrease in financial assets at fair value through profit or loss	55,211,857	136,769,444
(Increase)/decrease in prepayment and other receivables	(3,643)	5,128
Decrease in management fee payable	(71,260)	(68,563)
Increase in amounts due to brokers	3,688,387	–
(Decrease)/increase in registration fee payable	(42,000)	156,000
Cash generated from operations	65,039,397	52,579,132
Dividends received, net of withholding tax	7,004,127	8,921,831
Interest received	1,706	4,471
Interest paid	–	(4,294)
Net cash flows generated from operating activities	<u><b>72,045,230</b></u>	<u><b>61,501,140</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares	12,113,422	38,259,707
Cash paid on redemption of shares	(79,800,591)	(100,344,304)
Net cash flows used in financing activities	<u><b>(67,687,169)</b></u>	<u><b>(62,084,597)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
	<b>4,358,061</b>	<b>(583,457)</b>
Cash and cash equivalents at the beginning of the period	<u>378,635</u>	<u>2,576,416</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>	<u><b>4,736,696</b></u>	<u><b>1,992,959</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>4,736,696</b></u>	<u><b>1,992,959</b></u>

The accompanying notes are an integral part of these financial statements.



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X China Electric Vehicle and Battery ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Note		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	134,108,652	(504,403,431)
Adjustments for:		
Dividend income	(20,264,639)	(27,060,278)
Interest income	(8,162)	(28,214)
Interest expense	11,530	–
	<u>113,847,381</u>	<u>(531,491,923)</u>
Decrease in financial assets at fair value through profit or loss	61,369,096	1,011,989,204
Decrease in amounts due from brokers	10,240,107	–
Increase in prepayment and other receivables	(54,889)	(145,915)
Decrease in management fee payable	(182,612)	(597,187)
(Decrease)/increase in registration fee payable	(30,000)	156,000
Cash generated from operations	<u>185,189,083</u>	<u>479,910,179</u>
Dividends received, net of withholding tax	18,238,175	23,387,400
Interest received	8,162	28,214
Interest paid	(11,530)	–
Net cash flows generated from operating activities	<u><b>203,423,890</b></u>	<u><b>503,325,794</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares	26,535,250	16,934,998
Cash paid on redemption of shares	(218,145,726)	(495,270,658)
Net cash flows used in financing activities	<u><b>(191,610,476)</b></u>	<u><b>(478,335,660)</b></u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period	<u>11,813,414</u>	<u>24,990,134</u>
	(8,737,101)	8,206,886
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>3,076,313</b></u>	<u><b>33,197,020</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>3,076,313</b></u>	<u><b>33,197,020</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Global X China Robotics and AI ETF	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	3,808,922	(22,749,633)
Adjustments for:		
Dividend income	(1,260,922)	(1,050,475)
Interest income	(2,984)	(2,280)
Interest expense	78	–
	<u>2,545,094</u>	<u>(23,802,388)</u>
Decrease in financial assets at fair value through profit or loss	13,288,748	44,869,792
Increase in prepayment and other receivables	(9,480)	–
Decrease in management fee payable	(33,752)	(17,420)
Increase in amounts due to brokers	354,706	–
Increase in registration fee payable	24,000	24,000
Cash generated from operations	<u>16,169,316</u>	<u>21,073,984</u>
Dividends received, net of withholding tax	1,134,830	945,429
Interest received	2,984	2,280
Interest paid	(78)	–
Net cash flows generated from operating activities	<u><b>17,307,052</b></u>	<u><b>22,021,693</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares	4,140,081	–
Cash paid on redemption of shares	<u>(21,113,945)</u>	<u>(22,538,165)</u>
Net cash flows used in financing activities	<u><b>(16,973,864)</b></u>	<u><b>(22,538,165)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
	<b>333,188</b>	<b>(516,472)</b>
Cash and cash equivalents at the beginning of the period	<u>282,351</u>	<u>974,457</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>	<u><b>615,539</b></u>	<u><b>457,985</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>615,539</b></u>	<u><b>457,985</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X China Semiconductor ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Note		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	42,095,352	(91,549,160)
Adjustments for:		
Dividend income	(1,617,991)	(1,744,969)
Interest income	(7,317)	(2,855)
Interest expense	323	–
	<u>40,470,367</u>	<u>(93,296,984)</u>
Decrease in financial assets at fair value through profit or loss	9,368,023	137,143,181
Increase in prepayment and other receivables	(19,345)	(29,822)
(Increase)/decrease in amounts due from brokers	(11,516,183)	7,015
Decrease in management fee payable	(71,897)	(66,397)
Increase in amounts due to brokers	19,952,147	–
Increase in registration fee payable	6,000	36,000
Cash generated from operations	58,189,112	43,792,993
Dividends received, net of withholding tax	1,472,807	1,505,996
Interest received	7,317	2,855
Interest paid	(323)	–
Net cash flows generated from operating activities	<u><b>59,668,913</b></u>	<u><b>45,301,844</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>		
Cash paid on redemption of shares	(51,359,640)	(45,634,561)
Net cash flows used in financing activity	<u><b>(51,359,640)</b></u>	<u><b>(45,634,561)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
	<b>8,309,273</b>	<b>(332,717)</b>
Cash and cash equivalents at the beginning of the period	<u>463,096</u>	<u>1,412,388</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>8,772,369</b></u>	<u><b>1,079,671</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>8,772,369</b></u>	<u><b>1,079,671</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Global X China Global Leaders ETF	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	2,721,607	(3,311,146)
Adjustments for:		
Dividend income	(400,773)	(663,095)
Interest income	(1,309)	(375)
Interest expense	15	–
	<u>2,319,540</u>	<u>(3,974,616)</u>
(Increase)/decrease in financial assets at fair value through profit or loss	(2,850,194)	12,511,228
Decrease in management fee payable	(2,038)	(7,404)
(Decrease)/increase in registration fee payable	(42,000)	24,000
Cash (used in)/generated from operations	(574,692)	8,553,208
Dividends received, net of withholding tax	372,794	630,880
Interest received	1,309	375
Interest paid	(15)	–
Net cash flows (used in)/generated from operating activities	<u>(200,604)</u>	<u>9,184,463</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares	1,887,202	–
Cash paid on redemption of shares	(1,698,214)	(9,130,611)
Net cash flows generated from/(used in) financing activities	<u>188,988</u>	<u>(9,130,611)</u>
<b>NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS</b>		
	<b>(11,616)</b>	<b>53,852</b>
Cash and cash equivalents at the beginning of the period	<u>144,924</u>	<u>257,901</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>133,308</b></u>	<u><b>311,753</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>133,308</b></u>	<u><b>311,753</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X China Innovator Active ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax	(448,309)	(9,784,948)
Adjustments for:		
Dividend income	(151,996)	(448,150)
Interest income	(1,185)	(12,330)
Interest expense	20	–
	<u>(601,470)</u>	<u>(10,245,428)</u>
Decrease in financial assets at fair value through profit or loss	13,293,700	133,949,523
Increase in amounts due from brokers	–	(2,612)
Decrease in management fee payable	(5,424)	(89,161)
Increase in registration fee payable	–	90,000
Cash generated from operations	12,686,806	123,702,322
Dividends received, net of withholding tax	146,645	411,007
Interest received	1,185	12,330
Interest paid	(20)	–
Net cash flows generated from operating activities	<u><b>12,834,616</b></u>	<u><b>124,125,659</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares	–	16,217,828
Cash paid on redemption of shares	(2,448,948)	(152,311,929)
Net cash flows used in financing activities	<u><b>(2,448,948)</b></u>	<u><b>(136,094,101)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
	<b>10,385,668</b>	<b>(11,968,442)</b>
Cash and cash equivalents at the beginning of the period	<u>147,112</u>	<u>13,278,148</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>10,532,780</b></u>	<u><b>1,309,706</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>10,532,780</b></u>	<u><b>1,309,706</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Global X Asia Semiconductor ETF	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
(Loss)/profit before tax	(4,517,590)	2,717,757
Adjustments for:		
Dividend income	(843,489)	(1,298,762)
Interest income	(639)	(288)
Interest expense	2,126	466
	<u>(5,359,592)</u>	<u>1,419,173</u>
Decrease/(increase) in financial assets at fair value through profit or loss	40,604,423	(582,877)
Increase in amounts due from brokers	(1,646,803)	(428,704)
(Decrease)/increase in management fee payable	(23,536)	5,422
Increase/(decrease) in amounts due to brokers	3,419,278	(42)
Increase in registration fee payable	53,999	24,001
Cash generated from operations	<u>37,047,769</u>	<u>436,973</u>
Dividends received, net of withholding tax	723,355	1,061,123
Interest received	639	288
Interest paid	(2,126)	(466)
Net cash flows generated from operating activities	<u><b>37,769,637</b></u>	<u><b>1,497,918</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares	10,848,714	6,663,094
Cash paid on redemption of shares	<u>(46,834,824)</u>	<u>(8,628,968)</u>
Net cash flows used in financing activities	<u><b>(35,986,110)</b></u>	<u><b>(1,965,874)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
	<b>1,783,527</b>	<b>(467,956)</b>
Cash and cash equivalents at the beginning of the period	<u>429,283</u>	<u>241,720</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>2,212,810</b></u>	<u><b>(226,236)</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) 5,854,857	–
Bank overdraft	<u>(3,642,047)</u>	<u>(226,236)</u>
	<u><b>2,212,810</b></u>	<u><b>(226,236)</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X China E-Commerce and Logistics ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Note		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	572,749	(1,252,600)
Adjustments for:		
Dividend income	(143,875)	(937,883)
Interest income	(63)	(334)
	<u>428,811</u>	<u>(2,190,817)</u>
Decrease in financial assets at fair value through profit or loss	7,147,684	13,282,861
Decrease in amounts due from brokers	–	13,652
Decrease in management fee payable	(1,544)	(7,648)
Decrease in amounts due to brokers	–	(12)
(Decrease)/increase in registration fee payable	(6,000)	12,000
Cash generated from operations	7,568,951	11,110,036
Dividends received, net of withholding tax	139,196	856,726
Interest received	63	334
Net cash flows generated from operating activities	<u><b>7,708,210</b></u>	<u><b>11,967,096</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>		
Cash paid on redemption of shares	(1,807,469)	(11,962,747)
Net cash flows used in financing activity	<u><b>(1,807,469)</b></u>	<u><b>(11,962,747)</b></u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period	29,679	59,035
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>5,930,420</b></u>	<u><b>63,384</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>5,930,420</b></u>	<u><b>63,384</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X China Games and Entertainment ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Note		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax	(386,729)	(2,140,668)
Adjustments for:		
Dividend income	(96,154)	(103,205)
Interest income	(90)	(235)
Interest expense	126	–
	<u>(482,847)</u>	<u>(2,244,108)</u>
Decrease in financial assets at fair value through profit or loss	7,440,059	7,125,190
Decrease in amounts due from brokers	76,117	9
Decrease in management fee payable	(1,282)	(2,721)
Decrease in amounts due to brokers	–	(70,879)
(Decrease)/increase in registration fee payable	<u>(12,000)</u>	<u>12,000</u>
Cash generated from operations	7,020,047	4,819,491
Dividends received, net of withholding tax	89,164	97,467
Interest received	90	235
Interest paid	(126)	–
Net cash flows generated from operating activities	<u><b>7,109,175</b></u>	<u><b>4,917,193</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>		
Cash paid on redemption of shares	–	(4,956,658)
Net cash flows used in financing activity	<u>–</u>	<u><b>(4,956,658)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
	<b>7,109,175</b>	<b>(39,465)</b>
Cash and cash equivalents at the beginning of the period	<u>118,907</u>	<u>202,155</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>7,228,082</b></u>	<u><b>162,690</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d) <u><b>7,228,082</b></u>	<u><b>162,690</b></u>

The accompanying notes are an integral part of these financial statements



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Global X FinTech ETF	
	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax		11,473
Adjustments for:		(29,629)
Dividend income		(4,943)
		<u>4,102</u>
(Increase)/decrease in financial assets at fair value through profit or loss		(34,572)
Increase/(decrease) in management fee payable		233,940
Increase in registration fee payable		35
		<u>–</u>
Cash (used in)/generated from operations		1,000
Dividends received, net of withholding tax		(264,448)
Net cash flows (used in)/generated from operating activities		<u>6,616</u>
		<u><b>203,967</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares		259,147
Cash paid on redemption of shares		–
Net cash flows generated from/(used in) financing activities		<u>(205,093)</u>
		<u><b>(205,093)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
		<u>1,315</u>
Cash and cash equivalents at the beginning of the period		(1,126)
		<u>8,001</u>
		<u><b>11,855</b></u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
		<u><b>9,316</b></u>
		<u><b>11,855</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d)	<u><b>9,316</b></u>
		<u><b>11,855</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Global X Autonomous and Electric Vehicles ETF	
	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD
	Note	
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax		(97,814)
Adjustments for:		
Dividend income		(11,107)
Interest income		–
Interest expense		–
		<u>(108,921)</u>
Decrease in financial assets at fair value through profit or loss		1,303,014
Decrease in amounts due from brokers		4,411
(Decrease)/increase in management fee payable		(195)
Decrease in registration fee payable		<u>(3,000)</u>
Cash generated from/(used in) operations		1,195,309
Dividends received, net of withholding tax		11,431
Interest received		–
Interest paid		–
Net cash flows generated from/(used in) operating activities		<u><b>1,206,740</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
		<u><b>1,206,740</b></u>
Cash and cash equivalents at the beginning of the period		<u>7,049</u>
		<u><b>16,659</b></u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
		<u><b>1,213,789</b></u>
		<u><b>16,659</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d)	<u><b>1,213,789</b></u>
		<u><b>16,659</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Note	Global X Asia Innovator Active ETF	
		For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Profit/(loss) before tax		443,943	(900,435)
Adjustments for:			
Dividend income		(101,322)	(104,672)
Interest income		(565)	(797)
Interest expense		–	87
		<u>342,056</u>	<u>(1,005,817)</u>
Decrease in financial assets at fair value through profit or loss		8,678,426	1,019,934
Decrease in amounts due from brokers		–	46,507
Decrease in management fee payable		(1,116)	(414)
Cash generated from operations		<u>9,019,366</u>	<u>60,210</u>
Dividends received, net of withholding tax		91,811	90,883
Interest received		565	797
Interest paid		–	(87)
Net cash flows generated from operating activities		<u><b>9,111,742</b></u>	<u><b>151,803</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>			
Cash paid on redemption of shares		(1,609,514)	–
Net cash flows used in financing activity		<u>(1,609,514)</u>	<u>–</u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>			
Cash and cash equivalents at the beginning of the period		<u>612,127</u>	<u>834,308</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>			
		<u><b>8,114,355</b></u>	<u><b>986,111</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>			
Bank balances	6(d)	<u><b>8,114,355</b></u>	<u><b>986,111</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Note	Global X China MedTech ETF For the six months ended 30 September 2024 (unaudited) RMB
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax		(949,393)
Adjustments for:		
Dividend income		(216,676)
Interest income		(1,432)
		<u>(1,167,501)</u>
Decrease in financial assets at fair value through profit or loss		16,036,044
Decrease in amounts due from brokers		408,319
Decrease in management fee payable		(17,686)
Decrease in registration fee payable		(36,000)
		<u>15,223,176</u>
Cash generated from operations		195,008
Dividends received, net of withholding tax		1,432
Interest received		<u>15,419,616</u>
Net cash flows generated from operating activities		
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares		1,711,806
Cash paid on redemption of shares		(18,729,241)
		<u>(17,017,435)</u>
Net cash flows used in financing activities		
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>		
		<u>(1,597,819)</u>
Cash and cash equivalents at the beginning of the period		<u>1,731,598</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
		<u><u>133,779</u></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d)	<u><u>133,779</u></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Global X Asia USD Investment Grade Bond ETF
	For the six months ended 30 September 2024 (unaudited) USD
	Note
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>	
Increase in net attributable to shareholders from operations	538,416
Adjustments for:	
Interest income	(8)
Interest expense	4
	<hr/> 538,412
Decrease in financial assets at fair value through profit or loss	9,644,803
Increase in amounts due from brokers	(388,325)
Decrease in management fee payable	(2,843)
Increase in amounts due to brokers	305,044
Decrease in registration fee payable	(3,000)
Cash generated from operations	<hr/> 10,094,091
Interest received	8
Interest paid	(4)
Net cash flows generated from operating activities	<hr/> <b>10,094,095</b> <hr/>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>	
Cash received from issue of shares	233,310
Cash paid on redemption of shares	(10,081,092)
Cash paid on distribution to shareholders	(321,489)
Net cash flows used in financing activities	<hr/> <b>(10,169,271)</b> <hr/>
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>	<b>(75,176)</b>
Cash and cash equivalents at the beginning of the period	<hr/> 122,852
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>	<hr/> <b>47,676</b> <hr/>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>	
Bank balances	6(d) <hr/> <b>47,676</b> <hr/>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Note	<u>Global X China</u> <u>Little Giant ETF</u> For the six months ended 30 September 2024 (unaudited) RMB
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax		(2,148,779)
Adjustments for:		
Dividend income		(407,886)
Interest income		(1,152)
Interest expense		29
		<hr/>
Decrease in financial assets at fair value through profit or loss		(2,557,788)
Decrease in management fee payable		24,765,822
Increase in registration fee payable		(24,754)
		<hr/>
Cash generated from operations		12,000
Dividends received, net of withholding tax		22,195,280
Interest received		366,776
Interest paid		1,152
		<hr/>
Net cash flows generated from operating activities		(29)
		<hr/>
		<b>22,563,179</b>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of shares		11,493,142
Cash paid on redemption of shares		(40,505,507)
		<hr/>
Net cash flows used in financing activities		<b>(29,012,365)</b>
		<hr/>
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>		
		<b>(6,449,186)</b>
Cash and cash equivalents at the beginning of the period		6,685,840
		<hr/>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
		<b>236,654</b>
		<hr/> <hr/>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(d)	<b>236,654</b>
		<hr/>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 1. GENERAL INFORMATION

Global X Exchange Traded Funds Series OFC (the “Company”) is a public umbrella open-ended fund company with variable capital and segregated limited liability between Sub-Funds, which was incorporated in Hong Kong under the Securities and Futures Ordinance (Cap. 571) of Hong Kong (the “SFO”) on 13 December 2019. The Company is constituted by way of the Instrument of Incorporation filed to the Companies Registry of Hong Kong on, and effective as of, 13 December 2019.

As at 30 September 2024, the Company has nineteen sub-funds, each a separate sub-fund of the Company, which are authorised by the Securities and Futures Commission of Hong Kong (the “SFC”) pursuant to section 104(1) of the SFO. The nineteen sub-funds, including Global X China Clean Energy ETF, Global X China Consumer Brand ETF, Global X China Electric Vehicle and Battery ETF, Global X China Robotics and AI ETF, Global X China Semiconductor ETF, Global X China Global Leaders ETF, Global X China Innovator Active ETF, Global X Asia Semiconductor ETF, Global X China E-commerce and Logistics ETF, Global X China Games and Entertainment ETF, Global X FinTech ETF, Global X Autonomous and Electric Vehicles ETF, Global X Asia Innovator Active ETF, Global X China MedTech ETF, Global X Asia USD Investment Grade Bond ETF, Global X China Little Giant ETF, Global X HSI Components Covered Call Active ETF, Global X HSCEI Components Covered Call Active ETF and Global X K-Pop and Culture ETF are referred to individually or collectively as the “Sub-Fund(s)”. The Sub-Funds are listed on the Stock Exchange of Hong Kong Limited (the “SEHK”) (a subsidiary of The Hong Kong Exchanges and Clearing Limited) with details below:

<u>Name of Sub-Funds</u>	<u>Listing date on the SEHK</u>
Global X China Clean Energy ETF (“China Clean Energy ETF”)	17 January 2020
Global X China Consumer Brand ETF (“China Consumer Brand ETF”)	17 January 2020
Global X China Electric Vehicle and Battery ETF (“China Electric Vehicle and Battery ETF”)	17 January 2020
Global X China Robotics and AI ETF (“China Robotics and AI ETF”)	7 August 2020
Global X China Semiconductor ETF (“China Semiconductor ETF”)	7 August 2020
Global X China Global Leaders ETF (“China Global Leaders ETF”)	11 March 2021
Global X China Innovator Active ETF (“China Innovator Active ETF”)	11 March 2021
Global X Asia Semiconductor ETF (“Asia Semiconductor ETF”)	23 July 2021
Global X China E-commerce and Logistics ETF (“China E-commerce and Logistics ETF”)	23 July 2021
Global X China Games and Entertainment ETF (“China Games and Entertainment ETF”)	23 July 2021
Global X FinTech ETF (“FinTech ETF”)	10 December 2021
Global X Autonomous and Electric Vehicles ETF (“Autonomous and Electric Vehicles ETF”)	10 December 2021
Global X Asia Innovator Active ETF (“Asia Innovator Active ETF”)	10 December 2021
Global X China MedTech ETF (“China MedTech ETF”)	4 August 2023
Global X Asia USD Investment Grade Bond ETF (“Asia USD Investment Grade Bond ETF”)	12 October 2023
Global X China Little Giant ETF (“China Little Giant ETF”)	20 November 2023
Global X HSI Components Covered Call Active ETF (“HSI Components Covered Call Active ETF”)	29 February 2024
Global X HSCEI Components Covered Call Active ETF (“HSCEI Components Covered Call Active ETF”)	29 February 2024
Global X K-Pop and Culture ETF (“K-Pop and Culture ETF”)	19 March 2024

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 1. GENERAL INFORMATION (CONTINUED)

These financial statements relate to the sixteen Sub-Funds, China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active ETF, China MedTech ETF, Asia USD Investment Grade Bond ETF and China Little Giant ETF. The Manager is responsible for the preparation of the financial statements.

The first reporting period for HSI Components Covered Call Active ETF and HSCEI Components Covered Call Active ETF will cover for the period from 28 February 2024 (date of inception) to 31 March 2025 and K-Pop and Culture ETF will cover for the period from 18 March 2024 (date of inception) to 31 March 2025.

A cash flow statement of the Company has not been presented as the Company does not operate a bank or cash account or hold any cash equivalents and has had no cash transactions during the accounting period. Accordingly, in the opinion of the directors, the presentation of a cash flow statement would provide no additional useful information to the users of the financial statements.

##### China Clean Energy ETF

The objective of China Clean Energy ETF (United States dollar counter stock code: 9809, Hong Kong dollar counter stock code: 2809) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Clean Energy Index Net Total Return by investing all, or substantially all, of the assets of China Clean Energy ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Consumer Brand ETF

The objective of China Consumer Brand ETF (United States dollar counter stock code: 9806, Hong Kong dollar counter stock code: 2806) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Consumer Brand Index Net Total Return by investing all, or substantially all, of the assets of China Consumer Brand ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Electric Vehicle and Battery ETF

The objective of China Electric Vehicle and Battery ETF (United States dollar counter stock code: 9845, Hong Kong dollar counter stock code: 2845) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive China Electric Vehicle and Battery Index Net Total Return by investing all, or substantially all, of the assets of China Electric Vehicle and Battery ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Robotics and AI ETF

The objective of China Robotics and AI ETF (United States dollar counter stock code: 9807, Hong Kong dollar counter stock code: 2807) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Robotics and Artificial Intelligence Index by investing all, or substantially all, of the assets of China Robotics and AI ETF in index securities in substantially the same weightings as constituted in the underlying index.



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 1. GENERAL INFORMATION (CONTINUED)

##### China Semiconductor ETF

The objective of China Semiconductor ETF (United States dollar counter stock code: 9191, Hong Kong dollar counter stock code: 3191) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Semiconductor Index by investing all, or substantially all, of the assets of China Semiconductor ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Global Leaders ETF

The objective of China Global Leaders ETF (Hong Kong dollar counter stock code: 3050) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Global Leaders Index by investing all, or substantially all, of the assets of China Global Leaders ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Innovator Active ETF

The objective of China Innovator Active ETF (Hong Kong dollar counter stock code: 3058) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are headquartered or incorporated in Mainland China, Hong Kong or Macau and are directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”).

##### Asia Semiconductor ETF

The objective of Asia Semiconductor ETF (Hong Kong dollar counter stock code: 3119) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet Asia Semiconductor Index by investing all, or substantially all, of the assets of Asia Semiconductor ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China E-Commerce and Logistics ETF

The objective of China E-Commerce and Logistics ETF (Hong Kong dollar counter stock code: 3124) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China E-commerce and Logistics Index by investing all, or substantially all, of the assets of China E-Commerce and Logistics ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Games and Entertainment ETF

The objective of China Games and Entertainment ETF (Hong Kong dollar counter stock code: 3117) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the FactSet China Games and Entertainment Index by investing all, or substantially all, of the assets of China Games and Entertainment ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### FinTech ETF

The objective of FinTech ETF (Hong Kong dollar counter stock code: 3185) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Global FinTech Thematic Index by investing all, or substantially all, of the assets of FinTech ETF in index securities in substantially the same weighting as constituted in the underlying index.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 1. GENERAL INFORMATION (CONTINUED)

##### Autonomous and Electric Vehicles ETF

The objective of Autonomous and Electric Vehicles ETF (Hong Kong dollar counter stock code: 2849) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive Autonomous and Electric Vehicles Index by investing all, or substantially all, of the assets of Autonomous and electric Vehicles ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Asia Innovator Active ETF

The objective of Asia Innovator Active ETF (Hong Kong dollar counter stock code: 3051) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are headquartered or incorporated in Mainland China, Hong Kong, Indonesia, India, Malaysia, Philippines, Singapore, Japan, South Korea, Taiwan and Thailand and are directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”).

##### China MedTech ETF

The objective of China MedTech ETF (Hong Kong dollar counter stock code: 2841) is to provide investment results that, before fees and expenses, closely correspond to the performance of the Solactive China MedTech Index by investing all, or substantially all, of the assets of China MedTech ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Asia USD Investment Grade Bond ETF

The objective of Asia USD Investment Grade Bond ETF (United States dollar counter stock code: 9075, Hong Kong dollar counter stock code: 3075) is to provide investment results that, before fees and expenses, closely correspond to the performance of the Bloomberg Asia ex Japan USD Investment Grade Bond Index by investing in securities comprised in the underlying index, or in USD-denominated fixed income securities rated investment grade that are not included in the underlying index.

##### China Little Giant ETF

The objective of China Little Giant ETF (Hong Kong dollar counter stock code: 2815) is to provide investment results that, before fees and expenses, closely correspond to the performance of the Solactive China Little Giant Index by investing all, or substantially all, of the assets of China Little Giant ETF in index securities in substantially the same weightings as constituted in the underlying index.

The Directors have applied to the Securities and Futures Commission of Hong Kong and The Hong Kong Exchanges and Clearing Limited to terminate China Innovator Active ETF, China E-commerce & Logistics ETF, China Games and Entertainment ETF, Autonomous and Electric Vehicles ETF and Asia Innovator Active ETF. The application has been approved on 29 July 2024. As a result, the financial statements of the above Sub-Funds have been prepared on a non-going concern basis.

As at 30 September 2024 and date of approval of the financial statements, the Directors confirmed that it has no intention to terminate any of the Sub-Funds which has a net asset value below the threshold of HKD50,000,000 or its equivalent in the currency of the Sub-Funds except the above mentioned Sub-Funds which have applied for termination.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES

The condensed interim financial statements are unaudited and are prepared in accordance with International Accounting Standard (“IAS”) 34 Interim Financial Reporting, the relevant disclosure provisions in the Instrument of Incorporation dated on 13 December 2019 (the “Instrument of Incorporation”), the Code on Open-Ended Fund Companies of the SFC (the “OFC Code”) and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the SFC (the “UT Code”).

The unaudited condensed interim financial statements do not include all the information and disclosures required in the annual financial statements.

The material accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the periods presented, unless otherwise stated.

##### (a)(i) Basis of preparation

The financial statements of the Sub-Funds have been prepared in accordance with International Financial Reporting Standards (“IFRSs”) as issued by the International Accounting Standards Board (“IASB”), and interpretations issued by the International Financial Reporting Interpretations Committee of the IASB, the relevant disclosure provisions in the Instrument of Incorporation, the Code on Open-Ended Fund Companies and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds (“UT Code”).

The financial statements of China Innovator Active ETF, China E-commerce & Logistics ETF, China Games and Entertainment ETF, Autonomous and Electric Vehicles ETF and Asia Innovator Active ETF are prepared on a non-going concern basis (refer to Note 1). The directors and the Manager assessed that the values of all assets and liabilities at the report date approximate their net realisable value, and therefore no changes of accounting policies or adjustments have been made in the financial statements in order to reflect the fact the above Sub-Funds will be able to realise its assets or to extinguish its liabilities in the normal course of business. For other Sub-Funds, the financial statements are prepared on a going concern basis.

The financial statements have been prepared under the historical cost convention, except for financial assets classified as at fair value through profit or loss (“FVPL”) that have been measured at fair value. The financial statements are presented in Renminbi (“RMB”) for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, Asia Innovator Active ETF, China Little Giant ETF and China MedTech ETF and United States dollar (“USD”) for Autonomous and Electric Vehicles ETF, FinTech ETF and Asia USD Investment Grade Bond ETF. All values are rounded to the nearest RMB and USD respectively except where otherwise indicated.

All references to net assets or net asset value throughout the financial statements refer to net assets attributable to shareholders unless otherwise stated.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (a)(ii) Material accounting judgements, estimates and assumptions

The preparation of financial statements, in conformity with IFRSs, requires the Manager to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts recognised in the financial statements and disclosure of contingent liabilities. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstances, the results of which form the basis of making the judgements about carrying values of assets and liabilities that are not readily apparent from other sources. Actual results may differ from these estimates.

##### (a)(iii) New and amended standards adopted by the Sub-Funds

- Disclosure of Accounting Policies – Amendments to IAS 1 and IFRS Practice Statement 2
- Definition of Accounting Estimates – Amendments to IAS 8

The amendments listed above did not have any impact on the amounts recognised in prior periods and are not expected to significantly affect the current or future periods.

##### (a)(iv) New and amended standards and interpretations not yet adopted

A number of new standards, amendments to standards and interpretations are effective for annual periods beginning after 1 April 2024 and have not been early adopted in preparing these financial statements. None of these are expected to have a material effect on the financial statements of the Sub-Funds.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments

###### (i) *Classification*

In accordance with IFRS 9, the Sub-Funds classify their financial assets and financial liabilities at initial recognition into the categories of financial assets and financial liabilities as set out below.

###### *Financial assets*

The Sub-Funds classify their financial assets as subsequently measured at amortised cost or measured at FVPL on the basis of both:

- The entity's business model for managing the financial assets; and
- The contractual cash flow characteristics of the financial asset

###### *Financial assets measured at amortised cost*

A debt instrument is measured at amortised cost if it is held within a business model whose objective is to hold financial assets in order to collect contractual cash flows and its contractual terms give rise on specified dates to cash flows that are solely payments of principal and interest ("SPPI") on the principal amount outstanding. The Sub-Funds include in this category short-term non-financing receivables including dividends receivables, amounts due from brokers, prepayment and other receivables and bank balances.

###### *Financial assets measured at FVPL*

A financial asset is measured at FVPL if:

- (a) Its contractual terms do not give rise to cash flows on specified dates that are SPPI on the principal amount outstanding; or
- (b) It is not held within a business model whose objective is either to collect contractual cash flows, or to both collect contractual cash flows and sell; or
- (c) At initial recognition, it is irrevocably designated as measured at FVPL when doing so eliminates or significantly reduces a measurement or recognition inconsistency that would otherwise arise from measuring assets or liabilities or recognising the gains and losses on them on different bases.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (i) **Classification (continued)**

The Sub-Funds include in this category:

- Instruments held for trading. This category includes equity instruments which are acquired principally for the purpose of generating a profit from short-term fluctuations in price.

###### **Financial liabilities**

###### *Financial liabilities measured at amortised cost*

This category includes all financial liabilities. The Sub-Funds include in this category management fee payable, redemption payable, amounts due to brokers, registration fee payable and bank overdraft.

###### (ii) **Recognition**

The Sub-Funds recognise a financial asset or a financial liability when, and only when, they become a party to the contractual provisions of the instrument. Purchases and sales of financial assets at fair value through profit or loss are accounted for on the trade date basis.

###### (iii) **Initial measurement**

Financial assets at fair value through profit or loss are recorded in the statement of net assets at fair value. All transaction costs for such instruments are recognised directly in profit or loss.

Financial assets and liabilities (other than those classified as at fair value through profit or loss) are measured initially at their fair value plus or minus any directly attributable incremental costs of acquisition or issue.

###### (iv) **Subsequent measurement**

After initial measurement, the Sub-Funds measure financial instruments which are classified as at FVPL at fair value. Subsequent changes in the fair values of those financial instruments are recorded in “net change in unrealised gain/loss on financial assets at FVPL” in “net gain/(loss) on financial assets at FVPL.”

Debt instruments, other than those classified as at FVPL, are measured at amortised cost using the effective interest method less any allowance for impairment. Gains and losses are recognised in profit or loss when the debt instruments are derecognised or impaired, as well as through the amortisation process.

Financial liabilities, other than those classified as at FVPL, are measured at amortised cost using the effective interest method. Gains and losses are recognised in profit or loss when the liabilities are derecognised, as well as through the amortisation process.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (v) *Derecognition*

A financial asset is derecognised when the rights to receive cash flows from the financial asset have expired, or where the Sub-Funds have transferred their rights to receive cash flows from the financial asset, or have assumed an obligation to pay the received cash flows in full without material delay to a third party under a pass-through arrangement and either the Sub-Funds have transferred substantially all the risks and rewards of the asset or the Sub-Funds have neither transferred nor retained substantially all the risks and rewards of the financial asset, but has transferred control of the asset.

When the Sub-Funds have transferred its rights to receive cash flows from an asset (or has entered into a pass-through arrangement), and has neither transferred nor retained substantially all the risks and rewards of the asset nor transferred control of the asset, the asset is recognised to the extent of the Sub-Funds' continuing involvement in the asset. In that case, the Sub-Funds also recognise an associated liability. The transferred asset and the associated liability are measured on a basis that reflects the rights and obligations that the Sub-Funds have retained.

The Sub-Funds derecognise a financial liability when the obligation under the liability is discharged or cancelled, or expires.

##### (c) Fair value measurement

The Sub-Funds measure their investments in financial instruments at fair value at the end of each reporting period.

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The fair value measurement is based on the presumption that the transaction to sell the asset or transfer the liability takes place either:

- in the principal market for the asset or liability; or
- in the absence of a principal market, in the most advantageous market for the asset or liability.

The principal or the most advantageous market must be accessible by the Sub-Funds.

The fair value of an asset or a liability is measured using the assumptions that market participants would use when pricing the asset or liability, assuming that market participants act in their economic best interest.

The fair value for financial instruments that are listed or traded on an exchange is based on quoted last traded market prices that are within the bid-ask spread.

For all other financial instruments not traded in an active market, the fair value is determined using valuation techniques deemed to be appropriate in the circumstances. Valuation techniques include the market approach (i.e., using recent arm's length market transactions, adjusted as necessary, and reference to the current market value of another instrument that is substantially the same) and the income approach (i.e., discounted cash flow analysis and option pricing models making as much use of available and supportable market data as possible).

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (c) Fair value measurement (continued)

All assets and liabilities for which fair value is measured or disclosed in the financial statements are categorised within the fair value hierarchy, described as follows, based on the lowest level input that is significant to the fair value measurement as a whole:

- Level 1 — Quoted (unadjusted) market prices in active markets for identical assets or liabilities
- Level 2 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is directly or indirectly observable
- Level 3 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is unobservable

For assets and liabilities that are recognised in the financial statements on a recurring basis, the Sub-Funds determine whether transfers have occurred between levels in the hierarchy by re-assessing categorisation (based on the lowest level input that is significant to the fair value measurement as a whole) at the end of each reporting period.

##### (d) Income

Interest income from cash and cash equivalents are recognised in profit or loss on a time-proportionate basis using the effective interest method.

Dividend income on equity securities is recognised on the date on which the investments are quoted ex-dividend. Dividend income on equity securities where no ex-dividend date is quoted is accounted for when the Sub-Funds' right to receive payment is established. Dividend income is presented gross of any non-recoverable withholding taxes, which are disclosed separately in profit or loss.

##### (e) Expenses

Expenses are recognised on an accrual basis.

##### (f) Amounts due from/to brokers

Amounts due from brokers represent receivables for securities sold (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial assets measured at amortised cost for recognition and measurement.

Amounts due to brokers are payables for securities purchased (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial liabilities measured at amortised cost for recognition and measurement.

##### (g) Cash and cash equivalents

Cash and cash equivalents in the statement of net assets comprise short-term deposits in banks which are readily convertible to known amounts of cash and are subject to an insignificant risk of changes in value.

For the purpose of the statement of cash flows, cash and cash equivalents consist of cash and cash equivalents as defined above, net of outstanding bank overdrafts when applicable.



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (h) Translation of foreign currencies

###### Functional and presentation currency

Items included in the financial statements are measured using the currency of the primary economic environment in which the Sub-Funds operate (the “functional currency”). The performance of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF are measured and reported to the shareholders in RMB. The performance of Autonomous and Electric Vehicles ETF, FinTech ETF and Asia USD Investment Grade Bond ETF are measured and reported to the shareholders in USD. The Manager considers the RMB and USD as the currencies that most faithfully represent the economic effects of the underlying transactions, events and conditions. The financial statements of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF are presented in RMB and the financial statements of Autonomous and Electric Vehicles ETF, FinTech ETF and Asia USD Investment Grade Bond ETF are presented in USD respectively, which are the functional and presentation currencies.

###### Transactions and balances

Transactions during the period, including purchases and sales of securities, income and expenses, are translated at the rates of exchange prevailing on the dates of the transactions.

Monetary assets and liabilities denominated in foreign currencies are translated at the functional currency rates of exchange ruling at the end of the reporting period. Differences arising on settlement or transaction of monetary items are recognised in the statement of profit or loss and other comprehensive income.

Non-monetary items that are measured in terms of historical cost in a foreign currency are translated using the exchange rates at the dates of the initial transactions. Non-monetary items measured at fair value in a foreign currency are translated using the exchange rates at the date when the fair value is determined.

Foreign currency transaction gains and losses on financial instruments classified as at FVPL are included in profit or loss in the statement of profit or loss and other comprehensive income.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (i) Redeemable shares

Redeemable shares are classified as an equity instrument when:

- (i) The redeemable shares entitle the holder to a pro-rata share of the Sub-Funds' net assets in the event of the Sub-Fund's liquidation;
- (ii) The redeemable shares are in the class of instruments that is subordinate to all other classes of instruments;
- (iii) All redeemable shares in the class of instruments that is subordinate to all other classes of instruments have identical features;
- (iv) The redeemable shares do not include any contractual obligation to deliver cash or another financial asset other than the holder's rights to a pro-rata share of the Sub-Fund's net assets; or
- (v) The total expected cash flows attributable to the redeemable shares over the life of the instrument are based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds over the life of the instrument.

In addition to the redeemable shares having all the above features, the Sub-Funds must have no other financial instrument or contract that has:

- (i) Total cash flows based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds; and
- (ii) The effect of substantially restricting or fixing the residual return to the redeemable shareholders.

Asia USD Investment Grade Bond ETF issued unlisted class of shares on 23 August 2024 which are subject to different fee structures and dealing arrangements. As the different class of shares do not have identical features, the Sub-Fund had prospectively reclassified the redeemable shares as financial liabilities. The reclassification was deemed to have occurred at the beginning of the reporting period, and thus the amount reclassified was the entire equity balance which was determined to be the fair value of the liability to shareholders at the date of the reclassification.

The other Sub-Funds' redeemable shares meet the definition of puttable instruments classified as equity instruments under the revised IAS 32 and are classified as equity.

The Sub-Funds continuously assess the classification of the redeemable shares. If the redeemable shares cease to have all the features or meet all the conditions set out to be classified as equity, the Sub-Funds will reclassify them as financial liabilities and measure them at fair value at the date of reclassification, with any differences from the previous carrying amount recognised in equity. If the redeemable shares subsequently have all the features and meet the conditions to be classified as equity, the Sub-Funds will reclassify them as equity instruments and measure them at the carrying amount of the liabilities at the date of the reclassification.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (i) Redeemable shares (continued)

Redeemable shares can be redeemed in-kind and/or in cash equal to a proportionate share of the Sub-Funds' net asset value ("NAV"). The Sub-Funds' net asset value per share is calculated by dividing the net assets attributable to shareholders with the total number of outstanding shares of the respective Sub-Funds. Shares are created or redeemed in multiples of 50,000 shares for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF and 15,000 shares for Asia USD Investment Grade Bond ETF.

##### (j) Impairment of financial assets

The Sub-Funds recognise an allowance for expected credit losses ("ECLs") for all debt instruments not held at fair value through profit or loss. ECLs are based on the difference between the contractual cash flows due in accordance with the contract and all the cash flows that the Sub-Funds expect to receive, discounted at an approximation of the original effective interest rate. The expected cash flows will include cash flows from the sale of collateral held or other credit enhancements that are integral to the contractual terms.

ECLs are recognised in two stages. For credit exposures for which there has not been a significant increase in credit risk since initial recognition, ECLs are provided for credit losses that result from default events that are possible within the next 12 months (a 12-month ECL). For those credit exposures for which there has been a significant increase in credit risk since initial recognition, a loss allowance is required for credit losses expected over the remaining life of the exposure, irrespective of the timing of the default (a lifetime ECL).

The Sub-Funds may also consider a financial asset to be in default when internal or external information indicates that the Sub-Funds are unlikely to receive the outstanding contractual amounts in full before taking into account any credit enhancements held by the Sub-Funds. A financial asset is written off when there is no reasonable expectation of recovering the contractual cash flows.

##### (k) Net change in unrealised gains or losses on financial assets at fair value through profit or loss

This item includes changes in the fair value of financial assets as at fair value through profit or loss and excludes dividend income and interest expense.

Unrealised gains and losses comprise changes in the fair value of financial instruments for the period and from reversal of prior year unrealised gains and losses for financial instruments which were realised in the reporting period.

##### (l) Net realised gains or losses on disposal of financial assets

Net realised gains or losses on disposal of financial assets classified as at fair value through profit or loss are calculated using the weighted average method. They represent the difference between an instrument's average cost and disposal amount.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (m) Offsetting financial instruments

Financial assets and financial liabilities are offset and the net amount is reported in the statement of net assets if there is a currently enforceable legal right to offset the recognised amounts and there is an intention to settle on a net basis, or to realise the assets and settle the liabilities simultaneously.

##### (n) Taxes

In some jurisdictions, investment income and capital gains are subject to withholding tax deducted from the source of the income. The Sub-Funds present the withholding tax separately from the gross investment income in profit or loss. For the purpose of the statement of cash flows, cash inflows from investments are presented net of withholding taxes, when applicable.

##### (o) Distributions to shareholders

Distributions are at the discretion of the Manager. A distribution to the Sub-Funds' shareholders is accounted for as a deduction from net assets attributable to shareholders. A proposed distribution is recognised as a liability in the period in which it is approved by the Manager. The Manager of the Sub-Funds may at its discretion, pay dividend out of gross income while all or part of the fees and expenses of the relevant Sub-Funds are charged to/paid out of the capital of such Sub-Funds, resulting in an increase in distributable income for the payment of dividends by the relevant Sub-Funds. Therefore, the Sub-Funds may effectively pay dividend out of capital.

##### (p) Transaction costs

Transaction costs are costs incurred to acquire financial assets or liabilities at fair value through profit or loss. They include fees and commissions paid to agents, brokers and dealers. Transaction costs, when incurred, are immediately recognised in profit or loss as an expense.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (q) Related parties

A party is considered to be related to the Sub-Funds if:

- (a) the party is a person or a close member of that person's family and that person
  - (i) has control or joint control over the Sub-Funds;
  - (ii) has significant influence over the Sub-Funds; or
  - (iii) is a member of the key management personnel of the Sub-Funds or of a parent of the Sub-Funds;

or

- (b) the party is an entity where any of the following conditions applies:
  - (i) the entity and the Sub-Funds are members of the same group;
  - (ii) one entity is an associate or joint venture of the other entity (or of a parent, subsidiary or fellow subsidiary of the other entity);
  - (iii) the entity and the group are joint ventures of the same third party;
  - (iv) one entity is a joint venture of a third entity and the other entity is an associate of the third entity;
  - (v) the entity is a post-employment benefit plan for the benefit of employees of either the Sub-Fund or an entity related to the Sub-Funds;
  - (vi) the entity is controlled or jointly controlled by a person identified in (a);
  - (vii) a person identified in (a)(i) has significant influence over the entity or is a member of the key management personnel of the entity (or of a parent of the entity); and
  - (viii) the entity, or any member of a group of which it is a part, provides key management personnel services to the Sub-Funds or to the parent of the Sub-Funds.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES

##### (a) Net assets attributable to shareholders

The creation and redemption of shares of the Sub-Funds can only be facilitated by or through participating dealers. Investors other than the participating dealers make a request to create or redeem shares through a participating dealer, and if the investor is a retail investor, such request must be made through a stockbroker which has opened an account with a participating dealer.

The Company shall receive subscription proceeds from the participating dealers for the creation of shares and pay redemption proceeds for the redemption of shares to the relevant participating dealers in such form and manner as prescribed by the Instrument of Incorporation. Shares are created or redeemed in multiples of 50,000 shares for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF and 15,000 shares for Asia USD Investment Grade Bond ETF. Subscriptions and redemptions of shares during the year/period are shown on the statement of changes in net assets attributable to shareholders.

As stated in note 2(i), shares of the Sub-Funds, which are represented by assets less liabilities, are classified as equity for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF and classified as liabilities for Asia USD Investment Grade Bond ETF and accounted for in accordance with IFRS accounting Standards (the "Accounting NAV"). For the purpose of determining the NAV per share for subscriptions and redemptions and for various fee calculations (the "Dealing NAV"), the Administrator calculates the Dealing NAV in accordance with the provisions of the Instrument of Incorporation, which may be different from the accounting policies under IFRS accounting Standards.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

##### (a) Net assets attributable to shareholders (continued)

The Accounting NAV and Dealing NAV for the Sub-Funds are different as at 30 September 2024 and 31 March 2024 as shown below:

	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Clean Energy ETF</u>		
Accounting NAV as reporting in the statement of net assets	500,448,447	542,225,482
Adjustment for timing difference	—	(820,888)
Dealing NAV	<u>500,448,447</u>	<u>541,404,594</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Consumer Brand ETF</u>		
Accounting NAV as reporting in the statement of net assets	303,429,410	357,561,588
Adjustment for timing difference	(9,655)	50,709
Dealing NAV	<u>303,419,755</u>	<u>357,612,297</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Electric Vehicle and Battery ETF</u>		
Accounting NAV as reporting in the statement of net assets	1,173,086,780	1,232,615,068
Adjustment for timing difference	—	(5,029,122)
Dealing NAV	<u>1,173,086,780</u>	<u>1,227,585,946</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Robotics and AI ETF</u>		
Accounting NAV as reporting in the statement of net assets	112,890,228	126,181,262
Adjustment for timing difference	1,872	26,189
Dealing NAV	<u>112,892,100</u>	<u>126,207,451</u>

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

##### (a) Net assets attributable to shareholders (continued)

	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Semiconductor ETF</u>		
Accounting NAV as reporting in the statement of net assets	372,445,066	381,854,538
Adjustment for timing difference	–	(96,146)
Dealing NAV	<u>372,445,066</u>	<u>381,758,392</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Global Leaders ETF</u>		
Accounting NAV as reporting in the statement of net assets	20,096,109	17,208,077
Adjustment for timing difference	–	(25,456)
Dealing NAV	<u>20,096,109</u>	<u>17,182,621</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Innovator Active ETF*</u>		
Accounting NAV as reporting in the statement of net assets	10,503,450	13,410,434
Adjustment for timing difference	(46,746)	18,148
Dealing NAV	<u>10,456,704</u>	<u>13,428,582</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>Asia Semiconductor ETF</u>		
Accounting NAV as reporting in the statement of net assets	50,820,465	91,488,227
Adjustment for timing difference	13,957	(1,244,574)
Dealing NAV	<u>50,834,422</u>	<u>90,243,653</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China E-Commerce and Logistics ETF*</u>		
Accounting NAV as reporting in the statement of net assets	5,931,151	7,173,998
Adjustment for timing difference	21,212	(25,643)
Dealing NAV	<u>5,952,363</u>	<u>7,148,355</u>



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

##### (a) Net assets attributable to shareholders (continued)

	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Games and Entertainment ETF*</u>		
Accounting NAV as reporting in the statement of net assets	7,224,949	7,618,668
Adjustment for timing difference	1,103	42,594
Dealing NAV	<u>7,226,052</u>	<u>7,661,262</u>
	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
<u>FinTech ETF</u>		
Accounting NAV as reporting in the statement of net assets	1,560,651	1,290,790
Adjustment for timing difference	–	105
Dealing NAV	<u>1,560,651</u>	<u>1,290,895</u>
	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
<u>Autonomous and Electric Vehicles ETF*</u>		
Accounting NAV as reporting in the statement of net assets	1,213,362	1,313,184
Adjustment for timing difference	8,153	(6,737)
Dealing NAV	<u>1,221,515</u>	<u>1,306,447</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>Asia Innovator Active ETF*</u>		
Accounting NAV as reporting in the statement of net assets	8,110,057	9,286,608
Adjustment for timing difference	(48,245)	(42,802)
Dealing NAV	<u>8,061,812</u>	<u>9,243,806</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China MedTech ETF</u>		
Accounting NAV as reporting in the statement of net assets	13,031,225	29,090,978
Adjustment for timing difference	–	(33,784)
Dealing NAV	<u>13,031,225</u>	<u>29,057,194</u>

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

##### (a) Net assets attributable to shareholders (continued)

	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
<u>Asia USD Investment Grade Bond ETF</u>		
Accounting NAV as reporting in the statement of net assets	9,960,688	19,591,543
Adjustment for timing difference	(131)	(4,237)
Dealing NAV	<u>9,960,557</u>	<u>19,587,306</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Little Giant ETF</u>		
Accounting NAV as reporting in the statement of net assets	25,886,776	50,722,148
Adjustment for timing difference	–	(115,612)
Dealing NAV	<u>25,886,776</u>	<u>50,606,536</u>

\*These Sub-funds are under termination, the Dealing NAV is representing the Dealing NAV as of 24 September 2024 which is the last official Dealing Date for period ended 30 September 2024.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

##### (b) Movement of shares

The table below summarises the movement of shares for the period/year ended 30 September 2024 and 31 March 2024, and the Accounting NAV per share and the Dealing NAV per share for each of the Sub-Funds as at 30 September 2024 and 31 March 2024.

For the period ended 30 September 2024 (unaudited)

	Number of shares				Net assets attributable to shareholders	
	Shares in issue at beginning of period	Creation during the period	Redemption during the period	Shares in issue at end of period	Accounting NAV	Dealing NAV
					Per share at period end	Per share at period end
<u>Listed Class</u>						
China Clean Energy ETF	7,550,000	–	(1,250,000)	6,300,000	RMB79.4363	RMB79.4363
China Consumer Brand ETF	9,000,000	300,000	(2,100,000)	7,200,000	RMB42.1430	RMB42.1417
China Electric Vehicle and Battery ETF	18,350,000	450,000	(3,500,000)	15,300,000	RMB76.6723	RMB76.6724
China Robotics and AI ETF	3,050,000	100,000	(550,000)	2,600,000	RMB43.4193	RMB43.4201
China Semiconductor ETF	12,600,000	–	(1,750,000)	10,850,000	RMB34.3267	RMB34.3268
China Global Leaders ETF	500,000	50,000	(50,000)	500,000	RMB40.1922	RMB40.1923
China Innovator Active ETF <sup>1</sup>	500,000	–	(100,000)	400,000	RMB26.2586	RMB26.1418
Asia Semiconductor ETF	1,650,000	200,000	(900,000)	950,000	RMB53.4952	RMB53.5100
China E-commerce and Logistics ETF <sup>1</sup>	200,000	–	(50,000)	150,000	RMB39.5410	RMB39.6825
China Games and Entertainment ETF <sup>1</sup>	250,000	–	–	250,000	RMB28.8998	RMB28.9043
FinTech ETF	250,000	50,000	–	300,000	USD5.2022	USD5.2022
Autonomous and Electric Vehicles ETF <sup>1</sup>	200,000	–	–	200,000	USD6.0668	USD6.1076
Asia Innovator Active ETF <sup>1</sup>	300,000	–	(50,000)	250,000	RMB32.4402	RMB32.2473
China MedTech ETF	750,000	50,000	(500,000)	300,000	RMB43.4374	RMB43.4374
Asia USD Investment Grade Bond ETF	2,670,000	30,000	(1,380,000)	1,320,000	USD7.5363	USD7.5362
China Little Giant ETF	1,189,750	300,000	(900,000)	589,750	RMB43.8945	RMB43.8945
<u>Unlisted Class - Class R2 (HKD)</u>						
Asia USD Investment Grade Bond ETF	–	100	–	100	HKD100.7035	HKD100.7022
<u>Unlisted Class - Class R2 (RMB)</u>						
Asia USD Investment Grade Bond ETF	–	100	–	100	RMB99.2853	RMB99.2840
<u>Unlisted Class - Class R2 (USD)</u>						
Asia USD Investment Grade Bond ETF	–	100	–	100	USD101.0884	USD101.0871

<sup>1</sup>These Sub-Funds are under termination, the Dealing NAV is reflecting the NAV as of 24 September 2024 (the last trading date on SEHK).

re

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO SHAREHOLDERS AND MOVEMENT OF SHARES (CONTINUED)

##### (b) Movement of shares (continued)

For the period ended 31 March 2024 (audited)

	Number of shares				Net assets attributable to shareholders	
	Shares in issue at beginning of year/period	Creation during the year/period	Redemption during the year/period	Shares in issue at end of year/period	Accounting NAV Per share at year/period end	Dealing NAV* Per share at year/period end
<u>Listed Class</u>						
China Clean Energy ETF	12,850,000	–	(5,300,000)	7,550,000	RMB71.8179	RMB71.7093
China Consumer Brand ETF	14,150,000	850,000	(6,000,000)	9,000,000	RMB39.7291	RMB39.7347
China Electric Vehicle and Battery ETF	29,000,000	2,450,000	(13,100,000)	18,350,000	RMB67.1725	RMB66.8985
China Robotics and AI ETF	3,450,000	450,000	(850,000)	3,050,000	RMB41.3709	RMB41.3795
China Semiconductor ETF	14,450,000	1,350,000	(3,200,000)	12,600,000	RMB30.3059	RMB30.2983
China Global Leaders ETF	1,350,000	600,000	(1,450,000)	500,000	RMB34.4162	RMB34.3653
China Innovator Active ETF	5,150,000	500,000	(5,150,000)	500,000	RMB26.8209	RMB26.8572
Asia Semiconductor ETF	1,600,000	700,000	(650,000)	1,650,000	RMB55.4474	RMB54.6932
China E-commerce and Logistics ETF	750,000	–	(550,000)	200,000	RMB35.8700	RMB35.7418
China Games and Entertainment ETF	500,000	–	(250,000)	250,000	RMB30.4747	RMB30.6451
FinTech ETF	400,000	–	(150,000)	250,000	USD5.1632	USD5.1636
Autonomous and Electric Vehicles ETF	450,000	–	(250,000)	200,000	USD6.5659	USD6.5323
Asia Innovator Active ETF	400,000	–	(100,000)	300,000	RMB30.9554	RMB30.8127
China MedTech ETF <sup>1</sup>	–	1,800,000	(1,050,000)	750,000	RMB38.7880	RMB38.7429
Asia USD Investment Grade Bond ETF <sup>2</sup>	–	2,970,000	(300,000)	2,670,000	USD7.3377	USD7.3361
China Little Giant ETF <sup>3</sup>	–	1,589,750	(400,000)	1,189,750	RMB42.6326	RMB42.5354

<sup>1</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 3 August 2023 (date of inception) to 31 March 2024.

<sup>2</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 11 October 2023 (date of inception) to 31 March 2024.

<sup>3</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 17 November 2023 (date of inception) to 31 March 2024.

\*The Dealing NAV are representing data as of 28 March 2024 which is the last official Dealing Date for period ended 31 March 2024.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 4. CREATION AND REDEMPTION

##### China Clean Energy ETF

During the period ended 30 September 2024, China Clean Energy ETF:

- redeemed 1,250,000 shares (2023: 2,700,000 shares) in exchange for a cash balance of RMB86,693,059 (2023: RMB239,957,334).

##### China Consumer Brand ETF

During the period ended 30 September 2024, China Consumer Brand ETF:

- issued 300,000 shares (2023: 850,000 shares) in exchange for a cash balance of RMB12,113,422 (2023: RMB38,259,707); and
- redeemed 2,100,000 shares (2023: 2,300,000 shares) in exchange for a cash balance of RMB79,800,591 (2023: RMB100,344,304).

##### China Electric Vehicle and Battery ETF

During the period ended 30 September 2024, China Electric Vehicle and Battery ETF:

- issued 450,000 shares (2023: 200,000 shares) in exchange for a cash balance of RMB26,535,250 (2023: RMB16,934,998); and
- redeemed 3,500,000 shares (2023: 6,100,000 shares) in exchange for a cash balance of RMB218,145,726 (2023: RMB525,675,746).

##### China Robotics and AI ETF

During the period ended 30 September 2024, China Robotics and AI ETF:

- issued 100,000 shares (2023: nil shares) in exchange for a cash balance of RMB4,140,081 (2023: RMBnil); and
- redeemed 550,000 shares (2023: 500,000 shares) in exchange for a cash balance of RMB21,113,945 (2023: RMB22,538,165).

##### China Semiconductor ETF

During the period ended 30 September 2024, China Semiconductor ETF:

- redeemed 1,750,000 shares (2023: 1,200,000 shares) in exchange for a cash balance of RMB51,359,640 (2023: RMB45,634,561).

##### China Global Leaders ETF

During the period ended 30 September 2024, China Global Leaders ETF:

- issued 50,000 shares (2023: nil shares) in exchange for a cash balance of RMB1,887,202 (2023: RMBnil); and
- redeemed 50,000 shares (2023: 250,000 shares) in exchange for a cash balance of RMB1,698,214 (2023: RMB9,130,611).

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 4. CREATION AND REDEMPTION (CONTINUED)

##### China Innovator Active ETF

During the period ended 30 September 2024, China Innovator Active ETF:

- issued nil shares (2023: 500,000 shares) in exchange for a cash balance of RMBnil (2023: RMB16,217,828); and
- redeemed 100,000 shares (2023: 4,900,000 shares) in exchange for a cash balance of RMB2,448,948 (2023: RMB152,311,929).

##### Asia Semiconductor ETF

During the period ended 30 September 2024, Asia Semiconductor ETF:

- issued 200,000 shares (2023: 150,000 shares) in exchange for a cash balance of RMB10,848,714 (2023: RMB6,663,094); and
- redeemed 900,000 shares (2023: 200,000 shares) in exchange for a cash balance of RMB46,834,824 (2023: RMB8,628,968).

##### China E-Commerce and Logistics ETF

During the period ended 30 September 2024, China E-Commerce and Logistics ETF:

- redeemed 50,000 shares (2023: 300,000 shares) in exchange for a cash balance of RMB1,807,469 (2023: RMB11,962,747).

##### China Games and Entertainment ETF

During the period ended 30 September 2024, China Games and Entertainment ETF:

- redeemed nil shares (2023: 150,000 shares) in exchange for a cash balance of RMBnil (2023: RMB4,956,658).

##### FinTech ETF

During the period ended 30 September 2024, FinTech ETF:

- issued 50,000 shares (2023: nil shares) shares in exchange for a cash balance of USD259,147 (2023: USDnil); and
- redeemed nil shares (2023: 50,000 shares) shares in exchange for a cash balance of USDnil (2023: USD205,093).

##### Asia Innovator Active ETF

During the period ended 30 September 2024, Asia Innovator Active ETF:

- redeemed 50,000 shares (2023: nil shares) shares in exchange for a cash balance of RMB1,609,514 (2023: RMBnil).

##### China MedTech ETF

During the period ended 30 September 2024, China MedTech ETF:

- issued 50,000 shares in exchange for a cash balance of RMB1,711,806; and
- redeemed 500,000 shares in exchange for a cash balance of RMB16,800,498.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 4. CREATION AND REDEMPTION (CONTINUED)

##### Asia USD Investment Grade Bond ETF

During the period ended 30 September 2024, Asia USD Investment Grade Bond ETF:

- issued 30,300 shares in exchange for a cash balance of USD233,310; and
- redeemed 1,380,000 shares in exchange for a cash balance of USD10,081,092.

##### China Little Giant ETF

During the period ended 30 September 2024, China Little Giant ETF:

- issued 300,000 shares in exchange for a cash balance of RMB11,493,142; and
- redeemed 900,000 shares in exchange for a cash balance of RMB34,138,625.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 5. NET GAIN/LOSS ON FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

The following is a breakdown of net gain/loss on financial assets at fair value through profit or loss:

	<u>China Clean Energy ETF</u>		<u>China Consumer Brand ETF</u>		<u>China Electric Vehicle and Battery ETF</u>		<u>China Robotics and AI ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Net realised loss on sale of financial assets at fair value through profit or loss	(128,128,704)	(145,410,544)	(21,903,615)	(26,859,952)	(138,777,176)	(692,947,495)	(11,235,844)	(6,695,920)
Net change in unrealised gain/(loss) on financial assets at fair value through profit or loss	167,294,935	(113,447,165)	29,577,647	(54,807,446)	257,321,108	172,466,330	14,229,724	(16,502,615)
	<u>39,166,231</u>	<u>(258,857,709)</u>	<u>7,674,032</u>	<u>(81,667,398)</u>	<u>118,543,932</u>	<u>(520,481,165)</u>	<u>2,993,880</u>	<u>(23,198,535)</u>
	<u>China Semiconductor ETF</u>		<u>China Global Leaders ETF</u>		<u>China Innovator Active ETF</u>		<u>Asia Semiconductor ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Net realised gain/(loss) on sale of financial assets at fair value through profit or loss	(54,326,403)	(69,157,546)	(1,647,153)	(3,637,014)	(917,175)	(18,885,101)	2,782,379	(2,273,087)
Net change in unrealised gain/(loss) on financial assets at fair value through profit or loss	96,193,671	(22,018,579)	4,040,325	(136,461)	387,630	9,136,268	(7,711,059)	4,002,384
	<u>41,867,268</u>	<u>(91,176,125)</u>	<u>2,393,172</u>	<u>(3,773,475)</u>	<u>(529,545)</u>	<u>(9,748,833)</u>	<u>(4,928,680)</u>	<u>1,729,297</u>





## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS

Related parties are those as defined in note 2(q). Related parties of the Sub-Funds also include the Custodian and the Manager of the Sub-Funds and its connected persons. Connected persons of the Custodian and the Manager are those as defined in the UT Code. All transactions entered into during the period between the Sub-Funds and their related parties, including the Custodian, the Manager and their connected persons were carried out in the ordinary course of business and on normal commercial terms. To the best of the Manager's knowledge, the Sub-Funds do not have any other transactions with their related parties, including the Custodian, the Manager and their connected persons except for those disclosed below.

##### (a) Brokerage commission

The Sub-Funds utilise the trading services of brokers who are related to the Custodian and the Manager in their purchases and sales of investments. The details of such transactions and the brokerage commission are shown below:

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>China Clean Energy ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	170,800,886	19.34%	19,221	0.01%
Mirae Asset Securities (HK) Limited <sup>*</sup>	339,626,434	38.46%	116,692	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	455,053,107	31.86%	249,191	0.05%
Mirae Asset Securities (HK) Limited <sup>*</sup>	633,593,890	44.35%	215,082	0.03%
<u>China Consumer Brand ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	117,262,462	12.63%	19,296	0.02%
Mirae Asset Securities (HK) Limited <sup>*</sup>	107,436,650	11.57%	62,119	0.06%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	458,294,116	36.82%	159,560	0.03%
Mirae Asset Securities (HK) Limited <sup>*</sup>	87,141,632	7.00%	46,615	0.05%
<u>China Electric Vehicle and Battery ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	383,718,660	17.56%	23,522	0.01%
Mirae Asset Securities (HK) Limited <sup>*</sup>	422,603,395	19.34%	253,562	0.06%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	29,391,618	0.92%	17,635	0.06%
Mirae Asset Securities (HK) Limited <sup>*</sup>	990,832,681	31.09%	594,500	0.06%
<u>China Robotics and AI ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	109,017,671	43.63%	4,051	0.00%
Mirae Asset Securities (HK) Limited <sup>*</sup>	41,544,149	16.63%	14,991	0.04%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	75,132,206	63.97%	43,463	0.06%

<sup>#</sup>Affiliate of the Custodian

<sup>\*</sup>Affiliate of the Manager

# GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

## NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

#### (a) Brokerage commission (continued)

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>China Semiconductor ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	76,971,096	15.23%	7,578	0.01%
Mirae Asset Securities (HK) Limited <sup>*</sup>	82,534,546	16.33%	49,265	0.06%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	134,724,542	28.60%	72,122	0.05%
Mirae Asset Securities (HK) Limited <sup>*</sup>	148,584,767	31.54%	89,035	0.06%
<u>China Global Leaders ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	10,707,008	53.64%	3,735	0.03%
Mirae Asset Securities (HK) Limited <sup>*</sup>	59,374	0.30%	36	0.06%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	26,625,727	43.97%	12,054	0.05%
Mirae Asset Securities (HK) Limited <sup>*</sup>	5,989,426	9.89%	3,035	0.05%
<u>China Innovator Active ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	10,218,543	37.99%	3,395	0.03%
Mirae Asset Securities (HK) Limited <sup>*</sup>	2,350,062	8.74%	1,035	0.04%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	37,879,700	10.68%	16,972	0.04%
Mirae Asset Securities (HK) Limited <sup>*</sup>	37,607,599	10.60%	17,625	0.05%
<u>Asia Semiconductor ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	40,174,765	21.28%	13,652	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	7,071,681	10.83%	1,648	0.02%
<u>China E-Commerce and Logistics ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	6,065,149	36.14%	1,969	0.03%
Mirae Asset Securities (HK) Limited <sup>*</sup>	1,207,577	7.20%	626	0.05%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	1,024,083	2.40%	249	0.02%
Mirae Asset Securities (HK) Limited <sup>*</sup>	7,333,046	17.18%	3,492	0.05%
<u>China Games and Entertainment ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	7,822,186	44.67%	2,486	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	95,100	0.33%	20	0.02%

<sup>#</sup>Affiliate of the Custodian

<sup>\*</sup>Affiliate of the Manager

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

(a) Brokerage commission (continued)

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>FinTech ETF (in USD)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	296,270	67.99%	81	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	114,119	21.76%	17	0.01%
<u>Autonomous and Electric Vehicles ETF (in USD)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	1,501,132	50.61%	165	0.01%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	90,428	8.05%	10	0.01%
<u>Asia Innovator Active ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	8,530,597	35.48%	2,818	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	223,921	1.83%	83	0.04%
<u>China MedTech ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	8,187,311	37.72%	4,259	0.05%
Mirae Asset Securities (HK) Limited <sup>*</sup>	9,897,786	45.60%	5,387	0.05%

<sup>#</sup>Affiliate of the Custodian

<sup>\*</sup>Affiliate of the Manager

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (b) Management fee

The Manager is entitled to receive a management fee, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears.

Listed Class	Maximum rate of the net asset value in %	Annual rate of the net asset value in %	
		For the period ended 30 September 2024 (unaudited)	For the period ended 30 September 2023 (unaudited)
<u>Listed Class</u>			
China Clean Energy ETF	2%	0.68%	0.68%
China Consumer Brand ETF	2%	0.68%	0.68%
China Electric Vehicle and Battery ETF	2%	0.68%	0.68%
China Robotics and AI ETF	2%	0.68%	0.68%
China Semiconductor ETF	2%	0.68%	0.68%
China Global Leaders ETF	2%	0.68%	0.68%
China Innovator Active ETF	2%	0.75%	0.75%
Asia Semiconductor ETF	2%	0.68%	0.68%
China E-Commerce and Logistics ETF	2%	0.68%	0.68%
China Games and Entertainment ETF	2%	0.68%	0.68%
FinTech ETF	2%	0.68%	0.68%
Autonomous and Electric Vehicles ETF	2%	0.68%	0.68%
Asia Innovator Active ETF	2%	0.75%	0.75%
China MedTech ETF	2%	0.68%	N/A
Asia USD Investment Grade Bond ETF	2%	0.35%	N/A
China Little Giant ETF	2%	0.68%	N/A
<u>Unlisted Class - Class R2 (HKD)</u>			
Asia USD Investment Grade Bond ETF	2%	0.50%	N/A
<u>Unlisted Class - Class R2 (RMB)</u>			
Asia USD Investment Grade Bond ETF	2%	0.50%	N/A
<u>Unlisted Class - Class R2 (USD)</u>			
Asia USD Investment Grade Bond ETF	2%	0.50%	N/A

The management fee entitled to the Manager for the period ended 30 September 2024 and 30 September 2023 are as follows:

Listed Class	Management fee	
	For the period ended 30 September 2024 (unaudited)	For the period ended 30 September 2023 (unaudited)
<u>Listed Class</u>		
China Clean Energy ETF (in RMB)	1,606,742	3,581,994
China Consumer Brand ETF (in RMB)	976,118	2,062,864
China Electric Vehicle and Battery ETF (in RMB)	3,628,022	7,976,527
China Robotics and AI ETF (in RMB)	357,415	506,203
China Semiconductor ETF (in RMB)	1,157,910	1,754,139
China Global Leaders ETF (in RMB)	57,686	158,306
China Innovator Active ETF (in RMB)	45,378	239,990
Asia Semiconductor ETF (in RMB)	242,380	250,578
China E-commerce and Logistics ETF (in RMB)	19,480	83,248
China Games and Entertainment ETF (in RMB)	24,241	52,454
FinTech ETF (in USD)	4,139	5,132
Autonomous and Electric Vehicles ETF (in USD)	4,105	9,875
Asia Innovator Active ETF (in RMB)	34,518	49,313
China MedTech ETF (in RMB)	62,184	N/A
Asia USD Investment Grade Bond ETF (in USD)	23,300	N/A
China Little Giant ETF (in RMB)	124,899	N/A

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (b) Management fee (continued)

	<u>Management fee</u>	
	For the period ended 30 September 2024 (unaudited)	For the period ended 30 September 2023 (unaudited)
<u>Unlisted Class - Class R2 (HKD)</u>		
Asia USD Investment Grade Bond ETF (in USD)	1	N/A
<u>Unlisted Class - Class R2 (RMB)</u>		
Asia USD Investment Grade Bond ETF (in USD)	1	N/A
<u>Unlisted Class - Class R2 (USD)</u>		
Asia USD Investment Grade Bond ETF (in USD)	5	N/A

The management fee payable as at 30 September 2024 and 31 March 2024 are as follows:

	<u>Management fee payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
<u>Listed Class</u>		
China Clean Energy ETF (in RMB)	242,413	320,272
China Consumer Brand ETF (in RMB)	136,654	207,914
China Electric Vehicle and Battery ETF (in RMB)	533,548	716,160
China Robotics and AI ETF (in RMB)	51,422	85,174
China Semiconductor ETF (in RMB)	170,936	242,833
China Global Leaders ETF (in RMB)	9,934	11,972
China Innovator Active ETF (in RMB)	5,330	10,754
Asia Semiconductor ETF (in RMB)	29,837	53,373
China E-commerce and Logistics ETF (in RMB)	2,549	4,093
China Games and Entertainment ETF (in RMB)	3,133	4,415
FinTech ETF (in USD)	759	724
Autonomous and Electric Vehicles ETF (in USD)	546	741
Asia Innovator Active ETF (in RMB)	4,771	5,887
China MedTech ETF (in RMB) <sup>1</sup>	5,606	23,292
Asia USD Investment Grade Bond ETF (in USD) <sup>2</sup>	2,940	5,789
China Little Giant ETF (in RMB) <sup>3</sup>	9,114	33,868
<u>Unlisted Class - Class R2 (HKD)</u>		
Asia USD Investment Grade Bond ETF (in USD) <sup>2</sup>	1	N/A
<u>Unlisted Class - Class R2 (RMB)</u>		
Asia USD Investment Grade Bond ETF (in USD) <sup>2</sup>	1	N/A
<u>Unlisted Class - Class R2 (USD)</u>		
Asia USD Investment Grade Bond ETF (in USD) <sup>2</sup>	4	N/A

<sup>1</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 3 August 2023 (date of inception) to 31 March 2024.

<sup>2</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 11 October 2023 (date of inception) to 31 March 2024.

<sup>3</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 17 November 2023 (date of inception) to 31 March 2024.

##### (c) Bank charges

The bank charges of the Sub-Funds for the period ended 30 September 2024 and 2023 were charged by Citibank, N.A., the Sub-Custodian of the Sub-Funds.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (d) Bank balances and financial assets at fair value through profit or loss

##### Bank balances

The bank balances included in the statement of net assets of all the Sub-Funds were placed with interest-bearing accounts in Citibank, N.A., the Sub-Custodian of the Sub-Funds. The carrying amounts of the bank balances approximate to their fair values. Interest was earned on these bank balances during the period ended 30 September 2024 and 2023.

##### Financial assets at fair value through profit or loss

Financial assets at fair value through profit or loss included in the statement of net assets of the Sub-Funds are held with Citibank, N.A., the Sub-Custodian of the Sub-Funds, Citigroup Global Markets Limited, a related party of the Sub-Custodian of the Sub-Funds and Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds as at 30 September 2024 and 31 March 2024 are as follows:

	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
<b>Listed equities</b>		
<u>Citibank, N.A.</u>		
China Clean Energy ETF (in RMB)	358,026,286	424,360,287
China Consumer Brand ETF (in RMB)	218,030,648	276,511,939
China Electric Vehicle and Battery ETF (in RMB)	603,230,295	821,889,101
China Robotics and AI ETF (in RMB)	67,310,955	93,653,388
China Semiconductor ETF (in RMB)	207,172,709	274,196,514
China Global Leaders ETF (in RMB)	20,028,990	15,901,603
China Innovator Active ETF (in RMB)	–	13,293,700
Asia Semiconductor ETF (in RMB)	50,463,054	91,067,477
China E-commerce and Logistics ETF (in RMB)	–	7,147,684
China Games and Entertainment ETF (in RMB)	–	7,440,059
FinTech ETF (in USD)	1,553,056	1,284,471
Autonomous and Electric Vehicles ETF (in USD)	–	1,303,014
Asia Innovator Active ETF (in RMB)	–	8,678,426
China MedTech ETF (in RMB)	13,005,052	29,041,096
China Little Giant ETF (in RMB)	25,767,236	50,533,058
<b>Unlisted total return swaps</b>		
<u>Citigroup Global Markets Limited</u>		
China Clean Energy ETF (in RMB)	13,057,820	36,458,932
China Consumer Brand ETF (in RMB)	–	36,988,079
China Electric Vehicle and Battery ETF (in RMB)	42,405,374	91,484,921
China Robotics and AI ETF (in RMB)	4,004,212	32,372,697
China Semiconductor ETF (in RMB)	9,251,860	19,987,071
China Global Leaders ETF (in RMB)	–	1,277,193
<u>Mirae Asset Securities (HK) Limited</u>		
China Clean Energy ETF (in RMB)	86,098,548	81,216,472
China Consumer Brand ETF (in RMB)	43,548,360	44,047,703
China Electric Vehicle and Battery ETF (in RMB)	312,675,345	318,608,671
China Robotics and AI ETF (in RMB)	20,379,584	–
China Semiconductor ETF (in RMB)	114,979,923	87,497,438

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (d) Bank balances and financial assets at fair value through profit or loss (continued)

	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
<b>Listed debt securities</b>		
<u>Citibank, N.A.</u>		
Asia USD Investment Grade Bond ETF (in USD)	9,826,215	19,471,018

As at 30 September 2024 and 2023, the Sub-Fund entered into unlisted total return swaps with Citigroup Global Markets Limited, a related company of the Sub-Custodian of the Sub-Funds and Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds, with the following realised gains and (losses):

	<u>Realised gains/(losses)</u>	
	As at 30 September 2024 (unaudited)	As at 30 September 2023 (unaudited)
China Clean Energy ETF (in RMB)	(33,068,722)	60,632
China Consumer Brand ETF (in RMB)	(3,826,001)	(94,198)
China Electric Vehicle and Battery ETF (in RMB)	(49,940,277)	1,824,752
China Robotics and AI ETF (in RMB)	(2,485,680)	–
China Semiconductor ETF (in RMB)	(11,101,593)	36,813
China Global Leaders ETF (in RMB)	(250,501)	–

##### (e) Registration fee payable

For each application of share creation and share redemption, the Custodian is entitled to a registration fee of RMB6,000 for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF, USD1,000 for FinTech ETF, Autonomous and Electric Vehicles ETF and Asia USD Investment Grade Bond ETF. These fees are borne by the participating dealer. The registration fee would be paid to the Sub-Funds by the participating dealers. The Sub-Funds would pay the registration fee to the Custodian on behalf of the participating dealer. The details of the registration fee due to the Custodian by the Sub-Funds as at the period end are shown below. The amounts were interest-free, unsecured and repayable on demand.



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (e) Registration fee payable (continued)

The registration fee payable to the Manager for such amounts paid by the Manager on behalf of the Sub-Funds as at 30 September 2024 and 31 March 2024 are as follows:

	<u>Registration fee payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
China Clean Energy ETF (in RMB)	–	12,000
China Consumer Brand ETF (in RMB)	–	18,000
China Electric Vehicle and Battery ETF (in RMB)	–	24,000
China Robotics and AI ETF (in RMB)	–	6,000
China Semiconductor ETF (in RMB)	–	6,000
China Global Leaders ETF (in RMB)	–	–
China Innovator Active ETF (in RMB)	–	6,000
Asia Semiconductor ETF (in RMB)	–	12,000
China E-Commerce and Logistics ETF (in RMB)	–	–
China Games and Entertainment ETF (in RMB)	–	6,000
FinTech ETF (in USD)	–	–
Autonomous and Electric Vehicles ETF (in USD)	–	–
Asia Innovator Active ETF (in RMB)	–	–
China MedTech ETF (in RMB)	–	6,000
Asia USD Investment Grade Bond ETF (in USD)	–	–
China Little Giant ETF (in RMB)	–	–

The details of the registration fee due to the Custodian by the Sub-Funds as at 30 September 2024 and 31 March 2024 is shown below.

	<u>Registration fee payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
China Clean Energy ETF (in RMB)	124,000	106,000
China Consumer Brand ETF (in RMB)	124,000	148,000
China Electric Vehicle and Battery ETF (in RMB)	178,000	184,000
China Robotics and AI ETF (in RMB)	66,000	36,000
China Semiconductor ETF (in RMB)	90,000	78,000
China Global Leaders ETF (in RMB)	62,000	104,000
China Innovator Active ETF (in RMB)	24,000	18,000
Asia Semiconductor ETF (in RMB)	126,000	60,001
China E-Commerce and Logistics ETF (in RMB)	6,000	12,000
China Games and Entertainment ETF (in RMB)	–	6,000
FinTech ETF (in USD)	1,000	1,000
Autonomous and Electric Vehicles ETF (in USD)	–	3,000
Asia Innovator Active ETF (in RMB)	6,000	6,000
China MedTech ETF (in RMB)	102,000	132,000
Asia USD Investment Grade Bond ETF (in USD)	5,000	8,000
China Little Giant ETF (in RMB)	108,000	96,000

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (f) Redemption payable

As at 30 September 2024, the redemption payable of China MedTech ETF of RMBnil (31 March 2024: RMB1,928,743) was to Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

As at 30 September 2024, the redemption payable of China Little Giant ETF of RMBnil (31 March 2024: RMB6,366,882) was to Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

##### (g) Directors' remuneration

Per Instrument of Incorporation, the directors of the Company shall be entitled to remuneration for their services as directors of the Company up to an amount per financial year equivalent to USD100,000 per director and, where payable, such remuneration shall be borne by the Manager.

During the period ended 30 September 2024, all directors except Mr. Stewart Robert Kenneth Aldcroft receive no remuneration for their services as directors of the Company (2023: USDnil). Mr. Stewart Robert Kenneth Aldcroft receives USD23,000 (2023: USD23,000) per annum for his services as director of the Company.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE CUSTODIAN, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (h) Amounts due from/to brokers

Certain amount of due from/to brokers of the Sub-Funds as at 30 September 2024 and 31 March 2024 are due from/to Citigroup Global Markets Limited, a related party of the Custodian and Mirae Asset Securities (HK) Limited, which is a related party of the Manager are as follows:

##### China Consumer Brand ETF

As at 30 September 2024, the amount RMB101,382 (31 March 2024: RMBnil) of China Consumer Brand ETF was due to Citigroup Global Markets Limited, which is a related party of the Custodian.

##### China Electric Vehicle and Battery ETF

As at 30 September 2024, the amount RMBnil (31 March 2024: RMB10,240,107) of China Electric Vehicle and Battery ETF was due from Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

##### China Semiconductor ETF

As at 30 September 2024, the amount RMB11,516,183 (31 March 2024: RMBnil) of China Semiconductor ETF was due from Citigroup Global Markets Limited, a related party of the Custodian.

##### Asia Semiconductor ETF

As at 30 September 2024, the amount RMB3,419,345 (31 March 2024: RMB67) of Asia Semiconductor ETF was due to Citigroup Global Markets Limited, a related party of the Custodian.

##### China Games and Entertainment ETF

As at 30 September 2024, the amount RMBnil (31 March 2024: RMB76,117) of China Games and Entertainment ETF was due from Citigroup Global Markets Limited, a related party of the Custodian.

##### Autonomous and Electric Vehicles ETF

As at 30 September 2024, the amount USDnil (31 March 2024: USD4,411) of Autonomous and Electric Vehicles ETF was due from Citigroup Global Markets Limited, a related party of the Custodian.

##### China MedTech ETF

As at 30 September 2024, the amount RMBnil (31 March 2024: RMB408,319) of China MedTech ETF was due from Citigroup Global Markets Limited, a related party of the Custodian.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 7. AMOUNTS DUE FROM/TO BROKERS

As at 30 September 2024 and 31 March 2024, the amount due from broker represents receivable for securities sold and sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balance is receivable in less than 1 month.

	<u>Amounts due from brokers</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
China Electric Vehicle and Battery ETF (in RMB)	–	10,240,107
China Semiconductor ETF (in RMB)	11,516,183	–
Asia Semiconductor ETF (in RMB)	1,646,803	–
China Games and Entertainment ETF (in RMB)	–	76,117
Autonomous and Electric Vehicles ETF (in USD)	–	4,411
China MedTech ETF (in RMB)	–	408,319
Asia USD Investment Grade Bond ETF (in USD)	399,787	11,462

As at 30 September 2024 and 31 March 2024, the amounts due to broker represents payables for purchase of securities and purchase of foreign exchange spot that have been contracted for but not yet settled on the reporting date. The balance is repayable in less than 1 month.

	<u>Amounts due to brokers</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
China Consumer Brand ETF (in RMB)	3,688,387	–
China Robotics and AI ETF (in RMB)	354,706	–
China Semiconductor ETF (in RMB)	19,952,147	–
Asia Semiconductor ETF (in RMB)	3,419,345	67
Asia USD Investment Grade Bond ETF (in USD)	305,044	–

#### 8. SOFT COMMISSION ARRANGEMENTS

The Manager may effect transactions, provided that any such transaction is consistent with standards of “best execution”, by or through the agency of another person for the accounts of the Sub-Funds with whom the Manager or any of its connected persons has an arrangement under which that party will from time to time provide to or procure for the Manager or any of its connected persons goods, services or other benefits (such as advisory services, computer hardware associated with specialised software or research services and performance measures), the nature of which is such that their provision can reasonably be expected to benefit the Sub-Funds as a whole and may contribute to an improvement in the performance of the Sub-Funds. For the avoidance of doubt, such goods and services may not include travel, accommodation, entertainment, general administrative goods or services, general office equipment or premises, membership fees, employees’ salaries and direct money payments. Since the inception of the Sub-Funds, the Manager has not participated in any soft commission arrangements in respect of any transactions for the accounts of the Sub-Funds.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 9. TAXATION

No provision for Hong Kong profits tax has been made for the Sub-Funds as they are authorised as collective investment schemes under section 104 of the Hong Kong Securities and Futures Ordinance and are therefore exempt from Hong Kong profits tax under section 26A(1A) of the Hong Kong Inland Revenue Ordinance.

The Sub-Funds invest in shares of companies in the People's Republic of China ("PRC") listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange ("A-shares") and the SEHK ("H-shares"). Under the PRC Corporate Income Tax Law, the Sub-Funds may be liable to pay PRC tax on the capital gains realised from the trading of A-shares and H-shares.

##### PRC tax provision

Under the general tax provision of PRC Corporate Income Tax Law ("PRC CIT Law"), the non-PRC residents with no place of effective management, establishment or place of business in the PRC may be subject to 10% PRC withholding income tax ("WIT") on the capital gain derived from disposal of securities, unless exempt or reduced under current PRC tax laws and regulations or relevant tax treaties.

No provision was made for taxation from such gains in the financial statements as the Manager believes that the taxation on capital gains derived from H-Shares is not probable under the current enforcement environment.

Withholding income tax of 10% was charged on dividend income received from A-shares and H-shares during the year.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 10. DISTRIBUTIONS TO SHAREHOLDERS

Asia USD Investment Grade Bond ETF made the following distributions during the period ended 30 September 2024:

	<u>Asia USD Investment Grade Bond ETF</u> For the period ended 30 September 2024 (unaudited) USD
Interim distribution to shareholders dated 9 April 2024, HKD0.21 per share	71,622
Interim distribution to shareholders dated 8 May 2024, HKD0.21 per share	72,070
Interim distribution to shareholders dated 7 June 2024, HKD0.21 per share	72,101
Interim distribution to shareholders dated 8 July 2024, HKD0.21 per share	35,079
Interim distribution to shareholders dated 7 August 2024, HKD0.21 per share	35,080
Interim distribution to shareholders dated 6 September 2024, HKD0.21 per share	35,537
	<u>321,489</u>

The net income of Asia USD Investment Grade Bond ETF for the period ended 30 September 2024 was USD538,416. The interim distribution amount of Asia USD Investment Grade Bond ETF were recognised in the Statement of changes in net assets attributable to shareholders. The undistributed income of Asia USD Investment Grade Bond ETF carried forward as at 30 September 2024 amounts to USD216,927.

There were no distributions made to shareholders of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF for the period ended 30 September 2024 and 2023.

As at 30 September 2024 and 31 March 2024, there are no distribution payable by the Sub-Funds.

The Manager may in its absolute discretion distribute income to shareholders at such time or times as it may determine in each financial period or determine that no distribution shall be made in any financial period. The amount to be distributed to shareholders, if any, will be derived from the net income of the Sub-Funds.



**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**NOTES TO THE FINANCIAL STATEMENTS**

**30 September 2024**

11. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS (CONTINUED)

	<u>Asia Innovator Active ETF</u>		<u>China MedTech ETF</u>		<u>Asia USD Investment Grade Bond ETF</u>		<u>China Little Giant ETF</u>	
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
Listed equities	–	8,678,426	13,005,052	29,041,096	–	–	25,767,236	50,533,058
Debt securities	–	–	–	–	9,826,215	19,471,018	–	–
	<u>–</u>	<u>8,678,426</u>	<u>13,005,052</u>	<u>29,041,096</u>	<u>9,826,215</u>	<u>19,471,018</u>	<u>25,767,236</u>	<u>50,533,058</u>



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 12. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE

The UT Code allows the Sub-Funds to invest in constituent securities issued by a single issuer for more than 10% of the Sub-Funds' net asset value provided that:

- (a) the investment is limited to any constituent securities that each accounts for more than 10% of the weighting of the tracked index; and
- (b) the Sub-Funds' holding of any such constituent securities may not exceed their respective weightings in the tracked index, except where weightings are exceeded as a result of changes in the composition of the tracked index and the excess is only transitional and temporary in nature.

Constituent securities that account for more than 10% of the net asset value of the Sub-Funds as at period end were as follows:

<u>As at 30 September 2024</u> (unaudited)	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>China Electric Vehicle and Battery ETF</i>		
BYD Co Ltd-A	15.88%	15.88%
CONTEMPORARY AMPEREX TECHN-A	19.28%	19.28%
<i>Asia Semiconductor ETF</i>		
SK Hynix Inc	10.13%	10.06%
<i>China MedTech ETF</i>		
Aier Eye Hospital Group Co-A	10.57%	10.53%

China Clean Energy ETF, China Consumer Brand ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, China E-Commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active, Asia USD Investment Grade Bond ETF and China Little Giant ETF did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 30 September 2024.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 12. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE (CONTINUED)

<u>As at 31 March 2024</u> (audited)	Weighting in the tracked index*	Weighting in the Sub-Fund's net asset value*
<i>China Clean Energy ETF</i>		
Naura Technology Group Co-A	11.48%	11.47%
<i>China Electric Vehicle and Battery ETF</i>		
BYD Co Ltd-A	12.97%	12.97%
Contemporary Amperex Techn-A	18.29%	18.28%
<i>Asia Semiconductor ETF</i>		
SK Hynix Inc	10.26%	10.21%
Samsung Electronics Co Ltd	10.91%	10.86%

\*The weightings are representing data as of 28 March 2024 which is the last official Dealing Date for period ended 31 March 2024.

China Consumer Brand ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active ETF, China MedTech ETF, Asia USD Investment Grade Bond ETF and China Little Giant ETF did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 31 March 2024.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 13. SEGMENT INFORMATION

The Manager makes the strategic resource allocations on behalf of the Sub-Funds and has determined the operating segments based on the reports reviewed which are used to make strategic decisions.

The Manager considers that each Sub-Fund has a single operating segment which is investing in securities for China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF, Asia Innovator Active ETF, China MedTech ETF and China Little Giant ETF, and fixed income investments for Asia USD Investment Grade Bond ETF. The objectives of the Sub-Funds, except for Asia Innovator Active ETF and China Innovator Active ETF, are to track the performance of their respective indices and invest in substantially all the index constituents with security weight and industry weight that are closely aligned with the characteristics of the tracked indices.

The objective of Asia Innovator Active ETF is to invest in companies which are (i) headquartered or incorporated in selected Asian regions (Mainland China, Hong Kong, Indonesia, India, Malaysia, Philippines, Singapore, Japan, South Korea, Taiwan and Thailand) and (ii) directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”), adopting an active investment strategy to achieve long-term capital growth.

The objective of China Innovator Active ETF is to invest in companies which are headquartered or incorporated in Mainland China, Hong Kong or Macau and are directly or indirectly involved in the provision of innovative products and/or services (“Innovative Business”), adopting an active investment strategy to achieve long-term capital growth.

The internal financial information used by the Manager for the Sub-Funds’ assets, liabilities and performance is the same as that disclosed in the statement of net assets and statement of profit or loss and other comprehensive income.

The Sub-Funds are domiciled in Hong Kong. Majority of the Sub-Funds’ income is derived from investments in securities which constitute the relevant tracked indices. The Sub-Funds’ investments have been categorised by the relevant industry but no industrial information for performance is provided as the Manager considers that the cost to develop it would be excessive and the information is generally not used by the Manager in managing the Sub-Funds.

The Sub-Funds, except for Asia Innovator Active ETF and China Innovator Active ETF, have portfolios that closely correspond to the security weight and industry weight of the relevant tracked indices.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 14. EVENTS AFTER THE REPORTING PERIOD

##### China Consumer Brand ETF

Subsequent to 30 September 2024 and up to 22 November 2024, there is net subscription of shares of RMB35,586,180 which there is no redemption of shares and issue of 850,000 shares of RMB35,586,180.

##### Asia Semiconductor ETF

Subsequent to 30 September 2024 and up to 22 November 2024, there is net redemption of shares of RMB5,476,630 which there is of shares redemption of 300,000 shares of RMB16,400,910 and issue of 200,000 shares of RMB10,924,280.

##### FinTech ETF

Subsequent to 30 September 2024 and up to 22 November 2024, there is net redemption of shares of USD288,325 which there is of shares redemption of 50,000 shares of USD288,325 and no issuance of shares.

##### China Little Giant ETF

Subsequent to 30 September 2024 and up to 22 November 2024, there is net subscription of shares of RMB7,064,905 which there is no redemption of shares and issue of 150,000 shares of RMB7,064,905.

The Custodian and the Manager have formed an opinion on 28 October 2024 that China Innovator Active ETF, China Games and Entertainment ETF, China E-commerce and Logistics ETF and Autonomous and Electric Vehicles ETF have no outstanding contingent or actual assets or liabilities that have not been accounted for. The termination of the China Innovator Active ETF, China Games and Entertainment ETF, China E-commerce and Logistics ETF and Autonomous and Electric Vehicles ETF were became effective on 30 October 2024.

Given that additional time is required to settle the accounts of the Asia Innovator Active ETF in order to confirm that the Asia Innovator Active ETF has no outstanding contingent or actual assets or liabilities, the Manager would like to postpone the Termination Date in respect of the Asia Innovator Active ETF to a day expected to be no later than 29 November 2024, subject to the SFC's approval.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### ESG DISCLOSURE FOR GLOBAL X CHINA CLEAN ENERGY ETF (UNAUDITED)

As at 30 September 2024

	<b>Global X Exchange Traded Funds Series OFC Global X China Clean Energy ETF (1 April 2024 – 30 September 2024)</b>
Actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus	From 1 April 2024 to 30 September 2024, 99.9% of the Sub-Fund's average net asset value was invested in securities that are commensurate with the Sub-Fund's ESG focus.
Actual proportion of the investment universe that was eliminated or selected as a result of the Sub-Fund's ESG-related screening	<p>Global X China Clean Energy ETF is an index-tracking fund, which invests in constituents of the Solactive China Clean Energy Index (the "Underlying Index"). The investment decisions are based on criteria set forth in the index methodology established by index provider. The Manager places reliance on the index methodology of the Underlying Index.</p> <p>The Underlying Index is a free float market capitalisation weighted index which is designed to represent PRC companies that are active in the field of clean energy, i.e. companies which offer products, services or solutions that contribute to the shift away from fossil fuels, create renewable sources of energy generation or improve energy efficiency and access to sustainable energy consumption (i.e. the Sub-Fund's ESG focus). Constituents of the Underlying Index have significant exposure to "Affordable and Clean Energy" and its supply chain. "Affordable and Clean Energy" is one of the 17 United Nations Sustainable Development Goals, which is to ensure access to affordable, reliable, sustainable and modern energy.</p>
Comparison of the performance of the Sub-Fund's ESG factors against the designated reference benchmark (if any)	Not applicable
Actions taken by the Sub-Fund in attaining the Sub-Fund's ESG focus	<p>The Manager engages directly with the index provider of Solactive China Clean Energy Index on an ongoing basis to ensure that the Sub-Fund's ESG focus has been duly considered and the suitable ESG criteria have been used in the index methodology.</p> <p>The Manager has retained an independent third-party proxy adviser to provide research and voting recommendations for the Sub-Fund. During the period from 1 April 2024 to 30 September 2024, 99.9% of the proxy votes were casted in accordance with the proxy voting guidelines adopted by the Manager and the voting recommendations provided by the third-party proxy adviser.</p>
Description of the basis of the assessment performed	The underlying index of the Sub-Fund only includes securities issued by companies that are commensurate with the Sub-Fund's ESG focus. The actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus is determined based on the proportion of net asset value of the Sub-Fund invested in constituents of underlying index of the Sub-Fund.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2024

#### China Clean Energy ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
CECEP WIND POWER CORP-A	1,286,800	4,233,572	0.85%
CHINA SOUTHERN POWER GRID -A	210,249	7,190,516	1.44%
CHINA THREE GORGES RENEWAB-A	5,689,900	27,596,015	5.51%
CHINA XD ELECTRIC CO LTD-A	646,900	5,634,499	1.13%
CHINA YANGTZE POWER CO LTD-A	1,299,600	39,052,980	7.80%
GINLONG TECHNOLOGIES CO LT-A	9,600	798,720	0.16%
GOLDWIND SCIENCE & TECHNOL-A	886,100	8,878,722	1.77%
HAINAN JINPAN SMART TECHNO-A	27,594	1,017,667	0.20%
HENAN PINGGAO ELECTRIC CO-A	246,300	5,159,985	1.03%
HUANENG LANCANG RIVER HYDR-A	495,200	5,719,560	1.14%
INNER MONGOLIA MENGDIAN HU-A	1,159,400	5,565,120	1.11%
JA SOLAR TECHNOLOGY CO LTD-A	566,000	7,669,300	1.53%
JINKO SOLAR CO LTD-A	1,966,683	17,326,477	3.46%
LONGI GREEN ENERGY TECHNOL-A	446,756	7,845,035	1.57%
MING YANG SMART ENERGY GRO-A	256,300	2,768,040	0.55%
NARI TECHNOLOGY CO LTD-A	1,057,000	29,247,190	5.84%
NAURA TECHNOLOGY GROUP CO-A	87,400	31,986,652	6.39%
NINGBO DEYE TECHNOLOGY CO -A	24,305	2,471,332	0.49%
NINGBO ORIENT WIRES & CABL-A	10,900	601,353	0.12%
NINGBO SANXING MEDICAL CO -A	233,600	8,150,304	1.63%
QINGDAO TGOOD ELECTRIC-A	219,100	4,820,200	0.96%
RISEN ENERGY CO LTD-A	78,500	1,084,085	0.22%
SHANGHAI AIKO SOLAR ENERGY-A	423,400	4,361,020	0.87%
SICHUAN CHUANOU ENERGY CO-A	558,900	10,535,265	2.11%
SIEYUAN ELECTRIC CO LTD-A	299,700	22,147,830	4.43%
SUNGROW POWER SUPPLY CO LT-A	200,200	19,935,916	3.98%
TCL TECHNOLOGY GROUP CORP-A	5,524,000	25,299,920	5.06%
TCL ZHONGHUAN RENEWABLE EN-A	817,600	8,470,336	1.69%
TRINA SOLAR CO LTD-A	29,064	651,615	0.13%
XUJI ELECTRIC CO LTD-A	246,800	8,438,092	1.69%
ZHEJIANG CHINT ELECTRICS-A	355,400	8,081,796	1.62%
ZHEJIANG JINGSHENG MECHANI-A	47,600	1,541,764	0.31%
		334,280,878	
<b>Hong Kong</b>			
CHINA LONGYUAN POWER GROUP-H	1,192,076	7,572,280	1.51%
GCL TECHNOLOGY HOLDINGS LTD	7,635,818	9,632,017	1.93%
XINYI SOLAR HOLDINGS LTD	1,720,305	6,541,111	1.31%
		23,745,408	
Total listed equities		358,026,286	71.54%

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2024

#### China Clean Energy ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps</b>						
<b>China</b>						
CHINA THREE GORGES RENEWAB	25-Oct-24	CHINA THREE GORGES RENEWAB-A	Guotai Junan Financial Products Limited	270,000	1,309,500	0.26%
CHINA THREE GORGES RENEWAB	16-Dec-24	CHINA THREE GORGES RENEWAB-A	Mirae Asset Securities (HK) Limited	1,800,000	8,730,000	1.75%
CHINA THREE GORGES RENEWAB	7-Feb-25	CHINA THREE GORGES RENEWAB-A	Guotai Junan Financial Products Limited	766,900	3,719,465	0.74%
GINLONG TECHNOLOGIES CO LT	18-Nov-24	GINLONG TECHNOLOGIE S CO LT-A	Mirae Asset Securities (HK) Limited	54,300	4,517,760	0.90%
HAINAN JINPAN SMART TECHNO	13-Mar-25	HAINAN JINPAN SMART TECHNO-A	Mirae Asset Securities (HK) Limited	108,600	4,005,168	0.80%
JINKO SOLAR CO LTD	17-Feb-25	JINKO SOLAR CO LTD-A	Guotai Junan Financial Products Limited	237,500	2,092,375	0.42%
LONGI GREEN ENERGY TECHNOL	16-Oct-24	LONGI GREEN ENERGY TECHNOL-A	Guotai Junan Financial Products Limited	1,000,000	17,560,000	3.51%
LONGI GREEN ENERGY TECHNOL	18-Nov-24	LONGI GREEN ENERGY TECHNOL-A	Mirae Asset Securities (HK) Limited	273,700	4,806,172	0.96%
LONGI GREEN ENERGY TECHNOL	7-Feb-25	LONGI GREEN ENERGY TECHNOL-A	Guotai Junan Financial Products Limited	323,800	5,685,928	1.14%
MING YANG SMART ENERGY GRO	7-Feb-25	MING YANG SMART ENERGY GRO-A	Guotai Junan Financial Products Limited	373,600	4,034,880	0.81%
NAURA TECHNOLOGY GROUP CO	15-Oct-24	NAURA TECHNOLOGY GROUP CO-A	Citigroup Global Markets Limited	31,000	11,345,380	2.27%
NINGBO DEYE TECHNOLOGY CO	18-Nov-24	NINGBO DEYE TECHNOLOGY CO -A	Mirae Asset Securities (HK) Limited	28,200	2,867,376	0.57%
NINGBO DEYE TECHNOLOGY CO	13-Mar-25	NINGBO DEYE TECHNOLOGY CO -A	Mirae Asset Securities (HK) Limited	148,800	15,129,984	3.03%
NINGBO ORIENT WIRES & CABL	16-Dec-24	NINGBO ORIENT WIRES & CABL-A	Mirae Asset Securities (HK) Limited	131,800	7,271,406	1.45%

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2024

#### China Clean Energy ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
RISEN ENERGY CO LTD	23-Oct-24	RISEN ENERGY CO LTD-A	Citigroup Global Markets Limited	124,000	1,712,440	0.34%
RISEN ENERGY CO LTD	16-Dec-24	RISEN ENERGY CO LTD-A	Mirae Asset Securities (HK) Limited	169,800	2,344,938	0.47%
SUNGROW POWER SUPPLY CO LT	13-Mar-25	SUNGROW POWER SUPPLY CO LT-A	Mirae Asset Securities (HK) Limited	288,000	28,679,040	5.73%
TCL ZHONGHUAN RENEWABLE EN	16-Dec-24	TCL ZHONGHUAN RENEWABLE EN-A	Mirae Asset Securities (HK) Limited	205,000	2,123,800	0.42%
TRINA SOLAR CO LTD	17-Oct-24	TRINA SOLAR CO LTD-A	Guotai Junan Financial Products Limited	362,000	8,116,040	1.62%
ZHEJIANG JINGSHENG MECHANI	18-Nov-24	ZHEJIANG JINGSHENG MECHANI-A	Mirae Asset Securities (HK) Limited	173,600	5,622,904	1.12%
					<u>141,674,556</u>	
Total unlisted total return swaps					<u>141,674,556</u>	<u>28.31%</u>
Total investments					<u>499,700,842</u>	<u>99.85%</u>
Total investments, at cost					<u>473,476,041</u>	



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Consumer Brand ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
EASTROC BEVERAGE GROUP CO -A	2,700	731,646	0.24%
FUYAO GLASS INDUSTRY GROUP-A	27,900	1,623,780	0.53%
GREAT WALL MOTOR CO LTD-A	115,400	3,497,774	1.15%
HAIER SMART HOME CO LTD-A	337,500	10,850,625	3.58%
HISENSE HOME APPLIANCES G-A	17,100	607,050	0.20%
HUALI INDUSTRIAL GROUP CO -A	3,100	229,121	0.08%
JASON FURNITURE HANGZHOU C-A	16,700	518,869	0.17%
KWEICHOW MOUTAI CO LTD-A	16,300	28,492,400	9.39%
MIDEA GROUP CO LTD-A	69,400	5,278,564	1.74%
OPPEIN HOME GROUP INC-A	6,600	412,500	0.14%
SAIC MOTOR CORP LTD-A	52,700	771,001	0.25%
SHANGHAI JINJIANG INTERNAT-A	13,500	422,955	0.14%
SHANXI XINGHUACUN FEN WINE-A	11,200	2,451,568	0.81%
WULIANGYE YIBIN CO LTD-A	50,700	8,239,257	2.72%
		<u>64,127,110</u>	
<b>Hong Kong</b>			
ANTA SPORTS PRODUCTS LTD	199,448	16,973,260	5.59%
CHOW TAI FOOK JEWELLERY GROU	255,208	2,012,037	0.66%
GALAXY ENTERTAINMENT GROUP L	332,265	11,690,666	3.85%
GIANT BIOGENE HOLDING CO LTD	70,402	3,231,936	1.07%
H Aidilao International Holdi	229,666	3,944,148	1.30%
Hengan Intl Group Co Ltd	84,444	2,039,094	0.67%
Nongfu Spring Co Ltd-H	281,010	8,659,274	2.85%
POP MART INTERNATIONAL GROUP	92,346	4,430,689	1.46%
Shenzhen International Group	120,603	7,666,352	2.53%
Tingyi (Cayman Isl) Hldg Co	263,077	2,659,557	0.88%
		<u>63,307,013</u>	
<b>United States</b>			
H World Group Ltd-ADR	48,833	12,713,634	4.19%
LI AUTO INC - ADR	110,316	19,803,376	6.53%
NEW ORIENTAL EDUCATIO-SP ADR	25,735	13,659,533	4.50%
TRIP.COM GROUP LTD-ADR	65,695	27,324,449	9.01%
YUM CHINA HOLDINGS INC	54,258	17,095,533	5.63%
		<u>90,596,525</u>	
Total listed equities		<u>218,030,648</u>	<u>71.86%</u>

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Consumer Brand ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps</b>						
<b>China</b>						
EASTROC BEVERAGE GROUP CO	13-Mar-25	EASTROC BEVERAGE GROUP CO - A	Mirae Asset Securities (HK) Limited	7,700	2,086,546	0.69%
FUYAO GLASS INDUSTRY GROUP	16-Dec-24	FUYAO GLASS INDUSTRY GROUP-A	Mirae Asset Securities (HK) Limited	165,000	9,603,000	3.16%
HAIER SMART HOME CO LTD	18-Nov-24	HAIER SMART HOME CO LTD-A	Mirae Asset Securities (HK) Limited	210,700	6,774,005	2.23%
HISENSE HOME APPLIANCES G	18-Nov-24	HISENSE HOME APPLIANCES G-A	Mirae Asset Securities (HK) Limited	45,200	1,604,600	0.53%
HUALI INDUSTRIAL GROUP CO	17-Oct-24	HUALI INDUSTRIAL GROUP CO - A	Guotai Junan Financial Products Limited	16,900	1,249,079	0.41%
JASON FURNITURE HANGZHOU C	16-Dec-24	JASON FURNITURE HANGZHOU C-A	Mirae Asset Securities (HK) Limited	43,400	1,348,438	0.44%
MIDEA GROUP CO LTD	17-Feb-25	MIDEA GROUP CO LTD-A	Guotai Junan Financial Products Limited	300,000	22,818,000	7.52%
OPPEIN HOME GROUP INC	16-Dec-24	OPPEIN HOME GROUP INC-A	Mirae Asset Securities (HK) Limited	18,300	1,143,750	0.38%
SAIC MOTOR CORP LTD	13-Mar-25	SAIC MOTOR CORP LTD-A	Mirae Asset Securities (HK) Limited	275,000	4,023,250	1.33%
SHANGHAI JINJIANG INTERNAT	13-Mar-25	SHANGHAI JINJIANG INTERNAT-A	Mirae Asset Securities (HK) Limited	54,700	1,713,751	0.57%
SHANXI XINGHUACUN FEN WINE	18-Nov-24	SHANXI XINGHUACUN FEN WINE-A	Mirae Asset Securities (HK) Limited	45,100	9,871,939	3.25%

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)**

As at 30 September 2024

China Consumer Brand ETF (continued)

<b>Financial assets at fair value through profit or loss</b>	<b>Maturity</b>	<b>Underlying assets</b>	<b>Counterparty</b>	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
WULIANGYE YIBIN CO LTD	18-Oct-24	WULIANGYE YIBIN CO LTD-A	Guotai Junan Financial Products Limited	102,700	16,689,777	5.50%
WULIANGYE YIBIN CO LTD	18-Nov-24	WULIANGYE YIBIN CO LTD-A	Mirae Asset Securities (HK) Limited	33,100	5,379,081	1.77%
					<u>84,305,216</u>	
Total unlisted total return swaps					<u>84,305,216</u>	<u>27.78%</u>
Total investments					<u>302,335,864</u>	<u>99.64%</u>
Total investments, at cost					<u>295,424,779</u>	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Electric Vehicle and Battery ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
ANHUI ZHONGDING SEALING PA-A	604,500	8,444,865	0.72%
BEIJING EASPRING MATERIAL-A	56,200	2,434,584	0.21%
BETHEL AUTOMOTIVE SAFETY S-A	51,100	2,499,812	0.21%
BYD CO LTD -A	574,300	176,488,133	15.04%
CANMAX TECHNOLOGIES CO LTD-A	48,600	1,199,448	0.10%
CHINA BAOAN GROUP-A	1,219,300	11,717,473	1.00%
CNGR ADVANCED MATERIAL CO -A	39,640	1,591,942	0.14%
CONTEMPORARY AMPEREX TECHN-A	291,000	73,299,990	6.25%
EVE ENERGY CO LTD-A	338,302	16,502,372	1.41%
FUYAO GLASS INDUSTRY GROUP-A	1,037,900	60,405,780	5.15%
GANFENG LITHIUM GROUP CO L-A	77,000	2,654,190	0.23%
GEM CO LTD-A	1,589,800	11,335,274	0.97%
GOTION HIGH-TECH CO LTD-A	97,800	2,259,180	0.19%
GUANGZHOU TINCI MATERIALS -A	181,700	3,365,084	0.29%
HUIZHOU DESAY SV AUTOMOTIV-A	13,000	1,557,270	0.13%
JIANGXI SPECIAL ELECTRIC -A	149,400	1,284,840	0.11%
NINGBO RONBAY NEW ENERGY T-A	53,302	1,545,758	0.13%
NINGBO SHANSHAN CO LTD-A	118,400	1,103,488	0.09%
NINGBO TUOPU GROUP CO LTD-A	45,950	2,125,647	0.18%
QINGDAO TGOOD ELECTRIC-A	480,100	10,562,200	0.90%
SHENZHEN CAPCHEM TECHNOLOG-A	49,200	1,999,980	0.17%
SHENZHEN INOVANCE TECHNOLO-A	788,100	49,216,845	4.19%
SHENZHEN KEDALI INDUSTRY C-A	165,953	16,122,334	1.37%
SHENZHEN SENIOR TECHNOLOGY-A	98,100	1,009,449	0.09%
SICHUAN YAHUA INDUSTRIAL-A	381,900	4,151,253	0.35%
SINOMINE RESOURCE GROUP CO-A	76,700	2,802,618	0.24%
SUNWODA ELECTRONIC CO LTD-A	139,900	3,072,204	0.26%
TIANQI LITHIUM CORP-A	181,290	6,381,408	0.54%
WUXI LEAD INTELLIGENT EQUI-A	66,800	1,292,580	0.11%
XIAMEN FARATRONIC CO LTD-A	10,400	1,073,280	0.09%
YUNNAN ENERGY NEW MATERIAL-A	87,900	3,007,059	0.26%
ZHEJIANG SANHUA INTELLIGEN-A	327,800	7,811,474	0.67%
ZHEJIANG SHUANGHUAN DRIVEL-A	134,300	3,701,308	0.32%
ZHUZHOU CRRC TIMES ELECTRI-A	38,450	2,040,542	0.17%
		496,059,664	
<b>United States</b>			
LI AUTO INC - ADR	597,001	107,170,631	9.14%
		107,170,631	
Total listed equities		603,230,295	51.42%

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps</b>						
<b>China</b>						
BEIJING EASPRING MATERIAL	6-Nov-24	BEIJING EASPRING MATERIAL-A	Mirae Asset Securities (HK) Limited	251,000	10,873,320	0.93%
BETHEL AUTOMOTIVE SAFETY S	18-Oct-24	BETHEL AUTOMOTIVE SAFETY S-A	Guotai Junan Financial Products Limited	81,000	3,962,520	0.34%
BETHEL AUTOMOTIVE SAFETY S	18-Nov-24	BETHEL AUTOMOTIVE SAFETY S-A	Mirae Asset Securities (HK) Limited	115,300	5,640,476	0.48%
BYD CO LTD	18-Oct-24	BYD CO LTD -A	Guotai Junan Financial Products Limited	32,000	9,833,920	0.84%
CANMAX TECHNOLOGIES CO LTD	16-Dec-24	CANMAX TECHNOLOGIES CO LTD-A	Mirae Asset Securities (HK) Limited	456,000	11,254,080	0.96%
CNGR ADVANCED MATERIAL CO	6-Nov-24	CNGR ADVANCED MATERIAL CO -A	Mirae Asset Securities (HK) Limited	42,380	1,701,981	0.14%
CNGR ADVANCED MATERIAL CO	16-Dec-24	CNGR ADVANCED MATERIAL CO -A	Mirae Asset Securities (HK) Limited	173,180	6,954,909	0.59%
CONTEMPORARY AMPEREX TECHN	15-Oct-24	CONTEMPORARY AMPEREX TECHN-A	Citigroup Global Markets Limited	12,000	3,022,680	0.26%
CONTEMPORARY AMPEREX TECHN	18-Oct-24	CONTEMPORARY AMPEREX TECHN-A	Guotai Junan Financial Products Limited	180,000	45,340,200	3.86%
CONTEMPORARY AMPEREX TECHN	17-Feb-25	CONTEMPORARY AMPEREX TECHN-A	Guotai Junan Financial Products Limited	325,000	81,864,250	6.98%
CONTEMPORARY AMPEREX TECHN	3-Mar-25	CONTEMPORARY AMPEREX TECHN-A	Guotai Junan Financial Products Limited	90,000	22,670,100	1.93%
EVE ENERGY CO LTD	18-Oct-24	EVE ENERGY CO LTD-A	Guotai Junan Financial Products Limited	93,000	4,536,540	0.39%
EVE ENERGY CO LTD	18-Nov-24	EVE ENERGY CO LTD-A	Mirae Asset Securities (HK) Limited	591,800	28,868,004	2.46%

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
GANFENG LITHIUM GROUP CO L	22-Oct-24	GANFENG LITHIUM GROUP CO L-A	Mirae Asset Securities (HK) Limited	325,600	11,223,432	0.96%
GANFENG LITHIUM GROUP CO L	13-Mar-25	GANFENG LITHIUM GROUP CO L-A	Mirae Asset Securities (HK) Limited	136,000	4,687,920	0.40%
GEM CO LTD	18-Nov-24	GEM CO LTD-A	Mirae Asset Securities (HK) Limited	1,341,800	9,567,034	0.81%
GEM CO LTD	16-Dec-24	GEM CO LTD-A	Mirae Asset Securities (HK) Limited	141,800	1,011,034	0.09%
GEM CO LTD	13-Mar-25	GEM CO LTD-A	Mirae Asset Securities (HK) Limited	640,100	4,563,913	0.39%
GOTION HIGH-TECH CO LTD	18-Nov-24	GOTION HIGH-TECH CO LTD-A	Mirae Asset Securities (HK) Limited	540,000	12,474,000	1.07%
GOTION HIGH-TECH CO LTD	16-Dec-24	GOTION HIGH-TECH CO LTD-A	Mirae Asset Securities (HK) Limited	250,000	5,775,000	0.49%
GUANGZHOU TINCI MATERIALS	17-Oct-24	GUANGZHOU TINCI MATERIALS -A	Guotai Junan Financial Products Limited	1,228,500	22,751,820	1.94%
HUIZHOU DESAY SV AUTOMOTIV	16-Dec-24	HUIZHOU DESAY SV AUTOMOTIV -A	Mirae Asset Securities (HK) Limited	110,900	13,284,711	1.13%
JIANGXI SPECIAL ELECTRIC	13-Mar-25	JIANGXI SPECIAL ELECTRIC -A	Mirae Asset Securities (HK) Limited	1,003,700	8,631,820	0.74%
NINGBO RONBAY NEW ENERGY T	16-Oct-24	NINGBO RONBAY NEW ENERGY T-A	Guotai Junan Financial Products Limited	267,900	7,769,100	0.66%
NINGBO RONBAY NEW ENERGY T	23-Oct-24	NINGBO RONBAY NEW ENERGY T-A	Citigroup Global Markets Limited	32,500	942,500	0.08%
NINGBO SHANSHAN CO LTD	18-Nov-24	NINGBO SHANSHAN CO LTD-A	Mirae Asset Securities (HK) Limited	950,800	8,861,456	0.75%

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
NINGBO TUOPU GROUP CO LTD	13-Mar-25	NINGBO TUOPU GROUP CO LTD-A	Mirae Asset Securities (HK) Limited	385,120	17,815,651	1.52%
SHENZHEN CAPCHEM TECHNOLOG	9-Oct-24	SHENZHEN CAPCHEM TECHNOLOG -A	Citigroup Global Markets Limited	283,000	11,503,950	0.98%
SHENZHEN INOVANCE TECHNOLO	18-Nov-24	SHENZHEN INOVANCE TECHNOLO-A	Mirae Asset Securities (HK) Limited	527,000	32,911,150	2.80%
SHENZHEN SENIOR TECHNOLOGY	23-Oct-24	SHENZHEN SENIOR TECHNOLOG Y-A	Citigroup Global Markets Limited	483,600	4,976,244	0.42%
SHENZHEN SENIOR TECHNOLOGY	18-Nov-24	SHENZHEN SENIOR TECHNOLOG Y-A	Mirae Asset Securities (HK) Limited	310,000	3,189,900	0.27%
SICHUAN YAHUA INDUSTRIAL	13-Mar-25	SICHUAN YAHUA INDUSTRIAL -A	Mirae Asset Securities (HK) Limited	440,900	4,792,583	0.41%
SINOMINE RESOURCE GROUP CO	18-Nov-24	SINOMINE RESOURCE GROUP CO-A	Mirae Asset Securities (HK) Limited	385,900	14,100,786	1.20%
SUNWODA ELECTRONIC CO LTD	9-Oct-24	SUNWODA ELECTRONIC CO LTD-A	Citigroup Global Markets Limited	1,000,000	21,960,000	1.87%
TIANQI LITHIUM CORP	6-Nov-24	TIANQI LITHIUM INDUSTR IND-A	Mirae Asset Securities (HK) Limited	186,200	6,554,240	0.56%
TIANQI LITHIUM CORP	16-Dec-24	TIANQI LITHIUM INDUSTR IND-A	Mirae Asset Securities (HK) Limited	451,000	15,875,200	1.36%
WUXI LEAD INTELLIGENT EQUI	6-Nov-24	WUXI LEAD INTELLIGEN T EQUI-A	Mirae Asset Securities (HK) Limited	357,000	6,907,950	0.59%
WUXI LEAD INTELLIGENT EQUI	18-Nov-24	WUXI LEAD INTELLIGEN T EQUI-A	Mirae Asset Securities (HK) Limited	205,800	3,982,230	0.34%
WUXI LEAD INTELLIGENT EQUI	13-Mar-25	WUXI LEAD INTELLIGEN T EQUI-A	Mirae Asset Securities (HK) Limited	210,000	4,063,500	0.35%

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)**

As at 30 September 2024

China Electric Vehicle and Battery ETF (continued)

<b>Financial assets at fair value through profit or loss</b>	<b>Maturity</b>	<b>Underlying assets</b>	<b>Counterparty</b>	<b>Holdings</b>	<b>Fair value RMB</b>	<b>% of net assets</b>
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
XIAMEN FARATRONIC CO LTD	6-Nov-24	XIAMEN FARATRONIC CO LTD-A	Mirae Asset Securities (HK) Limited	95,600	9,865,920	0.84%
YUNNAN ENERGY NEW MATERIAL	18-Oct-24	YUNNAN ENERGY NEW MATERIAL-A	Guotai Junan Financial Products Limited	291,300	9,965,373	0.85%
YUNNAN ENERGY NEW MATERIAL	6-Nov-24	YUNNAN ENERGY NEW MATERIAL-A	Mirae Asset Securities (HK) Limited	102,700	3,513,367	0.30%
ZHEJIANG SANHUA INTELLIGEN	18-Nov-24	ZHEJIANG SANHUA INTELLIGEN-A	Mirae Asset Securities (HK) Limited	1,237,800	29,496,774	2.52%
ZHEJIANG SHUANGHUAN DRIVEL	18-Nov-24	ZHEJIANG SHUANGHUAN DRIVEL-A	Mirae Asset Securities (HK) Limited	160,000	4,409,600	0.37%
ZHEJIANG SHUANGHUAN DRIVEL	13-Mar-25	ZHEJIANG SHUANGHUAN DRIVEL-A	Mirae Asset Securities (HK) Limited	240,900	6,639,204	0.57%
ZHUZHOU CRRC TIMES ELECTRI	18-Oct-24	ZHUZHOU CRRC TIMES ELECTRI-A	Guotai Junan Financial Products Limited	68,000	3,608,760	0.31%
ZHUZHOU CRRC TIMES ELECTRI	18-Nov-24	ZHUZHOU CRRC TIMES ELECTRI-A	Mirae Asset Securities (HK) Limited	60,000	3,184,200	0.27%
					<u>567,383,302</u>	
Total unlisted total return swaps					<u>567,383,302</u>	<u>48.37%</u>
Total investments					<u>1,170,613,597</u>	<u>99.79%</u>
Total investments, at cost					<u>1,029,960,064</u>	



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Robotics and AI ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
BEIJING KINGSOFT OFFICE SO-A	3,610	961,704	0.85%
CASTECH INC -A	51,400	1,377,006	1.22%
GUANGDONG CREATE CENTURY I-A	179,300	1,326,820	1.18%
HANGZHOU HIKVISION DIGITAL-A	240,300	7,759,287	6.87%
HAN'S LASER TECHNOLOGY IN-A	21,300	501,189	0.44%
HUAGONG TECH CO LTD-A	24,100	841,572	0.75%
IEIT SYSTEMS CO LTD-A	22,000	913,000	0.81%
IFLYTEK CO LTD - A	178,200	7,919,208	7.02%
LEADER HARMONIOUS DRIVE SY-A	22,100	2,102,373	1.86%
MONTAGE TECHNOLOGY CO LTD-A	23,000	1,538,240	1.36%
NARI TECHNOLOGY CO LTD-A	233,200	6,452,644	5.72%
SHANGHAI BOCHU ELECTRONIC-A	3,668	772,885	0.68%
SHENZHEN INOVANCE TECHNOLO-A	47,300	2,953,885	2.62%
SHENZHEN ZHAOWEI MACHINERY-A	4,840	217,413	0.19%
SIASUN ROBOT & AUTOMATION-A	39,400	486,984	0.43%
SUPCON TECHNOLOGY CO LTD-A	36,900	1,856,439	1.64%
SUZHOU TFC OPTICAL COMMUNI-A	8,040	808,020	0.72%
THUNDER SOFTWARE TECHNOLOG-A	13,300	698,915	0.62%
WUHAN DR LASER TECHNOLOGY -A	29,000	1,740,000	1.54%
WUHAN JINGCE ELECTRONIC GR-A	6,900	443,808	0.39%
ZHEJIANG CRYSTAL-OPTECH -A	159,500	3,019,335	2.68%
ZHEJIANG DAHUA TECHNOLOGY-A	389,300	6,703,746	5.94%
ZHEJIANG SHUANGHUAN DRIVEL-A	14,000	385,840	0.34%
ZHONGJI INNOLIGHT CO LTD-A	52,000	8,052,720	7.13%
		<u>59,833,033</u>	
<b>United States</b>			
BAIDU INC - SPON ADR	10,148	7,477,922	6.62%
		<u>7,477,922</u>	
Total listed equities		<u>67,310,955</u>	<u>59.62%</u>

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Robotics and AI ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps</b>						
<b>China</b>						
BEIJING KINGSOFT OFFICE SO	21-Feb-25	BEIJING KINGSOFT OFFICE SO-A	Guotai Junan Financial Products Limited	24,100	6,420,240	5.69%
HAN'S LASER TECHNOLOGY IN	23-Oct-24	HAN'S LASER TECHNOLOGY IN-A	Citigroup Global Markets Limited	98,200	2,310,646	2.05%
HUAGONG TECH CO LTD	18-Nov-24	HUAGONG TECH CO LTD-A	Mirae Asset Securities (HK) Limited	81,900	2,859,948	2.53%
IEIT SYSTEMS CO LTD	6-Nov-24	IEIT SYSTEMS CO LTD-A	Guotai Junan Financial Products Limited	120,100	4,984,150	4.42%
MONTAGE TECHNOLOGY CO LTD	13-Mar-25	MONTAGE TECHNOLOGY CO LTD-A	Mirae Asset Securities (HK) Limited	95,000	6,353,600	5.63%
SHANGHAI BOCHU ELECTRONIC	17-Feb-25	SHANGHAI BOCHU ELECTRONIC-A	Guotai Junan Financial Products Limited	6,000	1,264,260	1.12%
SHENZHEN INOVANCE TECHNOLOGY	18-Nov-24	SHENZHEN INOVANCE TECHNOLOGY-A	Mirae Asset Securities (HK) Limited	91,900	5,739,155	5.08%
SHENZHEN ZHAOWEI MACHINERY	23-Oct-24	SHENZHEN ZHAOWEI MACHINERY-A	Citigroup Global Markets Limited	23,920	1,074,486	0.95%
SIASUN ROBOT & AUTOMATION	13-Mar-25	SIASUN ROBOT & AUTOMATION-A	Mirae Asset Securities (HK) Limited	114,900	1,420,164	1.26%
SUPCON TECHNOLOGY CO LTD	17-Feb-25	SUPCON TECHNOLOGY CO LTD-A	Guotai Junan Financial Products Limited	62,000	3,119,220	2.76%
SUZHOU TFC OPTICAL COMMUNI	23-Oct-24	SUZHOU TFC OPTICAL COMMUNI-A	Citigroup Global Markets Limited	6,160	619,080	0.55%
SUZHOU TFC OPTICAL COMMUNI	25-Oct-24	SUZHOU TFC OPTICAL COMMUNI-A	Guotai Junan Financial Products Limited	30,320	3,047,160	2.69%

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)**

As at 30 September 2024

China Robotics and AI ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
THUNDER SOFTWARE TECHNOLOG	13-Mar-25	THUNDER SOFTWARE TECHNOLOG-A	Mirae Asset Securities (HK) Limited	44,300	2,327,965	2.06%
WUHAN JINGCE ELECTRONIC GR	13-Mar-25	WUHAN JINGCE ELECTRONIC GR-A	Mirae Asset Securities (HK) Limited	26,100	1,678,752	1.49%
ZHEJIANG SHUANGHUAN DRIVEL	6-Nov-24	ZHEJIANG SHUANGHUA N DRIVEL-A	Guotai Junan Financial Products Limited	80,100	2,207,556	1.96%
					<u>45,426,382</u>	
Total unlisted total return swaps					<u>45,426,382</u>	<u>40.24%</u>
Total investments					<u>112,737,337</u>	<u>99.86%</u>
Total investments, at cost					<u>101,653,567</u>	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Semiconductor ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
ACM RESEARCH SHANGHAI I-A	94,514	9,970,282	2.68%
ADVANCED MICRO-FABRICATION-A	94,592	15,513,088	4.16%
BOE TECHNOLOGY GROUP CO LT-A	5,464,000	24,424,080	6.56%
GIGADEVICE SEMICONDUCTO-CL A	59,500	5,258,015	1.41%
HANGZHOU CHANG CHUAN TECHN-A	39,376	1,492,744	0.40%
HANGZHOU SILAN MICROELECTR-A	98,089	2,218,773	0.60%
HYGON INFORMATION TECHNOLO-A	57,300	5,917,944	1.59%
JCET GROUP CO LTD-A	373,939	13,211,265	3.55%
MAXSCEND MICROELECTRONICS -A	34,400	3,195,416	0.86%
MONTAGE TECHNOLOGY CO LTD-A	103,200	6,902,016	1.85%
NAURA TECHNOLOGY GROUP CO-A	68,100	24,923,238	6.69%
NEXCHIP SEMICONDUCTOR CORP-A	562,200	9,844,122	2.64%
PIOTECH INC-A	8,600	1,238,400	0.33%
SANAN OPTOELECTRONICS CO L-A	1,312,481	16,353,513	4.39%
SEMICONDUCTOR MANUFACTURIN-A	160,400	9,622,396	2.58%
SG MICRO CORP-A	18,000	1,710,000	0.46%
SHANGHAI BELLING CO LTD-A	245,380	4,932,138	1.32%
SHENZHEN GOODIX TECHNOLOGY-A	98,650	6,901,554	1.85%
SHENZHEN SC NEW ENERGY TEC-A	32,900	2,235,226	0.60%
TIANSHUI HUATIAN TECHNOLOG-A	1,087,389	10,156,214	2.73%
TONGFU MICROELECTRONIC CO-A	135,500	3,101,595	0.83%
WILL SEMICONDUCTOR CO LTD-A	205,905	22,073,016	5.93%
WINGTECH TECHNOLOGY CO LTD-A	87,300	2,857,329	0.77%
YANGZHOU YANGJIE ELECTRONI-A	22,000	998,800	0.27%
ZHEJIANG JINGSHENG MECHANI-A	65,500	2,121,545	0.57%
		207,172,709	
Total listed equities		207,172,709	55.62%

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Semiconductor ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps</b>						
<b>China</b>						
ACM RESEARCH SHANGHAI I	18-Nov-24	ACM RESEARCH SHANGHAI I-A	Mirae Asset Securities (HK) Limited	88,200	9,304,218	2.50%
ADVANCED MICRO-FABRICATION	17-Feb-25	ADVANCED MICRO-FABRICATION-A	Guotai Junan Financial Products Limited	64,000	10,496,000	2.82%
GIGADEVICE SEMICONDUCTO-CL	13-Mar-25	GIGADEVICE SEMICONDUCTO-CL A	Mirae Asset Securities (HK) Limited	215,000	18,999,550	5.10%
HANGZHOU CHANG CHUAN TECHN	6-Nov-24	HANGZHOU CHANG CHUAN TECHN-A	Mirae Asset Securities (HK) Limited	188,300	7,138,453	1.92%
HANGZHOU SILAN MICROELECTR	18-Nov-24	HANGZHOU SILAN MICROELECTR-A	Mirae Asset Securities (HK) Limited	328,300	7,426,146	1.99%
JCET GROUP CO LTD	16-Dec-24	JCET GROUP CO LTD-A	Mirae Asset Securities (HK) Limited	242,000	8,549,860	2.30%
MAXSCEND MICROELECTRONICS	17-Oct-24	MAXSCEND MICROELECTRONICS -A	Guotai Junan Financial Products Limited	127,200	11,815,608	3.17%
MONTAGE TECHNOLOGY CO LTD	22-Oct-24	MONTAGE TECHNOLOGY CO LTD-A	Mirae Asset Securities (HK) Limited	238,800	15,970,944	4.29%
MONTAGE TECHNOLOGY CO LTD	13-Mar-25	MONTAGE TECHNOLOGY CO LTD-A	Mirae Asset Securities (HK) Limited	48,500	3,243,680	0.87%
PIOTECH INC	9-Oct-24	PIOTECH INC-A	Citigroup Global Markets Limited	29,600	4,262,400	1.14%
SEMICONDUCTOR MANUFACTURIN	17-Feb-25	SEMICONDUCTOR MANUFACTURIN-A	Guotai Junan Financial Products Limited	310,000	18,596,900	4.99%
SG MICRO CORP	18-Nov-24	SG MICRO CORP-A	Mirae Asset Securities (HK) Limited	122,000	11,590,000	3.12%
SHENZHEN SC NEW ENERGY TEC	16-Dec-24	SHENZHEN SC NEW ENERGY TEC-A	Mirae Asset Securities (HK) Limited	99,700	6,773,618	1.82%

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)**

As at 30 September 2024

China Semiconductor ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
TONGFU MICROELECTRONIC CO	16-Dec-24	TONGFU MICROELECTRONIC CO-A	Mirae Asset Securities (HK) Limited	316,000	7,233,240	1.94%
WILL SEMICONDUCTOR CO LTD	16-Dec-24	WILL SEMICONDUCTOR CO LTD-A	Mirae Asset Securities (HK) Limited	30,000	3,216,000	0.86%
WINGTECH TECHNOLOGY CO LTD	13-Mar-25	WINGTECH TECHNOLOGY CO LTD-A	Mirae Asset Securities (HK) Limited	247,600	8,103,948	2.18%
YANGZHOU YANGJIE ELECTRONIC	9-Oct-24	YANGZHOU YANGJIE ELECTRONIC-A	Citigroup Global Markets Limited	109,900	4,989,460	1.34%
ZHEJIANG JINGSHENG MECHANI	18-Nov-24	ZHEJIANG JINGSHENG MECHANI-A	Mirae Asset Securities (HK) Limited	229,400	7,430,266	1.99%
					<u>165,140,291</u>	
Total unlisted total return swaps					<u>165,140,291</u>	<u>44.34%</u>
Total investments					<u>372,313,000</u>	<u>99.96%</u>
Total investments, at cost					<u>354,498,528</u>	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Global Leaders ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
AVARY HOLDING SHENZHEN CO -A	4,300	153,811	0.77%
BOE TECHNOLOGY GROUP CO LT-A	220,900	987,423	4.91%
GIGADEVICE SEMICONDUCTO-CL A	4,400	388,828	1.94%
HANGZHOU HIKVISION DIGITAL-A	28,000	904,120	4.50%
JCET GROUP CO LTD-A	9,800	346,234	1.72%
JINKO SOLAR CO LTD-A	47,700	420,237	2.09%
LINGYI ITECH GUANGDONG CO -A	20,100	150,951	0.75%
LUXSHARE PRECISION INDUSTR-A	31,000	1,347,260	6.70%
MIDEA GROUP CO LTD-A	19,600	1,490,776	7.42%
SANY HEAVY INDUSTRY CO LTD-A	41,600	785,408	3.91%
SHENZHEN MINDRAY BIO-MEDIC-A	3,900	1,142,700	5.69%
SHENZHEN TRANSSION HOLDING-A	3,000	323,790	1.61%
TCL TECHNOLOGY GROUP CORP-A	113,600	520,288	2.59%
WANHUA CHEMICAL GROUP CO -A	12,000	1,095,840	5.45%
WILL SEMICONDUCTOR CO LTD-A	5,400	578,880	2.88%
XCMG CONSTRUCTION MACHIN-A	40,700	316,239	1.57%
		<u>10,952,785</u>	
<b>Hong Kong</b>			
AIA GROUP LTD	24,623	1,545,239	7.69%
BUDWEISER BREWING CO APAC LT	12,627	119,005	0.59%
BYD CO LTD-H	5,552	1,419,696	7.06%
BYD ELECTRONIC INTL CO LTD	5,718	167,698	0.83%
CATHAY PACIFIC AIRWAYS	7,361	55,381	0.28%
CK HUTCHISON HOLDINGS LTD	20,140	811,149	4.04%
CK INFRASTRUCTURE HOLDINGS L	4,619	221,408	1.10%
HAIER SMART HOME CO LTD-H	21,662	608,957	3.03%
LENOVO GROUP LTD	58,816	561,740	2.80%
ORIENT OVERSEAS INTL LTD	998	99,274	0.49%
SHENZHOU INTERNATIONAL GROUP	6,025	382,990	1.91%
TECHTRONIC INDUSTRIES CO LTD	10,397	1,106,347	5.51%
WH GROUP LTD	59,315	329,214	1.64%
XIAOMI CORP-CLASS B	81,296	1,648,107	8.20%
		<u>9,076,205</u>	
Total investments		<u>20,028,990</u>	<u>99.67%</u>
Total investments, at cost		<u>18,046,229</u>	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### Asia Semiconductor ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
ADVANCED MICRO-FABRICATION-A	4,568	749,152	1.47%
BOE TECHNOLOGY GROUP CO LT-A	275,635	1,232,088	2.42%
CAMBRICON TECHNOLOGIES-A	1,500	433,740	0.85%
GIGADEVICE SEMICONDUCTO-CL A	5,500	486,035	0.96%
JCET GROUP CO LTD-A	12,306	434,771	0.86%
LONGI GREEN ENERGY TECHNOL-A	53,420	938,055	1.85%
MONTAGE TECHNOLOGY CO LTD-A	8,031	537,113	1.06%
NAURA TECHNOLOGY GROUP CO-A	4,621	1,691,194	3.33%
SANAN OPTOELECTRONICS CO L-A	26,291	327,586	0.64%
TCL TECHNOLOGY GROUP CORP-A	141,799	649,439	1.28%
WILL SEMICONDUCTOR CO LTD-A	6,784	727,245	1.43%
		<u>8,206,418</u>	
<b>Hong Kong</b>			
SEMICONDUCTOR MANUFACTURING	40,335	757,743	1.49%
		<u>757,743</u>	
<b>Japan</b>			
ADVANTEST CORP	2,161	712,746	1.40%
CANON INC	2,542	584,933	1.15%
DAI NIPPON PRINTING CO LTD	1,043	130,233	0.26%
DISCO CORP	261	478,753	0.94%
FUJITSU LIMITED	5,099	732,357	1.44%
HITACHI LTD	12,900	2,386,449	4.70%
HOYA CORP	1,008	975,781	1.92%
LASERTEC CORP	225	259,862	0.51%
MINEBEA MITSUMI INC	980	135,121	0.27%
MITSUBISHI ELECTRIC CORP	5,281	595,196	1.17%
RENESAS ELECTRONICS CORP	4,649	472,901	0.93%
SCREEN HOLDINGS CO LTD	229	111,742	0.22%
SONY GROUP CORP	35,956	4,886,312	9.62%
TDK CORP	5,529	493,161	0.97%
TOKYO ELECTRON LTD	1,303	1,612,312	3.17%
		<u>14,567,859</u>	
<b>South Korea</b>			
HANMI SEMICONDUCTOR CO LTD	4,738	2,746,173	5.40%
SAMSUNG ELECTRONICS CO LTD	13,833	4,552,982	8.96%
SK HYNIX INC	5,472	5,113,224	10.06%
		<u>12,412,379</u>	
<b>Taiwan</b>			
ASE TECHNOLOGY HOLDING CO LT	31,160	1,040,546	2.05%
GLOBALWAFERS CO LTD	2,405	241,999	0.48%
MEDIA TEK INC	13,991	3,635,577	7.15%
NAN YA PLASTICS CORP	47,042	478,553	0.94%
NOVATEK MICROELECTRONICS COR	5,419	620,777	1.22%
PEGATRON CORP	19,464	443,360	0.87%
QUANTA COMPUTER INC	26,432	1,543,195	3.04%
TAIWAN SEMICONDUCTOR MANUFAC	22,652	4,794,079	9.43%
UNIMICRON TECHNOLOGY CORP	12,270	390,745	0.77%
UNITED MICROELECTRONICS CORP	111,770	1,329,824	2.62%
		<u>14,518,655</u>	
Total investments		<u>50,463,054</u>	<u>99.30%</u>
Total investments, at cost		<u>46,323,961</u>	



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### FinTech ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Listed equities</b>			
<b>Australia</b>			
HUB24 LTD	338	13,687	0.88%
IRESS LTD	760	5,230	0.33%
XERO LTD	636	65,937	4.22%
ZIP CO LTD	4,414	8,421	0.54%
		<u>93,275</u>	
<b>Canada</b>			
GALAXY DIGITAL HOLDINGS LTD	446	5,731	0.37%
HUT 8 CORP	370	4,541	0.29%
NUVEI CORP-SUBORDINATE VTG	267	8,913	0.57%
		<u>19,185</u>	
<b>Germany</b>			
HYPOPORT SE	29	9,561	0.61%
		<u>9,561</u>	
<b>Hong Kong</b>			
LINKLOGIS INC-CLASS B	7,774	1,882	0.12%
OSL GROUP LTD	2,618	2,174	0.14%
		<u>4,056</u>	
<b>Italy</b>			
NEXI SPA	5,488	37,313	2.39%
		<u>37,313</u>	
<b>Japan</b>			
WEALTHNAVI INC	207	1,647	0.11%
		<u>1,647</u>	
<b>South Korea</b>			
KAKAOPAY CORP	552	10,300	0.66%
		<u>10,300</u>	
<b>Switzerland</b>			
TEMENOS AG - REG	314	22,036	1.41%
		<u>22,036</u>	
<b>Netherlands</b>			
ADYEN NV	58	90,791	5.82%
		<u>90,791</u>	
<b>United Kingdom</b>			
WISE PLC - A	4,279	38,542	2.47%
		<u>38,542</u>	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### FinTech ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Listed equities (continued)</b>			
<b>United States</b>			
ACI WORLDWIDE INC	454	23,109	1.48%
AFFIRM HOLDINGS INC	1,093	44,616	2.86%
BAKKT HOLDINGS INC	12	115	0.01%
BILL HOLDINGS INC	443	23,373	1.50%
BITFARMS LTD/CANADA	1,261	2,661	0.17%
BLEND LABS INC-A	997	3,739	0.24%
BLOCK INC	1,134	76,125	4.88%
CANTALOUPE INC	304	2,250	0.14%
CIPHER MINING INC	1,064	4,118	0.26%
COINBASE GLOBAL INC -CLASS A	333	59,331	3.80%
DLOCAL LTD	667	5,336	0.34%
ENVESTNET INC	226	14,152	0.91%
FIDELITY NATIONAL INFO SERV	1,306	109,377	7.01%
FISERV INC	604	108,509	6.95%
FLYWIRE CORP-VOTING	500	8,195	0.52%
GLOBAL PAYMENTS INC	522	53,463	3.43%
GUIDEWIRE SOFTWARE INC	345	63,114	4.04%
HEALTH EQUITY INC	358	29,302	1.88%
I3 VERTICALS INC-CLASS A	88	1,875	0.12%
INTUIT INC	137	85,077	5.45%
JACK HENRY & ASSOCIATES INC	307	54,198	3.47%
LEMONADE INC	285	4,700	0.30%
LENDINGCLUB CORP	461	5,269	0.34%
LENDINGTREE INC	54	3,134	0.20%
LUFAX HOLDING LTD-ADR	2,393	8,352	0.54%
MARA HOLDINGS INC (formerly known as MARATHON DIGITAL HOLDINGS IN)	931	15,101	0.97%
MERIDIANLINK INC	319	6,562	0.42%
MITEK SYSTEMS INC	190	1,647	0.11%
MONEYLION INC	43	1,787	0.11%
NCINO INC	473	14,942	0.96%
OPEN LENDING CORP	515	3,152	0.20%
PAGAYA TECHNOLOGIES LTD -A	196	2,072	0.13%
PAGSEGURO DIGITAL LTD-CL A	851	7,327	0.47%
PAYMENTUS HOLDINGS INC-A	76	1,521	0.10%
PAYONEER GLOBAL INC	1,513	11,393	0.73%
PAYPAL HOLDINGS INC	1,493	116,499	7.46%
PAYSAFE LTD	250	5,607	0.36%
QIWI PLC-SPONSORED ADR <sup>1</sup>	738	–	0.00%
RIOT PLATFORMS INC	863	6,403	0.41%
SAPIENS INTERNATIONAL CORP	226	8,423	0.54%
SHIFT4 PAYMENTS INC-CLASS A	238	21,087	1.35%
SOFI TECHNOLOGIES INC	4,082	32,084	2.06%
SS&C TECHNOLOGIES HOLDINGS	1,042	77,327	4.95%
STONECO LTD-A	1,175	13,230	0.85%
TOAST INC-CLASS A	1,807	51,156	3.28%
UPSTART HOLDINGS INC	361	14,444	0.93%
VERTEX INC - CLASS A	252	9,704	0.62%
VIRTU FINANCIAL INC-CLASS A	374	11,392	0.73%
		<u>1,226,350</u>	
Total investments		<u>1,553,056</u>	<u>99.51%</u>
Total investments, at cost		<u>1,819,665</u>	

<sup>1</sup>These suspended stocks have been fully written off by the Manager for the period ended 30 September 2024.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China MedTech ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
AIER EYE HOSPITAL GROUP CO-A	86,234	1,371,983	10.53%
ANDON HEALTH CO LTD-A	6,073	286,220	2.20%
APT MEDICAL INC-A	1,246	510,100	3.91%
AUTOBIO DIAGNOSTICS CO LTD-A	7,610	366,498	2.81%
BEIJING STRONG BIOTECHNOLO-A	7,762	115,266	0.89%
BGI GENOMICS CO LTD-A	5,149	244,114	1.87%
DOUBLE MEDICAL TECHNOLOGY-A	5,085	160,483	1.23%
EYEBRIGHT MEDICAL TECHNOLO-A	2,479	246,413	1.89%
IMEIK TECHNOLOGY DEVELOPME-A	3,845	905,882	6.95%
INNER MONGOLIA FURUI MEDIC-A	3,233	156,251	1.20%
INTCO MEDICAL TECHNOLOGY C-A	7,354	206,133	1.58%
IRAY TECHNOLOGY CO LTD-A	1,880	238,459	1.83%
JAFRON BIOMEDICAL CO LTD-A	9,332	305,530	2.35%
JIANGSU YUYUE MEDICAL EQU-A	12,665	484,816	3.72%
LEPU MEDICAL TECHNOLOGY-A	24,542	323,218	2.48%
MEINIAN ONEHEALTH HEALTHCA-A	50,592	219,063	1.68%
MICRO-TECH NANJING CO LTD-A	2,082	159,481	1.22%
OVCTEK CHINA INC-A	10,685	221,393	1.70%
SHANGHAI UNITED IMAGING HE-A	8,761	1,121,408	8.61%
SHENZHEN MINDRAY BIO-MEDIC-A	3,280	961,040	7.37%
SHENZHEN NEW INDUSTRIES BI-A	10,842	888,610	6.82%
SHENZHEN YHLO BIOTECH CO L-A	7,259	172,764	1.33%
SINOCARE INC - A	7,498	227,189	1.74%
SONOSCAPE MEDICAL CORP-A	6,271	227,700	1.75%
WINNING HEALTH TECHNOLOGY-A	27,921	206,336	1.58%
		10,326,350	
<b>Hong Kong</b>			
ALIBABA HEALTH INFORMATION T	187,777	905,169	6.95%
GENSCRIPT BIOTECH CORP	21,823	274,101	2.10%
JD HEALTH INTERNATIONAL INC	36,763	1,159,345	8.90%
MICROPORT SCIENTIFIC CORP	20,940	148,675	1.14%
PING AN HEALTHCARE AND TECHN	14,651	191,412	1.47%
		2,678,702	
Total investments		13,005,052	99.80%
Total investments, at cost		12,740,555	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### Asia USD Investment Grade Bond ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Debt securities</b>			
<b>Canada</b>			
ALIBABA GROUP BABA 4.2 12/06/47	200,000	177,036	1.78%
BANK OF CHINA/HK BCHINA 2 3/8 02/24/27	200,000	193,037	1.94%
BOC AVIATION BOCAVI 4 1/2 05/23/28	400,000	406,809	4.08%
CHINA DEV BANK SDBC 4 01/24/37	200,000	199,386	2.00%
CHINA RAILWAY CHRAIL 4 07/06/27	200,000	200,915	2.02%
CHINA SOUTHERN SOPOWZ 4 1/4 09/18/28	300,000	301,891	3.03%
CHN CLEAN ENERGY CHGDN 4 11/05/25	200,000	201,707	2.02%
CNAC HK FNBRIDGE CO LTD 5.125% 14MAR2028	400,000	407,223	4.09%
ICBC/HONG KONG ICBAS 5 3/8 10/25/26	300,000	313,839	3.15%
SINOPEC GROUP SINOPE 4 1/4 09/12/28	200,000	202,363	2.03%
STATE GRID OSEAS CHGRID 4 1/4 05/02/28	200,000	205,167	2.06%
TENCENT HOLD TENCNT 2.39 06/03/30	200,000	182,254	1.83%
TENCENT HOLD TENCNT 3.24 06/03/50	300,000	221,743	2.23%
TENCENT HOLDINGS TENCNT 4.525 04/11/49	200,000	187,347	1.88%
		<u>3,400,717</u>	
<b>Hong Kong</b>			
AIA GROUP AIA 4 1/2 03/16/46	400,000	371,233	3.73%
HK LAND FINANCE HKLAND 4 1/2 10/07/25	200,000	203,948	2.05%
HONG KONG HKINTL 0 5/8 02/02/26	200,000	191,881	1.93%
HONG KONG HKINTL 4 1/4 06/07/26	300,000	306,220	3.07%
		<u>1,073,282</u>	
<b>India</b>			
RELIANCE INDUSTR RILIN 3 5/8 01/12/52	250,000	191,441	1.92%
RELIANCE INDUSTR RILIN 4 1/8 01/28/25	200,000	200,856	2.02%
TML HOLDINGS PTE TTMTIN 4.35 06/09/26	200,000	199,863	2.01%
		<u>592,160</u>	
<b>Indonesia</b>			
INDOIS 5.2 5.2% 07FEB2034	200,000	210,886	2.12%
INDONESIA (REP) INDON 4.55 01/11/28	100,000	102,300	1.03%
PERTAMINA PERTIJ 6 1/2 05/27/41	200,000	228,194	2.29%
PERUSAHAAN LISTR PLNJI 6 1/4 01/25/49	200,000	213,373	2.14%
PERUSAHAAN LISTRIK NEGAR 4.875% 17JUL2049	200,000	177,914	1.79%
REPUBLIC OF INDONESIA 5.95% 08/01/2046	300,000	339,841	3.41%
SBSN INDO III INDOIS 4.55 03/29/26	200,000	201,194	2.02%
		<u>1,473,702</u>	
<b>Malaysia</b>			
GOHL CAPITAL LTD GENTMK 4 1/4 01/24/27	300,000	297,877	2.99%
PETRONAS CAP LTD PETMK 4 1/2 03/18/45	200,000	185,747	1.86%
		<u>483,624</u>	
<b>Philippines</b>			
PHILIPPINES(REP) PHILIP 5.17 10/13/27	400,000	421,134	4.23%
		<u>421,134</u>	
<b>Singapore</b>			
STE TRANSCORE STESP 4 1/8 05/23/26	200,000	202,514	2.03%
		<u>202,514</u>	

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)**

As at 30 September 2024

Asia USD Investment Grade Bond ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Debt securities (continued)</b>			
<b>South Korea</b>			
EXP-IMP BK KOREA EIBKOR 4 7/8 01/11/26	400,000	407,693	4.09%
KOREA DEV BANK KDB 4 3/8 02/15/28	300,000	304,966	3.06%
LG ELECTRONICS LGELEC 5 5/8 04/24/27	200,000	210,185	2.11%
MIRAEASSETSEC DAESEC 6 7/8 07/26/26	200,000	209,052	2.10%
REP OF KOREA KOREA 5 5/8 11/03/25	200,000	207,690	2.08%
SHINHAN FINL GRP SHINFN 5 07/24/28	200,000	204,978	2.06%
		1,544,564	
<b>Taiwan</b>			
TSMC GLOBAL LTD TAISEM 4 3/8 07/22/27	400,000	405,180	4.07%
		405,180	
<b>Thailand</b>			
PTTEP CANADA INT PTTEPT 6.35 06/12/42	200,000	229,338	2.30%
		229,338	
Total investments		9,826,215	98.65%
Total investments, at cost		9,144,594	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Little Giant ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
AMOY DIAGNOSTICS CO LTD-A	12,225	293,400	1.13%
ANJI MICROELECTRONICS TECH-A	4,502	630,325	2.43%
APT MEDICAL INC-A	3,121	1,277,706	4.94%
ASYMCHEM LABORATORIES TIAN-A	11,629	973,696	3.76%
AURISCO PHARMACEUTICAL CO -A	12,620	303,890	1.17%
BEIJING HUAFENG TEST & CON-A	4,250	459,000	1.77%
BEIJING STRONG BIOTECHNOLO-A	20,489	304,262	1.18%
BEIJING YUANLIU HONGYUAN E-A	7,434	281,749	1.09%
CHENGDU CORPRO TECHNOLOGY -A	20,214	355,766	1.37%
CHENGDU RML TECHNOLOGY CO -A	8,393	346,631	1.34%
CIRCUIT FABOLOGY MICROELEC-A	4,848	320,356	1.24%
EYEBRIGHT MEDICAL TECHNOLO-A	6,662	662,203	2.56%
FORTIOR TECHNOLOGY SHENZHE-A	1,957	266,132	1.03%
FUJIAN TORCH ELECTRON TECH-A	15,469	391,675	1.51%
GANZHOU TENG YUAN COBALT N-A	4,992	262,629	1.01%
GUANGZHOU KDT MACHINERY CO-A	14,662	269,634	1.04%
GUIZHOU ZHENHUA FENGGUANG -A	3,570	215,271	0.83%
HANGZHOU SUNRISE TECHNOLOG-A	17,667	294,862	1.14%
HARBIN BOSHI AUTOMATION CO-A	35,542	522,467	2.02%
HEBEI SINOPACK ELECTRONIC -A	15,896	739,641	2.86%
HOYUAN GREEN ENERGY CO LTD-A	22,710	385,162	1.49%
HWATSING TECHNOLOGY CO LTD-A	5,485	887,857	3.43%
IMEIK TECHNOLOGY DEVELOPME-A	6,505	1,532,578	5.92%
IRAY TECHNOLOGY CO LTD-A	5,072	643,332	2.49%
JIANGSU TOLAND ALLOY CO LT-A	13,726	363,739	1.41%
KUNSHAN DONGWEI TECHNOLOGY-A	9,017	224,253	0.87%
NOVORAY CORP-A	5,530	283,689	1.10%
PYLON TECHNOLOGIES CO LTD-A	8,167	412,515	1.59%
ROCKCHIP ELECTRONICS CO L-A	11,924	774,822	2.99%
SG MICRO CORP-A	14,192	1,348,240	5.21%
SHANGHAI BOCHU ELECTRONIC-A	6,722	1,416,393	5.47%
SHANGHAI FULLHAN MICROELEC-A	7,087	304,032	1.17%
SHANGHAI HANBELL PRECISE -A	17,811	359,426	1.39%
SHANGHAI HUAFON ALUMINIUM -A	32,590	615,299	2.38%
SHANGHAI MICROPORT ENDOVAS-A	4,123	465,899	1.80%
SHENZHEN SINEXCEL ELECTRIC-A	9,958	274,442	1.06%
SICHUAN INJET ELECTRIC CO -A	7,478	347,727	1.34%
SINOFIBERS TECHNOLOGY CO L-A	14,615	405,420	1.57%
STARPOWER SEMICONDUCTOR LT-A	8,252	752,995	2.91%
SUZHOU NANOMICRO TECHNOLOG-A	13,298	259,311	1.00%
SUZHOU NOVOSENSE MICROELEC-A	3,377	401,188	1.55%
SUZHOU TFC OPTICAL COMMUNI-A	11,527	1,158,464	4.48%
SUZHOU WANXIANG TECHNOLOGY-A	14,168	224,988	0.87%
WILLFAR INFORMATION TECHNO-A	17,222	761,212	2.94%
WUXI NCE POWER CO LTD-A	13,095	451,516	1.74%
WUXI PAIKE NEW MATERIALS T-A	4,540	256,555	0.99%
WUXI QUECHEN SILICON CHEMI-A	13,461	245,798	0.95%
XI'AN TRIANGLE DEFENSE CO -A	19,463	554,695	2.14%
YONGJIN TECHNOLOGY GROUP CO	10,667	213,553	0.82%
ZHEJIANG GARDEN BIO-CHEMIC-A	17,273	270,841	1.05%
		25,767,236	
Total investments		25,767,236	99.54%
Total investments, at cost		23,231,950	

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED)

For the period from 1 April 2024 to 30 September 2024

#### China Clean Energy ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Industrials</b>					
CHINA SOUTHERN POWER GRID -A	992,900	1,158,800	–	1,941,451	210,249
CHINA XD ELECTRIC CO LTD-A	–	688,200	–	41,300	646,900
GINLONG TECHNOLOGIES CO LT-A	15,600	31,200	–	37,200	9,600
GOLDWIND SCIENCE & TECHNOL-A	718,500	917,700	–	750,100	886,100
HAINAN JINPAN SMART TECHNO-A	–	144,900	–	117,306	27,594
HENAN PINGGAO ELECTRIC CO-A	–	262,300	–	16,000	246,300
HOYMILES POWER ELECTRONICS-A	4,085	22,152	779	27,016	–
JIANGSU LINYANG ENERGY CO -A	181,600	785,000	–	966,600	–
MING YANG SMART ENERGY GRO-A	859,000	–	–	602,700	256,300
NARI TECHNOLOGY CO LTD-A	2,242,200	36,900	–	1,222,100	1,057,000
NINGBO DEYE TECHNOLOGY CO -A	7,700	237,409	7,696	228,500	24,305
NINGBO ORIENT WIRES & CABL-A	24,600	27,400	–	41,100	10,900
NINGBO SANXING MEDICAL CO -A	260,500	17,200	–	44,100	233,600
QINGDAO TGOOD ELECTRIC-A	–	254,200	–	35,100	219,100
SIEYUAN ELECTRIC CO LTD-A	–	318,900	–	19,200	299,700
SUNGROW POWER SUPPLY CO LT-A	447,300	8,800	164,520	420,420	200,200
XUJI ELECTRIC CO LTD-A	–	262,800	–	16,000	246,800
ZHEJIANG CHINT ELECTRICS-A	457,800	9,900	–	112,300	355,400
<b>Information Technology</b>					
DAQO NEW ENERGY CORP-ADR	40,561	–	–	40,561	–
FLAT GLASS GROUP CO LTD-A	21,000	213,000	–	234,000	–
GCL TECHNOLOGY HOLDINGS LTD	10,213,746	121,383	–	2,699,311	7,635,818
HENGDIAN GROUP DMEGC -A	353,700	–	–	353,700	–
JA SOLAR TECHNOLOGY CO LTD-A	158,300	561,200	–	153,500	566,000
JINKO SOLAR CO LTD-A	170,409	3,839,333	–	2,043,059	1,966,683
LONGI GREEN ENERGY TECHNOL-A	1,887,000	530,356	–	1,970,600	446,756
NAURA TECHNOLOGY GROUP CO-A	202,550	2,000	–	117,150	87,400
RISEN ENERGY CO LTD-A	206,300	77,700	–	205,500	78,500
SHANGHAI AIKO SOLAR ENERGY-A	529,100	337,900	–	443,600	423,400
SHENZHEN SC NEW ENERGY TEC-A	135,100	109,900	–	245,000	–
TCL TECHNOLOGY GROUP CORP-A	–	5,875,700	–	351,700	5,524,000
TCL ZHONGHUAN RENEWABLE EN-A	462,700	669,925	–	315,025	817,600
TRINA SOLAR CO LTD-A	256,013	236,300	–	463,249	29,064
WUHAN DR LASER TECHNOLOGY -A	13,100	85,160	–	98,260	–
XINYI SOLAR HOLDINGS LTD	2,258,180	–	–	537,875	1,720,305
ZHEJIANG JINGSHENG MECHANI-A	322,200	4,100	–	278,700	47,600
<b>Materials</b>					
CSG HOLDING CO LTD- A	906,400	–	–	906,400	–
<b>Utilities</b>					
CECEP WIND POWER CORP-A	298,800	1,366,800	–	378,800	1,286,800
CHINA LONGYUAN POWER GROUP-H	1,778,190	–	–	586,114	1,192,076
CHINA THREE GORGES RENEWAB-A	4,250,200	4,342,400	–	2,902,700	5,689,900
CHINA YANGTZE POWER CO LTD-A	1,995,000	49,600	–	745,000	1,299,600
HUANENG LANCIANG RIVER HYDR-A	681,200	16,500	–	202,500	495,200
INNER MONGOLIA MENGDIAN HU-A	–	1,233,000	–	73,600	1,159,400
SICHUAN CHUANTOU ENERGY CO-A	551,400	105,600	–	98,100	558,900

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Clean Energy ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Industrials</b>						
CHINA SOUTHERN POWER GRID	2-Aug-24	–	767,500	–	767,500	–
CHINA SOUTHERN POWER GRID	13-Mar-25	–	167,700	–	167,700	–
GINLONG TECHNOLOGIES CO LT	18-Nov-24	85,500	–	–	31,200	54,300
GOLDWIND SCIENCE & TECHNOL	13-Mar-25	492,000	409,800	–	901,800	–
HAINAN JINPAN SMART TECHNO	13-Mar-25	–	108,600	–	–	108,600
HOYMILES POWER ELECTRONICS	6-Aug-24	14,200	8,150	–	22,350	–
JIANGSU LINYANG ENERGY CO	16-Aug-24	785,000	–	–	785,000	–
MING YANG SMART ENERGY GRO	7-Feb-25	–	373,600	–	–	373,600
NINGBO DEYE TECHNOLOGY CO	8-May-24	129,400	–	–	129,400	–
NINGBO DEYE TECHNOLOGY CO	18-Nov-24	–	28,200	–	–	28,200
NINGBO DEYE TECHNOLOGY CO	13-Mar-25	–	204,800	–	56,000	148,800
NINGBO ORIENT WIRES & CABL	16-Dec-24	157,000	–	–	25,200	131,800
SUNGROW POWER SUPPLY CO LT	13-Mar-25	–	288,000	–	–	288,000
<b>Information Technology</b>						
FLAT GLASS GROUP CO LTD	16-Aug-24	213,000	–	–	213,000	–
JA SOLAR TECHNOLOGY CO LTD	13-Mar-25	561,200	–	–	561,200	–
JINKO SOLAR CO LTD	8-May-24	1,769,800	–	–	1,769,800	–
JINKO SOLAR CO LTD	17-Feb-25	–	237,500	–	–	237,500
JINKO SOLAR CO LTD	13-Mar-25	–	1,441,900	–	1,441,900	–
LONGI GREEN ENERGY TECHNOL	8-May-24	240,000	–	–	240,000	–
LONGI GREEN ENERGY TECHNOL	16-Oct-24	–	1,000,000	–	–	1,000,000
LONGI GREEN ENERGY TECHNOL	18-Nov-24	–	273,700	–	–	273,700
LONGI GREEN ENERGY TECHNOL	7-Feb-25	–	323,800	–	–	323,800
NAURA TECHNOLOGY GROUP CO	15-Oct-24	–	31,000	–	–	31,000
RISEN ENERGY CO LTD	23-Oct-24	–	124,000	–	–	124,000
RISEN ENERGY CO LTD	16-Dec-24	236,800	–	–	67,000	169,800
SHANGHAI AIKO SOLAR ENERGY	13-Mar-25	–	337,900	–	337,900	–
SHENZHEN SC NEW ENERGY TEC	20-Jun-24	–	109,900	–	109,900	–
TCL ZHONGHUAN RENEWABLE EN	17-Jun-24	625,000	–	–	625,000	–
TCL ZHONGHUAN RENEWABLE EN	16-Dec-24	250,000	–	–	45,000	205,000
TRINA SOLAR CO LTD	14-May-24	230,000	–	–	230,000	–
TRINA SOLAR CO LTD	17-Oct-24	–	362,000	–	–	362,000
WUHAN DR LASER TECHNOLOGY	16-Aug-24	85,000	–	–	85,000	–
ZHEJIANG JINGSHENG MECHANI	18-Nov-24	–	173,600	–	–	173,600
<b>Utilities</b>						
CECEP WIND POWER CORP	16-Aug-24	1,334,000	–	–	1,334,000	–
CHINA THREE GORGES RENEWAB	25-Oct-24	–	620,000	–	350,000	270,000
CHINA THREE GORGES RENEWAB	16-Dec-24	4,270,000	–	–	2,470,000	1,800,000
CHINA THREE GORGES RENEWAB	7-Feb-25	–	766,900	–	–	766,900



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Consumer Brand ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
ANTA SPORTS PRODUCTS LTD	291,115	13,461	–	105,128	199,448
CHOW TAI FOOK JEWELLERY GROU	–	271,408	–	16,200	255,208
FUYAO GLASS INDUSTRY GROUP-A	69,700	51,430	–	93,230	27,900
GALAXY ENTERTAINMENT GROUP L	–	350,265	–	18,000	332,265
GREAT WALL MOTOR CO LTD-A	33,200	160,100	–	77,900	115,400
H WORLD GROUP LTD-ADR	68,112	3,319	–	22,598	48,833
HAIDILAO INTERNATIONAL HOLDI	–	251,872	–	22,206	229,666
HAIER SMART HOME CO LTD-A	471,100	602,900	–	736,500	337,500
HISENSE HOME APPLIANCES G-A	–	65,900	–	48,800	17,100
HUALI INDUSTRIAL GROUP CO -A	28,300	6,100	–	31,300	3,100
JASON FURNITURE HANGZHOU C-A	15,700	23,000	–	22,000	16,700
LI AUTO INC - ADR	130,073	11,090	–	30,847	110,316
MIDEA GROUP CO LTD-A	537,800	27,200	–	495,600	69,400
NEW ORIENTAL EDUCATIO-SP ADR	–	27,325	–	1,590	25,735
OPPEIN HOME GROUP INC-A	4,978	13,000	–	11,378	6,600
POP MART INTERNATIONAL GROUP	–	100,898	–	8,552	92,346
SAIC MOTOR CORP LTD-A	80,100	239,900	–	267,300	52,700
SHANGHAI JINJIANG INTERNAT-A	12,000	112,100	–	110,600	13,500
SHENZHOU INTERNATIONAL GROUP	175,590	10,868	–	65,855	120,603
TRIP.COM GROUP LTD-ADR	–	70,031	–	4,336	65,695
YUM CHINA HOLDINGS INC	–	57,610	–	3,352	54,258
ZHEJIANG SUPOR CO LTD -A	28,800	23,200	–	52,000	–
<b>Consumer Staples</b>					
ANJOY FOODS GROUP CO LTD-A	3,300	42,300	–	45,600	–
CHINA MENGNIU DAIRY CO	680,307	12,885	–	693,192	–
EASTROC BEVERAGE GROUP CO -A	2,900	13,400	–	13,600	2,700
FOSHAN HAITIAN FLAVOURING -A	22,300	280,822	–	303,122	–
GIANT BIOGENE HOLDING CO LTD	14,138	62,109	–	5,845	70,402
HENAN SHUANGHUI INVESTMENT-A	30,600	181,500	–	212,100	–
HENGAN INTL GROUP CO LTD	131,788	3,000	–	50,344	84,444
KWEICHOW MOUTAI CO LTD-A	18,000	2,500	–	4,200	16,300
NONGFU SPRING CO LTD-H	410,213	16,556	–	145,759	281,010
PROYA COSMETICS CO LTD-A	–	30,900	–	30,900	–
SHANXI XINGHUACUN FEN WINE-A	14,800	86,400	–	90,000	11,200
TINGYI (CAYMAN ISLN) HLDG CO	430,882	12,000	–	179,805	263,077
WH GROUP LTD	1,624,319	–	–	1,624,319	–
WULIANGYE YIBIN CO LTD-A	189,430	58,100	–	196,830	50,700
YIHAI KERRY ARAWANA HOLDIN-A	30,500	85,300	–	115,800	–
YUNNAN BOTANEE BIO-TECHNOL-A	7,300	63,493	–	70,793	–
<b>Industrials</b>					
TECHTRONIC INDUSTRIES CO LTD	301,404	–	–	301,404	–

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)**

For the period from 1 April 2024 to 30 September 2024

China Consumer Brand ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Consumer Discretionary</b>						
FUYAO GLASS INDUSTRY GROUP	16-Dec-24	198,000	–	–	33,000	165,000
GREAT WALL MOTOR CO LTD	16-Aug-24	152,700	–	–	152,700	–
HAIER SMART HOME CO LTD	8-May-24	257,400	–	–	257,400	–
HAIER SMART HOME CO LTD	18-Nov-24	–	518,700	–	308,000	210,700
HISENSE HOME APPLIANCES G	18-Nov-24	–	45,200	–	–	45,200
HUALI INDUSTRIAL GROUP CO	17-Oct-24	–	21,000	–	4,100	16,900
JASON FURNITURE HANGZHOU C	16-Dec-24	62,400	–	–	19,000	43,400
MIDEA GROUP CO LTD	17-Feb-25	–	300,000	–	–	300,000
OPPEIN HOME GROUP INC	16-Dec-24	30,100	–	–	11,800	18,300
SAIC MOTOR CORP LTD	13-Mar-25	495,000	–	–	220,000	275,000
SHANGHAI JINJIANG INTERNAT	8-May-24	85,600	–	–	85,600	–
SHANGHAI JINJIANG INTERNAT	13-Mar-25	–	72,700	–	18,000	54,700
ZHEJIANG SUPOR CO LTD	10-Jul-24	–	21,600	–	21,600	–
<b>Consumer Staples</b>						
ANJOY FOODS GROUP CO LTD	8-May-24	41,300	–	–	41,300	–
EASTROC BEVERAGE GROUP CO	16-Aug-24	12,000	–	–	12,000	–
EASTROC BEVERAGE GROUP CO	13-Mar-25	–	7,700	–	–	7,700
FOSHAN HAITIAN FLAVOURING	16-Aug-24	276,000	–	–	276,000	–
HENAN SHUANGHUI INVESTMENT	16-Aug-24	176,000	–	–	176,000	–
PROYA COSMETICS CO LTD	13-Mar-25	–	23,100	–	23,100	–
SHANXI XINGHUACUN FEN WINE	31-Jul-24	68,000	–	–	68,000	–
SHANXI XINGHUACUN FEN WINE	18-Nov-24	–	59,100	–	14,000	45,100
WULIANGYE YIBIN CO LTD	8-May-24	40,100	–	–	40,100	–
WULIANGYE YIBIN CO LTD	18-Oct-24	–	102,700	–	–	102,700
WULIANGYE YIBIN CO LTD	18-Nov-24	–	33,100	–	–	33,100
YIHAI KERRY ARAWANA HOLDIN	19-Sep-24	85,300	–	–	85,300	–
YUNNAN BOTANEE BIO-TECHNOL	8-May-24	33,300	–	–	33,300	–
YUNNAN BOTANEE BIO-TECHNOL	17-Jul-24	–	30,200	–	30,200	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Electric Vehicle and Battery ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
ANHUI ZHONGDING SEALING PA-A	–	680,500	–	76,000	604,500
BETHEL AUTOMOTIVE SAFETY S-A	–	277,800	–	226,700	51,100
BYD CO LTD -A	763,900	41,600	–	231,200	574,300
FUYAO GLASS INDUSTRY GROUP-A	1,218,500	78,200	–	258,800	1,037,900
HUIZHOU DESAY SV AUTOMOTIV-A	34,500	56,900	–	78,400	13,000
LI AUTO INC - ADR	–	671,095	–	74,094	597,001
NINGBO TUOPU GROUP CO LTD-A	78,600	439,800	22,950	495,400	45,950
SHENZHEN KEDALI INDUSTRY C-A	21,100	185,753	–	40,900	165,953
TIANNENG BATTERY GROUP CO -A	666,853	892,446	–	1,559,299	–
ZHEJIANG SHUANGHUAN DRIVEL-A	168,100	532,611	–	566,411	134,300
<b>Industrials</b>					
BEIJING EASPRING MATERIAL-A	49,100	75,700	–	68,600	56,200
CHINA BAOAN GROUP-A	1,449,900	1,107,500	–	1,338,100	1,219,300
CONTEMPORARY AMPEREX TECHN-A	1,189,000	63,400	–	961,400	291,000
EVE ENERGY CO LTD-A	165,790	513,412	–	340,900	338,302
GOTION HIGH-TECH CO LTD-A	139,600	220,600	–	262,400	97,800
HONGFA TECHNOLOGY CO LTD-A	448,200	–	–	448,200	–
JIANGXI SPECIAL ELECTRIC -A	334,600	95,300	–	280,500	149,400
NINGBO RONBAY NEW ENERGY T-A	439,343	88,976	–	475,017	53,302
PYLON TECHNOLOGIES CO LTD-A	19,504	229,604	13,100	262,208	–
QINGDAO TGOOD ELECTRIC-A	–	599,000	–	118,900	480,100
SHENZHEN INOVANCE TECHNOLO-A	1,506,800	342,600	–	1,061,300	788,100
SUNWODA ELECTRONIC CO LTD-A	164,600	1,347,869	–	1,372,569	139,900
WUXI LEAD INTELLIGENT EQUI-A	394,650	701,800	–	1,029,650	66,800
ZHEJIANG SANHUA INTELLIGEN-A	950,286	783,384	–	1,405,870	327,800
ZHUZHOU CRRC TIMES ELECTRI-A	–	187,357	–	148,907	38,450
<b>Information Technology</b>					
WUXI AUTOWELL TECHNOLOGY C-A	204,542	8,004	78,697	291,243	–
XIAMEN FARATRONIC CO LTD-A	14,900	22,500	–	27,000	10,400
<b>Materials</b>					
CANMAX TECHNOLOGIES CO LTD-A	69,280	236,422	–	257,102	48,600
CNGR ADVANCED MATERIAL CO -A	93,300	268,740	91,080	413,480	39,640
DO-FLUORIDE NEW MATERIALS -A	1,111,370	881,360	–	1,992,730	–
GANFENG LITHIUM GROUP CO L-A	531,660	488,195	–	942,855	77,000
GEM CO LTD-A	1,077,300	2,890,100	–	2,377,600	1,589,800
GUANGZHOU TINCI MATERIALS -A	1,656,121	107,600	–	1,582,021	181,700
NINGBO SHANSHAN CO LTD-A	193,300	298,900	–	373,800	118,400
SHANGHAI PUTAILAI NEW ENER-A	215,400	673,045	–	888,445	–
SHENZHEN CAPCHEM TECHNOLOG-A	439,397	75,000	–	465,197	49,200
SHENZHEN SENIOR TECHNOLOGY-A	237,304	651,400	–	790,604	98,100
SICHUAN YAHUA INDUSTRIAL-A	–	925,400	–	543,500	381,900
SINOMINE RESOURCE GROUP CO-A	73,320	115,400	–	112,020	76,700
TIANQI LITHIUM CORP-A	220,400	145,290	–	184,400	181,290
YUNNAN ENERGY NEW MATERIAL-A	654,550	114,400	–	681,050	87,900

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Consumer Discretionary</b>						
BETHEL AUTOMOTIVE SAFETY S	18-Oct-24	–	81,000	–	–	81,000
BETHEL AUTOMOTIVE SAFETY S	18-Nov-24	–	115,300	–	–	115,300
BYD CO LTD	18-Oct-24	–	32,000	–	–	32,000
HUIZHOU DESAY SV AUTOMOTIV	16-Dec-24	157,900	–	–	47,000	110,900
NINGBO TUOPU GROUP CO LTD	31-Jul-24	355,000	–	–	355,000	–
NINGBO TUOPU GROUP CO LTD	13-Mar-25	–	441,120	–	56,000	385,120
SHENZHEN KEDALI INDUSTRY C	18-Nov-24	175,000	–	–	175,000	–
TIANNENG BATTERY GROUP CO	2-Aug-24	224,600	498,000	–	722,600	–
TIANNENG BATTERY GROUP CO	6-Aug-24	–	153,700	–	153,700	–
ZHEJIANG SHUANGHUAN DRIVEL	14-Jun-24	504,000	–	–	504,000	–
ZHEJIANG SHUANGHUAN DRIVEL	18-Nov-24	–	160,000	–	–	160,000
ZHEJIANG SHUANGHUAN DRIVEL	13-Mar-25	–	240,900	–	–	240,900
<b>Industrials</b>						
BEIJING EASPRING MATERIAL	6-Nov-24	297,000	–	–	46,000	251,000
CHINA BAOAN GROUP	16-Aug-24	–	1,046,400	–	1,046,400	–
CONTEMPORARY AMPEREX TECHN	15-Oct-24	–	12,000	–	–	12,000
CONTEMPORARY AMPEREX TECHN	18-Oct-24	–	180,000	–	–	180,000
CONTEMPORARY AMPEREX TECHN	17-Feb-25	–	325,000	–	–	325,000
CONTEMPORARY AMPEREX TECHN	3-Mar-25	–	90,000	–	–	90,000
EVE ENERGY CO LTD	8-May-24	454,500	–	–	454,500	–
EVE ENERGY CO LTD	18-Oct-24	–	93,000	–	–	93,000
EVE ENERGY CO LTD	18-Nov-24	591,800	–	–	–	591,800
GOTION HIGH-TECH CO LTD	16-Aug-24	962,000	–	–	172,000	790,000
HONGFA TECHNOLOGY CO LTD	14-Jun-24	272,000	–	–	272,000	–
JIANGXI SPECIAL ELECTRIC	13-Mar-25	1,003,700	–	–	–	1,003,700
NINGBO RONBAY NEW ENERGY T	16-Oct-24	–	325,900	–	58,000	267,900
NINGBO RONBAY NEW ENERGY T	23-Oct-24	–	32,500	–	–	32,500
PYLON TECHNOLOGIES CO LTD	6-Aug-24	131,400	94,000	–	225,400	–
SHENZHEN INOVANCE TECHNOLO	22-Jul-24	180,000	–	–	180,000	–
SHENZHEN INOVANCE TECHNOLO	18-Nov-24	–	527,000	–	–	527,000
SUNWODA ELECTRONIC CO LTD	9-Oct-24	1,270,100	1,000,000	–	1,270,100	1,000,000
WUXI LEAD INTELLIGENT EQUI	8-May-24	552,500	–	–	552,500	–
WUXI LEAD INTELLIGENT EQUI	16-Aug-24	90,000	–	–	90,000	–
WUXI LEAD INTELLIGENT EQUI	6-Nov-24	–	357,000	–	–	357,000
WUXI LEAD INTELLIGENT EQUI	18-Nov-24	–	205,800	–	–	205,800
WUXI LEAD INTELLIGENT EQUI	13-Mar-25	–	210,000	–	–	210,000
ZHEJIANG SANHUA INTELLIGEN	18-Nov-24	1,198,000	638,800	–	599,000	1,237,800
ZHUZHOU CRRC TIMES ELECTRI	18-Oct-24	–	68,000	–	–	68,000
ZHUZHOU CRRC TIMES ELECTRI	18-Nov-24	–	60,000	–	–	60,000
<b>Information Technology</b>						
XIAMEN FARATRONIC CO LTD	6-Nov-24	112,000	–	–	16,400	95,600
<b>Materials</b>						
CANMAX TECHNOLOGIES CO LTD	16-Dec-24	655,000	–	–	199,000	456,000
CNGR ADVANCED MATERIAL CO	6-Nov-24	370,400	92,160	–	247,000	215,560
DO-FLUORIDE NEW MATERIALS	2-Aug-24	–	605,400	–	605,400	–
DO-FLUORIDE NEW MATERIALS	18-Nov-24	–	250,000	–	250,000	–
GANFENG LITHIUM GROUP CO L	8-May-24	291,400	–	–	291,400	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Electric Vehicle and Battery ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps (continued)</b>						
<b>Materials (continued)</b>						
GANFENG LITHIUM GROUP CO L	22-Oct-24	–	473,600	–	148,000	325,600
GANFENG LITHIUM GROUP CO L	13-Mar-25	–	136,000	–	–	136,000
GEM CO LTD	16-Aug-24	3,376,900	–	–	2,595,000	781,900
GEM CO LTD	18-Nov-24	–	1,341,800	–	–	1,341,800
GUANGZHOU TINCI MATERIALS	17-Oct-24	–	1,228,500	–	–	1,228,500
NINGBO SHANSHAN CO LTD	18-Nov-24	1,181,800	–	–	231,000	950,800
SHANGHAI PUTAILAI NEW ENER	22-Jul-24	645,900	–	–	645,900	–
SHENZHEN CAPCHEM TECHNOLOG	9-Oct-24	–	338,000	–	55,000	283,000
SHENZHEN SENIOR TECHNOLOGY	23-Oct-24	–	483,600	–	–	483,600
SHENZHEN SENIOR TECHNOLOGY	18-Nov-24	934,000	–	–	624,000	310,000
SICHUAN YAHUA INDUSTRIAL	13-Mar-25	–	440,900	–	–	440,900
SINOMINE RESOURCE GROUP CO	18-Nov-24	458,900	–	–	73,000	385,900
TIANQI LITHIUM CORP	6-Nov-24	186,200	–	–	–	186,200
TIANQI LITHIUM CORP	16-Dec-24	451,000	–	–	–	451,000
TIANQI LITHIUM CORP	8-May-24	68,800	–	–	68,800	–
YUNNAN ENERGY NEW MATERIAL	18-Oct-24	–	291,300	–	–	291,300
YUNNAN ENERGY NEW MATERIAL	6-Nov-24	–	180,700	–	78,000	102,700

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Robotics and AI ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
BAIDU INC - SPON ADR	12,805	487	–	3,144	10,148
<b>Consumer Discretionary</b>					
ZHEJIANG SHUANGHUAN DRIVEL-A	108,600	5,300	–	99,900	14,000
<b>Industrials</b>					
ESTUN AUTOMATION CO LTD-A	16,800	72,100	–	88,900	–
GONEO GROUP CO LTD-A	21,500	1,100	9,090	31,690	–
GUANGDONG CREATE CENTURY I-A	–	179,300	–	–	179,300
HAN'S LASER TECHNOLOGY IN-A	26,800	137,700	–	143,200	21,300
LEADER HARMONIOUS DRIVE SY-A	3,700	36,636	–	18,236	22,100
NARI TECHNOLOGY CO LTD-A	364,400	13,800	–	145,000	233,200
SHENZHEN INOVANCE TECHNOLO-A	135,200	28,200	–	116,100	47,300
SHENZHEN ZHAOWEI MACHINERY-A	23,500	5,600	1,440	25,700	4,840
SIASUN ROBOT & AUTOMATION-A	33,000	152,100	–	145,700	39,400
<b>Information Technology</b>					
BEIJING KINGSOFT OFFICE SO-A	15,000	18,471	–	29,861	3,610
CASTECH INC -A	–	51,400	–	–	51,400
HANGZHOU HIKVISION DIGITAL-A	266,900	21,800	–	48,400	240,300
HUAGONG TECH CO LTD-A	26,000	102,000	–	103,900	24,100
IEIT SYSTEMS CO LTD-A	162,900	8,900	–	149,800	22,000
IFLYTEK CO LTD - A	178,200	174,100	–	174,100	178,200
MONTAGE TECHNOLOGY CO LTD-A	42,800	98,266	–	118,066	23,000
SHANGHAI BOCHU ELECTRONIC-A	1,700	6,748	3,089	7,869	3,668
SUPCON TECHNOLOGY CO LTD-A	38,900	78,977	–	80,977	36,900
SUZHOU TFC OPTICAL COMMUNI-A	7,000	38,800	1,040	38,800	8,040
THUNDER SOFTWARE TECHNOLOG-A	65,200	4,500	–	56,400	13,300
WUHAN DR LASER TECHNOLOGY -A	–	29,000	–	–	29,000
WUHAN JINGCE ELECTRONIC GR-A	10,400	29,200	–	32,700	6,900
WUXI AUTOWELL TECHNOLOGY C-A	8,622	54,442	13,409	76,473	–
ZHEJIANG CRYSTAL-OPTECH -A	178,900	13,000	–	32,400	159,500
ZHEJIANG DAHUA TECHNOLOGY-A	438,800	353,900	–	403,400	389,300
ZHONGJI INNOLIGHT CO LTD-A	51,700	2,200	19,440	21,340	52,000

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Robotics and AI ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Consumer Discretionary</b>						
ZHEJIANG SHUANGHUAN DRIVEL	6-Nov-24	–	80,100	–	–	80,100
<b>Industrials</b>						
ESTUN AUTOMATION CO LTD	16-May-24	69,300	–	–	69,300	–
HAN'S LASER TECHNOLOGY IN	16-May-24	109,900	–	–	109,900	–
HAN'S LASER TECHNOLOGY IN	23-Oct-24	–	118,000	–	19,800	98,200
LEADER HARMONIOUS DRIVE SY	9-Oct-24	15,200	14,800	–	30,000	–
SHENZHEN INOVANCE TECHNOLO	18-Nov-24	–	91,900	–	–	91,900
SHENZHEN ZHAOWEI MACHINERY	23-Oct-24	–	28,420	–	4,500	23,920
SIASUN ROBOT & AUTOMATION	16-May-24	137,000	–	–	137,000	–
SIASUN ROBOT & AUTOMATION	13-Mar-25	–	114,900	–	–	114,900
<b>Information Technology</b>						
BEIJING KINGSOFT OFFICE SO	16-May-24	16,700	–	–	16,700	–
BEIJING KINGSOFT OFFICE SO	21-Feb-25	–	24,100	–	–	24,100
HUAGONG TECH CO LTD	16-May-24	95,300	–	–	95,300	–
HUAGONG TECH CO LTD	18-Nov-24	–	81,900	–	–	81,900
IEIT SYSTEMS CO LTD	6-Nov-24	–	120,100	–	–	120,100
IFLYTEK CO LTD	15-Oct-24	–	142,200	–	142,200	–
MONTAGE TECHNOLOGY CO LTD	16-May-24	84,300	–	–	84,300	–
MONTAGE TECHNOLOGY CO LTD	13-Mar-25	–	95,000	–	–	95,000
SHANGHAI BOCHU ELECTRONIC	16-May-24	5,900	–	–	5,900	–
SHANGHAI BOCHU ELECTRONIC	17-Feb-25	–	6,000	–	–	6,000
SUPCON TECHNOLOGY CO LTD	16-May-24	65,700	–	–	65,700	–
SUPCON TECHNOLOGY CO LTD	17-Feb-25	–	62,000	–	–	62,000
SUZHOU TFC OPTICAL COMMUNI	16-May-24	29,200	–	–	29,200	–
SUZHOU TFC OPTICAL COMMUNI	23-Oct-24	–	6,160	–	–	6,160
SUZHOU TFC OPTICAL COMMUNI	25-Oct-24	–	37,520	–	7,200	30,320
THUNDER SOFTWARE TECHNOLOG	13-Mar-25	–	44,300	–	–	44,300
WUHAN JINGCE ELECTRONIC GR	16-May-24	28,000	–	–	28,000	–
WUHAN JINGCE ELECTRONIC GR	13-Mar-25	–	26,100	–	–	26,100
WUXI AUTOWELL TECHNOLOGY C	16-May-24	24,360	–	–	24,360	–
WUXI AUTOWELL TECHNOLOGY C	17-Feb-25	–	29,000	–	29,000	–
ZHEJIANG DAHUA TECHNOLOGY	25-Oct-24	–	323,700	–	323,700	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Semiconductor ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Information Technology</b>					
ACM RESEARCH SHANGHAI I-A	21,500	90,600	–	17,586	94,514
ADVANCED MICRO-FABRICATION-A	154,500	29,000	–	88,908	94,592
ASMPT LTD	159,789	–	–	159,789	–
BOE TECHNOLOGY GROUP CO LT-A	6,874,400	–	–	1,410,400	5,464,000
GALAXYCORE INC-A	162,100	452,000	–	614,100	–
GIGADEVICE SEMICONDUCTO-CL A	287,700	25,300	–	253,500	59,500
HANGZHOU CHANG CHUAN TECHN-A	55,476	18,900	–	35,000	39,376
HANGZHOU SILAN MICROELECTR-A	130,889	30,200	–	63,000	98,089
HYGON INFORMATION TECHNOLO-A	–	57,300	–	–	57,300
JCET GROUP CO LTD-A	455,439	297,500	–	379,000	373,939
MAXSCEND MICROELECTRONICS -A	169,600	16,500	–	151,700	34,400
MONTAGE TECHNOLOGY CO LTD-A	158,500	48,383	–	103,683	103,200
NAURA TECHNOLOGY GROUP CO-A	92,200	31,100	–	55,200	68,100
NEXCHIP SEMICONDUCTOR CORP-A	–	562,200	–	–	562,200
PIOTECH INC-A	26,000	5,015	1,990	24,405	8,600
SANAN OPTOELECTRONICS CO L-A	1,413,900	94,581	–	196,000	1,312,481
SEMICONDUCTOR MANUFACTURIN-A	587,400	–	–	427,000	160,400
SG MICRO CORP-A	30,400	8,600	–	21,000	18,000
SHANGHAI BELLING CO LTD-A	–	245,380	–	–	245,380
SHENZHEN GOODIX TECHNOLOGY-A	106,500	6,150	–	14,000	98,650
SHENZHEN SC NEW ENERGY TEC-A	43,100	10,800	–	21,000	32,900
TIANSHUI HUATIAN TECHNOLOG-A	263,089	986,900	–	162,600	1,087,389
TONGFU MICROELECTRONIC CO-A	169,300	32,700	–	66,500	135,500
UNIGROUP GUOXIN MICROELECT-A	216,600	226,180	–	442,780	–
WILL SEMICONDUCTOR CO LTD-A	63,300	204,205	–	61,600	205,905
WINGTECH TECHNOLOGY CO LTD-A	342,800	41,100	–	296,600	87,300
YANGZHOU YANGJIE ELECTRONI-A	142,800	10,100	–	130,900	22,000
ZHEJIANG JINGSHENG MECHANI-A	317,600	22,800	–	274,900	65,500



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Semiconductor ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Information Technology</b>						
ACM RESEARCH SHANGHAI I	18-Nov-24	105,000	–	–	16,800	88,200
ADVANCED MICRO-FABRICATION	6-May-24	24,500	–	–	24,500	–
ADVANCED MICRO-FABRICATION	17-Feb-25	–	64,000	–	–	64,000
GALAXYCORE INC	18-Nov-24	452,000	–	–	452,000	–
GIGADEVICE SEMICONDUCTO-CL	13-Mar-25	–	215,000	–	–	215,000
HANGZHOU CHANG CHUAN TECHN	6-Nov-24	188,300	–	–	–	188,300
HANGZHOU SILAN MICROELECTR	18-Nov-24	328,300	–	–	–	328,300
JCET GROUP CO LTD	24-Oct-24	–	281,000	–	281,000	–
JCET GROUP CO LTD	16-Dec-24	242,000	–	–	–	242,000
MAXSCEND MICROELECTRONICS	17-Oct-24	–	127,200	–	–	127,200
MONTAGE TECHNOLOGY CO LTD	22-Oct-24	238,800	–	–	–	238,800
MONTAGE TECHNOLOGY CO LTD	13-Mar-25	–	48,500	–	–	48,500
NAURA TECHNOLOGY GROUP CO	19-Sep-24	–	30,000	–	30,000	–
PIOTECH INC	9-Oct-24	–	29,600	–	–	29,600
SEMICONDUCTOR MANUFACTURIN	17-Feb-25	–	310,000	–	–	310,000
SG MICRO CORP	18-Nov-24	122,000	–	–	–	122,000
SHENZHEN SC NEW ENERGY TEC	16-Dec-24	99,700	–	–	–	99,700
TIANSHUI HUATIAN TECHNOLOG	16-Aug-24	908,000	–	–	908,000	–
TONGFU MICROELECTRONIC CO	16-Dec-24	316,000	–	–	–	316,000
UNIGROUP GUOXIN MICROELECT	28-Oct-24	–	141,200	–	141,200	–
UNIGROUP GUOXIN MICROELECT	18-Nov-24	85,000	–	–	85,000	–
WILL SEMICONDUCTOR CO LTD	8-May-24	203,100	–	–	203,100	–
WILL SEMICONDUCTOR CO LTD	16-Dec-24	30,000	–	–	–	30,000
WINGTECH TECHNOLOGY CO LTD	13-Mar-25	–	247,600	–	–	247,600
YANGZHOU YANGJIE ELECTRONI	9-Oct-24	–	109,900	–	–	109,900
ZHEJIANG JINGSHENG MECHANI	18-Nov-24	–	229,400	–	–	229,400

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Global Leaders ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
BYD CO LTD-H	–	5,552	–	–	5,552
HAIER SMART HOME CO LTD-H	18,286	5,240	–	1,864	21,662
MIDEA GROUP CO LTD-A	21,600	2,600	–	4,600	19,600
MINISO GROUP HOLDING LTD	626	–	–	626	–
SHENZHOU INTERNATIONAL GROUP	6,322	675	–	972	6,025
<b>Consumer Staples</b>					
BUDWEISER BREWING CO APAC LT	13,308	1,300	–	1,981	12,627
WH GROUP LTD	62,333	6,000	–	9,018	59,315
<b>Financials</b>					
AIA GROUP LTD	20,265	6,358	–	2,000	24,623
<b>Health Care</b>					
SHENZHEN MINDRAY BIO-MEDIC-A	4,000	500	–	600	3,900
WUXI BIOLOGICS CAYMAN INC	24,638	2,500	–	27,138	–
<b>Industrials</b>					
CATHAY PACIFIC AIRWAYS	7,991	1,000	–	1,630	7,361
CK HUTCHISON HOLDINGS LTD	20,953	2,361	–	3,174	20,140
ORIENT OVERSEAS INTL LTD	–	998	–	–	998
SANY HEAVY INDUSTRY CO LTD-A	43,600	4,800	–	6,800	41,600
TECHTRONIC INDUSTRIES CO LTD	10,484	1,296	–	1,383	10,397
XCMG CONSTRUCTION MACHIN-A	83,900	9,200	–	52,400	40,700
<b>Information Technology</b>					
AVARY HOLDING SHENZHEN CO -A	4,600	500	–	800	4,300
BOE TECHNOLOGY GROUP CO LT-A	232,900	25,900	–	37,900	220,900
BYD ELECTRONIC INTL CO LTD	–	5,718	–	–	5,718
GIGADEVICE SEMICONDUCTO-CL A	4,500	500	–	600	4,400
GOERTEK INC -A	18,700	1,900	–	20,600	–
HANGZHOU HIKVISION DIGITAL-A	29,400	3,300	–	4,700	28,000
JCET GROUP CO LTD-A	–	9,800	–	–	9,800
JINKO SOLAR CO LTD-A	–	47,700	–	–	47,700
LENOVO GROUP LTD	61,463	6,488	–	9,135	58,816
LINGYI ITECH GUANGDONG CO -A	–	20,100	–	–	20,100
LONGI GREEN ENERGY TECHNOL-A	12,900	37,040	–	49,940	–
LUXSHARE PRECISION INDUSTR-A	12,400	25,800	–	7,200	31,000
SHENZHEN TRANSSION HOLDING-A	2,227	227	890	344	3,000
TCL TECHNOLOGY GROUP CORP-A	119,800	13,200	–	19,400	113,600
WILL SEMICONDUCTOR CO LTD-A	–	5,400	–	–	5,400
WINGTECH TECHNOLOGY CO LTD-A	5,300	500	–	5,800	–
XIAOMI CORP-CLASS B	90,062	10,398	–	19,164	81,296
ZHEJIANG DAHUA TECHNOLOGY-A	21,400	2,400	–	23,800	–
ZHONGJI INNOLIGHT CO LTD-A	4,800	600	1,920	7,320	–
<b>Materials</b>					
WANHUA CHEMICAL GROUP CO -A	–	12,000	–	–	12,000
ZHEJIANG HUAYOU COBALT CO -A	9,840	1,000	–	10,840	–
<b>Utilities</b>					
CK INFRASTRUCTURE HOLDINGS L	4,887	500	–	768	4,619

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)**

For the period from 1 April 2024 to 30 September 2024

China Global Leaders ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Information Technology</b>						
LONGI GREEN ENERGY TECHNOL	16-May-24	32,300	–	–	32,300	–
LUXSHARE PRECISION INDUSTR	16-May-24	22,000	–	–	22,000	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Innovator Active ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
BAIDU INC-CLASS A	4,357	–	–	4,357	–
NETEASE INC	1,652	–	–	1,652	–
TENCENT HOLDINGS LTD	2,770	–	–	2,770	–
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING LTD	14,742	–	–	14,742	–
BYD CO LTD-H	2,938	–	–	2,938	–
LI AUTO INC-CLASS A	2,103	–	–	2,103	–
MIDEA GROUP CO LTD-A	5,700	–	–	5,700	–
NEW ORIENTAL EDUCATION & TEC	11,960	–	–	11,960	–
PDD HOLDINGS INC	539	–	–	539	–
TAL EDUCATION GROUP- ADR	8,216	–	–	8,216	–
ZHEJIANG SHUANGHUAN DRIVEL-A	18,101	–	–	18,101	–
<b>Financials</b>					
HITHINK ROYALFLUSH INFORMA-A	2,200	–	–	2,200	–
<b>Health Care</b>					
JIANGSU HENGRUI PHARMACEUT-A	17,300	–	–	17,300	–
SHENZHEN MINDRAY BIO-MEDIC-A	1,300	–	–	1,300	–
<b>Industrials</b>					
CONTEMPORARY AMPEREX TECHN-A	2,925	–	–	2,925	–
JIANGSU HENGLI HYDRAULIC C-A	9,700	–	–	9,700	–
NARI TECHNOLOGY CO LTD-A	14,188	–	–	14,188	–
SHENZHEN ENVICOOL TECHNOLO-A	15,900	–	4,770	20,670	–
SHENZHEN INOVANCE TECHNOLO-A	4,650	–	–	4,650	–
ZHEJIANG SANHUA INTELLIGEN-A	16,600	–	–	16,600	–
<b>Information Technology</b>					
BEIJING KINGSOFT OFFICE SO-A	1,031	–	–	1,031	–
CHINASOFT INTERNATIONAL LTD	137,882	–	–	137,882	–
IFLYTEK CO LTD - A	6,095	–	–	6,095	–
LENOVO GROUP LTD	35,695	–	–	35,695	–
SHANGHAI AWINIC TECHNOLOGY-A	3,804	–	–	3,804	–
ZHONGJI INNOLIGHT CO LTD-A	4,400	–	1,760	6,160	–
<b>Materials</b>					
ZIJIN MINING GROUP CO LTD-H	52,700	–	–	52,700	–
<b>Real Estate</b>					
KE HOLDINGS INC-CL A	8,412	–	–	8,412	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Asia Semiconductor ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
SONY GROUP CORP	6,523	17,874	15,152	3,593	35,956
<b>Health Care</b>					
HOYA CORP	1,962	258	–	1,212	1,008
<b>Industrials</b>					
DAI NIPPON PRINTING CO LTD	1,042	128	594	721	1,043
HITACHI LTD	5,007	3,012	16,440	11,559	12,900
MINEBEA MITSUMI INC	1,896	232	–	1,148	980
mitsubishi electric corp	–	5,281	–	–	5,281
<b>Information Technology</b>					
ADVANCED MICRO-FABRICATION-A	7,312	1,299	–	4,043	4,568
ADVANTEST CORP	4,169	510	–	2,518	2,161
ASE TECHNOLOGY HOLDING CO LT	54,330	7,104	–	30,274	31,160
BOE TECHNOLOGY GROUP CO LT-A	611,968	80,000	–	416,333	275,635
CAMBRICON TECHNOLOGIES-A	–	1,500	–	–	1,500
CANON INC	5,107	624	–	3,189	2,542
DISCO CORP	501	60	–	300	261
FUJITSU LIMITED	10,010	1,225	–	6,136	5,099
GIGADEVICE SEMICONDUCTO-CL A	–	5,500	–	–	5,500
GLOBALWAFERS CO LTD	3,498	832	–	1,925	2,405
HANMI SEMICONDUCTOR CO LTD	909	4,333	–	504	4,738
JCET GROUP CO LTD-A	20,006	3,400	–	11,100	12,306
LASERTEC CORP	432	52	–	259	225
LONGI GREEN ENERGY TECHNOL-A	118,697	14,400	–	79,677	53,420
MAXSCEND MICROELECTRONICS -A	6,952	800	–	7,752	–
MEDIATEK INC	24,367	3,154	–	13,530	13,991
MONTAGE TECHNOLOGY CO LTD-A	16,290	1,992	–	10,251	8,031
NAURA TECHNOLOGY GROUP CO-A	10,257	1,300	–	6,936	4,621
NOVATEK MICROELECTRONICS COR	9,472	1,159	–	5,212	5,419
PEGATRON CORP	34,018	4,160	–	18,714	19,464
QUANTA COMPUTER INC	46,092	5,637	–	25,297	26,432
RENESAS ELECTRONICS CORP	7,526	1,260	–	4,137	4,649
ROHM CO LTD	1,848	226	–	2,074	–
SAMSUNG ELECTRONICS CO LTD	22,485	3,725	–	12,377	13,833
SANAN OPTOELECTRONICS CO L-A	58,000	6,900	–	38,609	26,291
SCREEN HOLDINGS CO LTD	427	52	–	250	229
SEMICONDUCTOR MANUFACTURING	87,890	10,747	–	58,302	40,335
SK HYNIX INC	9,588	1,246	–	5,362	5,472
TAIWAN SEMICONDUCTOR MANUFAC	50,078	6,495	–	33,921	22,652
TCL TECHNOLOGY GROUP CORP-A	314,904	38,300	–	211,405	141,799
TDK CORP	2,127	260	4,868	1,726	5,529
TOKYO ELECTRON LTD	4,787	621	–	4,105	1,303
UNIGROUP GUOXIN MICROELECT-A	12,377	1,600	–	13,977	–
UNIMICRON TECHNOLOGY CORP	19,864	2,721	–	10,315	12,270
UNITED MICROELECTRONICS CORP	194,929	25,494	–	108,653	111,770
WILL SEMICONDUCTOR CO LTD-A	14,425	1,800	–	9,441	6,784
<b>Materials</b>					
NAN YA PLASTICS CORP	82,206	10,053	–	45,217	47,042

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China E-Commerce and Logistics ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING-SP ADR	786	15	–	801	–
JD.COM INC-ADR	2,337	39	–	2,376	–
MEITUAN-CLASS B	5,340	107	–	5,447	–
PDD HOLDINGS INC	524	10	–	534	–
VIPSHOP HOLDINGS LTD - ADR	3,085	58	–	3,143	–
ZHEJIANG CHINA COMMODITIES-A	20,200	400	–	20,600	–
<b>Energy</b>					
CHINA MERCHANTS ENERGY -A	21,600	200	–	21,800	–
<b>Industrials</b>					
ANHUI EXPRESSWAY CO LTD-A	1,900	100	–	2,000	–
BEIJING-SHANGHAI HIGH SPE-A	82,700	1,500	–	84,200	–
CHINA MERCHANTS EXPRESSWAY-A	23,300	400	–	23,700	–
CHINA RAILWAY SPECIAL CARG-A	18,500	100	–	18,600	–
COSCO SHIPPING HOLDINGS CO-A	39,200	600	–	39,800	–
DAQIN RAILWAY CO LTD -A	47,700	700	–	48,400	–
FULL TRUCK ALLIANCE -SPN ADR	7,707	131	–	7,838	–
GUANGDONG PROVINCIAL EXPR-A	4,300	100	–	4,400	–
GUANGZHOU BAIYUN INTERNATI-A	9,000	100	–	9,100	–
JD LOGISTICS INC	20,965	242	–	21,207	–
JIANGSU EXPRESSWAY CO LTD-A	4,200	100	–	4,300	–
JIAYOU INTERNATIONAL LOGIS-A	2,000	–	600	2,600	–
MTR CORP	13,983	284	–	14,267	–
ORIENT OVERSEAS INTL LTD	1,162	219	–	1,381	–
S F HOLDING CO LTD-A	11,200	100	–	11,300	–
SHANDONG HI-SPEED CO LTD -A	5,500	100	–	5,600	–
SHANGHAI INTERNATIONAL AIR-A	10,100	200	–	10,300	–
SINOTRANS LIMITED-A	10,000	100	–	10,100	–
SITC INTERNATIONAL HOLDINGS	11,275	280	–	11,555	–
XIAMEN C & D INC-A	13,300	200	–	13,500	–
YTO EXPRESS GROUP CO LTD-A	12,000	100	–	12,100	–
YUNDA HOLDING CO LTD-A	10,600	100	–	10,700	–
ZTO EXPRESS CAYMAN INC-ADR	2,871	49	–	2,920	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Games and Entertainment ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
37 INTERACTIVE ENTERTAINME-A	20,800	200	–	21,000	–
BEIJING ENLIGHT MEDIA CO L-A	18,800	200	–	19,000	–
BILIBILI INC-SPONSORED ADR	5,473	72	–	5,545	–
CHINA FILM CO LTD-A	9,100	200	–	9,300	–
CHINA LITERATURE LTD	5,773	1,159	–	6,932	–
CHINA RUYI HOLDINGS LTD	92,668	807	–	93,475	–
CHINESE UNIVERSE PUBLISHIN-A	9,400	100	–	9,500	–
COL GROUP CO LTD-A	9,400	100	–	9,500	–
GIANT NETWORK GROUP CO LTD-A	14,900	–	–	14,900	–
JIANGSU PHOENIX PUBLISH-A	11,500	–	–	11,500	–
KINGNET NETWORK CO LTD-A	25,000	200	–	25,200	–
MANGO EXCELLENT MEDIA CO L-A	9,100	200	–	9,300	–
NETEASE INC-ADR	883	10	–	893	–
ORIENTAL PEARL GROUP CO LT-A	28,500	200	–	28,700	–
PERFECT WORLD CO LTD-A	20,900	200	–	21,100	–
TENCENT HOLDINGS LTD	2,408	578	–	2,986	–
TENCENT MUSIC ENTERTAINM-ADR	7,943	1,346	–	9,289	–
WANDA FILM HOLDING CO LTD-A	15,400	100	–	15,500	–
ZHEJIANG CENTURY HUATONG -A	78,200	700	–	78,900	–
ZHEJIANG JINKE TOM CULTURE-A	49,900	500	–	50,400	–
ZHEJIANG PUBLISHING & MEDI-A	29,400	1,500	–	30,900	–
ZX INC	2,911	–	–	2,911	–
<b>Consumer Discretionary</b>					
BTG HOTELS GROUP CO LTD-A	1,300	–	–	1,300	–
H WORLD GROUP LTD-ADR	706	6	–	712	–
SHANGHAI JINJIANG INTERNAT-A	1,000	–	–	1,000	–
SONGCHENG PERFORMANCE DEVE-A	22,700	500	–	23,200	–
TONGCHENG TRAVEL HOLDINGS LT	2,652	–	–	2,652	–
TRIP.COM GROUP LTD-ADR	1,485	27	–	1,512	–
<b>Industrials</b>					
GUOCO GROUP LTD	181	–	–	181	–
<b>Information Technology</b>					
BEIJING ULTRAPOWER SOFTWARE-A	26,000	200	–	26,200	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### FinTech ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Financials</b>					
ADYEN NV	47	11	–	–	58
AFFIRM HOLDINGS INC	902	191	–	–	1,093
ASSETMARK FINANCIAL HOLDINGS	258	–	(258)	–	–
BAKKT HOLDINGS INC	242	3	(233)	–	12
BLOCK INC	939	265	–	70	1,134
CANTALOUPE INC	253	51	–	–	304
COINBASE GLOBAL INC -CLASS A	275	70	–	12	333
DLOCAL LTD	553	114	–	–	667
FIDELITY NATIONAL INFO SERV	1,078	228	–	–	1,306
FISERV INC	500	111	–	7	604
FLYWIRE CORP-VOTING	416	84	–	–	500
GALAXY DIGITAL HOLDINGS LTD	371	75	–	–	446
GLOBAL PAYMENTS INC	431	134	–	43	522
HUB24 LTD	272	66	–	–	338
HYPOPORT SE	23	6	–	–	29
I3 VERTICALS INC-CLASS A	72	16	–	–	88
JACK HENRY & ASSOCIATES INC	253	54	–	–	307
KAKAOPAY CORP	458	94	–	–	552
LEMONADE INC	236	49	–	–	285
LENDINGCLUB CORP	363	179	–	81	461
LENDINGTREE INC	40	14	–	–	54
LUFAX HOLDING LTD-ADR	1,948	445	–	–	2,393
MONEYLION INC	36	7	–	–	43
NEXI SPA	4,564	924	–	–	5,488
NUVEI CORP-SUBORDINATE VTG	223	44	–	–	267
OPEN LENDING CORP	431	84	–	–	515
OSL GROUP LTD	2,177	441	–	–	2,618
PAGSEGURO DIGITAL LTD-CL A	708	143	–	–	851
PAYMENTUS HOLDINGS INC-A	62	14	–	–	76
PAYONEER GLOBAL INC	1,258	255	–	–	1,513
PAYPAL HOLDINGS INC	1,236	275	–	18	1,493
PAYSAFE LTD	207	43	–	–	250
QIWI PLC-SPONSORED ADR	738	–	–	–	738
SHIFT4 PAYMENTS INC-CLASS A	198	40	–	–	238
SOFI TECHNOLOGIES INC	3,395	687	–	–	4,082
STONECO LTD-A	977	198	–	–	1,175
TOAST INC-CLASS A	1,491	339	–	23	1,807
UPSTART HOLDINGS INC	300	61	–	–	361
VIRTU FINANCIAL INC-CLASS A	311	63	–	–	374
WEALTHNAVI INC	172	35	–	–	207
WISE PLC - A	3,559	720	–	–	4,279
ZIP CO LTD	3,671	743	–	–	4,414
<b>Health Care</b>					
HEALTHEQUITY INC	298	60	–	–	358
<b>Industrials</b>					
SS&C TECHNOLOGIES HOLDINGS	860	182	–	–	1,042



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### FinTech ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Information Technology</b>					
ACI WORLDWIDE INC	383	76	–	5	454
BILL HOLDINGS INC	362	81	–	–	443
BITFARMS LTD/CANADA	1,049	212	–	–	1,261
BLEND LABS INC-A	829	168	–	–	997
CIPHER MINING INC	885	179	–	–	1,064
ENVESTNET INC	188	38	–	–	226
GUIDEWIRE SOFTWARE INC	285	60	–	–	345
HUT 8 CORP	308	62	–	–	370
INTUIT INC	113	25	–	1	137
IRESS LTD	629	131	–	–	760
LINKLOGIS INC-CLASS B	6,354	1,420	–	–	7,774
MARA HOLDINGS INC (formerly known as MARATHON DIGITAL HOLDINGS IN)	774	157	–	–	931
MERIDIANLINK INC	276	54	–	11	319
MITEK SYSTEMS INC	142	48	–	–	190
NCINO INC	393	80	–	–	473
PAGAYA TECHNOLOGIES LTD -A	143	53	–	–	196
RIOT PLATFORMS INC	718	145	–	–	863
SAPIENS INTERNATIONAL CORP	187	39	–	–	226
TEMENOS AG - REG	261	53	–	–	314
VERTEX INC - CLASS A	210	42	–	–	252
XERO LTD	525	111	–	–	636

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Autonomous and Electric Vehicles ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
ALPHABET INC-CL A	260	3	–	263	–
BAIDU INC - SPON ADR	149	36	–	185	–
NEBIUS GROUP NV (formerly known as YANDEX NV-A)	802	–	–	802	–
<b>Consumer Discretionary</b>					
AMERICAN AXLE & MFG HOLDINGS	1,561	353	–	1,914	–
APTIV PLC	188	37	–	225	–
CONTINENTAL AG	169	60	–	229	–
DENSO CORP	1,011	62	–	1,073	–
FORD MOTOR CO	1,571	10	–	1,581	–
FORVIA	580	554	–	1,134	–
GEELY AUTOMOBILE HOLDINGS LT	12,370	1,316	–	13,686	–
GENERAL MOTORS CO	522	3	–	525	–
GENTHERM INC	266	2	–	268	–
HONDA MOTOR CO LTD	1,667	149	–	1,816	–
HYUNDAI MOTOR CO	104	2	–	106	–
KIA CORP	214	3	–	217	–
KOITO MANUFACTURING CO LTD	817	147	–	964	–
LEAR CORP	102	20	–	122	–
LUCID GROUP INC	4,237	25	–	4,262	–
LUMINAR TECHNOLOGIES INC	4,984	1,969	–	6,953	–
MOBILEYE GLOBAL INC-A	–	516	–	516	–
NEXTEER AUTOMOTIVE GROUP LTD	22,776	6,308	–	29,084	–
NIO INC - ADR	2,014	1,184	–	3,198	–
NISSAN MOTOR CO LTD	3,411	739	–	4,150	–
QUANTUMSCAPE CORP	1,724	–	–	1,724	–
RENAULT SA	352	2	–	354	–
STELLANTIS NV	824	124	–	948	–
TESLA INC	170	1	–	171	–
TOYOTA MOTOR CORP	1,843	79	–	1,922	–
VISTEON CORP	112	14	–	126	–
VOLKSWAGEN AG-PREF	126	17	–	143	–
XPENG INC - ADR	1,134	588	–	1,722	–
<b>Industrials</b>					
ADVANCED ENERGY SOLUTION HOL	606	137	–	743	–
BALLARD POWER SYSTEMS INC	3,636	1,743	–	5,379	–
BLOOM ENERGY CORP- A	999	6	–	1,005	–
ENERSYS	132	2	–	134	–
GS YUASA CORP	875	6	–	881	–
HITACHI LTD	273	2	1,100	1,375	–
HONEYWELL INTERNATIONAL INC	144	8	–	152	–
HYSTER-YALE INC (formerly known as HYSTER-YALE MATERIALS)	194	–	–	194	–
ITT INC	116	–	–	116	–
LG ENERGY SOLUTION	44	14	–	58	–
NIKOLA CORP	17,854	921	(17,362)	1,413	–
PLUG POWER INC	3,673	651	–	4,324	–
WABTEC CORP	119	1	–	120	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Autonomous and Electric Vehicles ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Information Technology</b>					
ALLEGRO MICROSYSTEMS INC	–	440	–	440	–
AMBARELLA INC	224	2	–	226	–
APPLE INC	200	2	–	202	–
BLACKBERRY LTD	3,720	1,770	–	5,490	–
CEVA INC	596	62	–	658	–
COHERENT CORP	316	–	–	316	–
INDIE SEMICONDUCTOR INC-A	1,800	118	–	1,918	–
INFINEON TECHNOLOGIES AG	492	38	–	530	–
INTEL CORP	788	190	–	978	–
MICROSOFT CORP	96	1	–	97	–
MICROVISION INC	5,136	–	–	5,136	–
NVIDIA CORP	68	1	621	690	–
NXP SEMICONDUCTORS NV	91	1	–	92	–
ON SEMICONDUCTOR	222	15	–	237	–
QUALCOMM INC	228	2	–	230	–
SAMSUNG SDI CO LTD	46	11	–	57	–
SITIME CORP	109	–	–	109	–
STMICROELECTRONICS NV	372	35	–	407	–
TOMTOM NV	1,809	639	–	2,448	–
<b>Materials</b>					
ALBEMARLE CORP	113	45	–	158	–
APERAM	399	88	–	487	–
ARCADIUM LITHIUM PLC	2,194	1,730	–	3,924	–
ARCADIUM LITHIUM PLC-CDI	2,157	–	–	2,157	–
ATI INC	305	2	–	307	–
CABOT CORP	170	1	–	171	–
DENKA CO LTD	–	999	–	999	–
GANFENG LITHIUM GROUP CO L-H	3,564	2,727	–	6,291	–
IGO LTD	2,371	1,050	–	3,421	–
JOHNSON MATTHEY PLC	612	34	–	646	–
LIONTOWN RESOURCES LTD	–	20,845	–	20,845	–
LITHIUM AMERICAS ARGENTINA C	2,274	14	–	2,288	–
LITHIUM AMERICAS CORP	2,255	2,478	–	4,733	–
LUNDIN MINING CORP	1,638	12	–	1,650	–
PIEDMONT LITHIUM INC	547	–	–	547	–
PILBARA MINERALS LTD	5,284	1,708	–	6,992	–
QUIMICA Y MINERA CHIL-SP ADR	283	62	–	345	–
TOKAI CARBON CO LTD	–	2,272	–	2,272	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Asia Innovator Active ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
BAIDU INC-CLASS A	409	–	–	409	–
HYBE CO LTD	110	–	–	110	–
NAVER CORP	103	–	–	103	–
SEA LTD-ADR	177	82	–	259	–
TENCENT HOLDINGS LTD	1,180	–	–	1,180	–
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING LTD	2,125	–	–	2,125	–
BYD CO LTD-H	2,762	25	–	2,787	–
COUPANG INC	929	–	–	929	–
FUYAO GLASS INDUSTRY GROUP-H	5,697	–	–	5,697	–
HYUNDAI MOTOR CO	118	–	–	118	–
MEITUAN-CLASS B	1,793	–	–	1,793	–
PDD HOLDINGS INC	181	–	–	181	–
SONY GROUP CORP	339	–	–	339	–
ZHEJIANG SHUANGHUAN DRIVEL-A	6,224	–	–	6,224	–
<b>Consumer Staples</b>					
PING AN HEALTHCARE AND TECHN	3,733	–	–	3,733	–
<b>Financials</b>					
EAST MONEY INFORMATION CO-A	12,042	–	–	12,042	–
FUTU HOLDINGS LTD-ADR	387	–	–	387	–
HDFC BANK LTD-ADR	774	–	–	774	–
ICICI BANK LTD-SPON ADR	1,635	–	–	1,635	–
<b>Health Care</b>					
INNOVENT BIOLOGICS INC	2,235	–	–	2,235	–
SAMSUNG BIOLOGICS CO LTD	17	–	–	17	–
SHANGHAI UNITED IMAGING HE-A	900	–	–	900	–
SHENZHEN MINDRAY BIO-MEDIC-A	750	–	–	750	–
WUXI BIOLOGICS CAYMAN INC	3,609	–	–	3,609	–
<b>Industrials</b>					
CONTEMPORARY AMPEREX TECHN-A	2,764	300	–	3,064	–
EVE ENERGY CO LTD-A	3,150	–	–	3,150	–
GRAB HOLDINGS LTD - CL A	5,763	–	–	5,763	–
GUANGZHOU KDT MACHINERY CO-A	4,004	–	–	4,004	–
HAN'S LASER TECHNOLOGY IN-A	4,424	–	–	4,424	–
NARI TECHNOLOGY CO LTD-A	7,272	–	–	7,272	–
SHENZHEN INOVANCE TECHNOLO-A	1,800	–	–	1,800	–
SUNGROW POWER SUPPLY CO LT-A	1,224	–	489	1,713	–
WUXI LEAD INTELLIGENT EQUI-A	5,250	–	–	5,250	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Asia Innovator Active ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Information Technology</b>					
ALCHIP TECHNOLOGIES LTD	–	68	–	68	–
BYD ELECTRONIC INTL CO LTD	2,055	1,827	–	3,882	–
CHINASOFT INTERNATIONAL LTD	35,659	–	–	35,659	–
HUA HONG SEMICONDUCTOR LTD	3,081	–	–	3,081	–
INFOSYS LTD-SP ADR	1,698	–	–	1,698	–
KEYENCE CORP	69	–	–	69	–
LONGI GREEN ENERGY TECHNOL-A	9,840	–	–	9,840	–
LUXSHARE PRECISION INDUSTR-A	1,700	1,300	–	3,000	–
MEDIATEK INC	1,075	181	–	1,256	–
SAMSUNG ELECTRONICS CO LTD	682	83	–	765	–
SAMSUNG SDI CO LTD	25	–	–	25	–
SK HYNIX INC	258	92	–	350	–
TAIWAN SEMICONDUCTOR MANUFAC	5,284	–	–	5,284	–
UNIMICRON TECHNOLOGY CORP	2,837	–	–	2,837	–
VENUSTECH GROUP INC-A	3,374	–	–	3,374	–
XIAOMI CORP-CLASS B	–	8,731	–	8,731	–
XINYI SOLAR HOLDINGS LTD	31,374	–	–	31,374	–
<b>Materials</b>					
HANWHA SOLUTIONS CORP	290	–	–	290	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China MedTech ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Staples</b>					
ALIBABA HEALTH INFORMATION T	447,946	57,132	–	317,301	187,777
JD HEALTH INTERNATIONAL INC	95,056	7,644	–	65,937	36,763
PING AN HEALTHCARE AND TECHN	35,072	3,976	–	24,397	14,651
<b>Health Care</b>					
AIER EYE HOSPITAL GROUP CO-A	191,499	31,000	–	136,265	86,234
ANDON HEALTH CO LTD-A	13,188	2,600	–	9,715	6,073
APT MEDICAL INC-A	2,077	200	568	1,599	1,246
AUTOBIO DIAGNOSTICS CO LTD-A	17,469	2,400	–	12,259	7,610
BEIJING STRONG BIOTECHNOLO-A	17,105	2,800	–	12,143	7,762
BGI GENOMICS CO LTD-A	12,849	1,300	–	9,000	5,149
DOUBLE MEDICAL TECHNOLOGY-A	13,112	1,100	–	9,127	5,085
EYEBRIGHT MEDICAL TECHNOLO-A	3,261	707	2,268	3,757	2,479
GENSCRIPT BIOTECH CORP	66,735	3,657	–	48,569	21,823
IMEIK TECHNOLOGY DEVELOPME-A	6,016	1,500	2,088	5,759	3,845
INNER MONGOLIA FURUI MEDIC-A	–	6,000	–	2,767	3,233
INTCO MEDICAL TECHNOLOGY C-A	19,125	1,800	–	13,571	7,354
IRAY TECHNOLOGY CO LTD-A	3,240	718	1,116	3,194	1,880
JAFRON BIOMEDICAL CO LTD-A	25,742	1,500	–	17,910	9,332
JIANGSU YUYUE MEDICAL EQU-A	29,093	4,100	–	20,528	12,665
LEPU MEDICAL TECHNOLOGY-A	59,220	5,800	–	40,478	24,542
MEINIAN ONEHEALTH HEALTHCA-A	126,627	8,600	–	84,635	50,592
MICROPORT SCIENTIFIC CORP	59,186	4,920	–	43,166	20,940
MICRO-TECH NANJING CO LTD-A	5,977	370	–	4,265	2,082
OVCTEK CHINA INC-A	27,833	2,100	–	19,248	10,685
SHANDONG WEIGAO GP MEDICAL-H	160,768	–	–	160,768	–
SHANGHAI UNITED IMAGING HE-A	21,340	1,861	–	14,440	8,761
SHENZHEN MINDRAY BIO-MEDIC-A	10,154	700	–	7,574	3,280
SHENZHEN NEW INDUSTRIES BI-A	23,989	3,900	–	17,047	10,842
SHENZHEN YHLO BIOTECH CO L-A	–	13,466	–	6,207	7,259
SINOCARE INC - A	17,081	2,600	–	12,183	7,498
SONOSCAPE MEDICAL CORP-A	13,756	2,700	–	10,185	6,271
WINNER MEDICAL CO LTD-A	16,829	–	–	16,829	–
WINNING HEALTH TECHNOLOGY-A	70,284	5,900	–	48,263	27,921

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Asia USD Investment Grade Bond ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Canada</b>					
ALIBABA GROUP BABA 4.2 12/06/47	200,000	–	–	–	200,000
ALIBABA GROUP BABA 4.4 12/06/57	200,000	–	–	200,000	–
BAIDU INC BIDU 3.425 04/07/30	200,000	–	–	200,000	–
BAIDU INC BIDU 4 7/8 11/14/28	300,000	–	–	300,000	–
BANK OF CHINA/HK BCHINA 2 3/8 02/24/27	200,000	–	–	–	200,000
BOC AVIATION BOCAVI 4 1/2 05/23/28	400,000	–	–	–	400,000
BOC AVIATION USA CORP	200,000	–	–	200,000	–
CHINA (PEOPLE'S) CHINA 1.95 12/03/24	200,000	–	–	200,000	–
CHINA DEV BANK SDBC 4 01/24/37	200,000	–	–	–	200,000
CHINA RAILWAY CHRAIL 4 07/06/27	400,000	–	–	200,000	200,000
CHINA SOUTHERN SOPOWZ 4 1/4 09/18/28	300,000	–	–	–	300,000
CHN CLEAN ENERGY CHGDN 4 11/05/25	200,000	–	–	–	200,000
CNAC HK FNBRIDGE CO LTD 5.125% 14MAR2028	400,000	–	–	–	400,000
CNOOC PETROLEUM NORTH 7.5% 30JUL2039	200,000	–	–	200,000	–
COASTAL EMERALD CNSHAN 4.1 06/15/25	200,000	–	–	200,000	–
COSL SG CAPITAL COSL 4 1/2 07/30/25	200,000	–	–	200,000	–
EXIM CHINA VIA A EXIMCH 3.8 09/16/25	200,000	–	–	200,000	–
ICBC/HONG KONG ICBCAS 2.95 06/01/25	200,000	–	–	200,000	–
ICBC/HONG KONG ICBCAS 5 3/8 10/25/26 SDBC 4.25% 02DEC2024	300,000	–	–	–	300,000
SINOPEC GROUP SINOPE 2.3 01/08/31	200,000	–	–	200,000	–
SINOPEC GROUP SINOPE 4 1/4 09/12/28	200,000	–	–	–	200,000
SINOPEC GROUP SINOPE 4 1/8 09/12/25	200,000	–	–	200,000	–
STATE GRID OSEAS CHGRID 4 05/04/47	200,000	–	–	200,000	–
STATE GRID OSEAS CHGRID 4 1/4 05/02/28	200,000	–	–	–	200,000
TENCENT HOLD TENCNT 2.39 06/03/30	–	400,000	–	200,000	200,000
TENCENT HOLD TENCNT 3.24 06/03/50	–	300,000	–	–	300,000
TENCENT HOLD TENCNT 3.94 04/22/61	200,000	–	–	200,000	–
TENCENT HOLDINGS TENCNT 3.8 02/11/25	400,000	–	–	400,000	–
TENCENT HOLDINGS TENCNT 4.525 04/11/49	200,000	–	–	–	200,000
THREE GORGES FIN YANTZE 3.7 06/10/25	200,000	–	–	200,000	–
<b>Hong Kong</b>					
AIA GROUP AIA 4 1/2 03/16/46	200,000	200,000	–	–	400,000
AIA GROUP AIA 4.95 04/04/33	400,000	–	–	400,000	–
AIA GROUP AIA 5 5/8 10/25/27	200,000	–	–	200,000	–
HK LAND FINANCE HKLAND 4 1/2 10/07/25	200,000	–	–	–	200,000
HONG KONG HKINTL 0 5/8 02/02/26	–	200,000	–	–	200,000
HONG KONG HKINTL 4 1/2 01/11/28	200,000	–	–	200,000	–
HONG KONG HKINTL 4 1/4 06/07/26	–	300,000	–	–	300,000
SUN HUNG KAI PRO SUNHUN 3 3/4 02/25/29	200,000	–	–	200,000	–
SWIRE PAC MTN FI SWIRE 3 7/8 09/21/25	200,000	–	–	200,000	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Asia USD Investment Grade Bond ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>India</b>					
EX-IM BK OF IN EXIMBK 5 1/2 01/18/33	200,000	–	–	200,000	–
INDIAN RAILWAY FINANCE 3.249% 13FEB2030	200,000	–	–	200,000	–
RELIANCE INDUSTR RILIN 3 5/8 01/12/52	250,000	–	–	–	250,000
RELIANCE INDUSTR RILIN 4 1/8 01/28/25	450,000	–	–	250,000	200,000
TML HOLDINGS PTE TTMTIN 4.35 06/09/26	–	200,000	–	–	200,000
<b>Indonesia</b>					
HUTAMA KARYA PERSERO PT 3.75% 11MAY2030	200,000	–	–	200,000	–
INDOIS 5.2 5.2% 07FEB2034	–	200,000	–	–	200,000
INDONESIA (REP) INDON 4.55 01/11/28	100,000	–	–	–	100,000
INDONESIA (REP) INDON 4.65 09/20/32	200,000	–	–	200,000	–
INDONESIA (REP) INDON 7 3/4 01/17/38	200,000	–	–	200,000	–
PERTAMINA PERTIJ 6 1/2 05/27/41	200,000	–	–	–	200,000
PERUSAHAAN LISTR PLNII 6 1/4 01/25/49	–	200,000	–	–	200,000
PERUSAHAAN LISTRIK NEGAR 4.875% 17JUL2049	400,000	–	–	200,000	200,000
PERUSAHAAN PENERBIT SBSN 4.15% 29MAR2027	200,000	–	–	200,000	–
PERUSH LISTRIK NEGARA 5.25% 24OCT2042	200,000	–	–	200,000	–
PT PERTAMINA (PERSERO) 4.175% 21JAN2050	200,000	–	–	200,000	–
REPUBLIC OF INDONESIA 5.95% 08/01/2046	300,000	–	–	–	300,000
SBSN INDO III INDOIS 4.55 03/29/26	200,000	400,000	–	400,000	200,000
SBSN INDO III INDOIS 5.6 11/15/33	200,000	–	–	200,000	–
<b>Malaysia</b>					
GOHL CAPITAL LTD GENTMK 4 1/4 01/24/27	300,000	–	–	–	300,000
KHAZANAH CAPITAL KNBZMK 4.876 06/01/33	200,000	–	–	200,000	–
MISC CAPITAL TWO MISCMK 3 3/4 04/06/27	200,000	–	–	200,000	–
PETRONAS CAP LTD PETMK 4 1/2 03/18/45	–	200,000	–	–	200,000
PETRONAS CAPITAL LTD 4.55% 21APR2050	400,000	–	–	400,000	–
<b>Philippines</b>					
PHILIPPINES(REP) PHILIP 5.17 10/13/27	400,000	–	–	–	400,000
PSALM PSALM 7.39 12/02/24	400,000	–	–	400,000	–
REPUBLIC OF PHILIPPINES 9.5% 02FEB2030	200,000	–	–	200,000	–
<b>Singapore</b>					
SINGAP TELECOMM SINTEL 7 3/8 12/01/31	200,000	–	–	200,000	–
SP POWERASSETS SPSP 3 1/4 11/24/25	200,000	–	–	200,000	–
STE TRANSCORE STESP 4 1/8 05/23/26	200,000	–	–	–	200,000
TEMASEK FINL I TEMASE 1 10/06/30	250,000	–	–	250,000	–



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Asia USD Investment Grade Bond ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>South Korea</b>					
EXP-IMP BK KOREA EIBKOR 4 7/8 01/11/26	400,000	–	–	–	400,000
EXP-IMP BK KOREA EIBKOR 5 01/11/28	200,000	–	–	200,000	–
KB KOOKMIN CARD KBFING 4 06/09/25	200,000	–	–	200,000	–
KOREA DEV BANK KDB 4 09/08/25	400,000	–	–	400,000	–
KOREA DEV BANK KDB 4 3/8 02/15/28	–	300,000	–	–	300,000
KOREA GAS CORP KORGAS 6 1/4 01/20/42	200,000	–	–	200,000	–
KOREAREHABNRESO KOMRMR 5 3/8 05/11/28	200,000	–	–	200,000	–
LG ELECTRONICS LGELEC 5 5/8 04/24/27	–	200,000	–	–	200,000
LGENERYSOLUTION	200,000	–	–	200,000	–
LGENERYSOLUTION LGENSO 5 5/8 09/25/26	200,000	–	–	200,000	–
MIRAEASSETSEC DAESEC 6 7/8 07/26/26	400,000	–	–	200,000	200,000
POSCO POHANG 5 3/4 01/17/28	200,000	–	–	200,000	–
REP OF KOREA KOREA 5 5/8 11/03/25	400,000	–	–	200,000	200,000
SHINHAN FINL GRP SHINFN 5 07/24/28	200,000	–	–	–	200,000
<b>Taiwan</b>					
TSMC GLOBAL LTD TAISEM 4 3/8 07/22/27	600,000	–	–	200,000	400,000
<b>Thailand</b>					
BANGKOK BANK/HK BBLTB 5 1/2 09/21/33	200,000	–	–	200,000	–
EXP-IMP BK THAI EXIMTH 3.902 06/02/27	200,000	–	–	200,000	–
PTT TREASURY PTTTB 3.7 07/16/70	200,000	–	–	200,000	–
PTTEP CANADA INT PTTEPT 6.35 06/12/42	200,000	–	–	–	200,000

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Little Giant ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
BAFANG ELECTRIC SUZHOU CO -A	12,251	1,500	4,045	17,796	–
CHENGDU XGIMI TECHNOLOGY C-A	5,081	645	–	5,726	–
<b>Health Care</b>					
AMOY DIAGNOSTICS CO LTD-A	27,934	6,900	–	22,609	12,225
APT MEDICAL INC-A	4,581	1,378	1,296	4,134	3,121
ASYMCHEM LABORATORIES TIAN-A	24,979	6,100	–	19,450	11,629
AURISCO PHARMACEUTICAL CO -A	–	24,700	–	12,080	12,620
BEIJING CHUNLIZHENGDA MEDI-A	23,594	2,971	–	26,565	–
BEIJING STRONG BIOTECHNOLO-A	–	39,600	–	19,111	20,489
BEIJING SUN-NOVO PHARMACEU-A	7,421	933	–	8,354	–
EYEBRIGHT MEDICAL TECHNOLO-A	–	12,782	–	6,120	6,662
IMEIK TECHNOLOGY DEVELOPME-A	8,599	4,200	3,440	9,734	6,505
IRAY TECHNOLOGY CO LTD-A	7,637	2,377	3,184	8,126	5,072
JOINN LABORATORIES CHINA C-A	49,229	6,700	–	55,929	–
SHANGHAI HAOYUAN CHEMEXPRE-A	11,291	1,417	3,759	16,467	–
SHANGHAI MICROPORT ENDOVAS-A	6,061	1,933	3,216	7,087	4,123
XIANGYU MEDICAL CO LTD-A	11,486	1,444	–	12,930	–
ZHEJIANG GARDEN BIO-CHEMIC-A	–	33,700	–	16,427	17,273
<b>Industrials</b>					
AEROSPACE NANHU ELECTRONIC-A	24,213	3,060	–	27,273	–
CHENGDU RML TECHNOLOGY CO -A	12,191	3,700	1,798	9,296	8,393
GUANGZHOU KDT MACHINERY CO-A	–	28,500	–	13,838	14,662
HARBIN BOSHI AUTOMATION CO-A	74,055	19,100	–	57,613	35,542
HOYMILES POWER ELECTRONICS-A	5,109	1,055	2,171	8,335	–
KUNSHAN DONGWEI TECHNOLOGY-A	17,016	4,632	5,318	17,949	9,017
PYLON TECHNOLOGIES CO LTD-A	10,822	4,999	3,596	11,250	8,167
SHANGHAI HANBELL PRECISE -A	36,698	9,400	–	28,287	17,811
SHENZHEN SINEXCEL ELECTRIC-A	20,070	6,700	–	16,812	9,958
SICHUAN INJET ELECTRIC CO -A	16,343	4,100	–	12,965	7,478
XI'AN SINFUSE ELECTRIC CO-A	4,296	700	–	4,996	–
XI'AN TRIANGLE DEFENSE CO -A	36,478	12,100	–	29,115	19,463
<b>Information Technology</b>					
ANJI MICROELECTRONICS TECH-A	7,453	2,396	2,330	7,677	4,502
BEIJING HUAFENG TEST & CON-A	11,148	2,489	–	9,387	4,250
BEIJING YUANLIU HONGYUAN E-A	16,651	4,500	–	13,717	7,434
CHENGDU CORPRO TECHNOLOGY -A	–	39,200	–	18,986	20,214
CIRCUIT FABOLOGY MICROELEC-A	9,887	2,865	–	7,904	4,848
DONGGUAN AOHAI TECHNOLOGY -A	19,887	2,900	–	22,787	–
FORTIOR TECHNOLOGY SHENZHE-A	–	3,882	–	1,925	1,957
FUJIAN TORCH ELECTRON TECH-A	32,526	8,500	–	25,557	15,469
GREAT MICROWAVE TECHNOLOGY-A	11,965	1,720	5,190	18,875	–
GUIZHOU ZHENHUA FENGGUANG -A	14,184	2,990	–	13,604	3,570
HANGZHOU SUNRISE TECHNOLOG-A	–	34,200	–	16,533	17,667
HEBEI SINOPACK ELECTRONIC -A	–	30,746	–	14,850	15,896
HOYUAN GREEN ENERGY CO LTD-A	39,357	16,200	–	32,847	22,710
HWATSING TECHNOLOGY CO LTD-A	–	10,966	–	5,481	5,485
ROCKCHIP ELECTRONICS CO L-A	31,202	6,900	–	26,178	11,924
SG MICRO CORP-A	36,578	8,400	–	30,786	14,192
SHANGHAI BOCHU ELECTRONIC-A	9,639	3,391	4,179	10,487	6,722
SHANGHAI FULLHAN MICROELEC-A	16,571	4,100	–	13,584	7,087
SHENZHEN BSC TECHNOLOGY CO-A	9,308	1,700	3,844	14,852	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Little Giant ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Information Technology (continued)</b>					
SHENZHEN XINYICHANG TECHNO-A	7,675	976	–	8,651	–
STARPOWER SEMICONDUCTOR LT-A	12,395	4,100	5,148	13,391	8,252
SUZHOU NOVOSENSE MICROELEC-A	6,962	1,789	–	5,374	3,377
SUZHOU ORIENTAL SEMICONDUCT-A	6,868	865	1,713	9,446	–
SUZHOU TFC OPTICAL COMMUNI-A	28,574	8,000	11,908	36,955	11,527
SUZHOU WANXIANG TECHNOLOGY-A	–	27,500	–	13,332	14,168
WILLFAR INFORMATION TECHNO-A	–	33,785	–	16,563	17,222
WUXI NCE POWER CO LTD-A	20,559	6,500	8,557	22,521	13,095
<b>Materials</b>					
GANZHOU TENG YUAN COBALT N-A	–	9,700	–	4,708	4,992
JIANGSU TOLAND ALLOY CO LT-A	30,194	8,000	–	24,468	13,726
KBC CORP LTD-A	9,467	4,992	3,858	18,317	–
NOVORAY CORP-A	–	10,843	–	5,313	5,530
SHANGHAI HUAFON ALUMINIUM -A	70,339	18,600	–	56,349	32,590
SINOFIBERS TECHNOLOGY CO L-A	31,554	8,200	–	25,139	14,615
SUZHOU NANOMICRO TECHNOLOG-A	29,478	7,087	–	23,267	13,298
WUXI PAIKE NEW MATERIALS T-A	9,020	2,700	–	7,180	4,540
WUXI QUECHEN SILICON CHEMI-A	–	26,100	–	12,639	13,461
YONGJIN TECHNOLOGY GROUP CO	–	20,600	–	9,933	10,667

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### DETAILS IN RESPECT OF FINANCIAL DERIVATIVE INSTRUMENTS (UNAUDITED)

As at 30 September 2024, the Sub-Fund held unlisted total return swaps as shown details in investment portfolio. The counterparty of the swaps were Citigroup Global Markets Limited, Mirae Asset Securities (HK) Limited and Guotai Junan Financial Products Limited.

### HOLDINGS OF COLLATERAL (UNAUDITED)

As at 30 September 2024

#### China Clean Energy ETF

Collateral provider	Nature of collateral	Maturity tenor	Currency denomination	Value of the collateral RMB	% of net assets covered by collateral
Citigroup Global Markets Limited	Government bond	15-Jul-26	USD	1,823,641	0.36%
Citigroup Global Markets Limited	Government bond	15-Jan-27	USD	1,830,542	0.37%
Citigroup Global Markets Limited	Government bond	15-May-27	USD	9,420,581	1.88%
Guotai Junan Financial Products Limited	Equities	N/A	HKD	1,932,050	0.39%
Guotai Junan Financial Products Limited	Government bond	31-Jul-25	USD	4,812,404	0.96%
Guotai Junan Financial Products Limited	Equities	N/A	HKD	33,126,974	6.62%
Mirae Asset Securities (HK) Limited	Equities	N/A	HKD	129,821,103	25.94%
				182,767,295	36.52%

Custody/safe-keeping arrangement	Amount of collateral received/held as of 30 Sep 2024 RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2024 %
Custodian of collateral		
Citibank, N.A., Hong Kong Branch	182,767,295	100.00

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Consumer Brand ETF

Collateral provider	Nature of collateral	Maturity tenor	Currency denomination	Value of the collateral RMB	% of net assets covered by collateral
Guotai Junan Financial Products Limited	Equities	N/A	HKD	3,864,099	1.27%
Guotai Junan Financial Products Limited	Government bond	31-Jul-25	USD	2,522,224	0.83%
Guotai Junan Financial Products Limited	Equities	N/A	HKD	32,472,435	10.70%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	18,545,628	6.11%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	15,961,642	5.26%
Mirae Asset Securities (HK) Limited	Equities	N/A	HKD	6,818,999	2.25%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	4,604,759	1.52%
				<u>84,789,786</u>	<u>27.94%</u>

Custody/safe-keeping arrangement	Amount of collateral received/held as of 30 Sep 2024 RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2024 %
Custodian of collateral		
Citibank, N.A., Hong Kong Branch	<u>84,789,786</u>	<u>100.00</u>

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Electric Vehicle and Battery ETF

Collateral provider	Nature of collateral	Maturity tenor	Currency denomination	Value of the collateral RMB	% of net assets covered by collateral
Citigroup Global Markets Limited	Government bond	23-Jan-25	USD	30,548,777	2.60%
Citigroup Global Markets Limited	Government bond	15-Feb-26	USD	6,232,695	0.53%
Citigroup Global Markets Limited	Government bond	15-Jan-27	USD	438,655	0.04%
Citigroup Global Markets Limited	Government bond	30-Apr-27	USD	5,375,044	0.46%
Guotai Junan Financial Products Limited	Equities	N/A	HKD	19,092,944	1.63%
Guotai Junan Financial Products Limited	Government bond	31-Jul-25	USD	99,893,111	8.52%
Guotai Junan Financial Products Limited	Equities	N/A	HKD	76,235,677	6.50%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	43,631,029	3.72%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	64,289,946	5.48%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	72,076,145	6.14%
Mirae Asset Securities (HK) Limited	Equities	N/A	HKD	13,637,998	1.16%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	114,459,233	9.76%
Mirae Asset Securities (HK) Limited	Equities	N/A	HKD	12,894,744	1.10%
				<u>558,805,998</u>	<u>47.64%</u>

Custody/safe-keeping arrangement	Amount of collateral received/held as of 30 Sep 2024 RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2024 %
Custodian of collateral		
Citibank, N.A., Hong Kong Branch	<u>558,805,998</u>	<u>100.00</u>

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Robotics and AI ETF

Collateral provider	Nature of collateral	Maturity tenor	Currency denomination	Value of the collateral RMB	% of net assets covered by collateral
Citigroup Global Markets Limited	Government bond	15-Jan-27	USD	3,273,043	2.90%
Guotai Junan Financial Products Limited	Equities	N/A	HKD	16,001,665	14.17%
Guotai Junan Financial Products Limited	Government bond	31-Jul-25	USD	2,534,776	2.25%
Mirae Asset Securities (HK) Limited	Equities	N/A	HKD	23,337,463	20.67%
				<u>45,146,947</u>	<u>39.99%</u>

Custody/safe-keeping arrangement	Amount of collateral received/held as of 30 Sep 2024 RMB	Proportion of collateral posted by the sub-fund as of 30 Sep 2024 %
Custodian of collateral		
Citibank, N.A., Hong Kong Branch	<u>45,146,947</u>	<u>100.00</u>

**GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC**

**HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)**

As at 30 September 2024

China Semiconductor ETF

<b>Collateral provider</b>	<b>Nature of collateral</b>	<b>Maturity tenor</b>	<b>Currency denomination</b>	<b>Value of the collateral RMB</b>	<b>% of net assets covered by collateral</b>
Citigroup Global Markets Limited	Government bond	23-Jan-25	USD	7,742,249	2.08%
Guotai Junan Financial Products Limited	Equities	N/A	HKD	31,282,031	8.40%
Guotai Junan Financial Products Limited	Government bond	31-Jul-25	USD	3,097,324	0.83%
Mirae Asset Securities (HK) Limited	Equities	N/A	USD	25,183,010	6.76%
Mirae Asset Securities (HK) Limited	Equities	N/A	HKD	4,545,999	1.22%
Mirae Asset Securities (HK) Limited	Equities	N/A	HKD	92,410,599	24.81%
				<u>164,261,212</u>	<u>44.10%</u>

<b>Custody/safe-keeping arrangement</b>	<b>Amount of collateral received/held as of 30 Sep 2024 RMB</b>	<b>Proportion of collateral posted by the sub-fund as of 30 Sep 2024 %</b>
<b>Custodian of collateral</b>		
Citibank, N.A., Hong Kong Branch	<u>164,261,212</u>	<u>100.00</u>



## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### PERFORMANCE RECORD (UNAUDITED)

#### NET ASSET VALUE

	<u>As at 30 September 2024</u>		<u>As at 31 March 2024</u>		<u>As at 31 March 2023</u>	
	Dealing net asset value	Dealing net asset value per share	Dealing net asset value*	Dealing net asset value per share*	Dealing net asset value	Dealing net asset value per share
<u>Listed Class</u>						
China Clean Energy ETF (in RMB) <sup>1</sup>	500,448,447	79.4363	541,404,594	71.7093	1,283,195,710	99.8596
China Consumer Brand ETF (in RMB) <sup>1</sup>	303,419,755	42.1417	357,612,297	39.7347	671,784,088	47.4759
China Electric Vehicle and Battery ETF (in RMB) <sup>1</sup>	1,173,086,780	76.6724	1,227,585,946	66.8985	2,771,314,730	95.5626
China Robotics and AI ETF (in RMB) <sup>2</sup>	112,892,100	43.4201	126,207,451	41.3795	172,995,029	50.1435
China Semiconductor ETF (in RMB) <sup>2</sup>	372,445,066	34.3268	381,758,392	30.2983	578,213,676	40.0148
China Global Leaders ETF (in RMB) <sup>3</sup>	20,096,109	40.1923	17,182,621	34.3653	52,395,975	38.8118
China Innovator Active ETF (in RMB) <sup>3#</sup>	10,456,704	26.1418	13,428,582	26.8572	168,223,606	32.6648
Asia Semiconductor ETF (in RMB) <sup>4</sup>	50,834,422	53.5100	90,243,653	54.6932	65,837,590	41.1485
China E-commerce and Logistics ETF (in RMB) <sup>4#</sup>	5,952,363	39.6825	7,148,355	35.7418	29,757,808	39.6771
China Games and Entertainment ETF (in RMB) <sup>4#</sup>	7,226,052	28.9043	7,661,262	30.6451	17,733,079	35.4662
FinTech ETF (in USD) <sup>5</sup>	1,560,651	5.2022	1,290,895	5.1636	1,545,427	3.8636
Autonomous and Electric Vehicles ETF (in USD) <sup>5#</sup>	1,221,515	6.1076	1,306,447	6.5323	2,805,014	6.2334
Asia Innovator Active ETF (in RMB) <sup>5#</sup>	8,061,812	32.2473	9,243,806	30.8127	13,389,028	33.4726
China MedTech ETF (in RMB) <sup>6</sup>	13,031,225	43.4374	29,057,194	38.7429	–	–
Asia USD Investment Grade Bond ETF (in USD) <sup>7</sup>	9,947,733	7.5362	19,587,306	7.3361	–	–
China Little Giant ETF (in RMB) <sup>8</sup>	25,886,776	43.8945	50,606,536	42.5355	–	–
<u>Unlisted Class - Class R2 (HKD)</u>						
Asia USD Investment Grade Bond ETF (in HKD) <sup>7</sup>	10,070	100.7022	–	–	–	–
<u>Unlisted Class - Class R2 (RMB)</u>						
Asia USD Investment Grade Bond ETF (in RMB) <sup>7</sup>	9,928	99.2840	–	–	–	–
<u>Unlisted Class - Class R2 (USD)</u>						
Asia USD Investment Grade Bond ETF (in USD) <sup>7</sup>	10,109	101.0871	–	–	–	–

\*These Sub-funds are under termination, the Dealing NAV is representing the Dealing NAV as of 24 September 2024 which is the last official Dealing Date for period ended 30 September 2024.

<sup>7</sup>The Dealing net asset value and Dealing net asset value per share are representing data as of 28 March 2024 which is the last official Dealing Date for period ended 31 March 2024.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER SHARE

	For the period ended 30 September 2024		For the period ended 31 March 2024		For the period ended 31 March 2023		For the period ended 31 March 2022	
	Highest issue price per share	Lowest redemption price per share	Highest issue price per share	Lowest redemption price per share	Highest issue price per share	Lowest redemption price per share	Highest issue price per share	Lowest redemption price per share
<u>Listed Class</u>								
China Clean Energy ETF (in RMB) <sup>1</sup>	79.4363	64.5135	100.3833	61.5949	129.3482	91.9401	137.3514	84.1293
China Consumer Brand ETF (in RMB) <sup>1</sup>	42.4218	32.2876	47.5371	34.7408	51.8292	36.8655	71.6463	41.3395
China Electric Vehicle and Battery ETF (in RMB) <sup>1</sup>	76.6724	56.2045	96.4193	55.8503	147.7430	88.2945	173.9260	90.7279
China Robotics and AI ETF (in RMB) <sup>2</sup>	43.4201	32.5318	51.4311	33.5140	50.1435	34.3728	58.0673	41.0812
China Semiconductor ETF (in RMB) <sup>2</sup>	34.3268	25.8480	43.8312	25.5018	44.2258	32.5872	62.8456	43.0670
China Global Leaders ETF (in RMB) <sup>3</sup>	40.1923	32.7479	39.8910	31.3443	46.4561	33.1446	57.5353	39.1821
China Innovator Active ETF (in RMB) <sup>3</sup>	29.1463	23.9783	32.9286	23.7767	38.5188	28.1787	55.3382	30.2095
Asia Semiconductor ETF (in RMB) <sup>4</sup>	61.3621	46.8033	55.4475	39.3929	44.9418	33.2839	54.4102	43.7328
China E-commerce and Logistics ETF (in RMB) <sup>4</sup>	41.7938	35.7010	41.0783	32.1113	43.5667	33.8376	52.3097	33.4318
China Games and Entertainment ETF (in RMB) <sup>4</sup>	33.3716	26.5635	36.0329	25.4842	35.4662	20.7754	49.9991	24.5835
FinTech ETF (in USD) <sup>5</sup>	5.2216	4.4873	5.1636	3.3890	7.9999	3.4502	–	–
Autonomous and Electric Vehicles ETF (in USD) <sup>5</sup>	6.7894	5.4999	7.2966	5.4534	8.1248	5.0974	–	–
Asia Innovator Active ETF (in RMB) <sup>5</sup>	33.4260	29.3851	34.3604	27.9441	49.9990	29.8654	–	–
China MedTech ETF (in RMB) <sup>6</sup>	43.4374	31.0524	50.4091	36.3476	–	–	–	–
Asia USD Investment Grade Bond ETF (in USD) <sup>7</sup>	7.5467	7.1797	7.4173	6.8913	–	–	–	–
China Little Giant ETF (in RMB) <sup>8</sup>	44.2524	32.2246	50.7896	35.5810	–	–	–	–
<u>Unlisted Class - Class R2 (HKD)</u>								
Asia USD Investment Grade Bond ETF (in HKD) <sup>7</sup>	101.1767	99.7310	–	–	–	–	–	–
<u>Unlisted Class - Class R2 (RMB)</u>								
Asia USD Investment Grade Bond ETF (in RMB) <sup>7</sup>	101.0744	98.9506	–	–	–	–	–	–
<u>Unlisted Class - Class R2 (USD)</u>								
Asia USD Investment Grade Bond ETF (in USD) <sup>7</sup>	101.2349	99.7227	–	–	–	–	–	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER SHARE (CONTINUED)

Listed Class	For the period ended 31 March 2021	
	Highest issue price per share	Lowest redemption price per share
China Clean Energy ETF (in RMB) <sup>1</sup>	106.6927	43.9877
China Consumer Brand ETF (in RMB) <sup>1</sup>	81.9421	39.1943
China Electric Vehicle and Battery ETF (in RMB) <sup>1</sup>	125.4896	44.9081
China Robotics and AI ETF (in RMB) <sup>2</sup>	57.2702	43.6934
China Semiconductor ETF (in RMB) <sup>2</sup>	54.5649	40.4773
China Global Leaders ETF (in RMB) <sup>3</sup>	–	–
China Innovator Active ETF (in RMB) <sup>3</sup>	–	–
Asia Semiconductor ETF (in RMB) <sup>4</sup>	–	–
China E-Commerce and Logistics ETF (in RMB) <sup>4</sup>	–	–
China Games and Entertainment ETF (in RMB) <sup>4</sup>	–	–
FinTech ETF (in USD) <sup>5</sup>	–	–
Autonomous and Electric Vehicles ETF (in USD) <sup>5</sup>	–	–
Asia Innovator Active ETF (in RMB) <sup>5</sup>	–	–
China MedTech ETF (in RMB) <sup>6</sup>	–	–
Asia USD Investment Grade Bond ETF (in USD) <sup>7</sup>	–	–
China Little Giant ETF (in RMB) <sup>8</sup>	–	–

<sup>1</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 16 January 2020 (date of inception) to 31 March 2021.

<sup>2</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 8 August 2020 (date of inception) to 31 March 2021.

<sup>3</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 10 March 2021 (date of inception) to 31 March 2022.

<sup>4</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 22 July 2021 (date of inception) to 31 March 2022.

<sup>5</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

<sup>6</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 3 August 2023 (date of inception) to 31 March 2024.

<sup>7</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 11 October 2023 (date of inception) to 31 March 2024.

<sup>8</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 17 November 2023 (date of inception) to 31 March 2024.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### PERFORMANCE OF THE SUB-FUNDS

	<u>For the period ended 30 September 2024</u>		<u>For the year/period ended 31 March 2024</u>		<u>For the year/period ended 31 March 2023</u>		<u>For the year/period ended 31 March 2022</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
China Clean Energy ETF <sup>1 # ^</sup>	10.78	11.07	(28.19)	(27.69)	(10.70)	(9.81)	28.57	29.71
China Consumer Brand ETF <sup>1 # ^</sup>	6.06	6.55	(16.31)	(15.68)	5.26	6.08	(33.84)	(33.18)
China Electric Vehicle and Battery ETF <sup>1 # ^</sup>	14.61	14.99	(30.00)	(29.51)	(19.48)	(18.53)	26.19	27.69
China Robotics and AI ETF <sup>2 # ^</sup>	4.93	5.20	(17.48)	(16.75)	18.83	19.80	(18.07)	(17.56)
China Semiconductor ETF <sup>2 # ^</sup>	13.30	14.48	(24.28)	(23.75)	(7.09)	(6.39)	0.09	0.78
China Global Leaders ETF <sup>3 # ^</sup>	16.96	17.38	(11.46)	(10.70)	(7.98)	(7.29)	(17.72)	(17.02)
China Innovator Active ETF <sup>3 # ^</sup>	(2.66)	5.57	(17.78)	(12.40)	(4.63)	1.55	(34.44)	(29.34)
Asia Semiconductor ETF <sup>3 # ^</sup>	(2.16)	(1.77)	32.92	34.13	(9.31)	(8.52)	(9.90)	(9.28)
China E-commerce and Logistics ETF <sup>4 # ^</sup>	11.03	11.44	(9.92)	(9.33)	6.19	7.02	(23.52)	(23.20)
China Games and Entertainment ETF <sup>4 # ^</sup>	(5.68)	(5.12)	(13.59)	(12.74)	17.39	18.41	(35.42)	(35.30)
FinTech ETF <sup>5 # ^</sup>	0.75	1.17	33.65	34.86	(49.63)	(49.12)	–	–
Autonomous and Electric Vehicles ETF <sup>5 # ^</sup>	(6.50)	(6.87)	4.80	5.77	(21.06)	(20.84)	–	–
Asia Innovator Active ETF <sup>5 # ^</sup>	4.66	10.77	(7.95)	9.41	(32.35)	(9.84)	–	–
China MedTech ETF <sup>6 # ^</sup>	12.12	12.53	(22.76)	(22.38)	–	–	–	–
Asia USD Investment Grade Bond ETF <sup>7 # ^</sup>	5.02	5.56	6.37	6.75	–	–	–	–
China Little Giant ETF <sup>8 # ^</sup>	3.19	3.76	(15.57)	(15.43)	–	–	–	–

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### PERFORMANCE OF THE SUB-FUNDS (CONTINUED)

	<u>For the period ended 31 March 2021</u>	
	Sub-Fund performance	Index performance
	(%)	(%)
China Clean Energy ETF <sup>1 # ^</sup>	73.10	75.32
China Consumer Brand ETF <sup>1 # ^</sup>	36.30	38.09
China Electric Vehicle and Battery ETF <sup>1 # ^</sup>	84.85	86.03
China Robotics and AI ETF <sup>2 # ^</sup>	3.52	4.02
China Semiconductor ETF <sup>2 # ^</sup>	(14.67)	(14.43)
China Global Leaders ETF <sup>3 # ^</sup>	—	—
China Innovator Active ETF <sup>3 # ^</sup>	—	—
Asia Semiconductor ETF <sup>3 # ^</sup>	—	—
China E-commerce and Logistics ETF <sup>4 # ^</sup>	—	—
China Games and Entertainment ETF <sup>4 # ^</sup>	—	—
FinTech ETF <sup>5 # ^</sup>	—	—
Autonomous and Electric Vehicles ETF <sup>5 # ^</sup>	—	—
Asia Innovator Active ETF <sup>5 # ^</sup>	—	—
China MedTech ETF <sup>6 # ^</sup>	—	—
Asia USD Investment Grade Bond ETF <sup>7 # ^</sup>	—	—
China Little Giant ETF <sup>8 # ^</sup>	—	—

<sup>1</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 16 January 2020 (date of inception) to 31 March 2021.

<sup>2</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2021, commence from 8 August 2020 (date of inception) to 31 March 2021.

<sup>3</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 10 March 2021 (date of inception) to 31 March 2022.

<sup>4</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2022, commence from 22 July 2021 (date of inception) to 31 March 2022.

<sup>5</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2023, commence from 9 December 2021 (date of inception) to 31 March 2023.

<sup>6</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 3 August 2023 (date of inception) to 31 March 2024.

<sup>7</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 11 October 2023 (date of inception) to 31 March 2024.

<sup>8</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 31 March 2024, commence from 17 November 2023 (date of inception) to 31 March 2024.

<sup>#</sup>The respective tracking indices of these Sub-Funds are net total return indices. A net total return index calculates the performance of the index constituents on the basis that any dividends or distributions are reinvested after the deduction of any withholding taxes that may apply.

<sup>^</sup>The Sub-Funds' performances are calculated on the basis that any dividends or distributions are reinvested.

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### INFORMATION ON EXPOSURE ARISING FROM FINANCIAL DERIVATIVE INSTRUMENTS (UNAUDITED)

	<u>For the period ended 30 September 2024</u>					
	Highest (%)	Gross exposure Lowest (%)	Average (%)	Highest (%)	Net exposure Lowest (%)	Average (%)
<u>Unlisted total return swaps</u>						
China Clean Energy ETF	28.31	14.79	25.07	28.31	14.79	25.07
China Consumer Brand ETF	36.30	12.64	27.47	36.30	12.64	27.47
China Electric Vehicle and Battery ETF	48.37	25.59	42.36	48.37	25.59	42.36
China Robotics and AI ETF	46.53	0.00	31.14	46.53	0.00	31.14
China Semiconductor ETF	46.72	22.28	41.00	46.72	22.28	41.00
China Global Leaders ETF	7.50	0.00	0.50	7.50	0.00	0.50

	<u>For the year ended 31 March 2024</u>					
	Highest (%)	Gross exposure Lowest (%)	Average (%)	Highest (%)	Net exposure Lowest (%)	Average (%)
<u>Unlisted total return swaps</u>						
China Clean Energy ETF	26.15	0.00	15.28	26.15	0.00	15.28
China Consumer Brand ETF	24.94	0.00	10.23	24.94	0.00	10.23
China Electric Vehicle and Battery ETF	44.28	0.00	23.19	44.28	0.00	23.19
China Robotics and AI ETF	34.72	0.00	11.27	34.72	0.00	11.27
China Semiconductor ETF	41.31	0.00	21.51	41.31	0.00	21.51
China Global Leaders ETF	11.37	0.00	3.07	11.37	0.00	3.07

## GLOBAL X EXCHANGE TRADED FUNDS SERIES OFC

### MANAGEMENT AND ADMINISTRATION

#### Manager

Mirae Asset Global Investments (Hong Kong) Limited  
Room 1101, 11th Floor  
Lee Garden Three, 1 Sunning Road  
Causeway Bay, Hong Kong

#### Directors of the Manager

Mr. Wan Youn Cho  
Mr. Nam Ki Kim  
Mr. Ho Wah Fok

#### Directors of the Company

Mr. Wan Youn Cho  
Mr. Ho Wah Fok (appointed on 30 Sep 2024)  
Mr. Stewart Robert Kenneth Aldcroft (resigned on 30 Sep 2024)  
Mr. Young Rae Cho  
Ms. Hai Man Wang

#### Registrar

Tricor Investor Services Limited  
17/F, Far East Finance Centre  
16 Harcourt Road, Hong Kong

#### Legal Counsel to the Manager

Simmons & Simmons  
30/F, One Taikoo Place  
979 King's Road  
Hong Kong

#### Auditor

PricewaterhouseCoopers  
Certified Public Accountant  
Registered Public Interest Entity Auditor  
22/F, Prince's Building  
10 Chater Road  
Central, Hong Kong

#### Custodian

Cititrust Limited  
50th Floor, Champion Tower  
Three Garden Road  
Central, Hong Kong

#### Sub-Custodian

Citibank, N.A.  
50th Floor, Champion Tower  
Three Garden Road  
Central, Hong Kong

#### PRC Custodian<sup>#</sup>

Citibank (China) Co., Ltd  
35F Citigroup Tower  
No.33 Hua Yuan Shi Qiao Road  
Lu Jia Zui Finance and Trade Zone  
Shanghai 200120 P.R. China

#### Administrator

Citibank, N.A., Hong Kong Branch  
50/F, Champion Tower 3 Garden Road,  
Central, Hong Kong

#### Service Agent

HK Conversion Agency Services Limited  
8th Floor, Two Exchange Square  
8 Connaught Place  
Central, Hong Kong

#### Listing Agent

Altus Capital Limited<sup>^</sup>  
21 Wing Wo Street  
Central, Hong Kong

#### Ample Capital Limited<sup>%</sup>

14/F, Two Chinachem Plaza  
135 Des Voeux Road Central  
Central, Hong Kong

<sup>^</sup> In respect of China Clean Energy ETF, China Consumer Brand ETF, China Electric Vehicle and Battery ETF, China Robotics and AI ETF, China Semiconductor ETF, China Global Leaders ETF, China Innovator Active ETF, Asia Semiconductor ETF, China E-commerce and Logistics ETF, China Games and Entertainment ETF, FinTech ETF, Autonomous and Electric Vehicles ETF and Asia Innovator Active ETF.

<sup>%</sup> In respect of China MedTech ETF, Asia USD Investment Grade Bond ETF and China Little Giant ETF only.

<sup>#</sup> Applicable in respect of China Little Giant ETF and China MedTech ETF only.