

# INTERIM FINANCIAL STATEMENTS

## GLOBAL X ETF SERIES

GLOBAL X MSCI CHINA ETF

GLOBAL X HANG SENG HIGH DIVIDEND YIELD ETF

GLOBAL X CHINA BIOTECH ETF

GLOBAL X CHINA CLOUD COMPUTING ETF

GLOBAL X HANG SENG ESG ETF

GLOBAL X ELECTRIC VEHICLE AND BATTERY ACTIVE ETF

GLOBAL X AI & INNOVATIVE TECHNOLOGY ACTIVE ETF (FORMERLY KNOWN AS  
GLOBAL X METAVERSE THEME ACTIVE ETF)

GLOBAL X HANG SENG TECH ETF

GLOBAL X USD MONEY MARKET ETF

FOR THE SIX MONTHS ENDED 30 SEPTEMBER 2024

(SUB-FUNDS OF GLOBAL X ETF SERIES)

## **GLOBAL X ETF SERIES**

### Unaudited Condensed Interim Financial Statements

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### **IMPORTANT:**

Any opinion expressed herein reflects the Manager's view only and is subject to change. For more information about the Global X ETF Series, please refer to the prospectus of Global X ETF Series which is available at our website: [www.globalxetfshk.com](http://www.globalxetfshk.com).

Investors should not rely on the information contained in this report for their investment decisions.

## GLOBAL X ETF SERIES

### STATEMENT OF NET ASSETS

As at 30 September 2024 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<b>ASSETS</b>									
Financial assets at fair value through profit or loss	6(f)	5,659,600,210	3,796,475,333	4,224,773,892	2,158,617,704	354,464,685	366,957,372	241,203,767	239,704,654
Dividends receivable		8,917,116	250,096	57,046,762	762,313	267,678	–	23,472	118,679
Amounts due from brokers	7	–	705	1,917,903,089	–	–	–	–	–
Amount due from manager	6(k)	–	–	–	–	–	–	–	–
Interest receivable		–	–	–	–	–	–	–	–
Prepayment and other receivables		–	–	–	–	–	–	–	–
Subscription receivable	6(h)	671,410,342	113,891,506	–	–	21,509	21,354	28,006	12,498
Cash and cash equivalents	6(f),8	308,838,137	23,963,877	24,295,296	6,682,143	503,609	385,614	421,575	782,526
<b>TOTAL ASSETS</b>		<b>6,648,765,805</b>	<b>3,934,581,517</b>	<b>6,224,019,039</b>	<b>2,166,062,160</b>	<b>355,257,481</b>	<b>367,364,340</b>	<b>241,676,820</b>	<b>240,618,357</b>
<b>LIABILITIES</b>									
Management fee payable	6(b)	701,993	361,710	3,379,265	1,268,630	172,524	233,471	106,517	143,994
Establishment costs payable		–	–	–	–	–	–	–	–
Trustee fee payable	6(c)	–	–	–	39,009	–	–	–	–
Fees payable	6(d)	–	–	–	41,247	–	–	–	–
Redemption payable	6(i)	–	–	1,925,151,152	–	–	–	–	–
Amounts due to brokers	7	956,130,885	112,366,088	–	–	–	–	–	–
Registration fee payable	6(g)	510,000	255,000	442,500	241,044	106,000	100,000	72,000	84,000
Other payable		–	–	–	20,624	–	–	–	–
<b>TOTAL LIABILITIES (EXCLUDING NET ASSETS ATTRIBUTABLE TO UNITHOLDERS)/TOTAL LIABILITIES</b>		<b>957,342,878</b>	<b>112,982,798</b>	<b>1,928,972,917</b>	<b>1,610,554</b>	<b>278,524</b>	<b>333,471</b>	<b>178,517</b>	<b>227,994</b>
<b>EQUITY</b>									
Net assets attributable to unitholders*	3(a)	–	<b>3,821,598,719</b>	–	<b>2,164,451,606</b>	<b>354,978,957</b>	<b>367,030,869</b>	<b>241,498,303</b>	<b>240,390,363</b>
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>–</b>	<b>3,934,581,517</b>	<b>–</b>	<b>2,166,062,160</b>	<b>355,257,481</b>	<b>367,364,340</b>	<b>241,676,820</b>	<b>240,618,357</b>
Net assets attributable to unitholders*	3(a)	<b>5,691,422,927</b>	–	<b>4,295,046,122</b>	–	–	–	–	–
Accounting net assets attributable to unitholders		5,691,422,927	–	4,295,046,122	–	–	–	–	–
Adjustment for different basis adopted by the Sub-Fund in arriving at net assets attributable to unitholders	3(a)	(37,732)	–	11,076	–	–	–	–	–
Net assets attributable to unitholders (in accordance with the Prospectus of the Sub-Fund)	3(a)	<b>5,691,385,195</b>	–	<b>4,295,057,198</b>	–	–	–	–	–

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2024 (unaudited)

	Notes	Global X Hang Seng ESG ETF		Global X Electric Vehicle and Battery Active ETF		Global X AI & Innovative Technology Active ETF (formerly known as Global X Metaverse Theme Active ETF)		Global X Hang Seng TECH ETF	
		As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$
<b>ASSETS</b>									
Financial assets at fair value through profit or loss	6(f)	12,268,163	12,429,118	754,322	1,276,068	1,590,351	2,292,622	3,277,378,207	3,311,890,278
Dividends receivable		22,824	23,213	637	1,745	627	1,524	–	–
Amounts due from brokers	7	–	–	–	–	–	–	250,690,803	–
Amount due from manager	6(k)	–	–	–	–	–	–	–	–
Interest receivable		–	–	–	–	–	–	–	–
Prepayment and other receivables		–	–	–	–	–	–	–	–
Subscription receivable	6(h)	–	–	–	–	–	–	28,035,691	–
Cash and cash equivalents	6(f),8	46,568	59,011	19,500	73,258	48,417	114,368	10,119,829	5,275,479
<b>TOTAL ASSETS</b>		<b>12,337,555</b>	<b>12,511,342</b>	<b>774,459</b>	<b>1,351,071</b>	<b>1,639,395</b>	<b>2,408,514</b>	<b>3,566,224,530</b>	<b>3,317,165,757</b>
<b>LIABILITIES</b>									
Management fee payable	6(b)	2,499	3,104	421	851	984	1,516	797,245	986,690
Establishment costs payable		–	–	–	–	–	–	–	352,531
Trustee fee payable	6(c)	–	–	–	–	–	–	949,422	722,006
Fees payable	6(d)	–	–	–	–	–	–	1,004,421	1,153,001
Redemption payable	6(i)	–	–	–	–	–	–	278,816,782	–
Amounts due to brokers	7	–	26,412	3,561	–	–	–	–	–
Registration fee payable	6(g)	15,000	7,500	6,000	8,001	1,000	–	99,000	79,000
Other payable		–	–	–	–	–	–	198,561	352,932
<b>TOTAL LIABILITIES</b>		<b>17,499</b>	<b>37,016</b>	<b>9,982</b>	<b>8,852</b>	<b>1,984</b>	<b>1,516</b>	<b>281,865,431</b>	<b>3,646,160</b>
<b>EQUITY</b>									
Net assets attributable to unitholders	3(a)	<b>12,320,056</b>	<b>12,474,326</b>	<b>764,477</b>	<b>1,342,219</b>	<b>1,637,411</b>	<b>2,406,998</b>	<b>3,284,359,099</b>	<b>3,313,519,597</b>
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>12,337,555</b>	<b>12,511,342</b>	<b>774,459</b>	<b>1,351,071</b>	<b>1,639,395</b>	<b>2,408,514</b>	<b>3,566,224,530</b>	<b>3,317,165,757</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2024 (unaudited)

		Global X USD Money Market ETF	
		As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
	Notes		
<b>ASSETS</b>			
Financial assets at fair value through profit or loss	6(f)	18,687,789	9,530,305
Dividends receivable		–	–
Amounts due from brokers	7	–	–
Amount due from manager	6(k)	67,505	39,075
Interest receivable		112,908	32,847
Prepayment and other receivables		15,776	1,272
Subscription receivable	6(h)	–	–
Cash and cash equivalents	6(f),8	43,250,704	24,072,640
<b>TOTAL ASSETS</b>		<b><u>62,134,682</u></b>	<b><u>33,676,139</u></b>
<b>LIABILITIES</b>			
Management fee payable	6(b)	62,172	43,098
Establishment costs payable		45,044	45,044
Trustee fee payable	6(c)	15,487	5,974
Fees payable	6(d)	10,981	6,617
Redemption payable	6(i)	3,472,342	–
Amounts due to brokers	7	–	985,944
Registration fee payable	6(g)	2,800	2,100
Other payable		46,275	36,609
<b>TOTAL LIABILITIES (EXCLUDING NET ASSETS ATTRIBUTABLE TO UNITHOLDERS)</b>		<b><u>3,655,101</u></b>	<b><u>1,125,386</u></b>
<b>Net assets attributable to unitholders</b>	3(a)	<b><u>58,479,581</u></b>	<b><u>32,550,753</u></b>
Accounting net assets attributable to unitholders		58,479,581	32,550,753
Adjustment for different basis adopted by the Sub-Fund in arriving at net assets attributable to unitholders	3(a)	24,476	28,588
Net assets attributable to unitholders (in accordance with the Prospectus of the Sub-Fund)	3(a)	<u>58,504,057</u>	<u>32,579,341</u>

\*Net assets attributable to shareholders of Global X MSCI China ETF and Global X Hang Seng High Dividend Yield ETF is classified as financial liabilities as at 30 September 2024 and equity as at 31 March 2024. Refer to Note 2(j).

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME

For the period ended 30 September 2024 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
<b>INCOME</b>									
Dividend income		188,664,109	13,522,828	205,879,758	65,342,993	3,060,328	6,740,363	1,635,308	1,831,729
Interest income		4,601	955	217	47	1,637	5,135	752	2,979
Net gain/(loss) on financial assets at fair value through profit or loss	5	740,246,770	(119,637,162)	906,814,515	(143,579,943)	33,771,257	(57,254,938)	25,305,137	(98,658,176)
Net exchange (loss)/gain		(1,260,199)	84,023	(631)	–	18,819	102,370	11,050	145,262
Other income	6(k)	–	–	101	–	–	–	–	–
		<b>927,655,281</b>	<b>(106,029,356)</b>	<b>1,112,693,960</b>	<b>(78,236,903)</b>	<b>36,852,041</b>	<b>(50,407,070)</b>	<b>26,952,247</b>	<b>(96,678,206)</b>
<b>EXPENSES</b>									
Management fee	6(b)	(5,051,778)	(584,420)	(11,093,895)	(3,504,299)	(1,110,144)	(2,120,339)	(724,210)	(1,449,067)
Establishment costs		–	–	–	–	–	–	–	–
Transaction costs on financial assets at fair value through profit or loss	6(a)	(11,698,602)	(2,114,231)	(10,102,989)	(1,366,407)	(283,799)	(772,007)	(133,624)	(332,786)
Other administration fee	6(d)	–	–	2,177	–	–	–	–	–
Legal and other professional fees		–	–	–	–	–	–	–	–
Auditor's remuneration		–	–	378	–	–	–	–	–
Other expenses		2	–	–	–	–	–	–	–
		<b>(16,750,378)</b>	<b>(2,698,651)</b>	<b>(21,194,329)</b>	<b>(4,870,706)</b>	<b>(1,393,943)</b>	<b>(2,892,346)</b>	<b>(857,834)</b>	<b>(1,781,853)</b>
<b>Operating profit/(loss)</b>		<b>910,904,903</b>	<b>(108,728,007)</b>	<b>1,091,499,631</b>	<b>(83,107,609)</b>	<b>35,458,098</b>	<b>(53,299,416)</b>	<b>26,094,413</b>	<b>(98,460,059)</b>
<b>FINANCE COSTS</b>									
Interest expense		(253)	(603)	(8)	(4,987)	(88)	(2,367)	(729)	(3,409)
<b>Profit/(loss) before tax</b>		<b>910,904,650</b>	<b>(108,728,610)</b>	<b>1,091,499,623</b>	<b>(83,112,596)</b>	<b>35,458,010</b>	<b>(53,301,783)</b>	<b>26,093,684</b>	<b>(98,463,468)</b>
Withholding tax on dividend income	10	(13,361,526)	(1,034,219)	(9,513,871)	(3,891,016)	(235,130)	(507,728)	(64,545)	(45,565)
<b>Increase in net assets attributable to unitholders from operations/(Loss)/profit after tax and total comprehensive income for the period</b>		<b>897,543,124</b>	<b>(109,762,829)</b>	<b>1,081,985,752</b>	<b>(87,003,612)</b>	<b>35,222,880</b>	<b>(53,809,511)</b>	<b>26,029,139</b>	<b>(98,509,033)</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2024 (unaudited)

		Global X Hang Seng ESG ETF		Global X Electric Vehicle and Battery Active ETF		Global X AI & Innovative Technology Active ETF (formerly known as Global X Metaverse Theme Active ETF)		Global X Hang Seng TECH ETF	
	Notes	For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) HK\$	For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$
<b>INCOME</b>									
Dividend income		367,628	559,488	10,103	36,422	5,183	6,545	27,430,427	8,727,225
Interest income		2	2	3	20	2	4	344	38
Net gain/(loss) on financial assets at fair value through profit or loss	5	2,723,774	(4,013,609)	112,079	(447,618)	238,696	145,694	1,001,900,306	(87,031,679)
Net exchange (loss)/gain		(8)	114	(278)	(2,673)	(930)	(916)	(29,428)	(7,894)
Other income	6(k)	–	–	–	–	–	–	–	–
		<b>3,091,396</b>	<b>(3,454,005)</b>	<b>121,907</b>	<b>(413,849)</b>	<b>242,951</b>	<b>151,327</b>	<b>1,029,301,649</b>	<b>(78,312,310)</b>
<b>EXPENSES</b>									
Management fee	6(b)	(17,295)	(34,513)	(3,329)	(24,860)	(6,857)	(8,085)	(5,221,202)	(3,940,284)
Establishment costs		–	–	–	–	–	–	–	(352,531)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(7,861)	(7,799)	(1,045)	(3,418)	(1,085)	(853)	(2,175,708)	(1,293,251)
Other administration fee	6(d)	–	–	–	–	–	–	(1,419,887)	(877,921)
Legal and other professional fees		–	–	–	–	–	–	(6,000)	(57,245)
Auditor's remuneration		–	–	–	–	–	–	(30,000)	(30,575)
Other expenses		–	–	–	–	–	–	(38,045)	(13,970)
		<b>(25,156)</b>	<b>(42,312)</b>	<b>(4,374)</b>	<b>(28,278)</b>	<b>(7,942)</b>	<b>(8,938)</b>	<b>(8,890,842)</b>	<b>(6,565,777)</b>
<b>Operating profit/(loss)</b>		<b>3,066,240</b>	<b>(3,496,317)</b>	<b>117,533</b>	<b>(442,127)</b>	<b>235,009</b>	<b>142,389</b>	<b>1,020,410,807</b>	<b>(84,878,087)</b>
<b>FINANCE COSTS</b>									
Interest expense		–	(3)	–	–	–	–	–	(138)
<b>Profit/(loss) before tax</b>		<b>3,066,240</b>	<b>(3,496,320)</b>	<b>117,533</b>	<b>(442,127)</b>	<b>235,009</b>	<b>142,389</b>	<b>1,020,410,807</b>	<b>(84,878,225)</b>
Withholding tax on dividend income	10	(12,391)	(16,877)	(1,225)	(4,410)	(1,049)	(1,262)	(329,326)	(185,263)
<b>Profit/(loss) after tax and total comprehensive income for the period</b>		<b>3,053,849</b>	<b>(3,513,197)</b>	<b>116,308</b>	<b>(446,537)</b>	<b>233,960</b>	<b>141,127</b>	<b>1,020,081,481</b>	<b>(85,063,488)</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the period ended 30 September 2024 (unaudited)

		<u>Global X USD Money Market ETF</u>	
		For the six months ended 30 September 2024 (unaudited) USD	For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited) USD
	Notes		
<b>INCOME</b>			
Dividend income		–	–
Interest income		1,211,822	290,062
Net gain on financial assets at fair value through profit or loss	5	322,494	9,115
Net exchange loss		(3)	–
Other income	6(k)	38,192	12,317
		<u>1,572,505</u>	<u>311,494</u>
<b>EXPENSES</b>			
Management fee	6(b)	(19,073)	(19,367)
Establishment costs		–	(45,013)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(710)	(267)
Other administration fee	6(d)	(25,792)	(10,420)
Legal and other professional fees		(768)	(3,736)
Auditor's remuneration		(4,354)	(2,262)
Other expenses		(2,891)	(911)
		<u>(53,588)</u>	<u>(81,976)</u>
<b>Operating profit</b>		<b>1,518,917</b>	<b>229,518</b>
<b>FINANCE COSTS</b>			
Interest expense		–	–
<b>Profit before tax</b>		<u>1,518,917</u>	<u>229,518</u>
Withholding tax on dividend income	10	–	–
<b>Increase in net assets attributable to unitholders from operations</b>		<u>1,518,917</u>	<u>229,518</u>

The accompanying notes are an integral part of these financial statements.



## GLOBAL X ETF SERIES

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS

For the period ended 30 September 2024 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		For the six months ended 30 September 2024	For the six months ended 30 September 2023	For the six months ended 30 September 2024	For the six months ended 30 September 2023	For the six months ended 30 September 2024	For the six months ended 30 September 2023	For the six months ended 30 September 2024	For the six months ended 30 September 2023
		(unaudited) HK\$	(unaudited) HK\$	(unaudited) HK\$	(unaudited) HK\$	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB
<b>Balance at the beginning of the period</b>		<b>3,821,598,719</b>	<b>1,203,829,656</b>	<b>2,164,451,606</b>	<b>946,490,138</b>	<b>367,030,869</b>	<b>724,492,526</b>	<b>240,390,363</b>	<b>503,503,823</b>
Issue of units during the period									
– in kind	4	–	–	488,674,500	–	–	–	–	–
– cash creation and cash component	4	5,135,708,160	417,800,915	3,629,976,871	274,526,897	–	–	–	10,413,872
Redemption of units during the period									
– in kind	4	–	–	(617,365,280)	–	–	–	–	–
– cash redemption and cash component	4	(4,163,427,076)	(923,086,050)	(2,095,541,327)	(8,790,619)	(47,274,792)	(127,891,922)	(24,921,199)	(72,400,126)
Net issue/(redemption) of units		972,281,084	(505,285,135)	1,405,744,764	265,736,278	(47,274,792)	(127,891,922)	(24,921,199)	(61,986,254)
Distribution to unitholders	11	–	–	(357,136,000)	(70,740,000)	–	–	–	–
Increase in net assets attributable to unitholders from operations/(Loss)/profit after tax and total comprehensive income for the period		897,543,124	(109,762,829)	1,081,985,752	(87,003,612)	35,222,880	(53,809,511)	26,029,139	(98,509,033)
<b>Balance at the end of the period</b>		<b>5,691,422,927</b>	<b>588,781,692</b>	<b>4,295,046,122</b>	<b>1,054,482,804</b>	<b>354,978,957</b>	<b>542,791,093</b>	<b>241,498,303</b>	<b>343,008,536</b>
<b>Number of units in issue at beginning of period</b>	3(b)	<b>162,400,000</b>	<b>42,200,000</b>	<b>111,800,000</b>	<b>41,600,000</b>	<b>8,650,000</b>	<b>12,750,000</b>	<b>6,100,000</b>	<b>8,700,000</b>
Issue of units during the period (units)	3(b)	188,803,233	16,000,000	188,403,233	12,600,000	–	–	–	200,000
Redemption of units during the period (units)	3(b)	(166,800,000)	(34,800,000)	(119,800,000)	(400,000)	(1,150,000)	(2,400,000)	(700,000)	(1,450,000)
<b>Number of units in issue at end of period</b>	3(b)	<b>184,403,233</b>	<b>23,400,000</b>	<b>180,403,233</b>	<b>53,800,000</b>	<b>7,500,000</b>	<b>10,350,000</b>	<b>5,400,000</b>	<b>7,450,000</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X Hang Seng ESG ETF		Global X Electric Vehicle and Battery Active ETF		Global X AI & Innovative Technology Active ETF (formerly known as Global X Metaverse Theme Active ETF)		Global X Hang Seng TECH ETF	
		For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the Six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) HK\$	For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$
<b>Balance at the beginning of the period</b>		<b>12,474,326</b>	<b>25,474,864</b>	<b>1,342,219</b>	<b>7,301,417</b>	<b>2,406,998</b>	<b>2,090,195</b>	<b>3,313,519,597</b>	–
Issue of units during the period									
– in kind	4	–	–	–	–	–	–	–	2,723,985,600
– cash creation and cash component	4	–	–	–	–	–	–	81,436,999	435,899,897
Redemption of units during the period									
– in kind	4	–	–	–	–	–	–	(372,400,000)	–
– cash redemption and cash component	4	(3,208,119)	–	(694,050)	(1,459,921)	(1,003,547)	(370,861)	(758,278,978)	(1,992,761)
Net (redemption)/issue of units		(3,208,119)	–	(694,050)	(1,459,921)	(1,003,547)	(370,861)	(1,049,241,979)	3,157,892,736
Distribution to unitholders	11	–	–	–	–	–	–	–	–
Profit/(loss) after tax and total comprehensive income for the period		3,053,849	(3,513,197)	116,308	(446,537)	233,960	141,127	1,020,081,481	(85,063,488)
<b>Balance at the end of the period</b>		<b>12,320,056</b>	<b>21,961,667</b>	<b>764,477</b>	<b>5,394,959</b>	<b>1,637,411</b>	<b>1,860,461</b>	<b>3,284,359,099</b>	<b>3,072,829,248</b>
<b>Number of units in issue at beginning of period</b>		<b>4,000,000</b>	<b>6,000,000</b>	<b>200,000</b>	<b>1,000,000</b>	<b>250,000</b>	<b>300,000</b>	<b>780,800,000</b>	–
Issue of units during the period (units)	3(b)	–	–	–	–	–	–	16,000,000	642,800,000
Redemption of units during the period (units)	3(b)	(1,000,000)	–	(100,000)	(200,000)	(100,000)	(50,000)	(233,600,000)	(400,000)
<b>Number of units in issue at end of period</b>	3(b)	<b>3,000,000</b>	<b>6,000,000</b>	<b>100,000</b>	<b>800,000</b>	<b>150,000</b>	<b>250,000</b>	<b>563,200,000</b>	<b>642,400,000</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

		<u>Global X USD Money Market ETF</u>	
		For the six months ended 30 September 2024 (unaudited) USD	For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited) USD
	Notes		
<b>Balance at the beginning of the period</b>		<b>32,550,753</b>	–
Issue of units during the period			
– in kind	4	–	–
– cash creation and cash component	4	48,817,587	26,570,638
Redemption of units during the period			
– in kind	4	–	–
– cash redemption and cash component	4	(24,407,676)	–
Net issue of units		<u>24,409,911</u>	<u>26,570,638</u>
Distribution to unitholders	11	–	–
Increase in net assets attributable to unitholders from operations		<u>1,518,917</u>	<u>229,518</u>
<b>Balance at the end of the period</b>		<b><u>58,479,581</u></b>	<b><u>26,800,156</u></b>
<b>Number of units in issue at beginning of period</b>	3(b)	<b>250,816</b>	–
Issue of units during the period (units)	3(b)	373,939	212,000
Redemption of units during the period (units)	3(b)	(185,719)	–
<b>Number of units in issue at end of period</b>	3(b)	<b><u>439,036</u></b>	<b><u>212,000</u></b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS

For the period ended 30 September 2024 (unaudited)

	Notes	Global X MSCI China ETF	
		For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Increase in net assets attributable to unitholders from operations/Loss before tax		910,904,650	(108,728,610)
Adjustments for:			
Dividend income		(188,664,109)	(13,522,828)
Interest income		(4,601)	(955)
Interest expense		253	603
		<u>722,236,193</u>	<u>(122,251,790)</u>
(Increase)/decrease in financial assets at fair value through profit or loss		(1,863,124,877)	616,347,588
Decrease in amounts due from brokers		705	–
Increase/(decrease) in management fee payable		340,283	(104,083)
Increase in amounts due to brokers		843,764,797	11
Increase in registration fee payable		255,000	120,000
Cash (used in)/generated from operations		<u>(296,527,899)</u>	<u>494,111,726</u>
Dividends received, net of withholding tax		166,635,563	11,804,804
Interest received		4,601	955
Interest paid		(253)	(603)
Net cash flows (used in)/generated from operating activities		<u>(129,887,988)</u>	<u>505,916,882</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Cash received from issue of units		4,578,189,324	417,800,915
Cash paid on redemptions of units		<u>(4,163,427,076)</u>	<u>(923,086,050)</u>
Net cash flows generated from/(used in) financing activities		<u>414,762,248</u>	<u>(505,285,135)</u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>			
Cash and cash equivalents at the beginning of the period		<u>23,963,877</u>	<u>2,108,886</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>			
		<u>308,838,137</u>	<u>2,740,633</u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>			
Bank balances	6(f),8	<u>308,838,137</u>	<u>2,740,633</u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X Hang Seng</u> <u>High Dividend Yield ETF</u>	
	For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Increase in net assets attributable to unitholders from operations/Loss before tax	1,091,499,623	(83,112,596)
Adjustments for:		
Dividend income	(205,879,758)	(65,342,993)
Interest income	(217)	(47)
Interest expense	8	4,987
	<u>885,619,656</u>	<u>(148,450,649)</u>
Increase in financial assets at fair value through profit or loss	(2,194,846,968)	(101,682,110)
Increase in amounts due from brokers	(1,917,903,089)	–
Increase in management fee payable	2,110,635	48,525
Decrease in trustee fee payable	(39,009)	–
Decrease in fees payable	(41,247)	–
Increase in amounts due to brokers	–	2,040,092
Increase in registration fee payable	201,456	165,000
Decrease in other payable	(20,624)	–
Cash used in operations	(3,224,919,190)	(247,879,142)
Dividends received, net of withholding tax	140,081,438	54,167,531
Interest received	217	47
Interest paid	(8)	(4,987)
Net cash flows used in operating activities	<u>(3,084,837,543)</u>	<u>(193,716,551)</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	3,629,976,871	274,526,897
Cash paid on redemption of units	(170,390,175)	(8,790,619)
Cash paid on distribution to unitholders	(357,136,000)	(70,740,000)
Net cash flows generated from financing activities	<u>3,102,450,696</u>	<u>194,996,278</u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period	6,682,143	1,476,595
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u>24,295,296</u>	<u>2,756,322</u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(f),8 <u>24,295,296</u>	<u>2,756,322</u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	Notes	Global X China Biotech ETF	
		For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Profit/(loss) before tax		35,458,010	(53,301,783)
Adjustments for:			
Dividend income		(3,060,328)	(6,740,363)
Interest income		(1,637)	(5,135)
Interest expense		88	2,367
		<u>32,396,133</u>	<u>(60,044,914)</u>
Decrease in financial assets at fair value through profit or loss		12,492,687	181,786,497
(Increase)/decrease in prepayment and other receivables		(155)	17,096
Decrease in management fee payable		(60,947)	(137,501)
Decrease in fee payable		–	(1)
Increase in registration fee payable		6,000	78,000
Cash generated from operations		<u>44,833,718</u>	<u>121,699,177</u>
Dividends received, net of withholding tax		2,557,520	5,887,337
Interest received		1,637	5,135
Interest paid		(88)	(2,367)
Net cash flows generated from operating activities		<u><b>47,392,787</b></u>	<u><b>127,589,282</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>			
Cash paid on redemptions of units		(47,274,792)	(127,891,922)
Net cash flows used in financing activity		<u><b>(47,274,792)</b></u>	<u><b>(127,891,922)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>			
		<b>117,995</b>	<b>(302,640)</b>
Cash and cash equivalents at the beginning of the period		<u>385,614</u>	<u>1,489,544</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>			
		<u><b>503,609</b></u>	<u><b>1,186,904</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>			
Bank balances	6(f),8	<u><b>503,609</b></u>	<u><b>1,186,904</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X China Cloud Computing ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	26,093,684	(98,463,468)
Adjustments for:		
Dividend income	(1,635,308)	(1,831,729)
Interest income	(752)	(2,979)
Interest expense	729	3,409
	<u>24,458,353</u>	<u>(100,294,767)</u>
(Increase)/decrease in financial assets at fair value through profit or loss	(1,499,113)	159,913,534
Decrease in amounts due from brokers	–	2,703,051
(Increase)/decrease in prepayment and other receivables	(15,508)	31,812
Decrease in management fee payable	(37,477)	(69,327)
Decrease in amounts due to brokers	–	(4,770)
(Decrease)/increase in registration fee payable	(12,000)	72,000
Cash generated from operations	22,894,255	62,351,533
Dividends received, net of withholding tax	1,665,970	1,355,323
Interest received	752	2,979
Interest paid	(729)	(3,409)
Net cash flows generated from operating activities	<u>24,560,248</u>	<u>63,706,426</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	–	10,413,872
Cash paid on redemptions of units	(24,921,199)	(78,014,326)
Net cash flows used in financing activities	<u>(24,921,199)</u>	<u>(67,600,454)</u>
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>	<b>(360,951)</b>	<b>(3,894,028)</b>
Cash and cash equivalents at the beginning of the period	<u>782,526</u>	<u>4,698,399</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>	<b><u>421,575</u></b>	<b><u>804,371</u></b>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(f),8 <u>421,575</u>	<u>804,371</u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X Hang Seng ESG ETF</u>	
	For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	3,066,240	(3,496,320)
Adjustments for:		
Dividend income	(367,628)	(559,488)
Interest income	(2)	(2)
Interest expense	–	3
	<u>2,698,610</u>	<u>(4,055,807)</u>
Decrease in financial assets at fair value through profit or loss	160,955	3,555,023
Decrease in management fee payable	(605)	(835)
Decrease in amount due to broker	(26,412)	–
Increase in registration fee payable	7,500	–
Cash generated from/(used in) operations	<u>2,840,048</u>	<u>(501,619)</u>
Dividends received, net of withholding tax	355,626	571,346
Interest received	2	2
Interest paid	–	(3)
Net cash flows generated from operating activities	<u><b>3,195,676</b></u>	<u><b>69,726</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>		
Cash paid on redemptions of units	<u>(3,208,119)</u>	–
Net cash flows used in financing activity	<u>(3,208,119)</u>	–
<b>NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS</b>		
	<u><b>(12,443)</b></u>	<u><b>69,726</b></u>
Cash and cash equivalents at the beginning of the period	<u>59,011</u>	<u>56,072</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>46,568</b></u>	<u><b>125,798</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(f),8 <u><b>46,568</b></u>	<u><b>125,798</b></u>

The accompanying notes are an integral part of these financial statements.



## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X Electric Vehicle and Battery</u>	
	<u>Active ETF</u>	
	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	117,533	(442,127)
Adjustments for:		
Dividend income	10,103	(36,422)
Interest income	3	(20)
	<u>127,639</u>	<u>(478,569)</u>
Decrease in financial assets at fair value through profit or loss	521,746	1,742,706
Decrease in amount due from a broker	–	1,692
Decrease in management fee payable	(430)	(1,437)
Increase in amounts due to brokers	3,561	–
Decrease in registration fee payable	(2,001)	(1,601)
Cash generated from operations	650,515	1,262,791
Dividends received, net of withholding tax	(10,220)	31,808
Interest received	(3)	20
Net cash flows generated from operating activities	<u><b>640,292</b></u>	<u><b>1,294,619</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>		
Cash paid on redemptions of units	(694,050)	(2,171,741)
Net cash flows used in financing activity	<u><b>(694,050)</b></u>	<u><b>(2,171,741)</b></u>
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period	73,258	1,249,743
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>19,500</b></u>	<u><b>372,621</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(f),8 <u><b>19,500</b></u>	<u><b>372,621</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X AI &amp; Innovative Technology Active ETF (formerly known as Global X Metaverse Theme Active ETF)</u>	
	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit before tax	235,009	142,389
Adjustments for:		
Dividend income	(5,183)	(6,545)
Interest income	(2)	(4)
	<u>229,824</u>	<u>135,840</u>
Decrease in financial assets at fair value through profit or loss	702,271	198,136
Decrease in management fee payable	(532)	(94)
Increase in registration fee payable	1,000	400
Cash generated from operations	932,563	334,282
Dividends received, net of withholding tax	5,031	6,671
Interest received	2	4
Net cash flows generated from operating activities	<u><b>937,596</b></u>	<u><b>340,957</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITY</b>		
Cash paid on redemptions of units	(1,003,547)	(370,861)
Net cash flows used in financing activity	<u><b>(1,003,547)</b></u>	<u><b>(370,861)</b></u>
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period	114,368	196,557
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>48,417</b></u>	<u><b>166,653</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(f),8 <u><b>48,417</b></u>	<u><b>166,653</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X Hang Seng TECH ETF</u>	
	For the six months ended 30 September 2024 (unaudited) HK\$	For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit/(loss) before tax	1,020,410,807	(84,878,225)
Adjustments for:		
Dividend income	(27,430,427)	(8,727,225)
Interest income	(344)	(38)
Interest expense	–	138
	<u>992,980,036</u>	<u>(93,605,350)</u>
Increase in financial assets at fair value through profit or loss	(337,887,929)	(346,081,935)
Increase in amount due from broker	(250,690,803)	–
(Decrease)/increase in management fee payable	(189,445)	908,726
(Decrease)/increase in establishment costs payable	(352,531)	352,531
Increase in trustee fee payable	227,416	–
(Decrease)/increase in fees payable	(148,580)	801,607
Increase in registration fee payable	20,000	105,000
(Decrease)/increase in other payable	(154,371)	178,104
Cash generated from/(used in) operations	403,803,793	(437,341,317)
Dividends received, net of withholding tax	27,101,101	8,541,962
Interest received	344	38
Interest paid	–	(138)
Net cash flows generated from/(used in) operating activities	<u><b>430,905,238</b></u>	<u><b>(428,799,455)</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	53,401,308	435,899,897
Cash paid on redemptions of units	(479,462,196)	(1,992,761)
Net cash flows (used in)/generated from financing activities	<u><b>(426,060,888)</b></u>	<u><b>433,907,136</b></u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>	<b>4,844,350</b>	<b>5,107,681</b>
Cash and cash equivalents at the beginning of the period	<u>5,275,479</u>	<u>–</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>	<u><b>10,119,829</b></u>	<u><b>5,107,681</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(f),8 <u><b>10,119,829</b></u>	<u><b>5,107,681</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period ended 30 September 2024 (unaudited)

	<u>Global X USD Money Market ETF</u>	
	For the six months ended 30 September 2024 (unaudited) USD	For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited) USD
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Increase in net assets attributable to unitholders from operations	1,518,917	229,518
Adjustments for:		
Interest income	(1,211,822)	(290,062)
	<u>307,095</u>	<u>(60,544)</u>
Increase in deposits at bank with original maturity more than three months	–	(5,770,104)
Increase in financial assets at fair value through profit or loss	(9,157,484)	(2,502,616)
Increase in amounts due from manager	(28,430)	–
Increase in prepayment and other receivables	(14,504)	(17,316)
Increase in management fee payable	19,074	19,367
Increase in establishment costs payable	–	45,013
Increase in trustee fee payable	9,513	–
Increase in fees payable	4,364	4,198
Decrease in amounts due to brokers	(985,944)	–
Increase in registration fee payable	700	1,000
Increase in other payable	9,666	13,131
Cash used in operations	(9,835,950)	(8,267,871)
Interest received	1,131,761	193,118
Net cash flows used in operating activities	<u>(8,704,189)</u>	<u>(8,074,753)</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	48,817,587	26,570,638
Cash paid on redemption of units	(20,935,334)	–
Net cash flows generated from financing activities	<u>27,882,253</u>	<u>26,570,638</u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period	19,178,064	18,495,885
	<u>24,072,640</u>	<u>–</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><u>43,250,704</u></u>	<u><u>18,495,885</u></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Term deposits with original maturity less than three months	8	39,766,678
Bank balances	6(f),8	18,488,686
		<u>7,199</u>
Cash and cash equivalents as stated in the statement of cash flows		<u><u>43,250,704</u></u>
		<u><u>18,495,885</u></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 1. GENERAL INFORMATION

Global X ETF Series (the “Trust”) is an umbrella unit trust governed by its trust deed dated 23 December 2010 as amended by the supplemental deeds dated 16 December 2011, 23 December 2011, 5 November 2012, 14 May 2013, 3 September 2014, 16 November 2015, 11 August 2016, 29 September 2016, 5 October 2016, 24 November 2016, 11 July 2019, 1 November 2019, 31 December 2019, 11 March 2022 and 17 March 2023 (collectively, the “Trust Deed”) between Mirae Asset Global Investments (Hong Kong) Limited (the “Manager”) and Cititrust Limited (the “Trustee”). The Trust Deed is governed by Hong Kong law.

As at 30 September 2024, the Trust had thirteen Sub-Funds, each a separate Sub-Fund of the Trust, which are authorised by the Securities and Futures Commission of Hong Kong (the “SFC”) pursuant to section 104(1) of the Securities and Futures Ordinance. The thirteen Sub-Funds, including Global X MSCI China ETF, Global X Hang Seng High Dividend Yield ETF, Global X China Biotech ETF, Global X China Cloud Computing ETF, Global X Hang Seng ESG ETF, Global X Electric Vehicle and Battery Active ETF, Global X AI & Innovative Technology Active ETF (formerly known as Global X Metaverse Theme Active ETF), Global X Hang Seng TECH ETF, Global X USD Money Market ETF, Global X Innovative Bluechip Top 10 ETF, Global X Japan Global Leaders ETF, Global X India Select Top 10 ETF and Global X US Treasury 3-5 Year ETF are referred to individually or collectively as the “Sub-Fund(s)”. The Sub-Funds are listed on the Stock Exchange of Hong Kong Limited (the “SEHK”) (a subsidiary of The Hong Kong Exchanges and Clearing Limited) with details below:

<u>Name of Sub-Funds</u>	<u>Listing date on the SEHK</u>
Global X MSCI China ETF (“MSCI China ETF”)	17 June 2013
Global X Hang Seng High Dividend Yield ETF (“Hang Seng High Dividend Yield ETF”)	17 June 2013
Global X China Biotech ETF (“China Biotech ETF”)	25 July 2019
Global X China Cloud Computing ETF (“China Cloud Computing ETF”)	25 July 2019
Global X Hang Seng ESG ETF (“Hang Seng ESG ETF”)	21 March 2022
Global X Electric Vehicle and Battery Active ETF (“Electric Vehicle and Battery Active ETF”)	21 March 2022
Global X AI & Innovative Technology Active ETF (formerly known as Global X Metaverse Theme Active ETF) (“AI & Innovative Technology Active ETF”)	21 March 2022
Global X Hang Seng TECH ETF (“Hang Seng TECH ETF”)	30 March 2023
Global X USD Money Market ETF (“USD Money Market ETF”)	29 June 2023
Global X Innovative Bluechip Top 10 ETF (“Innovative Bluechip Top 10 ETF”)	24 November 2023
Global X Japan Global Leaders ETF (“Japan Global Leaders ETF”)	24 November 2023
Global X India Select Top 10 ETF (“India Select Top 10 ETF”)	19 March 2024
Global X US Treasury 3-5 Year ETF (“US Treasury 3-5 Year ETF”)	11 July 2024

These financial statements relate to the nine Sub-Funds, MSCI China ETF, Hang Seng High Dividend Yield ETF, China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF), Hang Seng TECH ETF and USD Money Market ETF. The Manager is responsible for the preparation of the financial statements.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 1. GENERAL INFORMATION (CONTINUED)

The first reporting period for Innovative Bluechip Top 10 ETF and Japan Global Leaders ETF will cover the period from 23 November 2023 (date of inception) to 31 March 2025, India Select Top 10 ETF will cover the period from 18 March 2024 (date of inception) to 31 March 2025 and US Treasury 3-5 Year ETF will cover the period from 10 July 2024 (date of inception) to 31 March 2025.

With effect from 2 September 2024, the Sub-Fund “Global X Metaverse Theme Active ETF” has been renamed to “Global X AI & Innovative Technology Active ETF”. There are also changes in the investment objective and investment strategy of the Sub-Fund.

##### MSCI China ETF

The objective of MSCI China ETF (United States dollar counter stock code: 9040, Hong Kong dollar counter stock code: 3040) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the MSCI China Index by investing all, or substantially all, of the assets of MSCI China ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Hang Seng High Dividend Yield ETF

The objective of Hang Seng High Dividend Yield ETF (stock code: 3110) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Hang Seng High Dividend Yield Index by investing all, or substantially all, of the assets of Hang Seng High Dividend Yield ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Biotech ETF

The objective of China Biotech ETF (United States dollar counter stock code: 9820, Hong Kong dollar counter stock code: 2820) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Solactive China Biotech Index NTR by investing all, or substantially all, of the assets of China Biotech ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Cloud Computing ETF

The objective of China Cloud Computing ETF (United States dollar counter stock code: 9826, Hong Kong dollar stock code: 2826) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Solactive China Cloud Computing Index NTR by investing all, or substantially all, of the assets of China Cloud Computing ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Hang Seng ESG ETF

The objective of Hang Seng ESG ETF (stock code: 3029) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the HSI ESG Enhanced Index by investing all, or substantially all, of the assets of Hang Seng ESG ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Electric Vehicle and Battery Active ETF

The objective of Electric Vehicle and Battery Active ETF (stock code: 3139) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are directly or indirectly involved in electric vehicle or electric vehicle-related battery businesses (the “EV/Battery Business”).

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 1. GENERAL INFORMATION (CONTINUED)

##### AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)

The objective of AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (stock code: 3006) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in equities of exchange-listed companies globally, which fall within the investment theme of AI and Innovative Technologies.

##### Hang Seng TECH ETF

The objective of Hang Seng TECH ETF (stock code: 2837) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Hang Seng TECH Index by investing all, or substantially all, of the assets of Hang Seng TECH ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### USD Money Market ETF

The objective of USD Money Market ETF (stock code: 3137) is to invest in short term deposits and high quality money market investments (i.e. at least 70% of its net asset value) issued by governments, quasi-governments, international organisations and financial institutions, including debt securities, commercial papers, short-term notes, certificates of deposits and commercial bills.

#### 2. MATERIAL ACCOUNTING POLICIES

The condensed interim financial statements are unaudited and are prepared in accordance with International Accounting Standard (“IAS”) 34 Interim Financial Reporting and the relevant disclosure provisions of the Trust Deed, and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the Securities and Futures Commission of Hong Kong (the “SFC Code”).

The unaudited condensed interim financial statements do not include all the information and disclosures required in the annual financial statements.

The material accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the years presented, unless otherwise stated.

##### (a)(i) Basis of preparation

The financial statements of the Sub-Funds have been prepared in accordance with IFRS Accounting Standards and the relevant disclosure provisions of the Trust Deed and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the SFC (the “UT Code”).

The financial statements have been prepared under the historical cost convention, except for financial assets classified as at fair value through profit or loss (“FVPL”) that have been measured at fair value. The financial statements are presented in Hong Kong dollars (“HK\$”) for MSCI China ETF, Hang Seng High Dividend Yield ETF, Hang Seng ESG ETF and Hang Seng TECH ETF, Renminbi (“RMB”) for China Biotech ETF and China Cloud Computing ETF and United States dollars (“USD”) for Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) and USD Money Market ETF. All values are rounded to the nearest HK\$, RMB and USD respectively except where otherwise indicated.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (a)(i) Basis of preparation (continued)

All references to net assets or net asset value throughout the financial statements refer to net assets attributable to unitholders unless otherwise stated.

##### (a)(ii) Material accounting judgements, estimates and assumptions

The preparation of financial statements, in conformity with IFRS Accounting Standards, requires the Management to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts recognised in the financial statements and disclosure of contingent liabilities. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstances, the results of which form the basis of making the judgements about carrying values of assets and liabilities that are not readily apparent from other sources. Actual results may differ from these estimates.

##### (a)(iii) New and amended standards adopted by the Sub-Funds

- Disclosure of Accounting Policies – Amendments to IAS 1 and IFRS Practice Statement 2
- Definition of Accounting Estimates – Amendments to IAS 8

The amendments listed above did not have any impact on the amounts recognised in prior periods and are not expected to significantly affect the current or future periods.

##### (a)(iv) New and amended standards and interpretations not yet adopted

A number of new standards, amendments to standards and interpretations are effective for annual periods beginning after 1 April 2024 and have not been early adopted in preparing these financial statements. None of these are expected to have a material effect on the financial statements of the Sub-Funds.

#### (b) Financial instruments

##### (i) *Classification*

In accordance with IFRS 9, the Sub-Funds classify their financial assets and financial liabilities at initial recognition into the categories of financial assets and financial liabilities as set out below.

##### *Financial assets*

The Sub-Funds classify their financial assets as subsequently measured at amortised cost or measured at FVPL on the basis of both:

- The entity's business model for managing the financial assets; and
- The contractual cash flow characteristics of the financial asset



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (i) **Classification (continued)**

###### *Financial assets measured at amortised cost*

A debt instrument is measured at amortised cost if it is held within a business model whose objective is to hold financial assets in order to collect contractual cash flows and its contractual terms give rise on specified dates to cash flows that are solely payments of principal and interest (“SPPI”) on the principal amount outstanding. The Sub-Funds include in this category short-term non-financing receivables including dividends receivable, amounts due from brokers, amounts due from manager, interest receivable, prepayment and other receivables, subscription receivable and cash and cash equivalents.

###### *Financial assets measured at FVPL*

A financial asset is measured at FVPL if:

- (a) Its contractual terms do not give rise to cash flows on specified dates that are solely SPPI on the principal amount outstanding; or
- (b) It is not held within a business model whose objective is either to collect contractual cash flows, or to both collect contractual cash flows and sell; or
- (c) At initial recognition, it is irrevocably designated as measured at FVPL when doing so eliminates or significantly reduces a measurement or recognition inconsistency that would otherwise arise from measuring assets or liabilities or recognising the gains and losses on them on different bases.

The Sub-Funds include in this category:

- Instruments held for trading. This category includes equity instruments which are acquired principally for the purpose of generating a profit from short-term fluctuations in price.

###### **Financial liabilities**

###### *Financial liabilities measured at amortised cost*

This category includes all financial liabilities. The Sub-Funds include in this category management fee payable, establishment costs payable, trustee fee payable, fees payable, redemption payable, amounts due to brokers, registration fee payable and other payable.

###### (ii) **Recognition**

The Sub-Funds recognise a financial asset or a financial liability when, and only when, they become a party to the contractual provisions of the instrument. Purchases and sales of financial assets at fair value through profit or loss are accounted for on the trade date basis.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (iii) *Initial measurement*

Financial assets at fair value through profit or loss are recorded in the statement of net assets at fair value. All transaction costs for such instruments are recognised directly in profit or loss.

Financial assets and liabilities (other than those classified as at fair value through profit or loss) are measured initially at their fair value plus or minus any directly attributable incremental costs of acquisition or issue.

###### (iv) *Subsequent measurement*

After initial measurement, the Sub-Funds measure financial instruments which are classified as at FVPL at fair value. Subsequent changes in the fair values of those financial instruments are recorded in “net change in unrealised gain/loss on financial assets at FVPL” in “net gain/(loss) on financial assets at FVPL. Interest and dividends earned on these instruments are recorded separately in “interest income” and “dividend income” in the statement of profit or loss and other comprehensive income.

Debt instruments, other than those classified as at FVPL, are measured at amortised cost using the effective interest method less any allowance for impairment. Gains and losses are recognised in profit or loss when the debt instruments are derecognised or impaired, as well as through the amortisation process.

Financial liabilities, other than those classified as at FVPL, are measured at amortised cost using the effective interest method. Gains and losses are recognised in profit or loss when the liabilities are derecognised, as well as through the amortisation process.

The effective interest method is a method of calculating the amortised cost of a financial asset or a financial liability and of allocating and recognising the interest income or interest expense in profit or loss over the relevant period. The effective interest rate is the rate that exactly discounts estimated future cash payments or receipts through the expected life of the financial asset or financial liability to the gross carrying amount of the financial asset or to the amortised cost of the financial liability. When calculating the effective interest rate, the Sub-Funds estimate cash flows considering all contractual terms of the financial instruments, but does not consider expected credit losses. The calculation includes all fees paid or received between parties to the contract that are an integral part of the effective interest rate, transaction costs and all other premiums or discounts.

###### (v) *Derecognition*

A financial asset is derecognised when the rights to receive cash flows from the financial asset have expired, or where the Sub-Funds have transferred its rights to receive cash flows from the financial asset, or has assumed an obligation to pay the received cash flows in full without material delay to a third party under a pass-through arrangement and either the Sub-Funds have transferred substantially all the risks and rewards of the asset or the Sub-Funds have neither transferred nor retained substantially all the risks and rewards of the financial asset, but has transferred control of the asset.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (v) *Derecognition (continued)*

When the Sub-Funds have transferred its rights to receive cash flows from an asset (or has entered into a pass-through arrangement), and has neither transferred nor retained substantially all the risks and rewards of the asset nor transferred control of the asset, the asset is recognised to the extent of the Sub-Funds' continuing involvement in the asset. In that case, the Sub-Funds also recognise an associated liability. The transferred asset and the associated liability are measured on a basis that reflects the rights and obligations that the Sub-Funds have retained.

The Sub-Funds derecognised a financial liability when the obligation under the liability is discharged or cancelled, or expires.

##### (c) Fair value measurement

The Sub-Funds measure their investments in financial instruments at fair value at the end of each reporting period.

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The fair value measurement is based on the presumption that the transaction to sell the asset or transfer the liability takes place either:

- in the principal market for the asset or liability; or
- in the absence of a principal market, in the most advantageous market for the asset or liability.

The principal or the most advantageous market must be accessible by the Sub-Funds.

The fair value of an asset or a liability is measured using the assumptions that market participants would use when pricing the asset or liability, assuming that market participants act in their economic best interest.

The fair value for financial instruments that are listed or traded on an exchange is based on quoted last traded market prices that are within the bid-ask spread.

For all other financial instruments not traded in an active market, the fair value is determined using valuation techniques deemed to be appropriate in the circumstances. Valuation techniques include the market approach (i.e., using recent arm's length market transactions, adjusted as necessary, and reference to the current market value of another instrument that is substantially the same) and the income approach (i.e., discounted cash flow analysis and option pricing models making as much use of available and supportable market data as possible).

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (c) Fair value measurement (continued)

All assets and liabilities for which fair value is measured or disclosed in the financial statements are categorised within the fair value hierarchy, described as follows, based on the lowest level input that is significant to the fair value measurement as a whole:

- Level 1 — Quoted (unadjusted) market prices in active markets for identical assets or liabilities
- Level 2 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is directly or indirectly observable
- Level 3 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is unobservable

For assets and liabilities that are recognised in the financial statements on a recurring basis, the Sub-Funds determine whether transfers have occurred between levels in the hierarchy by re-assessing categorisation (based on the lowest level input that is significant to the fair value measurement as a whole) at the end of each reporting period.

##### (d) Derivative financial instruments

Derivative financial instruments are recorded on a mark-to-market basis. Fair values are determined by using quoted market prices that are within the bid-ask spread for futures contracts. All derivatives are carried as assets when amounts are receivable by the Sub-Funds and as liabilities when amounts are payable by the Sub-Funds. Unrealised gains and losses arising from changes in fair value and realised gains and losses are recognised in profit or loss.

##### (e) Income

Interest income from cash and cash equivalents are recognised in profit or loss on a time-proportionate basis using the effective interest method.

Dividend income on equity securities is recognised on the date on which the investments are quoted ex-dividend. Dividend income on equity securities where no ex-dividend date is quoted is accounted for when the Sub-Funds' right to receive payment is established. Dividend income is presented gross of any non-recoverable withholding taxes, which are disclosed separately in profit or loss.

Other income is recognised in profit or loss when the right to receive payment has been established, it is probable that the economic benefits associated with the income will flow to the Sub-Funds and the amount of the income can be measured reliably.

##### (f) Expenses

Expenses are recognised on an accrual basis.

##### (g) Amounts due from/to brokers

Amounts due from brokers represent receivables for securities sold (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial assets measured at amortised cost for recognition and measurement.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (g) Amounts due from/to brokers (continued)

Amounts due to brokers are payables for securities purchased (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial liabilities measured at amortised cost for recognition and measurement.

##### (h) Cash and cash equivalents

Cash and cash equivalents in the statement of net assets comprise short-term deposits in banks which are readily convertible to known amounts of cash and are subject to an insignificant risk of changes in value.

For the purpose of the statement of cash flows, cash and cash equivalents consist of cash and cash equivalents as defined above, net of outstanding bank overdrafts when applicable.

##### (i) Translation of foreign currencies

###### Functional and presentation currency

Items included in the financial statements are measured using the currency of the primary economic environment in which the Sub-Funds operate (the “functional currency”). The performance of MSCI China ETF, Hang Seng High Dividend Yield ETF, Hang Seng ESG ETF and Hang Seng TECH ETF is measured and reported to the unitholders in HK\$. The performance of China Biotech ETF and China Cloud Computing ETF is measured and reported to the unitholders in RMB. The performance of Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) and USD Money Market ETF is measured and reported to the unitholders in USD. The Management considers the HK\$, RMB and USD as the currencies that most faithfully represent the economic effects of the underlying transactions, events and conditions. The financial statements of the MSCI China ETF, Hang Seng High Dividend Yield ETF, Hang Seng ESG ETF and Hang Seng TECH ETF are presented in HK\$, the financial statements of the China Biotech ETF and China Cloud Computing ETF are presented in RMB and the financial statements of Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) and USD Money Market ETF are presented in USD respectively, which are the Sub-Funds’ functional and presentation currencies.

###### Transactions and balances

Transactions during the period, including purchases and sales of securities, income and expenses, are translated at the rates of exchange prevailing on the dates of the transactions.

Monetary assets and liabilities denominated in foreign currencies are translated at the functional currency rates of exchange ruling at the end of the reporting period. Differences arising on settlement or transaction of monetary items are recognised in the statement of profit or loss and other comprehensive income.

Non-monetary items that are measured in terms of historical cost in a foreign currency are translated using the exchange rates at the dates of the initial transactions. Non-monetary items measured at fair value in a foreign currency are translated using the exchange rates at the date when the fair value is determined.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (i) Translation of foreign currencies (continued)

Foreign currency transaction gains and losses on financial instruments classified as at FVPL are included in profit or loss in the statement of profit or loss and other comprehensive income.

##### (j) Redeemable units

Redeemable units are classified as an equity instrument when:

- (i) The redeemable units entitle the holder to a pro-rata share of the Sub-Fund's net assets in the event of the Sub-Fund's liquidation;
- (ii) The redeemable units are in the class of instruments that is subordinate to all other classes of instruments;
- (iii) All redeemable units in the class of instruments that is subordinate to all other classes of instruments have identical features;
- (iv) The redeemable units do not include any contractual obligation to deliver cash or another financial asset other than the holder's rights to a pro-rata share of the Sub-Fund's net assets; or
- (v) The total expected cash flows attributable to the redeemable units over the life of the instrument are based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds over the life of the instrument.

In addition to the redeemable units having all the above features, the Sub-Funds must have no other financial instrument or contract that has:

- (i) Total cash flows based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds; and
- (ii) The effect of substantially restricting or fixing the residual return to the redeemable unitholders.

MSCI China ETF issued unlisted class of units on 21 August 2024 which are subject to different fee structures and dealing arrangements. As the different class of units do not have identical features, the Sub-Fund had prospectively reclassified the redeemable units as financial liabilities. The reclassification was deemed to have occurred at the beginning of the reporting period, and thus the amount reclassified was the entire equity balance which was determined to be the fair value of the liability to unitholders at the date of the reclassification.

Hang Seng High Dividend Yield ETF issued unlisted class of units on 18 July 2024 which are subject to different fee structures and dealing arrangements. As the different class of units do not have identical features, the Sub-Fund had prospectively reclassified the redeemable units as financial liabilities. The reclassification was deemed to have occurred at the beginning of the reporting period, and thus the amount reclassified was the entire equity balance which was determined to be the fair value of the liability to unitholders at the date of the reclassification.

USD Money Market ETF has multiple classes of redeemable units in issue which are subject to different fee structures and dealing arrangements. As the different class of units do not have identical features, they are classified as financial liabilities.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (j) Redeemable units (continued)

The other Sub-Funds' redeemable units meet the definition of puttable instruments classified as equity instruments under the revised IAS 32 and are classified as equity.

The Sub-Funds continuously assess the classification of the redeemable units. If the redeemable units cease to have all the features or meet all the conditions set out to be classified as equity, the Sub-Funds will reclassify them as financial liabilities and measure them at fair value at the date of reclassification, with any differences from the previous carrying amount recognised in equity. If the redeemable units subsequently have all the features and meet the conditions to be classified as equity, the Sub-Funds will reclassify them as equity instruments and measure them at the carrying amount of the liabilities at the date of the reclassification.

Redeemable units can be redeemed in-kind and/or in cash equal to a proportionate share of the Sub-Funds' net asset value ("NAV"). The Sub-Funds' net asset value per unit is calculated by dividing the net assets attributable to unitholders with the total number of outstanding units of the respective Sub-Funds. Units are created or redeemed in multiples of 1,000,000 units for Hang Seng ESG ETF, 200,000 units for MSCI China ETF and Hang Seng High Dividend Yield ETF, 50,000 units for China Biotech ETF, China Cloud Computing ETF, Electric Vehicle and Battery Active ETF and AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF), 400,000 units for Hang Seng TECH ETF and 1,000 units for USD Money Market ETF respectively.

##### (k) Impairment of financial assets

The Sub-Funds recognise an allowance for expected credit losses ("ECLs") for all debt instruments not held at fair value through profit or loss. ECLs are based on the difference between the contractual cash flows due in accordance with the contract and all the cash flows that the Sub-Funds expect to receive, discounted at an approximation of the original effective interest rate. The expected cash flows will include cash flows from the sale of collateral held or other credit enhancements that are integral to the contractual terms.

ECLs are recognised in two stages. For credit exposures for which there has not been a significant increase in credit risk since initial recognition, ECLs are provided for credit losses that result from default events that are possible within the next 12 months (a 12-month ECL). For those credit exposures for which there has been a significant increase in credit risk since initial recognition, a loss allowance is required for credit losses expected over the remaining life of the exposure, irrespective of the timing of the default (a lifetime ECL).

The Sub-Funds may also consider a financial asset to be in default when internal or external information indicates that the Sub-Funds are unlikely to receive the outstanding contractual amounts in full before taking into account any credit enhancements held by the Sub-Funds. A financial asset is written off when there is no reasonable expectation of recovering the contractual cash flows.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (l) Net change in unrealised gains or losses on financial assets at fair value through profit or loss

This item includes changes in the fair value of financial assets as at fair value through profit or loss and excludes dividend income and interest expense.

Unrealised gains and losses comprise changes in the fair value of financial instruments for the period and from reversal of prior year unrealised gains and losses for financial instruments which were realised in the reporting period.

##### (m) Net realised gains or losses on disposal of financial assets

Net realised gains or losses on disposal of financial assets classified as at fair value through profit or loss are calculated using the weighted average method. They represent the difference between an instrument's average cost and disposal amount.

##### (n) Offsetting financial instruments

Financial assets and financial liabilities are offset and the net amount is reported in the statement of net assets if there is a currently enforceable legal right to offset the recognised amounts and there is an intention to settle on a net basis, or to realise the assets and settle the liabilities simultaneously.

##### (o) Taxes

In some jurisdictions, investment income and capital gains are subject to withholding tax deducted from the source of the income. The Sub-Funds present the withholding tax separately from the gross investment income in profit or loss. For the purpose of the statement of cash flows, cash inflows from investments are presented net of withholding taxes, when applicable.

##### (p) Distributions to unitholders

Distributions are at the discretion of the Manager. A distribution to the Sub-Funds' unitholders is accounted for as a deduction from net assets attributable to unitholders. A proposed distribution is recognised as a liability in the period in which it is approved by the Manager. No distribution will be paid out of or effectively out of the MSCI China ETF's capital. The Manager of Hang Seng High Dividend Yield ETF, China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF), Hang Seng TECH ETF and USD Money Market ETF may also, at its discretion, pay dividend out of gross income while all or part of the fees and expenses of the relevant Sub-Funds are charged to/paid out of the capital of such Sub-Funds, resulting in an increase in distributable income for the payment of dividends by the relevant Sub-Funds. Therefore, the Sub-Funds may effectively pay dividend out of capital.



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 2. MATERIAL ACCOUNTING POLICIES (CONTINUED)

##### (q) Transaction costs

Transaction costs are costs incurred to acquire financial assets or liabilities at fair value through profit or loss. They include fees and commissions paid to agents, brokers and dealers. Transaction costs, when incurred, are immediately recognised in profit or loss as an expense.

##### (r) Related parties

A party is considered to be related to the Sub-Funds if:

- (a) the party is a person or a close member of that person's family and that person
  - (i) has control or joint control over the Sub-Funds;
  - (ii) has significant influence over the Sub-Funds; or
  - (iii) is a member of the key management personnel of the Sub-Funds or of a parent of the Sub-Funds;

or

- (b) the party is an entity where any of the following conditions applies:
  - (i) the entity and the Sub-Funds are members of the same group;
  - (ii) one entity is an associate or joint venture of the other entity (or of a parent, subsidiary or fellow subsidiary of the other entity);
  - (iii) the entity and the group are joint ventures of the same third party;
  - (iv) one entity is a joint venture of a third entity and the other entity is an associate of the third entity;
  - (v) the entity is a post-employment benefit plan for the benefit of employees of either the Sub-Fund or an entity related to the Sub-Funds;
  - (vi) the entity is controlled or jointly controlled by a person identified in (a);
  - (vii) a person identified in (a)(i) has significant influence over the entity or is a member of the key management personnel of the entity (or of a parent of the entity); and
  - (viii) the entity, or any member of a group of which it is a part, provides key management personnel services to the Sub-Funds or to the parent of the Sub-Funds.

##### (s) Establishment costs

The establishment costs are recognised as an expense in the period in which it is incurred.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS

##### (a) Net assets attributable to unitholders

The creation and redemption of units of the Sub-Funds can only be facilitated by or through participating dealers. Investors other than the participating dealers make a request to create or redeem units through a participating dealer, and if the investor is a retail investor, such request must be made through a stockbroker which has opened an account with a participating dealer.

The Trustee shall receive subscription proceeds or index securities constituting baskets plus remaining subscription proceeds from the participating dealers for the creation of units and pay redemption proceeds or index securities constituting baskets plus remaining redemption proceeds for the redemption of units to the relevant participating dealer in such form and manner as prescribed by the Trust Deed. Units are created or redeemed in multiples of 1,000,000 units for Hang Seng ESG ETF, 200,000 units for MSCI China ETF and Hang Seng High Dividend Yield ETF, 50,000 units for China Biotech ETF, China Cloud Computing ETF, Electric Vehicle and Battery Active ETF and AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF), 400,000 units for Hang Seng TECH ETF and 1,000 units for USD Money Market ETF respectively. Subscriptions and redemptions of units during the period are shown on the statement of changes in net assets attributable to unitholders.

As stated in note 2(j), units of the Sub-Funds, which are represented by assets less liabilities, are classified as equity for Hang Seng ESG ETF, China Biotech ETF, China Cloud Computing ETF, Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) and Hang Seng TECH ETF and classified as liabilities for MSCI China ETF, Hang Seng High Dividend Yield ETF and USD Money Market ETF and accounted for in accordance with IFRS Accounting Standards (the “Accounting NAV”). For the purpose of determining the NAV per unit for subscriptions and redemptions and for various fee calculations (the “Dealing NAV”), the Administrator calculates the Dealing NAV in accordance with the provisions of the Trust Deed, which may be different from the accounting policies under IFRS Accounting Standards.

Per the prospectus of the Sub-Funds, the establishment costs are amortised over the first three financial years of the Sub-Funds. However, with respect to the Sub-Funds for the purpose of financial statements preparation in compliance with IFRS Accounting Standards, its accounting policy is to expense the formation fee in profit or loss as incurred.

The establishment costs payable as at 30 September 2024 and 31 March 2024 are as follows:

	<u>Establishment costs payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
Hang Seng TECH ETF (in HK\$)	–	352,531
USD Money Market ETF (in USD)	45,044	45,044

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (a) Net assets attributable to unitholders (continued)

The Accounting NAV and Dealing NAV for the Sub-Funds are different as at 30 September 2024 and 31 March 2024 as shown below:

	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$
<u>MSCI China ETF</u>		
Accounting NAV as reporting in the statement of net assets	5,691,422,927	3,821,598,719
Adjustment for timing difference	<u>(37,732)</u>	<u>(3,718,067)</u>
Dealing NAV	<u>5,691,385,195</u>	<u>3,817,880,652</u>
	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$
<u>Hang Seng High Dividend Yield ETF</u>		
Accounting NAV as reporting in the statement of net assets	4,295,046,122	2,164,451,606
Adjustment for timing difference	<u>11,076</u>	<u>120,648</u>
Dealing NAV	<u>4,295,057,198</u>	<u>2,164,572,254</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Biotech ETF</u>		
Accounting NAV as reporting in the statement of net assets	354,978,957	367,030,869
Adjustment for timing difference	<u>(182,455)</u>	<u>(408,322)</u>
Dealing NAV	<u>354,796,502</u>	<u>366,622,547</u>
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
<u>China Cloud Computing ETF</u>		
Accounting NAV as reporting in the statement of net assets	241,498,303	240,390,363
Adjustment for timing difference	<u>–</u>	<u>1,927,294</u>
Dealing NAV	<u>241,498,303</u>	<u>242,317,657</u>
	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$
<u>Hang Seng ESG ETF</u>		
Accounting NAV as reporting in the statement of net assets	12,320,056	12,474,326
Adjustment for timing difference	<u>–</u>	<u>296</u>
Dealing NAV	<u>12,320,056</u>	<u>12,474,622</u>

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (a) Net assets attributable to unitholders (continued)

	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
<u>Electric Vehicle and Battery Active ETF</u>		
Accounting NAV as reporting in the statement of net assets	764,477	1,342,219
Adjustment for timing difference	–	(34)
Dealing NAV	<u>764,477</u>	<u>1,342,185</u>
	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
<u>AI &amp; Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)</u>		
Accounting NAV as reporting in the statement of net assets	1,637,411	2,406,998
Adjustment for timing difference	–	(2,412)
Dealing NAV	<u>1,637,411</u>	<u>2,404,586</u>
	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$
<u>Hang Seng TECH ETF</u>		
Accounting NAV as reporting in the statement of net assets	3,284,359,099	3,313,519,597
Adjustment for timing difference	282	116,246
Adjustment for the establishment costs	180,834	332,768
Dealing NAV	<u>3,284,540,215</u>	<u>3,313,968,611</u>
	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
<u>USD Money Market ETF</u>		
Accounting NAV as reporting in the statement of net assets	58,479,581	32,550,753
Adjustment for timing difference	(1,861)	(14,934)
Adjustment for the establishment costs	26,337	43,522
Dealing NAV	<u>58,504,057</u>	<u>32,579,341</u>

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (b) Movement of units

The table below summarises the movement of units for the period/year ended 30 September 2024 and 31 March 2024, and the Accounting NAV per unit and the Dealing NAV per unit for each of the Sub-Funds as at 30 September 2024 and 31 March 2024.

For the period ended 30 September 2024 (unaudited)

	Units in issue at beginning of period	Number of units		Units in issue at end of period	Net assets attributable to unitholders	
		Creation during the period	Redemption during the period		Accounting NAV Per unit at period end	Dealing NAV Per unit at period end
<u>Listed Class</u>						
MSCI China ETF	162,400,000	188,800,000	(166,800,000)	184,400,000	HK\$30.8639	HK\$30.8637
Hang Seng High Dividend Yield ETF	111,800,000	188,400,000	(119,800,000)	180,400,000	HK\$23.8078	HK\$23.8079
China Biotech ETF	8,650,000	–	(1,150,000)	7,500,000	RMB47.3305	RMB47.3063
China Cloud Computing ETF	6,100,000	–	(700,000)	5,400,000	RMB44.7219	RMB44.7220
Hang Seng ESG ETF	4,000,000	–	(1,000,000)	3,000,000	HK\$4.1067	HK\$4.1067
Electric Vehicle and Battery Active ETF	200,000	–	(100,000)	100,000	USD7.6448	USD7.6448
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)	250,000	–	(100,000)	150,000	USD10.9161	USD10.9161
Hang Seng TECH ETF	780,800,000	16,000,000	(233,600,000)	563,200,000	HK\$5.8316	HK\$5.8320
USD Money Market ETF	243,000	342,000	(172,000)	413,000	USD133.5127	USD133.5688
<u>Unlisted Class - Class E (USD)</u>						
USD Money Market ETF	7,816	31,839	(13,719)	25,936	USD128.2422	USD128.2929
<u>Unlisted Class - Class R2 (HKD)</u>						
MSCI China ETF	–	333	–	333	HK\$37.0582	HK\$37.0580
Hang Seng High Dividend Yield ETF	–	333	–	333	HK\$34.1786	HK\$34.1787
USD Money Market ETF	–	10	–	10	HK\$1,005.2454	HK\$1,005.6426

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (b) Movement of units (continued)

For the period ended 30 September 2024 (unaudited) (continued)

	Number of units			Units in issue at end of period	Net assets attributable to unitholders	
	Units in issue at beginning of period	Creation during the period	Redemption during the period		Accounting NAV Per unit at period end	Dealing NAV Per unit at period end
<u>Unlisted Class - Class R2 (RMB)</u>						
MSCI China ETF	–	400	–	400	RMB30.4042	RMB30.4041
Hang Seng High Dividend Yield ETF	–	400	–	400	RMB27.5648	RMB27.5649
USD Money Market ETF	–	10	–	10	RMB969.8242	RMB970.2074
<u>Unlisted Class - Class R2 (USD)</u>						
MSCI China ETF	–	2,500	–	2,500	USD4.9581	USD4.9580
Hang Seng High Dividend Yield ETF	–	2,500	–	2,500	USD4.5802	USD4.5802
USD Money Market ETF	–	80	–	80	USD126.1933	USD126.2431

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (b) Movement of units (continued)

For the year/period ended 31 March 2024 (audited)

	Number of units			Units in issue at end of year/period	Net assets attributable to unitholders	
	Units in issue at beginning of year/period	Creation during the year/period	Redemption during the year/period		Accounting NAV	Dealing NAV*
					Per unit at year/period end	Per unit at year/period end
<u>Listed Class</u>						
MSCI China ETF	42,200,000	193,400,000	(73,200,000)	162,400,000	HK\$23.5320	HK\$23.5092
Hang Seng High Dividend Yield ETF	41,600,000	74,600,000	(4,400,000)	111,800,000	HK\$19.3600	HK\$19.3612
China Biotech ETF	12,750,000	100,000	(4,200,000)	8,650,000	RMB42.4313	RMB42.3842
China Cloud Computing ETF	8,700,000	200,000	(2,800,000)	6,100,000	RMB39.4083	RMB39.7243
Hang Seng ESG ETF	6,000,000	–	(2,000,000)	4,000,000	HK\$3.1186	HK\$3.1187
Electric Vehicle and Battery Active ETF	1,000,000	100,000	(900,000)	200,000	USD6.7111	USD6.7110
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)	300,000	–	(50,000)	250,000	USD9.6280	USD9.6183
Hang Seng TECH ETF <sup>1</sup>	–	814,800,000	(34,000,000)	780,800,000	HK\$4.2437	HK\$4.2444
USD Money Market ETF <sup>2</sup>	–	381,000	(138,000)	243,000	USD129.9300	USD130.0467
<u>Unlisted Class - Class E (USD)</u>						
USD Money Market ETF <sup>2</sup>	–	7,816	–	7,816	USD125.0200	USD125.1330

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 31 March 2024, commence from 29 March 2023 (date of inception) to 31 March 2024 respectively.

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 31 March 2024, commence from 28 June 2023 (date of inception) to 31 March 2024 respectively.

\*The Dealing NAV are representing data as of 28 March 2024 which is the last official Dealing Date for period ended 31 March 2024.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 4. CREATION AND REDEMPTION OF UNITS

##### MSCI China ETF

For the period ended 30 September 2024, MSCI China ETF:

- issued 188,803,233 units (2023: 16,000,000 units) in exchange for cash balances of HK\$5,135,708,160 (2023: HK\$417,800,915); and
- redeemed 166,800,000 units (2023: 34,800,000 units) in exchange for cash balances of HK\$4,163,427,076 (2023: HK\$923,086,050).

##### Hang Seng High Dividend Yield ETF

For the period ended 30 September 2024, Hang Seng High Dividend Yield ETF:

- issued 188,403,233 units (2023: 12,600,000 units) in exchange for investments of HK\$488,674,500 (2023: HK\$nil) and cash balances of HK\$3,629,976,871 (2023: HK\$274,526,897); and
- redeemed 119,800,000 units (2023: 400,000 units) in exchange for investments of HK\$617,365,280 (2023: HK\$nil) and cash balances of HK\$2,095,541,327 (2023: HK\$8,790,619).

##### China Biotech ETF

For the period ended 30 September 2024, China Biotech ETF:

- redeemed 1,150,000 units (2023: 2,400,000 units) in exchange for cash balances of RMB47,274,792 (2023: RMB127,891,922).

##### China Cloud Computing ETF

For the period ended 30 September 2024, China Cloud Computing ETF:

- issued nil units (2023: 200,000 units) in exchange for cash balances of RMBnil (2023: RMB10,413,872); and
- redeemed 700,000 units (2023: 1,450,000 units) in exchange for cash balances of RMB24,921,199 (2023: RMB72,400,126).

##### Hang Seng ESG ETF

For the period ended 30 September 2024, Hang Seng ESG ETF:

- redeemed 1,000,000 units (2023: nil units) in exchange for cash balances of HK\$3,208,119 (2023: HK\$nil).

##### Electric Vehicle and Battery Active ETF

For the period ended 30 September 2024, Electric Vehicle and Battery Active ETF:

- redeemed 100,000 units (2023: 200,000 units) in exchange for cash balances of USD694,050 (2023: USD1,459,921).



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 4. CREATION AND REDEMPTION OF UNITS (CONTINUED)

##### AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)

For the period ended 30 September 2024, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF):

- redeemed 100,000 units (2023: 50,000 units) in exchange for cash balances of USD1,003,547 (2023: USD370,861).

##### Hang Seng TECH ETF

For the period ended 30 September 2024, Hang Seng TECH ETF:

- issued 16,000,000 units (2023: 642,800,000 units) in exchange for investments of HK\$nil (2023: HK\$2,723,985,600) and cash balances of HK\$81,436,999 (2023: HK\$435,899,897); and
- redeemed 233,600,000 units (2023: 400,000 units) in exchange for investments of HK\$372,400,000 (2023: HK\$nil) and cash balances of HK\$758,278,978 (2023: HK\$1,992,761).

##### USD Money Market ETF

For the period ended 30 September 2024, USD Money Market ETF:

- issued 373,939 units (2023: 212,000 units) in exchange for cash balances of USD48,817,587 (2023: USD26,570,638); and
- redeemed 185,719 units (2023: nil units) in exchange for cash balances of USD24,407,676 (2023: USDnil).

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 5. NET GAIN/(LOSS) ON FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

The following is a breakdown of the net gain/(loss) on financial assets at fair value through profit or loss:

	<u>MSCI China ETF</u>		<u>Hang Seng High Dividend Yield ETF</u>		<u>China Biotech ETF</u>	
	For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB
Net realised (loss)/gain on sale of financial assets at fair value through profit or loss	(95,128,941)	(132,942,975)	232,406,160	(23,099,740)	(68,941,408)	(176,418,183)
Net change in unrealised gain/(loss) on financial assets at fair value through profit or loss	835,375,711	13,305,813	674,408,355	(120,480,203)	102,712,665	119,124,874
	<u>740,246,770</u>	<u>(119,637,162)</u>	<u>906,814,515</u>	<u>(143,579,943)</u>	<u>33,771,257</u>	<u>(57,293,309)</u>
	<u>China Cloud Computing ETF</u>		<u>Hang Seng ESG ETF</u>		<u>Electric Vehicle and Battery Active ETF</u>	
	For the six months ended 30 September 2024 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2024 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD
Net realised (loss)/gain on sale of financial assets at fair value through profit or loss	(35,230,303)	(40,092,625)	(1,508,889)	123,755	(11,856)	(3,370)
Net change in unrealised gain/(loss) on financial assets at fair value through profit or loss	60,535,440	(58,571,494)	4,232,663	(4,137,364)	123,935	(444,248)
	<u>25,305,137</u>	<u>(98,664,119)</u>	<u>2,723,774</u>	<u>(4,013,609)</u>	<u>112,079</u>	<u>(447,618)</u>

**GLOBAL X ETF SERIES**

**NOTES TO THE FINANCIAL STATEMENTS**

**30 September 2024**

**5. NET GAIN/(LOSS) ON FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS (CONTINUED)**

	<u>AI &amp; Innovative Technology Active ETF</u> <u>(formerly known as Metaverse Theme</u> <u>Active ETF)</u>		<u>Hang Seng TECH ETF</u>		<u>USD Money Market ETF</u>	
	For the six months ended 30 September 2024 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the six months ended 30 September 2024 (unaudited) HK\$	For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2024 (unaudited) USD	For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited) USD
Net realised gain on sale of financial assets at fair value through profit or loss	298,090	61,017	9,981,570	15,223,756	303,424	9,863
Net change in unrealised (loss)/gain on financial assets at fair value through profit or loss	(59,394)	84,677	991,918,736	(102,255,435)	19,070	(748)
	<u>238,696</u>	<u>145,694</u>	<u>1,001,900,306</u>	<u>(87,031,679)</u>	<u>322,494</u>	<u>9,115</u>

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS

Related parties are those as defined in note 2(r). Related parties of the Sub-Funds also include the Trustee, the Manager of the Sub-Funds and its connected persons. Connected persons of the Trustee and the Manager are those as defined in the UT Code. All transactions entered into during the period between the Sub-Funds and their related parties, including the Trustee, the Manager and their connected persons were carried out in the ordinary course of business and on normal commercial terms. To the best of the Manager's knowledge, the Sub-Funds do not have any other transactions with their related parties, including the Trustee, the Manager and their connected persons except for those disclosed below.

##### (a) Brokerage commission

The Sub-Funds utilise the trading services of brokers who are related to the Trustee and the Manager in their purchases and sales of investments. The details of such transactions and the brokerage commission are shown below:

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>MSCI China ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	558,411,847	3.77%	115,291	0.02%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	477,682,275	22.70%	163,392	0.03%
<u>Hang Seng High Dividend Yield ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	1,359,598,158	15.15%	52,251	0.00%
Mirae Asset Securities (HK) Limited <sup>*</sup>	34,617,635	0.39%	10,385	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	415,969,156	43.97%	46,669	0.01%
<u>China Biotech ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	133,465,011	19.90%	10,334	0.01%
Mirae Asset Securities (HK) Limited <sup>*</sup>	240,593,542	35.88%	74,424	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	193,660,504	18.72%	79,695	0.04%
Mirae Asset Securities (HK) Limited <sup>*</sup>	326,084,663	31.52%	184,223	0.06%
<u>China Cloud Computing ETF (in RMB)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	59,194,042	18.91%	4,538	0.01%
Mirae Asset Securities (HK) Limited <sup>*</sup>	136,124,578	43.48%	42,333	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	69,051,346	12.27%	15,185	0.02%
Mirae Asset Securities (HK) Limited <sup>*</sup>	129,520,884	23.01%	76,034	0.06%

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

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#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (a) Brokerage commission (continued)

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>Hang Seng ESG ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	5,909,220	98.67%	1,220	0.02%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	3,134,838	60.26%	313	0.01%
<u>Electric Vehicle and Battery Active ETF (in USD)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	1,253,116	39.20%	449	0.04%
Mirae Asset Securities (HK) Limited <sup>*</sup>	28,813	0.90%	16	0.05%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	234,731	2.78%	70	0.03%
<u>AI &amp; Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	1,580,647	87.46%	474	0.03%
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	54,472	5.57%	16	0.03%
<u>Hang Seng TECH ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	427,859,119	21.31%	14,682	0.00%
Mirae Asset Securities (HK) Limited <sup>*</sup>	9,361,508	0.47%	2,808	0.03%
<i>For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	3,091,651,847	86.10%	37,248	0.00%
Mirae Asset Securities (HK) Limited <sup>*</sup>	398,759,317	11.10%	119,628	0.03%
<u>USD Money Market ETF (in USD)</u>				
<i>For the six months ended 30 September 2024 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	27,750,000	1.14%	–	0.00%
<i>For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited):</i>				
Citigroup Global Markets Limited <sup>#</sup>	1,900,000	0.45%	–	0.00%

<sup>#</sup> Affiliate of the Trustee

<sup>\*</sup> Affiliate of the Manager

For the period ended 30 September 2024 and 2023, USD Money Market ETF did not incur any brokerage commission from brokers who are related to the Trustee and the Manager in their purchases and sales of investments.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

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#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (b) Management fee

The Manager is entitled to receive a management fee, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears. The Manager is also entitled to receive a servicing fee which is waived by the Manager.

Listed Class	<u>Maximum rate of the net asset value in %</u>	<u>Annual rate of the net asset value in %</u>	
		For the period ended 30 September 2024 (unaudited)	For the period ended 30 September 2023 (unaudited)
<u>Listed Class</u>			
MSCI China ETF	2%	0.18% <sup>3</sup>	0.18% <sup>3</sup>
Hang Seng High Dividend Yield ETF	2%	0.68% <sup>3</sup>	0.68% <sup>3</sup>
China Biotech ETF	2%	0.68% <sup>3</sup>	0.68% <sup>3</sup>
China Cloud Computing ETF	2%	0.68% <sup>3</sup>	0.68% <sup>3</sup>
Hang Seng ESG ETF	2%	0.29% <sup>3</sup>	0.29% <sup>3</sup>
Electric Vehicle and Battery Active ETF	2%	0.75% <sup>3</sup>	0.75% <sup>3</sup>
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)	2%	0.75% <sup>3</sup>	0.75% <sup>3</sup>
Hang Seng TECH ETF <sup>1</sup>	2%	0.35%	0.35%
USD Money Market ETF <sup>2</sup>	2%	0.05% <sup>4</sup>	0.35%
<u>Unlisted Class – Class E (USD)</u>			
USD Money Market ETF	2%	0.35%	N/A
<u>Unlisted Class – Class R2 (HKD)</u>			
MSCI China ETF	2%	0.20%	N/A
Hang Seng High Dividend Yield ETF	2%	1.20%	N/A
USD Money Market ETF <sup>2</sup>	2%	0.65%	N/A
<u>Unlisted Class – Class R2 (RMB)</u>			
MSCI China ETF	2%	0.20%	N/A
Hang Seng High Dividend Yield ETF	2%	1.20%	N/A
USD Money Market ETF <sup>2</sup>	2%	0.65%	N/A
<u>Unlisted Class – Class R2 (USD)</u>			
MSCI China ETF	2%	0.20%	N/A
Hang Seng High Dividend Yield ETF	2%	1.20%	N/A
USD Money Market ETF <sup>2</sup>	2%	0.65%	N/A

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

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#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (b) Management fee (continued)

The management fee entitled to the Manger for the period ended 30 September 2024 and 2023 are as follows:

	<u>Management fee</u>	
	For the period ended 30 September 2024 (unaudited)	For the period ended 30 September 2023 (unaudited)
<u>Listed Class</u>		
MSCI China ETF (in HK\$)	5,051,756	584,420
Hang Seng High Dividend Yield ETF (in HK\$)	11,093,656	3,504,299
China Biotech ETF (in RMB)	1,110,144	2,120,339
China Cloud Computing ETF (in RMB)	724,210	1,449,067
Hang Seng ESG ETF (in HK\$)	17,295	34,513
Electric Vehicle and Battery Active ETF (in USD)	3,329	24,860
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD)	6,857	8,085
Hang Seng TECH ETF (in HK\$) <sup>1</sup>	5,221,202	3,940,284
USD Money Market ETF (in USD) <sup>2</sup>	13,590	19,367
<u>Unlisted Class – Class E (USD)</u>		
USD Money Market ETF (in USD) <sup>2</sup>	5,466	N/A
<u>Unlisted Class – Class R2 (HKD)</u>		
MSCI China ETF (in HK\$)	2	N/A
Hang Seng High Dividend Yield ETF (in HK\$)	24	N/A
USD Money Market ETF (in USD) <sup>2</sup>	2	N/A
<u>Unlisted Class – Class R2 (RMB)</u>		
MSCI China ETF (in HK\$)	3	N/A
Hang Seng High Dividend Yield ETF (in HK\$)	26	N/A
USD Money Market ETF (in USD) <sup>2</sup>	2	N/A
<u>Unlisted Class – Class R2 (USD)</u>		
MSCI China ETF (in HK\$)	17	N/A
Hang Seng High Dividend Yield ETF (in HK\$)	189	N/A
USD Money Market ETF (in USD) <sup>2</sup>	13	N/A

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (b) Management fee (continued)

The management fee payable as at 30 September 2024 and 31 March 2024 are as follows:

	<u>Management fee payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
<u>Listed Class</u>		
MSCI China ETF (in HK\$)	701,976	361,710
Hang Seng High Dividend Yield ETF (in HK\$)	3,379,164	1,268,630
China Biotech ETF (in RMB)	172,524	233,471
China Cloud Computing ETF (in RMB)	106,517	143,994
Hang Seng ESG ETF (in HK\$)	2,499	3,104
Electric Vehicle and Battery Active ETF (in USD)	421	851
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD)	984	1,516
Hang Seng TECH ETF (in HK\$)	797,245	986,690
USD Money Market ETF (in USD)	56,633	43,042
<u>Unlisted Class – Class E (USD)</u>		
USD Money Market ETF (in USD)	5,522	56
<u>Unlisted Class – Class R2 (HKD)</u>		
MSCI China ETF (in HK\$)	2	N/A
Hang Seng High Dividend Yield ETF (in HK\$)	10	N/A
USD Money Market ETF (in USD)	2	N/A
<u>Unlisted Class – Class R2 (RMB)</u>		
MSCI China ETF (in HK\$)	2	N/A
Hang Seng High Dividend Yield ETF (in HK\$)	11	N/A
USD Money Market ETF (in USD)	2	N/A
<u>Unlisted Class – Class R2 (USD)</u>		
MSCI China ETF (in HK\$)	13	N/A
Hang Seng High Dividend Yield ETF (in HK\$)	80	N/A
USD Money Market ETF (in USD)	13	N/A

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023.

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023.

<sup>3</sup>MSCI China ETF has adopted a single management fee structure with effect from 1 March 2021. China Biotech ETF and China Cloud Computing ETF have adopted a single management fee structure with effect from 1 October 2021. Hang Seng High Dividend Yield ETF has adopted a single management fee structure with effect from 1 November 2021. Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF and AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) have adopted a single management fee structure since inception date. Under this structure, the Manager will retain the single management fee to pay for all of the costs, fees and expenses associated with the Sub-Fund, including the fees payable to the Manager for portfolio management services, Manager's servicing fee (if any), Trustee's fee, Registrar's fee, Service Agent's fees and operational fees of the Sub-Fund. Any costs, fees and expenses associated with the Sub-Fund exceeding the single management fee shall be borne by the Manager and shall not be charged to the Sub-Fund.

<sup>4</sup>The management fee for USD Money Market ETF (Listed Class) was 0.35% since launch to 31 December 2023 and 0.05% with effect from 1 January 2024.



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (c) Trustee fee

##### Hang Seng TECH ETF and USD Money Market ETF

The Trustee is entitled to receive trustee fee, which includes trustee, custody and fund accounting fees, calculated at an annual rate of the Dealing NAV accrued daily and payable monthly in arrears.

The annual trustee fee for Hang Seng TECH ETF and USD Money Market ETF is 0.035% for the first USD300 million of the net asset value and 0.025% for the USD300 million or above, subject to a minimum fee of USD2,500 per month and waived for the first 12 months since the launch of the respective sub-funds.

##### Hang Seng High Dividend Yield ETF

Prior to the adoption of the single management fee on 1 November 2021, the Trustee is entitled for the trustee fee at the rate of 0.06% for the first USD200 million of the net asset value, 0.05% for the next USD300 million (i.e. between USD200 million and USD500 million), and 0.04% for the remainder (i.e. USD500 million onwards) for Hang Seng High Dividend Yield ETF. It is also subject to a minimum fee of USD2,500 per month. However, the applied minimum monthly fee can be revised downwards upon concession from the Trustee. The minimum fee is waived for 24 months from 1 May 2018.

	Trustee fee	
	For the period ended 30 September 2024 (unaudited)	For the period ended 30 September 2023 (unaudited)
Hang Seng TECH ETF (in HK\$) <sup>1</sup>	801,377	320,714
USD Money Market ETF (in USD) <sup>2</sup>	9,513	1,937

The trustee fee paid by the Manager on behalf of the Sub-Funds and such amounts payable to the Manager as at 30 September 2024 and 31 March 2024 is as follows:

	Trustee fee payable	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
Hang Seng High Dividend Yield ETF (in HK\$)	–	39,009
Hang Seng TECH ETF (in HK\$)	202,704	318,609
USD Money Market ETF (in USD)	3,969	1,911

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023.

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (c) Trustee fee (continued)

The details of the trustee fee due to the Trustee by the Sub-Funds as at 30 September 2024 and 31 March 2024 is as follows:

	<u>Trustee fee payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
Hang Seng TECH ETF (in HK\$)	746,718	403,397
USD Money Market ETF (in USD)	11,518	4,063

##### (d) Other administrative fee

###### (i) Fees payable

Hang Seng High Dividend Yield ETF has adopted a single management fee structure with effect from 1 November 2021.

Prior to the adoption of the single management fee structure, the Manager is responsible for the payment of certain fees (including but not limited to trustee fee, audit fee and index licensing fee) on behalf of Hang Seng High Dividend Yield ETF. The Manager recharges all or a portion of these fees to the Sub-Fund.

The fees paid by the Manager on behalf of the Sub-Funds prior to the adoption of single management fee structure and such amounts payable to the Manager as at 30 September 2024 and 31 March 2024 is as follows:

	<u>Fees payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
Hang Seng High Dividend Yield ETF (in HK\$)	–	41,247

Hang Seng TECH ETF and USD Money Market ETF have not adopted the single management fee structure and the Manager is responsible for the payment of certain fees (including but not limited to trustee fee, audit fee and index licensing fee) on behalf of the Sub-Funds. The Manager recharges all or a portion of these fees to the respective Sub-Funds.

The fees paid by the Manager on behalf of the Sub-Funds and such amounts payable to the Manager is as follow:

	<u>Fees payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
Hang Seng TECH ETF (in HK\$)	1,004,421	1,153,001
USD Money Market ETF (in USD)	10,981	6,617

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

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#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (e) Bank charges

The bank charges of the Sub-Funds for the period ended 30 September 2024 and 2023 were charged by Citibank, N.A., a related company of the Trustee.

##### (f) Bank balances and financial assets at fair value through profit or loss

###### Bank balances

The bank balances included in the note 8 of all the Sub-Funds were placed with interest-bearing accounts in Citibank, N.A., a related company of the Trustee. The carrying amounts of the bank balances approximate to their fair values. Interest was earned on these bank balances during the period ended 30 September 2024 and 2023.

###### Interest income

Interest income included in the statement of profit or loss and other comprehensive income of the Sub-Funds for the period ended 30 September 2024 and 2023 included the bank balance interest and bank deposit interest earned from Citibank, N.A., a related company of the Trustee.

###### Financial assets at fair value through profit or loss

Financial assets at fair value through profit or loss included in the statement of net assets of the Sub-Funds are held with Citibank, N.A. and Citigroup Global Markets Limited, a related company of the Trustee and Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds as at 30 September 2024 and 31 March 2024 are as follows:

	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
<b>Listed equities</b>		
<u>Citibank, N.A.</u>		
MSCI China ETF (in HK\$)	5,659,600,210	3,796,475,333
Hang Seng High Dividend Yield ETF (in HK\$)	4,224,773,892	2,158,617,704
China Biotech ETF (in RMB)	194,090,269	247,055,196
China Cloud Computing ETF (in RMB)	124,808,803	140,690,118
Hang Seng ESG ETF (in HK\$)	12,268,163	12,429,118
Electric Vehicle and Battery Active ETF (in USD)	754,322	1,276,068
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD)	1,590,351	2,292,622
Hang Seng TECH ETF (in HK\$)	3,277,378,207	3,311,890,278
<b>Debt securities</b>		
<u>Citibank, N.A.</u>		
USD Money Market ETF (in USD)	18,687,789	9,530,305
<b>Unlisted total return swaps</b>		
<u>Citigroup Global Markets Limited</u>		
China Biotech ETF (in RMB)	20,447,849	35,279,932
China Cloud Computing ETF (in RMB)	12,308,457	14,057,640
<u>Mirae Asset Securities (HK) Limited</u>		
China Biotech ETF (in RMB)	79,927,567	84,622,244
China Cloud Computing ETF (in RMB)	104,086,507	84,956,896

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (f) Bank balances and financial assets at fair value through profit or loss (continued)

As at 30 September 2024 and 2023, the Sub-Fund entered into unlisted total return swaps with Citigroup Global Markets Limited, a related company of the Trustee of the Sub-Funds and Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds, with the following realised gains and (losses):

	<u>Realised gains/(losses)</u>	
	As at 30 September 2024 (unaudited)	As at 30 September 2023 (unaudited)
China Biotech ETF (in RMB)	(9,874,578)	(3,614,160)
China Cloud Computing ETF (in RMB)	(16,682,490)	(204,431)

##### (g) Registration fee payable

For each application of unit creation and unit redemption, the Trustee is entitled to a registration fee of HK\$7,500 for MSCI China ETF, Hang Seng Dividend Yield ETF, Hang Seng ESG ETF and HK\$7,500 for Hang Seng TECH ETF from 29 March 2023 (inception date) to 31 August 2023 and HK\$4,000 with effective 1 September 2023, RMB6,000 for China Biotech ETF and China Cloud Computing ETF, USD1,000 for Electric Vehicle and Battery Active ETF and AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) and USD100 for USD Money Market ETF. These fees are borne by the participating dealers. The registration fee would be paid by the participating dealers to the Sub-Funds. The Sub-Funds would pay the registration fee to the Trustee on behalf of the participating dealer. The details of the registration fee due to the Trustee by the Sub-Funds as at the year end are shown below.

The registration fee paid by the Manager on behalf of the Sub-Funds and such amounts payable to the Manager as at 30 September 2024 and 31 March 2024 are as follows:

	<u>Registration fee payable</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
MSCI China ETF (in HK\$)	-	30,000
Hang Seng High Dividend Yield ETF (in HK\$)	-	22,500
China Biotech ETF (in RMB)	-	6,000
China Cloud Computing ETF (in RMB)	-	24,000

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (g) Registration fee payable (continued)

The details of the registration fee due to the Trustee by the Sub-Funds as at 30 September 2024 and 31 March 2024 is shown below.

	Registration fee payable	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
MSCI China ETF (in HK\$)	510,000	225,000
Hang Seng High Dividend Yield ETF (in HK\$)	442,500	218,544
China Biotech ETF (in RMB)	106,000	94,000
China Cloud Computing ETF (in RMB)	72,000	60,000
Hang Seng ESG ETF (in HK\$)	15,000	7,500
Electric Vehicle and Battery Active ETF (in USD)	6,000	8,001
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD)	1,000	–
Hang Seng TECH ETF (in HK\$)	99,000	79,000
USD Money Market ETF (in USD)	2,800	2,100

##### (h) Subscription receivable

As at 30 September 2024, the subscription of MSCI China ETF of HK\$228,330,940 (31 March 2024: HK\$113,891,506) was due from Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

As at 30 September 2024, the subscription of Hang Seng TECH ETF of HK\$28,035,691 (31 March 2024: HK\$nil) was due from Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

##### (i) Redemption payable

As at 30 September 2024, the redemption payable of Hang Seng High Dividend Yield ETF of HK\$290,157,229 (31 March 2024: HK\$nil) was due to Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

As at 30 September 2024, the redemption payable of Hang Seng TECH ETF of HK\$278,816,782 (31 March 2024: HK\$nil) was to Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

As at 30 September 2024, the redemption payable of USD Money Market ETF of USD3,472,342 (31 March 2024: USDnil) was to Mirae Asset Securities (HK) Limited, which is a related party of the Manager.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (j) Amounts due from/to brokers

Certain amount of due from/to brokers of the Sub-Funds as at 30 September 2024 and 31 March 2024 are due from/to Citigroup Global Markets Limited, a related party of the Trustee and Mirae Asset Securities (HK) Limited, a related company of the Manager are as follows:

##### MSCI China ETF

As at 30 September 2024, the amount HK\$25,498,812 (31 March 2024: HK\$9,448,072) of MSCI China ETF was due to Citigroup Global Markets Limited, which is a related party of the Trustee.

As at 30 September 2024, the amount HK\$nil (31 March 2024: HK\$705) of MSCI China ETF was due from Citigroup Global Markets Limited, which is a related party of the Trustee.

##### Hang Seng High Dividend Yield ETF

As at 30 September 2024, the amount HK\$61,885,421 (31 March 2024: HK\$nil) of Hang Seng High Dividend Yield ETF was due from Citigroup Global Markets Limited, which is a related party of the Trustee.

##### Hang Seng ESG ETF

As at 30 September 2024, the amount HK\$nil (31 March 2024: HK\$26,412) of Hang Seng ESG ETF was due to Citigroup Global Markets Limited, which is a related party of the Trustee.

##### Electric Vehicle and Battery Active ETF

As at 30 September 2024, the amount USD3,561 (31 March 2024: USDnil) of Electric Vehicle and Battery Active ETF was due to Citigroup Global Markets Limited, which is a related party of the Trustee.

##### (k) Other income

For the period ended 30 September 2024, the other income of USD Money Market ETF USD28,429 (30 September 2023: USD12,317) pertains to rebate from manager due to the expenses cap of ongoing charges. The ongoing charges for USD Money Market ETF (Listed Class) was capped at 0.45% since launch to 31 December 2023 and capped at 0.09% with effective 1 January 2024. The ongoing charge for USD Money Market ETF (Unlisted Class E) is capped at 0.45% and for USD Money Market ETF (Unlisted Class R2) are capped at 0.75%. Any ongoing expenses exceeding the cap will be borne by the Manager. As at 30 September 2024, the receivable for rebate from manager was USD67,505 (31 March 2024: USD39,075).

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND THEIR CONNECTED PERSONS (CONTINUED)

##### (1) Establishment costs

The establishment costs for establishing Hang Seng TECH ETF and USD Money Market ETF, were paid on behalf of the Sub-Funds by the Manager. The Manager fully recharges all portion of the establishment costs to the respective Sub-Funds.

The fees paid by the Manager on behalf of the Sub-Funds and such amounts payable to the Manager is as follow:

	<u>Establishment costs</u>	
	As at 30 September 2024 (unaudited)	For the Period from 29 March 2023 (date of inception) to 31 March 2024 (audited)
Hang Seng TECH ETF (in HK\$)	–	352,531

	<u>Establishment costs</u>	
	As at 30 September 2024 (unaudited)	For the Period from 28 June 2023 (date of inception) to 31 March 2024 (audited)
USD Money Market ETF (in USD)	45,044	45,044

#### 7. AMOUNTS DUE FROM/TO BROKERS

As at 30 September 2024 and 31 March 2024, the amount due from broker represents receivable for securities sold and sale of foreign exchange spot that have been contracted for but not yet delivered on the reporting date. The balance is receivable in less than 1 month.

	<u>Amounts due from brokers</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
MSCI China ETF (in HK\$)	–	705
Hang Seng High Dividend Yield ETF (in HK\$)	1,917,903,089	–
Hang Seng TECH ETF (in HK\$)	250,690,803	–

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2024

#### 7. AMOUNTS DUE FROM/TO BROKERS (CONTINUED)

As at 30 September 2024 and 31 March 2024, the amounts due to broker represents payables for purchase of securities and purchase of foreign exchange spot that have been contracted for but not yet settled on the reporting date. The balance is repayable in less than 1 month.

	<u>Amounts due to brokers</u>	
	As at 30 September 2024 (unaudited)	As at 31 March 2024 (audited)
MSCI China ETF (in HK\$)	956,130,885	112,366,088
Hang Seng ESG ETF (in HK\$)	–	26,412
Electric Vehicle and Battery Active ETF (in USD)	3,561	–
USD Money Market ETF (in USD)	–	985,944

#### 8. CASH AND CASH EQUIVALENTS

As at 30 September 2024 and 31 March 2024, the cash and cash equivalents included in the statement of net assets of all the Sub-Funds were placed with interest-bearing saving accounts in Citibank, N.A. a related company of the trustee and short term bank deposits of USD Money Market ETF are made for varying periods of between one day and three months depending on the immediate cash requirements and earn interest at the respective short term bank deposit rates.

#### 9. SOFT COMMISSION ARRANGEMENTS

The Manager may effect transactions, provided that any such transaction is consistent with standards of “best execution”, by or through the agency of another person for the accounts of the Sub-Funds with whom the Manager or any of its connected persons has an arrangement under which that party will from time to time provide to or procure for the Manager or any of its connected persons goods, services or other benefits (such as advisory services, computer hardware associated with specialised software or research services and performance measures), the nature of which is such that their provision can reasonably be expected to benefit the Sub-Funds as a whole and may contribute to an improvement in the performance of the Sub-Funds. For the avoidance of doubt, such goods and services may not include travel, accommodation, entertainment, general administrative goods or services, general office equipment or premises, membership fees, employees’ salaries and direct money payments. Since the inception of the Sub-Funds, the Manager has not participated in any soft commission arrangements in respect of any transactions for the accounts of the Sub-Funds.

#### 10. TAXATION

No provision for Hong Kong profits tax has been made for the Sub-Funds as they are authorised as collective investment schemes under section 104 of the Hong Kong Securities and Futures Ordinance and are therefore exempt from Hong Kong profits tax under section 26A(1A) of the Hong Kong Inland Revenue Ordinance.

The Sub-Funds invest in shares of companies in the People’s Republic of China (“PRC”) listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange (“A-shares”) and the SEHK (“H-shares”). Under the PRC Corporate Income Tax Law, the Sub-Funds may be liable to pay PRC tax on the capital gains realised from the trading of A-shares and H-shares.



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 10. TAXATION (CONTINUED)

##### PRC tax provision

Under the general tax provision of PRC Corporate Income Tax Law (“PRC CIT Law”), the non-PRC residents with no place of effective management, establishment or place of business in the PRC may be subject to 10% PRC withholding income tax (“WIT”) on the capital gain derived from disposal of securities, unless exempt or reduced under current PRC tax laws and regulations or relevant tax treaties.

No provision was made for taxation from such gains in the financial statements as the Manager believes that the taxation on capital gains derived from H-Shares is not probable under the current enforcement environment.

Withholding income tax of 10% was charged on dividend income received from A-shares and H-shares during the year.

#### 11. DISTRIBUTIONS TO UNITHOLDERS

Hang Seng High Dividend Yield ETF made the following distributions during the period ended 30 September 2024 and 2023:

	<u>Hang Seng High Dividend Yield ETF</u>	
	For the period ended 30 September 2024 (unaudited) HK\$	For the period ended 30 September 2023 (unaudited) HK\$
Interim distribution to unitholders dated 30 September 2024, HK\$1.36 per unit (2023:HK\$1.35 per unit)	<u>357,136,000</u>	<u>70,740,000</u>

The net income of Hang Seng High Dividend Yield ETF for the period ended 30 September 2024 was HK\$1,081,985,752 (2023: net loss of HK\$87,003,612). The interim distribution amount of Hang Seng High Dividend Yield ETF was recognised in the Statement of changes in net assets attributable to unitholders. The undistributed income of Hang Seng High Dividend Yield ETF carried forward as at 30 September 2024 amounts to HK\$724,849,752 (2023: HK\$nil).

There were no distributions made to unitholders of MSCI China ETF, China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF), Hang Seng TECH ETF and USD Money Market ETF for the period ended 30 September 2024 and 2023.

As at 30 September 2024 and 31 March 2024, no distribution was payable by the Sub-Funds.

GLOBAL X ETF SERIES

NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

12. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

	<u>MSCI China ETF</u>		<u>Hang Seng High Dividend Yield ETF</u>		<u>China Biotech ETF</u>	
	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB
Listed equities	5,659,600,210	3,796,475,333	4,224,773,892	2,158,617,704	194,090,269	247,055,196
Unlisted total return swaps	–	–	–	–	160,374,416	119,902,176
	<u>5,659,600,210</u>	<u>3,796,475,333</u>	<u>4,224,773,892</u>	<u>2,158,617,704</u>	<u>354,464,685</u>	<u>366,957,372</u>
	<u>China Cloud Computing ETF</u>		<u>Hang Seng ESG ETF</u>		<u>Electric Vehicle and Battery Active ETF</u>	
	As at 30 September 2024 (unaudited) RMB	As at 31 March 2024 (audited) RMB	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
Listed equities	124,808,803	140,690,118	12,268,163	12,429,118	754,322	1,276,068
Unlisted total return swaps	116,394,964	99,014,536	–	–	–	–
	<u>241,203,767</u>	<u>239,704,654</u>	<u>12,268,163</u>	<u>12,429,118</u>	<u>754,322</u>	<u>1,276,068</u>
	<u>AI &amp; Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)</u>		<u>Hang Seng TECH ETF</u>		<u>USD Money Market ETF</u>	
	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD	As at 30 September 2024 (unaudited) HK\$	As at 31 March 2024 (audited) HK\$	As at 30 September 2024 (unaudited) USD	As at 31 March 2024 (audited) USD
Listed equities	1,590,351	2,292,622	3,277,378,207	3,311,890,278	–	–
Debt securities	–	–	–	–	18,687,789	9,530,305
	<u>1,590,351</u>	<u>2,292,622</u>	<u>3,277,378,207</u>	<u>3,311,890,278</u>	<u>18,687,789</u>	<u>9,530,305</u>

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 13. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE

The UT Code allows the Sub-Funds to invest in constituent securities issued by a single issuer for more than 10% of the Sub-Funds' net asset value provided that:

- (a) the investment is limited to any constituent securities that each accounts for more than 10% of the weighting of the tracked index; and
- (b) the Sub-Funds' holding of any such constituent securities may not exceed their respective weightings in the tracked index, except where weightings are exceeded as a result of changes in the composition of the tracked index and the excess is only transitional and temporary in nature.

Constituent securities that account for more than 10% of the net asset value of the Sub-Funds as at period/year end were as follows:

<u>As at 30 September 2024 (unaudited)</u>	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>MSCI China ETF</i>		
Tencent Holdings Ltd.	16.29%	16.27%
<i>China Biotech ETF</i>		
Wuxi Aptec Co Ltd-A	10.20%	10.19%
<i>Electric Vehicle and Battery Active ETF</i>		
Eve Energy Co Ltd-A	N/A	10.58%
Contemporary Amperex Techn-A	N/A	11.08%
<i>AI &amp; Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)</i>		
Meta Platforms Inc-Class A	N/A	10.00%
<u>As at 31 March 2024 (audited)</u>	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>MSCI China ETF</i>		
Tencent Holdings Ltd.	14.19%	14.19%
<i>China Biotech ETF</i>		
Jiangsu Hengrui Pharmaceut-A (formerly known as Jiangsu Hengrui Medicine C-A)	11.59%	11.58%
<i>China Cloud Computing ETF</i>		
Netease Inc-ADR	10.22%	10.21%
<i>AI &amp; Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)</i>		
Alphabet Inc-Cl A	N/A	10.68%

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 13. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE (CONTINUED)

Hang Seng High Dividend Yield ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Hang Seng TECH ETF, USD Money Market ETF (31 March 2024: Hang Seng High Dividend Yield ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, Hang Seng TECH ETF and USD Money Market ETF) did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 30 September 2024.

#### 14. SEGMENT INFORMATION

The Manager makes the strategic resource allocations on behalf of the Sub-Funds and has determined the operating segments based on the reports reviewed which are used to make strategic decisions.

The Manager considers that each Sub-Fund has a single operating segment which is investing in securities for Global X MSCI China ETF, Global X Hang Seng High Dividend Yield ETF, Global X China Biotech ETF, Global X China Cloud Computing ETF, Global X Hang Seng ESG ETF, Global X Electric Vehicle and Battery Active ETF, Global X AI & Innovative Technology Active ETF (formerly known as Global X Metaverse Theme Active ETF) and Global X Hang Seng TECH ETF and investing in short term deposits and high quality money market investments for Global X USD Money Market ETF. The objectives of the Sub-Funds, except for Electric Vehicle and Battery Active ETF and AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF), are to track the performance of their respective indices and invest in substantially all the index constituents with security weight and industry weight that are closely aligned with the characteristics of the tracked indices.

The objective of Electric Vehicle and Battery Active ETF is to invest in companies which are directly or indirectly involved in electric vehicle or electric vehicle-related battery businesses (the “EV/Battery Business”), and by adopting an active investment strategy to achieve long-term capital growth.

The objective of AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) is to invest in equity securities and equity-related securities of companies which (i) create, design and develop, or (ii) benefit from the advancement of, AI and Innovative Technologies, and by adopting an active investment strategy to achieve long-term capital growth.

The internal financial information used by the Manager for the Sub-Funds’ assets, liabilities and performance is the same as that disclosed in the statement of net assets and statement of profit or loss and other comprehensive income.

There were no changes in the reportable segment during the period.

The Sub-Funds are domiciled in Hong Kong. Majority of the Sub-Funds’ income is derived from investments in securities which constitute the relevant tracked indices. The Sub-Funds’ investments have been categorised by the relevant industry but no industrial information for performance is provided as the Manager considers that the cost to develop it would be excessive and the information is generally not used by the Manager in managing the Sub-Funds.

The Sub-Funds, except for Electric Vehicle and Battery Active ETF and AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF), have portfolios that closely correspond to the security weight and industry weight of the relevant tracked indices. Refer to note 13 for unit holdings that account for more than 10% of each Sub-Fund’s net asset value.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2024

#### 15. EVENTS AFTER THE REPORTING PERIOD

Two new Sub-funds, namely Global X Emerging Markets Asia Active ETF and Global X India Sector Leader Active ETF, will be launched and listed on the SEHK on 26 November 2024.

##### MSCI China ETF

Subsequent to 30 September 2024 and up to 22 November 2024, there is net redemption of units of HK\$1,174,209,820 which there is of units redemption of 80,400,000 units of HK\$2,352,848,480 and issue of 36,400,000 units of HK\$1,178,638,660.

##### Hang Seng High Dividend Yield ETF

Subsequent to 30 September 2024 and up to 22 November 2024, there is net redemption of units of HK\$2,015,916,420 which there is of units redemption of 85,800,000 units of HK\$2,054,227,140 and issue of 1,600,000 units of HK\$38,310,720.

##### USD Money Market ETF

Subsequent to 30 September 2024 and up to 22 November 2024, there is net subscription of units of USD17,905,364 for listed class which there is of units redemption of 112,000 units of USD15,038,063 and issue of 246,000 units of USD32,943,427.

Subsequent to 30 September 2024 and up to 22 November 2024, there is net redemption of units of USD1,877,801 for unlisted class E which there is of units redemption of 15,377 units of USD1,979,801 and issue of 793 units of USD102,000.

## GLOBAL X ETF SERIES

### ESG DISCLOSURE FOR GLOBAL X HANG SENG ESG ETF (UNAUDITED)

As at 30 September 2024

	<b>Global X ETF Series Global X Hang Seng ESG ETF (1 April 2024 – 30 September 2024)</b>
Actual proportion of underlying investments that are commensurate with the Sub-Fund’s ESG focus	From 1 April 2024 to 30 September 2024, 99.2% of the Sub-Fund’s average net asset value was invested in securities that are commensurate with the Sub-Fund’s ESG focus.
Actual proportion of the investment universe that was eliminated or selected as a result of the Sub-Fund’s ESG-related screening	<p>Global X Hang Seng ESG ETF is an index-tracking fund, which invests in constituents of the HSI ESG Enhanced Index (the “Underlying Index”). The investment decisions are based on the criteria set forth in the index methodology established by index provider. The Manager places reliance on the index methodology of the Underlying Index.</p> <p>The universe of the Underlying Index comprises the constituents of the Hang Seng Index (the “Base Index”), with three ESG screenings applied. The three ESG screenings are (i) ESG Risk Rating screening based on the ESG Risk Ratings from Sustainalytics, (ii) the United Nation Global Compact (“UNGC”) principal screening based on the UNGC compliance ratings from Sustainalytics, Arabesque S-Ray and ISS ESG, and (iii) the controversial product involvement screening based on the controversial product involvement data from Sustainalytics. From 1 April 2024 – 30 September 2024, 15.0% of the securities in the Base Index was eliminated from the universe of the underlying index of the Sub-Fund as a result of the ESG screenings, according to data from Hang Seng Indexes Company Limited.</p>
Comparison of the performance of the Sub-Fund’s ESG factors against the designated reference benchmark (if any)	From the 1 April 2024 to 30 September 2024, the net total returns of the underlying index of the Sub-Fund and the Base Index were 31.9% and 32.3% respectively, according to data from Hang Seng Indexes Company Limited.
Actions taken by the Sub-Fund in attaining the Sub-Fund’s ESG focus	The Manager engages directly with the index provider of HSI ESG Enhanced Index on an ongoing basis to ensure that the Sub-Fund’s ESG focus has been duly considered and the suitable ESG criteria have been used in the index methodology. The Manager has retained an independent third-party proxy adviser to provide research and voting recommendations for the Sub-Fund. During the period from 1 April 2024 – 30 September 2024, 99.2% of the proxy votes were casted in accordance with the proxy voting guidelines adopted by the Manager and the voting recommendations provided by the third-party proxy adviser.

**GLOBAL X ETF SERIES**

**ESG DISCLOSURE FOR GLOBAL X HANG SENG ESG ETF (UNAUDITED) (CONTINUED)**

As at 30 September 2024

	<b>Global X ETF Series Global X Hang Seng ESG ETF (1 April 2024 – 30 September 2024)</b>
Description of the basis of the assessment performed	The underlying index of the Sub-Fund only includes securities issued by companies that are commensurate with the Sub-Fund's ESG focus. The actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus is determined based on the proportion of net asset value of the Sub-Fund invested in constituents of underlying index of the Sub-Fund.

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2024

#### MSCI China ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities</b>			
<b>China</b>			
360 SECURITY TECHNOLOGY IN-A	125,323	1,225,386	0.02%
37 INTERACTIVE ENTERTAINME-A	39,386	782,458	0.01%
ACCELINK TECHNOLOGIES CO -A	13,548	505,371	0.01%
ACM RESEARCH SHANGHAI I-A	5,127	600,262	0.01%
ADVANCED MICRO-FABRICATION-A	11,556	2,103,380	0.04%
AECC AERO-ENGINE CONTROL-A	23,174	564,549	0.01%
AECC AVIATION POWER CO-A	50,733	2,324,887	0.04%
AGRICULTURAL BANK OF CHINA-A	1,618,337	8,621,376	0.15%
AIER EYE HOSPITAL GROUP CO-A	172,597	3,047,683	0.05%
AIR CHINA LTD-A	214,627	1,877,055	0.03%
ALUMINUM CORP OF CHINA LTD-A	243,776	2,407,949	0.04%
AMLOGIC SHANGHAI INC-A	7,013	547,563	0.01%
ANGEL YEAST CO LTD-A	14,887	601,746	0.01%
ANHUI CONCH CEMENT CO LTD-A	73,664	2,137,112	0.04%
ANHUI GUJING DISTILLERY CO-A	6,442	1,451,530	0.03%
ANHUI GUJING DISTILLERY CO-B	35,970	4,503,444	0.08%
ANHUI JIANGHUAI AUTO GROUP-A	35,521	988,733	0.02%
ANHUI KOUZI DISTILLERY CO -A	10,972	583,903	0.01%
ANHUI YINGJIA DISTILLERY C-A	12,136	982,174	0.02%
ANJOY FOODS GROUP CO LTD-A	4,714	519,733	0.01%
ANKER INNOVATIONS TECHNOLO-A	9,943	925,420	0.02%
ASYMCHEM LABORATORIES TIAN-A	6,276	583,217	0.01%
AUTOBIO DIAGNOSTICS CO LTD-A	9,507	508,155	0.01%
AVARY HOLDING SHENZHEN CO -A	42,183	1,674,645	0.03%
AVICOPTER PLC-A	15,512	718,255	0.01%
BAIC BLUEPARK NEW ENERGY -A	94,576	847,073	0.01%
BANK OF BEIJING CO LTD -A	403,341	2,614,277	0.05%
BANK OF CHANGSHA CO LTD-A	73,029	678,402	0.01%
BANK OF CHENGDU CO LTD-A	70,291	1,228,702	0.02%
BANK OF CHINA LTD-A	667,689	3,705,191	0.07%
BANK OF COMMUNICATIONS CO-A	746,020	6,127,010	0.11%
BANK OF HANGZHOU CO LTD-A	112,913	1,766,971	0.03%
BANK OF JIANGSU CO LTD-A	349,022	3,253,857	0.06%
BANK OF NANJING CO LTD -A	190,511	2,315,264	0.04%
BANK OF NINGBO CO LTD -A	125,833	3,589,170	0.06%
BANK OF SHANGHAI CO LTD-A	270,832	2,371,611	0.04%
BANK OF SUZHOU CO LTD-A	62,373	560,030	0.01%
BAOSHAN IRON & STEEL CO-A	407,991	3,142,508	0.06%
BEIJING ENLIGHT MEDIA CO L-A	52,898	522,511	0.01%
BEIJING KINGSOFT OFFICE SO-A	8,399	2,483,294	0.04%
BEIJING NEW BUILDING MATER-A	30,775	1,126,458	0.02%
BEIJING ORIENTAL YUHONG-A	18,753	287,013	0.01%
BEIJING ROBOROCK TECHNOLOG-A	3,350	1,033,311	0.02%
BEIJING TIANTAN BIOLOGICAL-A	37,531	1,047,181	0.02%
BEIJING TONG REN TANG CO-A (formerly known as BEIJING TONGRENTANG CO-A)	25,941	1,210,939	0.02%
BEIJING WANTAI BIOLOGICAL-A	19,932	1,811,098	0.03%
BEIJING YANJING BREWERY CO-A	51,077	637,741	0.01%
BEIJING-SHANGHAI HIGH SPE-A	936,544	6,278,147	0.11%
BETHEL AUTOMOTIVE SAFETY S-A	9,535	517,694	0.01%
BLOOMAGE BIOTECHNOLOGY COR-A	9,150	715,940	0.01%
BOC INTERNATIONAL CHINA CO-A	41,305	577,616	0.01%
BOE TECHNOLOGY GROUP CO LT-A	704,697	3,496,040	0.06%
BYD CO LTD -A	34,406	11,734,843	0.21%
BY-HEALTH CO LTD-A	30,689	507,499	0.01%
CAITONG SECURITIES CO LTD-A	81,156	785,423	0.01%
CAMBRICON TECHNOLOGIES-A	6,847	2,197,379	0.04%



## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
CATHAY BIOTECH INC-A	9,533	502,985	0.01%
CGN POWER CO LTD-A	299,800	1,497,306	0.03%
CHANGCHUN HIGH-TECH INDUST-A	6,515	794,582	0.01%
CHANGJIANG SECURITIES CO L-A	80,457	644,714	0.01%
CHANGZHOU XINGYU AUTOMOTIV-A	4,618	756,855	0.01%
CHAOZHOU THREE-CIRCLE GROU-A	36,137	1,487,964	0.03%
CHIFENG JILONG GOLD MINING-A	29,667	664,119	0.01%
CHINA CONSTRUCTION BANK-A	182,762	1,608,516	0.03%
CHINA CSSC HOLDINGS LTD-A	82,300	3,815,318	0.07%
CHINA EASTERN AIRLINES CO-A	315,663	1,450,409	0.03%
CHINA ENERGY ENGINEERING C-A	580,309	1,552,182	0.03%
CHINA EVERBRIGHT BANK CO-A	885,137	3,536,547	0.06%
CHINA GALAXY SECURITIES CO-A	114,556	1,956,694	0.03%
CHINA GREAT WALL SECURITIE-A	66,589	679,179	0.01%
CHINA GREATWALL TECHNOLOGY-A	56,619	652,268	0.01%
CHINA INTERNATIONAL CAPTAL-A	43,408	1,860,099	0.03%
CHINA JUSHI CO LTD -A	70,381	918,606	0.02%
CHINA LIFE INSURANCE CO-A	48,476	2,367,259	0.04%
CHINA MERCHANTS BANK-A	379,564	15,843,632	0.28%
CHINA MERCHANTS ENERGY -A	148,727	1,327,126	0.02%
CHINA MERCHANTS EXPRESSWAY-A	108,152	1,511,216	0.03%
CHINA MERCHANTS SECURITIES-A	136,871	2,953,072	0.05%
CHINA MERCHANTS SHEKOU IND-A	172,840	2,349,886	0.04%
CHINA MINSHENG BANKING-A	676,340	3,017,571	0.05%
CHINA NATIONAL CHEMICAL-A	116,336	1,063,917	0.02%
CHINA NATIONAL MEDICINES-A	13,616	522,112	0.01%
CHINA NATIONAL NUCLEAR POW-A	348,201	4,308,948	0.08%
CHINA NATIONAL SOFTWARE -A	12,592	585,705	0.01%
CHINA NORTHERN RARE EARTH -A	67,927	1,555,278	0.03%
CHINA PACIFIC INSURANCE GR-A	124,478	5,401,766	0.09%
CHINA PETROLEUM & CHEMICAL-A	619,039	4,781,825	0.08%
CHINA RAILWAY GROUP LTD-A	391,728	2,856,383	0.05%
CHINA RAILWAY SIGNAL & COM-A	129,362	903,075	0.02%
CHINA RARE EARTH RESOURCES-A	17,470	548,907	0.01%
CHINA RESOURCES MICROELECT-A	23,965	1,254,081	0.02%
CHINA RESOURCES SANJIU MED-A	23,675	1,250,992	0.02%
CHINA SHENHUA ENERGY CO-A	125,120	6,054,519	0.11%
CHINA SOUTHERN AIRLINES CO-A	214,068	1,553,803	0.03%
CHINA STATE CONSTRUCTION -A	791,123	5,426,238	0.10%
CHINA THREE GORGES RENEWAB-A	528,113	2,842,726	0.05%
CHINA TOURISM GROUP DUTY F-A	30,678	2,628,517	0.05%
CHINA UNITED NETWORK-A	585,600	3,477,132	0.06%
CHINA VANKE CO LTD -A	153,694	1,658,019	0.03%
CHINA XD ELECTRIC CO LTD-A	89,992	869,938	0.02%
CHINA YANGTZE POWER CO LTD-A	465,016	15,508,820	0.27%
CHINA ZHESHANG BANK CO LTD-A	394,166	1,286,154	0.02%
CHONGQING BREWERY CO-A	9,085	707,123	0.01%
CHONGQING CHANGAN AUTOMOB-A	157,824	2,606,408	0.05%
CHONGQING RURAL COMMERCIAL-A	163,085	984,644	0.02%
CHONGQING ZHIFEI BIOLOGICA-A	45,122	1,671,633	0.03%
CITI PACIFIC SPECIAL STEE-A	60,111	909,986	0.02%
CITIC SECURITIES CO-A	192,037	5,797,226	0.10%
CMOC GROUP LTD-A	325,826	3,146,092	0.06%
CNGR ADVANCED MATERIAL CO -A	16,527	736,638	0.01%
CNOOC ENERGY TECHNOLOGY & -A	117,312	591,105	0.01%
CNPC CAPITAL CO LTD-A	156,569	1,280,677	0.02%
CONTEMPORARY AMPEREX TECHN-A	83,400	23,315,427	0.41%
COSCO SHIPPING DEVELOPME-A	179,892	527,087	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
COSCO SHIPPING ENERGY TRAN-A	60,649	1,066,889	0.02%
COSCO SHIPPING HOLDINGS CO-A	238,882	4,165,105	0.07%
CRRC CORP LTD-A	457,122	4,144,962	0.07%
CSC FINANCIAL CO LTD-A	70,429	2,099,539	0.04%
CSPC INNOVATION PHARMACEUT-A	33,359	1,144,031	0.02%
DAQIN RAILWAY CO LTD -A	345,933	2,633,798	0.05%
DATANG INTL POWER GEN CO-A	228,864	754,398	0.01%
DONG-E-E-JIAOCO LTD-A	12,153	832,214	0.01%
DONGFANG ELECTRIC CORP LTD-A	50,005	876,873	0.02%
DONGXING SECURITIES CO LT-A	56,281	683,978	0.01%
EAST MONEY INFORMATION CO-A	254,346	5,730,432	0.10%
EASTROC BEVERAGE GROUP CO -A	6,030	1,813,514	0.03%
ECOVACS ROBOTICS CO LTD-A	9,669	549,544	0.01%
EMPYREAN TECHNOLOGY CO LTD-A	8,405	867,628	0.02%
ENN NATURAL GAS CO LTD-A	46,334	1,058,307	0.02%
EOPTOLINK TECHNOLOGY INC L-A	13,315	1,920,661	0.03%
EVE ENERGY CO LTD-A	38,280	2,072,431	0.04%
EVERBRIGHT SECURITIE CO -A	68,903	1,472,093	0.03%
EVERDISPLAY Optronics SHAN-A	209,283	585,330	0.01%
FAW JIEFANG GROUP CO LTD-A	55,606	572,095	0.01%
FLAT GLASS GROUP CO LTD-A	30,997	695,612	0.01%
FOCUS MEDIA INFORMATION TE-A	275,286	2,160,080	0.04%
FOSHAN HAITIAN FLAVOURING -A	87,894	4,698,966	0.08%
FOUNDER SECURITIES CO LTD-A	146,580	1,519,455	0.03%
FOXCONN INDUSTRIAL INTERNE-A	244,287	6,829,594	0.12%
FUYAO GLASS INDUSTRY GROUP-A	36,789	2,376,333	0.04%
GALAXYCORE INC-A	38,694	632,576	0.01%
GANFENG LITHIUM GROUP CO L-A	30,118	1,152,216	0.02%
GD POWER DEVELOPMENT CO -A	329,005	1,997,359	0.04%
GEM CO LTD-A	92,422	731,360	0.01%
GF SECURITIES CO LTD-A	106,846	1,980,346	0.03%
GIGADEVICE SEMICONDUCTO-CL A	12,220	1,198,512	0.02%
GINLONG TECHNOLOGIES CO LT-A	6,677	616,554	0.01%
GOERTEK INC -A	62,195	1,564,852	0.03%
GOLDWIND SCIENCE & TECHNOL-A	62,425	694,213	0.01%
GONEO GROUP CO LTD-A	11,123	1,027,838	0.02%
GOTION HIGH-TECH CO LTD-A	31,773	814,585	0.01%
GREAT WALL MOTOR CO LTD-A	47,447	1,596,104	0.03%
GREE ELECTRIC APPLIANCES I-A	53,466	2,844,737	0.05%
GRG BANKING EQUIPMENT CO -A	43,086	559,485	0.01%
GUANGDONG HAID GROUP CO-A	31,540	1,680,932	0.03%
GUANGHUI ENERGY CO LTD-A	119,628	954,615	0.02%
GUANGZHOU AUTOMOBILE GROUP-A	85,785	840,695	0.01%
GUANGZHOU BAIYUN INTERNATI-A	42,850	497,449	0.01%
GUANGZHOU BAIYUNSHAN PHAR-A	24,266	825,727	0.01%
GUANGZHOU HAIGE COMMUNICAT-A	44,568	525,308	0.01%
GUANGZHOU TINCI MATERIALS -A	32,956	677,395	0.01%
GUOLIAN SECURITIES CO LTD-A	37,314	520,977	0.01%
GUOSEN SECURITIES CO LTD-A	117,280	1,539,838	0.03%
GUOTAI JUNAN SECURITIES CO-A	150,507	2,455,503	0.04%
GUOYUAN SECURITIES CO LTD-A	76,747	755,529	0.01%
HAIER SMART HOME CO LTD-A	118,037	4,211,779	0.07%
HAINAN AIRLINES HOLDING CO-A	770,924	1,103,742	0.02%
HAINAN AIRPORT INFRASTRUCT-A	192,882	819,892	0.01%
HAITONG SECURITIES CO LTD-A	193,494	1,883,361	0.03%
HANG ZHOU GREAT STAR INDUS-A	21,734	756,212	0.01%
HANGZHOU FIRST APPLIED MAT-A	47,389	928,827	0.02%
HANGZHOU SILAN MICROELECTR-A	28,615	718,378	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
HANGZHOU TIGERMED CONSULTI-A	5,996	459,107	0.01%
HEBEI YANGYUAN ZHIHUI BEVE-A	22,508	578,052	0.01%
HEILONGJIANG AGRICULTURE-A	30,688	506,120	0.01%
HENAN SHENHUO COAL & POWER-A	42,863	955,240	0.02%
HENAN SHUANGHUI INVESTMENT-A	65,811	1,978,672	0.03%
HENGLI PETROCHEMICAL CO L-A	133,881	2,326,897	0.04%
HENGTONG OPTIC-ELECTRIC CO-A	42,802	803,293	0.01%
HENGYI PETROCHEMICAL CO -A	62,361	485,174	0.01%
HISENSE HOME APPLIANCES G-A	16,288	641,745	0.01%
HISENSE VISUAL TECH CO LTD-A	22,350	555,142	0.01%
HITHINK ROYALFLUSH INFORMA-A	8,593	1,843,595	0.03%
HLA GROUP CORP L-A	88,960	748,394	0.01%
HOSHINE SILICON INDUSTRY C-A	14,839	988,643	0.02%
HUADIAN POWER INTL CORP-A	170,787	1,135,398	0.02%
HUADONG MEDICINE CO LTD-A	31,783	1,234,256	0.02%
HUAFON CHEMICAL CO LTD -A	92,225	859,794	0.02%
HUAGONG TECH CO LTD-A	18,943	734,158	0.01%
HUAIBEI MINING HOLDINGS CO-A	47,993	959,308	0.02%
HUALAN BIOLOGICAL ENGINEER-A	31,768	631,469	0.01%
HUANENG LANCANG RIVER HYDR-A	102,595	1,315,148	0.02%
HUANENG POWER INTL INC-A	161,678	1,383,476	0.02%
HUATAI SECURITIES CO LTD-A	135,787	2,652,389	0.05%
HUAXIA BANK CO LTD-A	253,085	2,086,996	0.04%
HUAYU AUTOMOTIVE SYSTEMS -A	58,098	1,156,133	0.02%
HUBEI JUMPCAN PHARMACEUT-A	14,053	488,492	0.01%
HUIZHOU DESAY SV AUTOMOTIV-A	9,640	1,281,634	0.02%
HUMANWELL HEALTHCARE GROUP-A	29,934	700,661	0.01%
HUNAN VALIN STEEL CO LTD -A	119,747	624,639	0.01%
HUNDSUN TECHNOLOGIES INC-A	27,673	702,407	0.01%
HWATSING TECHNOLOGY CO LTD-A	4,305	773,403	0.01%
HYGON INFORMATION TECHNOLO-A	44,254	5,072,653	0.09%
IEIT SYSTEMS CO LTD-A	27,531	1,268,050	0.02%
IFLYTEK CO LTD - A	43,205	2,130,956	0.04%
IMEIK TECHNOLOGY DEVELOPME-A	5,062	1,323,622	0.02%
IND & COMM BK OF CHINA-A	1,195,824	8,202,045	0.14%
INDUSTRIAL BANK CO LTD -A	381,954	8,168,817	0.14%
INDUSTRIAL SECURITIES CO-A	144,002	1,089,982	0.02%
INGENIC SEMICONDUCTOR CO -A	8,985	647,386	0.01%
INNER MONGOLIA BAOTOU STE-A	845,167	1,622,763	0.03%
INNER MONGOLIA DIAN TOU EN-A	39,704	872,941	0.01%
INNER MONGOLIA JUNZHENG EN-A	150,057	764,426	0.01%
INNER MONGOLIA YILI INDUS-A	120,927	3,901,528	0.07%
INNER MONGOLIA YITAI COAL-B	337,672	5,623,408	0.10%
INNER MONGOLIA YUAN XING-A	67,510	505,004	0.01%
ISOFTSTONE INFORMATION TEC-A	14,514	829,907	0.01%
JA SOLAR TECHNOLOGY CO LTD-A	60,145	904,493	0.02%
JCET GROUP CO LTD-A	32,928	1,291,146	0.02%
JCHX MINING MANAGEMENT CO -A	10,560	586,707	0.01%
JIANGSU EASTERN SHENGHONG -A	120,394	1,239,993	0.02%
JIANGSU HENGLI HYDRAULIC C-A	25,413	1,776,900	0.03%
JIANGSU HENGRUI PHARMACEUT-A	121,208	7,035,571	0.12%
JIANGSU KING'S LUCK BREWER-A	22,472	1,285,442	0.02%
JIANGSU NHWA PHARMACEUTICA-A	17,252	521,762	0.01%
JIANGSU PHOENIX PUBLISH-A	43,716	582,706	0.01%
JIANGSU YANGHE DISTILLERY-A (formerly known as JIANGSU YANGHE BREWERY -A)	28,504	3,137,907	0.05%
JIANGSU YANGNONG CHEMICAL -A	6,478	445,758	0.01%
JIANGSU YOKE TECHNOLOGY-A	8,894	605,885	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
JIANGSU YUYUE MEDICAL EQU-A	18,963	805,648	0.01%
JIANGSU ZHONGTIAN TECHNOLO-A	64,837	1,114,656	0.02%
JIANGXI COPPER CO LTD-A	38,061	1,031,132	0.02%
JINDUICHENG MOLYBDENUM CO -A	56,505	746,277	0.01%
JINKO SOLAR CO LTD-A	190,811	1,865,717	0.03%
JOINTOWN PHARMACEUTICAL-A	90,826	578,613	0.01%
JUNEYAO AIRLINES CO LTD-A	38,922	588,354	0.01%
KUANG-CHI TECHNOLOGIES CO-A	35,065	972,149	0.02%
KUNLUN TECH CO LTD-A	21,400	891,846	0.02%
KWEICHOW MOUTAI CO LTD-A	24,122	46,797,336	0.82%
LB GROUP CO LTD-A	42,860	987,996	0.02%
LENS TECHNOLOGY CO LTD-A	92,225	2,093,189	0.04%
LEPU MEDICAL TECHNOLOGY-A	33,355	487,543	0.01%
LIAONING PORT CO LTD-A	334,124	552,536	0.01%
LINGYI ITECH GUANGDONG CO -A	122,553	1,021,481	0.02%
LIVZON PHARMACEUTICAL GROU-A	10,357	467,263	0.01%
LONGI GREEN ENERGY TECHNOL-A	142,183	2,771,013	0.05%
LUXSHARE PRECISION INDUSTR-A	136,191	6,569,080	0.12%
LUZHOU LAOJIAO CO LTD-A	23,302	3,871,519	0.07%
MANGO EXCELLENT MEDIA CO L-A	33,683	983,927	0.02%
MAXSCEND MICROELECTRONICS -A	9,772	1,007,439	0.02%
MEIHUA HOLDINGS GROUP CO -A	52,876	639,663	0.01%
METALLURGICAL CORP OF CHIN-A	326,326	1,209,663	0.02%
MIDEA GROUP CO LTD-A	65,996	5,571,092	0.10%
MONTAGE TECHNOLOGY CO LTD-A	20,835	1,546,522	0.03%
MUYUAN FOODS CO LTD-A	104,162	5,353,656	0.09%
NANJING IRON & STEEL CO-A	106,820	574,991	0.01%
NANJING SECURITIES CO LTD-A	62,552	672,021	0.01%
NARI TECHNOLOGY CO LTD-A	152,411	4,680,496	0.08%
NATIONAL SILICON INDUSTRY -A	46,129	971,710	0.02%
NAURA TECHNOLOGY GROUP CO-A	9,850	4,000,921	0.07%
NEW CHINA LIFE INSURANCE C-A	38,110	1,963,408	0.03%
NEW HOPE LIUHE CO LTD-A	82,552	953,772	0.02%
NINESTAR CORP-A	26,729	899,749	0.02%
NINGBO DEYE TECHNOLOGY CO -A	12,704	1,433,648	0.02%
NINGBO JOYSON ELECTRONIC -A	24,262	464,496	0.01%
NINGBO ORIENT WIRES & CABL-A	12,625	773,038	0.01%
NINGBO SANXING MEDICAL CO -A	25,351	981,663	0.02%
NINGBO TUOPU GROUP CO LTD-A	28,701	1,473,564	0.03%
NINGXIA BAOFENG ENERGY GRO-A	139,645	2,689,003	0.05%
OFFSHORE OIL ENGINEERING-A	79,790	515,392	0.01%
OFILM GROUP CO LTD-A	62,878	694,366	0.01%
OPPEIN HOME GROUP INC-A	8,712	604,316	0.01%
ORIENT SECURITIES CO LTD-A	137,623	1,696,959	0.03%
PANGANG GROUP VANADIUM TIT-A	170,068	556,815	0.01%
PETROCHINA CO LTD-A	392,760	3,931,879	0.07%
PHARMARON BEIJING CO LTD-A	26,167	881,412	0.02%
PICC HOLDING CO-A	174,500	1,440,903	0.03%
PING AN BANK CO LTD-A	368,910	4,999,223	0.09%
PING AN INSURANCE GROUP CO-A	204,140	12,934,646	0.23%
PINGDINGSHAN TIANAN COAL -A	42,568	517,798	0.01%
PIOTECH INC-A	4,773	762,817	0.01%
POLY DEVELOPMENTS AND HOLD-A	217,419	2,661,579	0.05%
POSTAL SAVINGS BANK OF CHI-A	536,562	3,132,363	0.06%
POWER CONSTRUCTION CORP OF-A	317,733	1,988,876	0.03%
QINGHAI SALT LAKE INDUSTRY-A	103,473	2,131,432	0.04%
RANGE INTELLIGENT COMPUTI-A	25,133	894,003	0.02%
ROCKCHIP ELECTRONICS CO L-A	6,475	466,967	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
RONGSHENG PETROCHEMICAL CO-A	192,897	2,215,808	0.04%
S F HOLDING CO LTD-A	92,969	4,641,133	0.08%
SAIC MOTOR CORP LTD-A	141,824	2,302,822	0.04%
SAILUN GROUP CO LTD-A	60,548	1,077,880	0.02%
SANAN OPTOELECTRONICS CO L-A	86,958	1,202,525	0.02%
SANY HEAVY INDUSTRY CO LTD-A	161,603	3,386,240	0.06%
SATELLITE CHEMICAL CO LTD-A	64,141	1,329,066	0.02%
SDIC CAPITAL CO LTD-A	110,064	996,786	0.02%
SDIC POWER HOLDINGS CO LTD-A	141,533	2,662,526	0.05%
SERES GROUP CO L-A	26,387	2,648,018	0.05%
SG MICRO CORP-A	8,813	929,210	0.02%
SHAANXI COAL INDUSTRY CO L-A	178,794	5,472,851	0.10%
SHAN XI HUA YANG GROUP NEW-A	63,053	592,728	0.01%
SHANDONG GOLD MINING CO LT-A	66,494	2,161,565	0.04%
SHANDONG HIMILE MECHANICAL-A	13,647	700,966	0.01%
SHANDONG HUALU HENGSHENG-A	38,029	1,105,393	0.02%
SHANDONG LINGLONG TYRE CO -A	25,238	564,132	0.01%
SHANDONG NANSHAN ALUMINUM-A	212,274	1,031,899	0.02%
SHANDONG SUN PAPER INDUSTR-A	50,216	840,446	0.01%
SHANGHAI BAOSIGHT SOFTWARE-A	40,821	1,494,625	0.03%
SHANGHAI BAOSIGHT SOFTWARE-B	229,715	3,060,083	0.05%
SHANGHAI BOCHU ELECTRONIC-A	3,803	889,361	0.02%
SHANGHAI ELECTRIC GRP CO L-A	222,299	1,115,173	0.02%
SHANGHAI ELECTRIC POWER CO-A	49,181	527,825	0.01%
SHANGHAI FOSUN PHARMACEUTI-A	38,051	1,168,535	0.02%
SHANGHAI INTERNATIONAL AIR-A	21,858	932,039	0.02%
SHANGHAI INTERNATIONAL POR-A	123,270	889,277	0.02%
SHANGHAI LINGANG HOLDINGS-A	41,275	523,600	0.01%
SHANGHAI M&G STATIONERY IN-A	15,742	618,660	0.01%
SHANGHAI PHARMACEUTICALS-A	50,209	1,178,578	0.02%
SHANGHAI PUDONG DEVEL BANK-A	559,793	6,293,660	0.11%
SHANGHAI PUTAILAI NEW ENER-A	37,977	630,127	0.01%
SHANGHAI RAAS BLOOD PRODUC-A	126,735	1,111,194	0.02%
SHANGHAI RURAL COMMERCIAL -A	170,651	1,407,227	0.02%
SHANGHAI UNITED IMAGING HE-A	15,655	2,223,973	0.04%
SHANGHAI ZHANGJIANG HIGH-A	27,193	720,404	0.01%
SHANJIN INTERNATIONAL GOLD-A (formerly known as YINTAI GOLD CO LTD-A)	49,978	1,032,266	0.02%
SHANXI COAL INTERNATIONAL -A	34,293	531,321	0.01%
SHANXI COKING COAL ENERGY-A	102,814	1,090,879	0.02%
SHANXI LU'AN ENVIRONMENTAL-A	52,173	1,022,014	0.02%
SHANXI XINGHUACUN FEN WINE-A	20,618	5,008,859	0.09%
SHENERGY COMPANY LIMITED-A	88,589	839,661	0.01%
SHENGYI TECHNOLOGY CO LTD -A	40,816	944,049	0.02%
SHENNAN CIRCUITS CO LTD-A	9,563	1,177,785	0.02%
SHENWAN HONGYUAN GROUP CO-A	416,752	2,645,697	0.05%
SHENZHEN CAPCHEM TECHNOLOG-A	14,037	633,288	0.01%
SHENZHEN ENERGY GROUP CO L-A	86,870	673,928	0.01%
SHENZHEN GOODIX TECHNOLOGY-A	8,072	626,754	0.01%
SHENZHEN INOVANCE TECHNOLO-A	25,411	1,761,248	0.03%
SHENZHEN MINDRAY BIO-MEDIC-A	22,858	7,433,139	0.13%
SHENZHEN NEW INDUSTRIES BI-A	14,150	1,287,137	0.02%
SHENZHEN SALUBRIS PHARM-A	21,061	810,166	0.01%
SHENZHEN TRANSSION HOLDING-A	20,850	2,497,552	0.04%
SHENZHEN YUTO PACKAGING TE-A	16,245	463,721	0.01%
SHIJIAZHUANG YILING PHARMA-A	28,448	568,948	0.01%
SICHUAN CHUANTOU ENERGY CO-A	90,116	1,885,296	0.03%
SICHUAN KELUN PHARMACEUTIC-A	29,363	1,042,838	0.02%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
SICHUAN ROAD&BRIDGE GROUP-A	127,986	998,583	0.02%
SIEYUAN ELECTRIC CO LTD-A	14,545	1,192,956	0.02%
SINOLINK SECURITIES CO LTD-A	62,977	685,674	0.01%
SINOMA INTERNATIONAL ENGIN-A	47,662	608,326	0.01%
SOOCHOW SECURITIES CO LTD-A	88,746	829,330	0.01%
SOUTHWEST SECURITIES CO LT-A	101,688	549,623	0.01%
SPRING AIRLINES CO LTD-A	18,574	1,274,179	0.02%
SUNGROW POWER SUPPLY CO LT-A	39,188	4,331,034	0.08%
SUNWODA ELECTRONIC CO LTD-A	33,677	820,790	0.01%
SUPCON TECHNOLOGY CO LTD-A	14,407	804,441	0.01%
SUZHOU DONGSHAN PRECISION-A	30,582	798,985	0.01%
SUZHOU MAXWELL TECHNOLOGIE-A	4,977	585,076	0.01%
SUZHOU TFC OPTICAL COMMUNI-A	9,835	1,097,000	0.02%
TBEA CO LTD-A	92,592	1,504,461	0.03%
TCL TECHNOLOGY GROUP CORP-A	345,305	1,755,233	0.03%
TCL ZHONGHUAN RENEWABLE EN-A	74,680	858,678	0.01%
TIAN DI SCIENCE & TECHNOLO-A	74,068	535,975	0.01%
TIANQI LITHIUM CORP-A	24,822	969,719	0.02%
TIANSHAN ALUMINUM GROUP CO-A	81,783	776,968	0.01%
TIANSHUI HUATIAN TECHNOLOG-A	57,573	596,805	0.01%
TONGFU MICROELECTRONIC CO-A	28,865	733,303	0.01%
TONGKUN GROUP CO LTD-A	41,322	620,964	0.01%
TONGLING NONFERROUS METALS-A	225,227	964,882	0.02%
TONGWEI CO LTD-A	82,973	2,102,370	0.04%
TRINA SOLAR CO LTD-A	37,299	928,109	0.02%
TSINGTAO BREWERY CO LTD-A	12,859	1,118,182	0.02%
UNIGROUP GUOXIN MICROELECT-A	15,638	1,082,489	0.02%
UNISPLENDOUR CORP LTD-A	52,895	1,417,158	0.02%
UNIVERSAL SCIENTIFIC INDUS-A	32,765	580,740	0.01%
VICTORY GIANT TECHNOLOGY -A	16,302	718,287	0.01%
WANDA FILM HOLDING CO LTD-A	39,004	540,677	0.01%
WANHUA CHEMICAL GROUP CO -A	59,665	6,047,167	0.11%
WEICHAJ POWER CO LTD-A	129,280	2,277,061	0.04%
WEIHAI GUANGWEI COMPOSITES-A	15,731	574,755	0.01%
WENS FOODSTUFF GROUP CO L-A (formerly known as WENS FOODSTUFFS GROUP CO L-A)	126,282	2,822,717	0.05%
WESTERN MINING CO -A	42,642	897,783	0.02%
WESTERN SECURITIES CO LTD-A	77,244	744,991	0.01%
WESTERN SUPERCONDUCTING TE-A	11,616	598,450	0.01%
WILL SEMICONDUCTOR CO LTD-A	21,786	2,592,022	0.05%
WINGTECH TECHNOLOGY CO LTD-A	20,400	741,042	0.01%
WINTIME ENERGY GROUP CO L-A	498,347	741,145	0.01%
WUHAN GUIDE INFRARED CO LT-A	75,591	615,790	0.01%
WULIANGYE YIBIN CO LTD-A	72,429	13,063,482	0.23%
WUS PRINTED CIRCUIT KUNSHA-A	36,135	1,610,602	0.03%
WUXI APPTec CO LTD-A	40,107	2,330,699	0.04%
XCMG CONSTRUCTION MACHIN-A	225,352	1,943,340	0.03%
XIAMEN C & D INC-A	54,248	614,718	0.01%
XIAMEN TUNGSTEN CO LTD-A	25,108	582,962	0.01%
XINJIANG DAQO NEW ENERGY C-A	32,821	954,376	0.02%
YANKUANG ENERGY GROUP CO-A	94,484	1,751,222	0.03%
YANTAI JEREH OILFIELD-A	18,006	657,475	0.01%
YEALINK NETWORK TECHNOLOGY-A	22,477	1,054,726	0.02%
YIFENG PHARMACY CHAIN CO L-A	20,302	575,024	0.01%
YIHAI KERRY ARAWANA HOLDIN-A	29,015	1,142,865	0.02%
YONYOU NETWORK TECHNOLOGY-A	52,288	667,369	0.01%
YOUNGOR FASHION CO LTD-A	84,147	754,599	0.01%
YTO EXPRESS GROUP CO LTD-A	59,955	1,187,764	0.02%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
YUNNAN ALUMINIUM CO LTD-A	61,583	1,010,870	0.02%
YUNNAN BAIYAO GROUP CO LTD-A	33,953	2,298,658	0.04%
YUNNAN CHIHONG ZINC & GERM-A	89,200	567,265	0.01%
YUNNAN ENERGY NEW MATERIAL-A	16,210	615,464	0.01%
YUNNAN TIN CO LTD-A	28,311	512,164	0.01%
YUNNAN YUNTIANHUA CO-A	33,656	845,305	0.01%
YUTONG BUS CO LTD-A	39,220	1,146,977	0.02%
ZANGGE MINING CO LTD-A	30,000	957,250	0.02%
ZHANGZHOU PIENZHEHUANG PHA-A	11,166	3,203,375	0.06%
ZHEJIANG CENTURY HUATONG -A	125,553	581,071	0.01%
ZHEJIANG CHINA COMMODITIES-A	104,649	1,120,802	0.02%
ZHEJIANG CHINT ELECTRICS-A	38,485	971,289	0.02%
ZHEJIANG DAHUA TECHNOLOGY-A	59,393	1,135,102	0.02%
ZHEJIANG DINGLI MACHINERY -A	8,274	544,181	0.01%
ZHEJIANG HUAHAI PHARMACEUT-A	26,094	567,337	0.01%
ZHEJIANG HUAYOU COBALT CO -A	26,560	869,889	0.02%
ZHEJIANG JINGSHENG MECHANI-A	22,504	808,979	0.01%
ZHEJIANG JUHUA CO-A	48,673	1,203,025	0.02%
ZHEJIANG LONGSHENG GROUP C-A	59,403	693,570	0.01%
ZHEJIANG NHU CO LTD-A	58,833	1,473,733	0.03%
ZHEJIANG SANHUA INTELLIGEN-A	33,353	882,115	0.02%
ZHEJIANG SUPOR CO LTD -A	10,059	653,319	0.01%
ZHEJIANG WANFENG AUTO -A	37,706	633,163	0.01%
ZHEJIANG WEIMING ENVIRONME-A	30,194	728,864	0.01%
ZHEJIANG WEIXING NEW BUILD-A	27,806	461,675	0.01%
ZHEJIANG ZHENENG ELECTRIC-A	213,002	1,590,982	0.03%
ZHESHANG SECURITIES CO LTD-A	65,696	1,050,677	0.02%
ZHONGJI INNOLIGHT CO LTD-A	21,163	3,637,331	0.06%
ZHONGJIN GOLD CORP-A	92,400	1,558,770	0.03%
ZHONGTAI SECURITIES CO LTD-A	120,498	978,943	0.02%
ZHUZHOU CRRC TIMES ELECTRI-A	15,119	890,509	0.02%
ZIJIN MINING GROUP CO LTD-A	391,310	7,878,157	0.14%
ZOOMLION HEAVY INDUSTRY S-A	129,356	1,088,234	0.02%
ZTE CORP-A	76,566	2,647,039	0.05%
		831,999,180	
<b>Hong Kong</b>			
AAC TECHNOLOGIES HOLDINGS IN	228,049	7,274,763	0.13%
AGRICULTURAL BANK OF CHINA-H	8,796,952	32,196,844	0.57%
AKESO INC	193,260	13,257,636	0.23%
ALIBABA GROUP HOLDING LTD	4,841,509	532,565,990	9.36%
ALIBABA HEALTH INFORMATION T	1,792,446	9,589,586	0.17%
ALUMINUM CORP OF CHINA LTD-H	1,251,135	7,732,014	0.14%
ANHUI CONCH CEMENT CO LTD-H	391,289	8,940,954	0.16%
ANTA SPORTS PRODUCTS LTD	405,318	38,282,285	0.67%
AVICHINA INDUSTRY & TECH-H	790,415	3,003,577	0.05%
BAIDU INC-CLASS A	725,288	76,590,413	1.35%
BANK OF CHINA LTD-H	25,396,943	93,206,781	1.64%
BANK OF COMMUNICATIONS CO-H	2,773,674	16,531,097	0.29%
BEIGENE LTD	220,489	31,904,758	0.56%
BEIJING ENTERPRISES HLDGS	160,160	4,468,464	0.08%
BEIJING ENTERPRISES WATER GR	1,278,079	3,092,951	0.05%
BILIBILI INC-CLASS Z	73,533	14,250,695	0.25%
BOC AVIATION LTD	66,021	4,245,150	0.07%
BOSIDENG INTL HLDGS LTD	1,225,216	5,464,463	0.10%
BYD CO LTD-H	331,861	94,182,152	1.65%
BYD ELECTRONIC INTL CO LTD	250,065	8,139,616	0.14%
C&D INTERNATIONAL INVESTMENT	225,675	3,800,367	0.07%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
CGN POWER CO LTD-H	3,372,863	10,118,589	0.18%
CHINA CITIC BANK CORP LTD-H	2,829,376	14,033,705	0.25%
CHINA COAL ENERGY CO-H	653,881	6,342,646	0.11%
CHINA COMMON RICH RENEWABLE ENERGY INVES	583,345	–	0.00%
CHINA COMMUNICATIONS SERVI-H	761,593	3,206,307	0.06%
CHINA CONSTRUCTION BANK-H	30,666,093	180,316,627	3.17%
CHINA EVERBRIGHT BANK CO L-H	1,007,980	2,661,067	0.05%
CHINA FEIHE LTD	1,149,406	6,758,507	0.12%
CHINA GALAXY SECURITIES CO-H	1,099,376	7,981,470	0.14%
CHINA GAS HOLDINGS LTD	861,139	6,226,035	0.11%
CHINA HONGQIAO GROUP LTD	901,131	11,678,658	0.20%
CHINA HUIZHAN DAIRY INVESTMENTS HK LTD	296,760	–	0.00%
CHINA INTERNATIONAL CAPITA-H	483,053	6,714,437	0.12%
CHINA LIFE INSURANCE CO-H	2,366,687	36,967,651	0.65%
CHINA LITERATURE LTD	129,814	4,205,974	0.07%
CHINA LONGYUAN POWER GROUP-H	1,023,803	7,217,811	0.13%
CHINA MENGNIU DAIRY CO	998,313	18,668,453	0.33%
CHINA MERCHANTS BANK-H	1,240,862	47,835,230	0.84%
CHINA MERCHANTS PORT HOLDING	398,689	4,935,770	0.09%
CHINA MINSHENG BANKING COR-H	2,110,207	6,689,356	0.12%
CHINA NATIONAL BUILDING MA-H	1,450,150	4,959,513	0.09%
CHINA OILFIELD SERVICES-H	575,964	4,118,143	0.07%
CHINA OVERSEAS LAND & INVEST	1,214,135	19,329,029	0.34%
CHINA PACIFIC INSURANCE GR-H	835,543	23,395,204	0.41%
CHINA PETROLEUM & CHEMICAL-H	7,740,561	37,464,315	0.66%
CHINA POWER INTERNATIONAL	1,377,295	5,109,764	0.09%
CHINA RAILWAY GROUP LTD-H	1,338,076	5,459,350	0.10%
CHINA RESOURCES BEER HOLDING	514,194	17,482,596	0.31%
CHINA RESOURCES GAS GROUP LT	293,290	9,194,642	0.16%
CHINA RESOURCES LAND LTD	1,020,640	29,241,336	0.51%
CHINA RESOURCES MIXC LIFESTY	217,750	7,610,363	0.13%
CHINA RESOURCES PHARMACEUTIC	599,511	3,603,061	0.06%
CHINA RESOURCES POWER HOLDIN	611,844	12,909,908	0.23%
CHINA RUYI HOLDINGS LTD	1,973,808	4,460,806	0.08%
CHINA SHENHUA ENERGY CO-H	1,074,353	37,656,073	0.66%
CHINA STATE CONSTRUCTION INT	639,857	7,742,270	0.14%
CHINA TAIPING INSURANCE HOLD	457,206	5,715,075	0.10%
CHINA TOWER CORP LTD-H	14,047,704	14,469,135	0.25%
CHINA VANKE CO LTD-H	701,591	5,226,853	0.09%
CHOW TAI FOOK JEWELLERY GROU	635,133	5,557,414	0.10%
CITIC LTD	1,844,679	16,934,153	0.30%
CITIC SECURITIES CO LTD-H	500,381	10,282,830	0.18%
CMOC GROUP LTD-H	1,184,911	9,040,871	0.16%
COSCO SHIPPING ENERGY TRAN-H	410,665	3,888,998	0.07%
COSCO SHIPPING HOLDINGS CO-H	913,150	11,907,476	0.21%
COUNTRY GARDEN HOLDINGS CO	3,322,623	1,611,472	0.03%
CRRC CORP LTD - H	1,385,682	7,039,265	0.12%
CSPC PHARMACEUTICAL GROUP LT	2,645,548	16,005,565	0.28%
ENN ENERGY HOLDINGS LTD	250,946	15,069,307	0.25%
FAR EAST HORIZON LTD	615,635	3,502,963	0.06%
FOSUN INTERNATIONAL LTD	777,822	3,873,554	0.07%
FUYAO GLASS INDUSTRY GROUP-H	192,480	10,057,080	0.18%
GCL TECHNOLOGY HOLDINGS LTD	6,828,613	9,560,058	0.17%
GEELY AUTOMOBILE HOLDINGS LT	1,913,481	23,306,199	0.41%
GENSCRIPT BIOTECH CORP	370,311	5,162,135	0.09%
GIANT BIOGENE HOLDING CO LTD	98,402	5,013,582	0.09%
GREAT WALL MOTOR CO LTD-H	735,038	10,658,051	0.19%
GUANGDONG INVESTMENT LTD	937,364	4,902,414	0.09%



## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
GUANGZHOU AUTOMOBILE GROUP-H	902,965	2,754,043	0.05%
HAILILAO INTERNATIONAL HOLDI	530,802	10,117,086	0.18%
HAIER SMART HOME CO LTD-H	770,029	24,024,905	0.42%
HAITIAN INTERNATIONAL HLDGS	203,441	5,086,025	0.09%
HAITONG SECURITIES CO LTD-H	908,315	3,324,433	0.06%
HANSOH PHARMACEUTICAL GROUP	376,328	7,884,072	0.14%
HENGAN INTL GROUP CO LTD	203,788	5,461,518	0.10%
HISENSE HOME APPLIANCES GR-H	107,771	3,125,359	0.05%
HUA HONG SEMICONDUCTOR LTD	208,319	4,353,867	0.08%
HUANENG POWER INTL INC-H	1,341,899	6,400,858	0.11%
HUATAI SECURITIES CO LTD-H	409,943	5,132,486	0.09%
IND & COMM BK OF CHINA-H	22,188,776	102,955,921	1.81%
INNOVENT BIOLOGICS INC	388,830	18,313,893	0.32%
JD HEALTH INTERNATIONAL INC	353,508	12,372,780	0.22%
JD LOGISTICS INC	629,383	8,811,362	0.15%
JD.COM INC-CLASS A	790,012	132,011,005	2.32%
JIANGSU EXPRESS CO LTD-H	389,770	3,067,490	0.05%
JIANGXI COPPER CO LTD-H	353,153	5,586,880	0.10%
KINGDEE INTERNATIONAL SFTWR	969,329	8,723,961	0.15%
KINGSOFT CORP LTD	298,187	8,811,426	0.15%
KUAISHOU TECHNOLOGY	745,995	40,917,826	0.72%
KUNLUN ENERGY CO LTD	1,234,825	9,903,297	0.17%
LENOVO GROUP LTD	2,565,068	27,189,721	0.48%
LI AUTO INC-CLASS A	393,346	42,520,703	0.75%
LI NING CO LTD	739,692	14,571,932	0.26%
LONGFOR GROUP HOLDINGS LTD	643,628	9,680,165	0.17%
MEITUAN-CLASS B	1,588,522	273,225,784	4.80%
MINISO GROUP HOLDING LTD	118,365	4,397,260	0.08%
MMG LTD	1,342,820	3,719,611	0.06%
NETEASE INC	614,793	92,526,347	1.63%
NEW CHINA LIFE INSURANCE C-H	279,520	6,778,360	0.12%
NEW ORIENTAL EDUCATION & TEC	473,637	29,365,494	0.52%
NIO INC-CLASS A	435,792	24,556,879	0.43%
NONGFU SPRING CO LTD-H	638,227	21,827,363	0.38%
ORIENT OVERSEAS INTL LTD	42,044	4,641,658	0.08%
PEOPLE'S INSURANCE CO GROU-H	2,765,917	10,261,552	0.18%
PETROCHINA CO LTD-H	6,710,524	42,544,722	0.75%
PICC PROPERTY & CASUALTY-H	2,195,113	25,287,702	0.44%
PING AN INSURANCE GROUP CO-H	2,131,338	106,886,601	1.88%
POP MART INTERNATIONAL GROUP	170,896	9,100,212	0.16%
POSTAL SAVINGS BANK OF CHI-H	2,517,668	11,707,156	0.21%
SHANDONG GOLD MINING CO LT-H	231,375	4,049,063	0.07%
SHANDONG WEIGAO GP MEDICAL-H	791,047	4,445,684	0.08%
SHANGHAI PHARMACEUTICALS-H	223,134	2,829,339	0.05%
SHENZHOU INTERNATIONAL GROUP	262,050	18,487,628	0.31%
SINO BIOPHARMACEUTICAL	3,277,505	12,225,094	0.21%
SINOPHARM GROUP CO-H	425,637	8,853,250	0.16%
SINOTRUK HONG KONG LTD	218,886	5,110,988	0.09%
SMOORE INTERNATIONAL HOLDING	586,224	7,562,290	0.13%
SUNNY OPTICAL TECH	225,903	12,989,423	0.23%
TENCENT HOLDINGS LTD	2,080,813	925,129,460	16.25%
TINGYI (CAYMAN ISLN) HLDG CO	625,488	7,017,975	0.12%
TONGCHENG TRAVEL HOLDINGS LT	406,810	8,119,928	0.14%
TOPSPORTS INTERNATIONAL HOLD	690,694	2,382,894	0.04%
TRAVELSKY TECHNOLOGY LTD-H	296,975	3,433,031	0.06%
TRIP.COM GROUP LTD	176,014	86,422,874	1.52%
TSINGTAO BREWERY CO LTD-H	199,066	12,103,213	0.21%
WANT WANT CHINA HOLDINGS LTD	1,497,061	7,994,306	0.14%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
WEICHAI POWER CO LTD-H	615,671	8,841,036	0.15%
WUXI APPTEC CO LTD-H	110,349	6,025,055	0.11%
WUXI BIOLOGICS CAYMAN INC	1,122,986	19,652,255	0.35%
XIAOMI CORP-CLASS B	4,911,703	110,513,318	1.94%
XINYI SOLAR HOLDINGS LTD	1,558,177	6,575,507	0.12%
XPENG INC - CLASS A SHARES	391,982	20,755,447	0.36%
YADEA GROUP HOLDINGS LTD	389,395	5,381,439	0.09%
YANKUANG ENERGY GROUP CO-H	1,033,617	11,349,115	0.20%
ZHAOJIN MINING INDUSTRY - H	478,219	6,589,858	0.12%
ZHEJIANG EXPRESSWAY CO-H	504,004	2,741,782	0.05%
ZHEJIANG LEAPMOTOR TECHNOLOG	159,834	5,354,439	0.09%
ZHONGSHENG GROUP HOLDINGS	264,619	3,815,806	0.07%
ZHUZHOU CRRC TIMES ELECTRI-H	154,779	4,751,715	0.08%
ZIJIN MINING GROUP CO LTD-H	1,809,187	31,950,242	0.56%
ZTE CORP-H	240,401	4,832,060	0.08%
ZTO EXPRESS CAYMAN INC	135,908	26,624,377	0.47%
		<u>4,414,639,278</u>	
<b>United States</b>			
AUTOHOME INC-ADR	21,485	5,443,761	0.10%
H WORLD GROUP LTD-ADR	65,672	18,975,924	0.33%
IQIYI INC-ADR	150,233	3,337,422	0.06%
KANZHUN LTD - ADR	83,950	11,320,098	0.20%
KE HOLDINGS INC-ADR	208,000	32,167,280	0.57%
LEGEND BIOTECH CORP-ADR	23,055	8,726,524	0.15%
PDD HOLDINGS INC	221,450	231,887,609	4.07%
QIFU TECHNOLOGY INC	38,357	8,881,501	0.16%
TAL EDUCATION GROUP- ADR	131,122	12,058,881	0.21%
TENCENT MUSIC ENTERTAINM-ADR	238,816	22,352,712	0.39%
VIPSHOP HOLDINGS LTD - ADR	117,728	14,384,284	0.25%
YUM CHINA HOLDINGS INC	124,183	43,425,756	0.76%
		<u>412,961,752</u>	
Total investments		<u>5,659,600,210</u>	<u>99.44%</u>
Total investments, at cost		<u>4,834,354,411</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### Hang Seng High Dividend Yield ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities</b>			
<b>Hong Kong</b>			
AGRICULTURAL BANK OF CHINA-H	26,573,326	97,258,373	2.26%
ANHUI CONCH CEMENT CO LTD-H	3,549,866	81,114,438	1.89%
BANK OF CHINA LTD-H	21,505,324	78,924,539	1.84%
BANK OF COMMUNICATIONS CO-H	13,344,695	79,534,382	1.85%
BEIJING ENTERPRISES HLDGS	2,500,263	69,757,338	1.62%
BEIJING ENTERPRISES WATER GR	27,612,930	66,823,291	1.56%
BOC HONG KONG HOLDINGS LTD	3,483,246	86,732,825	2.02%
CHINA CINDA ASSET MANAGEME-H	92,389,059	99,780,184	2.32%
CHINA CITIC BANK CORP LTD-H	19,430,371	96,374,640	2.24%
CHINA COAL ENERGY CO-H	6,132,380	59,484,086	1.38%
CHINA COMMUNICATIONS CONST-H	15,786,430	77,827,100	1.81%
CHINA CONSTRUCTION BANK-H	15,997,630	94,066,064	2.19%
CHINA EVERBRIGHT BANK CO L-H	32,693,165	86,309,956	2.01%
CHINA EVERBRIGHT ENVIRONMENT	20,757,274	77,839,777	1.81%
CHINA FEIHE LTD	23,491,045	138,127,345	3.22%
CHINA GALAXY SECURITIES CO-H	15,258,689	110,778,082	2.58%
CHINA GAS HOLDINGS LTD	12,397,220	89,631,901	2.09%
CHINA HONGQIAO GROUP LTD	2,958,157	38,337,715	0.89%
CHINA MERCHANTS PORT HOLDING	5,880,514	72,800,763	1.69%
CHINA MINSHENG BANKING COR-H	31,655,038	100,346,470	2.34%
CHINA MOBILE LTD	965,725	70,932,501	1.65%
CHINA OVERSEAS LAND & INVEST	4,425,881	70,460,026	1.64%
CHINA PACIFIC INSURANCE GR-H	2,764,932	77,418,096	1.80%
CHINA PETROLEUM & CHEMICAL-H	17,843,348	86,361,804	2.01%
CHINA SHENHUA ENERGY CO-H	2,005,492	70,292,495	1.64%
CHINA STATE CONSTRUCTION INT	6,133,023	74,209,578	1.73%
CHINA TELECOM CORP LTD-H	14,567,641	64,971,679	1.51%
CHINA UNICOM HONG KONG LTD	9,918,733	66,157,949	1.54%
CITIC LTD	11,017,116	101,137,125	2.35%
CK INFRASTRUCTURE HOLDINGS L	1,622,146	86,298,167	2.01%
CLP HOLDINGS LTD	963,961	66,368,715	1.55%
CNOOC LTD	3,407,814	66,179,748	1.54%
COSCO SHIPPING HOLDINGS CO-H	4,710,794	61,428,754	1.43%
COSCO SHIPPING PORTS LTD	12,246,751	58,049,600	1.35%
CRRC CORP LTD - H	10,894,954	55,346,366	1.29%
FAR EAST HORIZON LTD	18,707,706	106,446,847	2.48%
HANG LUNG PROPERTIES LTD	18,159,656	137,831,789	3.21%
HANG SENG BANK LTD	693,753	67,814,356	1.58%
HENDERSON LAND DEVELOPMENT	3,750,050	93,001,240	2.17%
IND & COMM BK OF CHINA-H	19,642,786	91,142,527	2.12%
KINGBOARD HOLDINGS LTD	3,381,112	66,607,906	1.56%
LINK REIT	2,850,380	111,877,415	2.60%
NEW CHINA LIFE INSURANCE C-H	3,966,782	96,194,464	2.24%
PCCW LTD	34,602,500	147,752,675	3.44%
PEOPLE'S INSURANCE CO GROU-H	25,993,693	96,436,601	2.25%
PETROCHINA CO LTD-H	8,852,156	56,122,669	1.31%
PING AN INSURANCE GROUP CO-H	1,836,118	92,081,318	2.14%
POWER ASSETS HOLDINGS LTD	1,856,050	92,431,290	2.15%
UNI-PRESIDENT CHINA HOLDINGS	14,505,439	105,744,650	2.46%
XINYI GLASS HOLDINGS LTD	9,268,496	85,826,273	2.00%
Total investments		4,224,773,892	98.36%
Total investments, at cost		3,668,353,386	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Biotech ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
APELOA PHARMACEUTICAL CO-A	286,000	4,930,640	1.39%
BEIJING TIANTAN BIOLOGICAL-A	297,108	7,469,295	2.10%
BEIJING WANTAI BIOLOGICAL-A	14,700	1,203,489	0.34%
BGI GENOMICS CO LTD-A	13,709	649,944	0.18%
GAN & LEE PHARMACEUTICALS -A	13,600	663,544	0.19%
HUALAN BIOLOGICAL ENGINEER-A	65,400	1,171,314	0.33%
HUBEI JUMPCAN PHARMACEUT-A	42,500	1,331,100	0.37%
JIANGSU HENGRUI PHARMACEUT-A	136,700	7,149,410	2.01%
LIVZON PHARMACEUTICAL GROU-A	173,800	7,064,970	1.99%
MGI TECH CO LTD-A	25,869	1,319,060	0.37%
NANJING KING-FRIEND BIOCHE-A	34,300	499,065	0.14%
REMEGEN CO LTD-A	14,884	522,577	0.15%
SHANGHAI ALLIST PHARMACEUT-A	22,273	1,229,024	0.35%
SHANGHAI JUNSHI BIOSCIENCE-A	21,212	674,330	0.19%
SHANGHAI RAAS BLOOD PRODUC-A	974,000	7,694,600	2.17%
SHENYANG XINGQI PHARMACEUT-A	21,900	2,346,804	0.66%
SHENZHEN KANGTAI BIOLOGICA-A	48,700	953,059	0.27%
SICHUAN BIOKIN PHARMACEUTI-A	540	105,689	0.03%
WALVAX BIOTECHNOLOGY CO-A	47,800	703,616	0.20%
WUXI APPTec CO LTD-A	103,800	5,434,968	1.53%
		<u>53,116,498</u>	
<b>Hong Kong</b>			
AKESO INC	302,634	18,705,764	5.27%
CHINA MEDICAL SYSTEM HOLDING	723,127	5,831,378	1.64%
GENSCRIPT BIOTECH CORP	512,026	6,431,146	1.81%
HANSOH PHARMACEUTICAL GROUP	629,304	11,878,952	3.35%
HUTCHMED CHINA LTD	291,586	8,459,723	2.38%
HYGEIA HEALTHCARE HOLDINGS C	207,523	4,431,474	1.25%
INNOVENT BIOLOGICS INC	708,318	30,059,572	8.47%
SICHUAN KELUN-BIOTECH BIOPHA	19,292	3,196,632	0.90%
SINO BIOPHARMACEUTICAL	5,435,267	18,266,836	5.15%
WUXI BIOLOGICS CAYMAN INC	2,138,044	33,712,294	9.50%
		<u>140,973,771</u>	
Total listed equities		<u>194,090,269</u>	<u>54.68%</u>

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Biotech ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps</b>						
<b>China</b>						
BEIJING TIANTAN BIOLOGICAL	21-Oct-24	BEIJING TIANTAN BIOLOGICAL-A	Guotai Junan Financial Products Limited	250,000	6,285,000	1.77%
BEIJING WANTAI BIOLOGICAL	6-Nov-24	BEIJING WANTAI BIOLOGICAL-A	Mirae Asset Securities (HK) Limited	81,800	6,696,966	1.89%
BEIJING WANTAI BIOLOGICAL	18-Nov-24	BEIJING WANTAI BIOLOGICAL-A	Mirae Asset Securities (HK) Limited	36,000	2,947,320	0.83%
BGI GENOMICS CO LTD	9-Oct-24	BGI GENOMICS CO LTD-A	Citigroup Global Markets Limited	114,400	5,423,704	1.53%
GAN & LEE PHARMACEUTICALS	16-Dec-24	GAN & LEE PHARMACEUTICALS -A	Mirae Asset Securities (HK) Limited	184,600	9,006,634	2.54%
HUALAN BIOLOGICAL ENGINEER	18-Nov-24	HUALAN BIOLOGICAL ENGINEER-A	Mirae Asset Securities (HK) Limited	362,900	6,499,539	1.83%
HUALAN BIOLOGICAL ENGINEER	16-Dec-24	HUALAN BIOLOGICAL ENGINEER-A	Mirae Asset Securities (HK) Limited	86,000	1,540,260	0.43%
HUBEI JUMPCAN PHARMACEUT	18-Nov-24	HUBEI JUMPCAN PHARMACEUT-A	Mirae Asset Securities (HK) Limited	154,700	4,845,204	1.36%
JIANGSU HENGRUI PHARMACEUT	21-Oct-24	JIANGSU HENGRUI PHARMACEUT-A	Guotai Junan Financial Products Limited	400,000	20,920,000	5.89%
JIANGSU HENGRUI PHARMACEUT	18-Nov-24	JIANGSU HENGRUI PHARMACEUT-A	Mirae Asset Securities (HK) Limited	113,200	5,920,360	1.67%
LIVZON PHARMACEUTICAL GROU	23-Oct-24	LIVZON PHARMACEUTICAL GROU-A	Citigroup Global Markets Limited	39,000	1,585,350	0.45%
MGI TECH CO LTD	6-Nov-24	MGI TECH CO LTD-A	Mirae Asset Securities (HK) Limited	126,000	6,424,740	1.81%
NANJING KING-FRIEND BIOCHE	9-Oct-24	NANJING KING-FRIEND BIOCHE-A	Citigroup Global Markets Limited	82,000	1,193,100	0.34%
NANJING KING-FRIEND BIOCHE	16-Dec-24	NANJING KING-FRIEND BIOCHE-A	Mirae Asset Securities (HK) Limited	151,300	2,201,415	0.62%
REMEGEN CO LTD	13-Mar-25	REMEGEN CO LTD-A	Mirae Asset Securities (HK) Limited	73,800	2,591,118	0.73%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Biotech ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
SHANGHAI ALLIST PHARMACEUT	13-Mar-25	SHANGHAI ALLIST PHARMACEUT-A	Mirae Asset Securities (HK) Limited	102,600	5,661,468	1.60%
SHANGHAI JUNSHI BIOSCIENCE	9-Oct-24	SHANGHAI JUNSHI BIOSCIENCE-A	Citigroup Global Markets Limited	220,500	7,009,695	1.97%
SHANGHAI RAAS BLOOD PRODUC	22-Oct-24	SHANGHAI RAAS BLOOD PRODUC-A	Guotai Junan Financial Products Limited	1,500,000	11,850,000	3.34%
SHENYANG XINGQI PHARMACEUT	18-Nov-24	SHENYANG XINGQI PHARMACEUT-A	Mirae Asset Securities (HK) Limited	52,000	5,572,320	1.57%
SHENZHEN KANGTAI BIOLOGICA	18-Nov-24	SHENZHEN KANGTAI BIOLOGICA-A	Mirae Asset Securities (HK) Limited	36,000	704,520	0.20%
SHENZHEN KANGTAI BIOLOGICA	16-Dec-24	SHENZHEN KANGTAI BIOLOGICA-A	Mirae Asset Securities (HK) Limited	185,900	3,638,063	1.02%
SICHUAN BIOKIN PHARMACEUTI	13-Mar-25	SICHUAN BIOKIN PHARMACEUTI-A	Mirae Asset Securities (HK) Limited	2,000	391,440	0.11%
WALVAX BIOTECHNOLOGY CO	18-Nov-24	WALVAX BIOTECHNOLOGY CO-A	Mirae Asset Securities (HK) Limited	729,000	10,730,880	3.02%
WUXI APPTec CO LTD	22-Oct-24	WUXI APPTec CO LTD-A	Guotai Junan Financial Products Limited	400,000	20,944,000	5.90%
WUXI APPTec CO LTD	23-Oct-24	WUXI APPTec CO LTD-A	Citigroup Global Markets Limited	100,000	5,236,000	1.48%
WUXI APPTec CO LTD	13-Mar-25	WUXI APPTec CO LTD-A	Mirae Asset Securities (HK) Limited	87,000	4,555,320	1.28%
					<u>160,374,416</u>	
Total unlisted total return swaps					<u>160,374,416</u>	<u>45.18%</u>
Total investments					<u>354,464,685</u>	<u>99.86%</u>
Total investments, at cost					<u>404,904,736</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Cloud Computing ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
BEIJING KINGSOFT OFFICE SO-A	16,324	4,348,714	1.80%
BEIJING SHIJI INFORMATION -A	51,800	379,694	0.16%
BEIJING SINNET TECHNOLOGY-A	60,215	633,462	0.26%
CETC CYBERSPACE SECURITY T-A	54,800	926,120	0.38%
CHINA NATIONAL SOFTWARE -A	18,500	775,335	0.32%
CHINA TRANSINFO TECHNOLOGY-A	52,574	495,773	0.21%
DHC SOFTWARE CO LTD -A	207,600	1,187,472	0.49%
EMPYREAN TECHNOLOGY CO LTD-A	4,600	427,846	0.18%
GEOVIS TECHNOLOGY CO LTD-A	10,561	395,615	0.16%
GLODON CO LTD-A	432,900	5,852,808	2.42%
HITHINK ROYALFLUSH INFORMA-A	13,887	2,684,496	1.11%
HUNDSUN TECHNOLOGIES INC-A	101,745	2,326,908	0.96%
HYGON INFORMATION TECHNOLO-A	6,461	667,292	0.28%
IFLYTEK CO LTD - A	93,800	4,168,472	1.73%
ISOFTSTONE INFORMATION TEC-A	29,100	1,499,232	0.62%
NAVINFO CO LTD-A	42,800	401,464	0.17%
RUIJIE NETWORKS CO LTD-A	17,300	730,752	0.30%
SANGFOR TECHNOLOGIES INC-A	18,130	1,273,633	0.53%
SHANGHAI BAOSIGHT SOFTWARE-A	45,160	1,489,828	0.62%
TAIJI COMPUTER CORP LTD-A	132,800	2,726,384	1.13%
THUNDER SOFTWARE TECHNOLOG-A	26,200	1,376,810	0.57%
TSINGHUA TONGFANG CO LTD-A	335,500	2,110,295	0.87%
WANGSU SCIENCE & TECHNOLOG-A	156,300	1,461,405	0.61%
YONYOU NETWORK TECHNOLOGY-A	134,800	1,550,200	0.64%
		39,890,010	
<b>Hong Kong</b>			
KINGDEE INTERNATIONAL SFTWR	926,986	7,517,082	3.11%
KINGSOFT CORP LTD	304,712	8,112,985	3.36%
SENSETIME GROUP INC-CLASS B	7,311,119	11,330,417	4.69%
TENCENT HOLDINGS LTD	47,106	18,870,321	7.81%
		45,830,805	
<b>United States</b>			
ALIBABA GROUP HOLDING-SP ADR	30,049	22,317,247	9.24%
NETEASE INC-ADR	25,626	16,770,741	6.95%
		39,087,988	
Total listed equities		124,808,803	51.68%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Cloud Computing ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps</b>						
<b>China</b>						
BEIJING KINGSOFT OFFICE SO	18-Nov-24	BEIJING KINGSOFT OFFICE SO-A	Mirae Asset Securities (HK) Limited	19,500	5,194,800	2.15%
BEIJING KINGSOFT OFFICE SO	16-Dec-24	BEIJING KINGSOFT OFFICE SO-A	Mirae Asset Securities (HK) Limited	35,000	9,324,000	3.86%
BEIJING KINGSOFT OFFICE SO	13-Mar-25	BEIJING KINGSOFT OFFICE SO-A	Mirae Asset Securities (HK) Limited	7,500	1,998,000	0.83%
BEIJING SHIJI INFORMATION	16-Dec-24	BEIJING SHIJI INFORMATION -A	Mirae Asset Securities (HK) Limited	179,300	1,314,269	0.54%
BEIJING SINNET TECHNOLOGY	18-Nov-24	BEIJING SINNET TECHNOLOGY-A	Mirae Asset Securities (HK) Limited	328,000	3,450,560	1.43%
CETC CYBERSPACE SECURITY T	16-Dec-24	CETC CYBERSPACE SECURITY T-A	Mirae Asset Securities (HK) Limited	144,900	2,448,810	1.01%
CHINA NATIONAL SOFTWARE	23-Oct-24	CHINA NATIONAL SOFTWARE -A	Mirae Asset Securities (HK) Limited	46,500	1,948,815	0.81%
CHINA NATIONAL SOFTWARE	16-Dec-24	CHINA NATIONAL SOFTWARE -A	Mirae Asset Securities (HK) Limited	126,600	5,305,806	2.20%
CHINA TRANSINFO TECHNOLOGY	13-Mar-25	CHINA TRANSINFO TECHNOLOGY-A	Mirae Asset Securities (HK) Limited	224,600	2,117,978	0.88%
DHC SOFTWARE CO LTD	16-Dec-24	DHC SOFTWARE CO LTD -A	Mirae Asset Securities (HK) Limited	517,400	2,959,528	1.23%
EMPYREAN TECHNOLOGY CO LTD	18-Nov-24	EMPYREAN TECHNOLOGY CO LTD-A	Mirae Asset Securities (HK) Limited	21,000	1,953,210	0.81%
GEOVIS TECHNOLOGY CO LTD	18-Nov-24	GEOVIS TECHNOLOGY CO LTD-A	Mirae Asset Securities (HK) Limited	39,900	1,494,654	0.62%
HITHINK ROYALFLUSH INFORMA	16-Dec-24	HITHINK ROYALFLUSH INFORM-A	Mirae Asset Securities (HK) Limited	41,980	8,115,154	3.36%
HITHINK ROYALFLUSH INFORMA	13-Mar-25	HITHINK ROYALFLUSH INFORM-A	Mirae Asset Securities (HK) Limited	8,800	1,701,128	0.70%
HUNDSUN TECHNOLOGIES INC	18-Nov-24	HUNDSUN ELECTRONIC CO LTD-A	Mirae Asset Securities (HK) Limited	27,100	619,777	0.26%
HUNDSUN TECHNOLOGIES INC	13-Mar-25	HUNDSUN ELECTRONIC CO LTD-A	Mirae Asset Securities (HK) Limited	358,800	8,205,756	3.40%



## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Cloud Computing ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted total return swaps (continued)</b>						
<b>China (continued)</b>						
HYGON INFORMATION TECHNOLO	13-Mar-25	HYGON INFORMATION TECHNOLO-A	Mirae Asset Securities (HK) Limited	34,200	3,532,176	1.46%
IFLYTEK CO LTD	15-Oct-24	IFLYTEK CO LTD	Citigroup Global Markets Limited	82,400	3,661,856	1.52%
IFLYTEK CO LTD	18-Nov-24	IFLYTEK CO LTD	Mirae Asset Securities (HK) Limited	273,900	12,172,116	5.04%
ISOFTSTONE INFORMATION TEC	9-Oct-24	ISOFTSTONE INFORMATION TEC-A	Citigroup Global Markets Limited	70,400	3,627,008	1.50%
ISOFTSTONE INFORMATION TEC	13-Mar-25	ISOFTSTONE INFORMATION TEC-A	Mirae Asset Securities (HK) Limited	26,600	1,370,432	0.57%
NAVINFO CO LTD	16-Dec-24	NAVINFO CO LTD - A	Mirae Asset Securities (HK) Limited	574,200	5,385,996	2.23%
SANGFOR TECHNOLOGIES INC	16-Dec-24	SANGFOR TECHNOLOGIES INC-A	Mirae Asset Securities (HK) Limited	56,000	3,934,000	1.63%
SHANGHAI BAOSIGHT SOFTWARE	16-Dec-24	SHANGHAI BAOSIGHT SOFTWARE-A	Mirae Asset Securities (HK) Limited	164,760	5,435,432	2.25%
THUNDER SOFTWARE TECHNOLOG	13-Mar-25	THUNDER SOFTWARE TECHNOLOG-A	Mirae Asset Securities (HK) Limited	103,500	5,438,925	2.25%
TSINGHUA TONGFANG CO LTD	23-Oct-24	TSINGHUA TONGFANG CO LTD-A	Citigroup Global Markets Limited	488,200	3,070,778	1.27%
WANGSU SCIENCE & TECHNOLOG	13-Mar-25	WANGSU SCIENCE & TECHNOLOGY-A	Mirae Asset Securities (HK) Limited	564,000	5,273,400	2.18%
YONYOU NETWORK TECHNOLOGY	18-Nov-24	YONYOU SOFTWARE CO LTD-A	Mirae Asset Securities (HK) Limited	464,400	5,340,600	2.21%
					<u>116,394,964</u>	
Total unlisted total return swaps					<u>116,394,964</u>	<u>48.20%</u>
Total investments					<u>241,203,767</u>	<u>99.88%</u>
Total investments, at cost					<u>262,547,201</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### Hang Seng ESG ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities</b>			
<b>Hong Kong</b>			
AIA GROUP LTD	14,338	998,642	8.11%
ALIBABA GROUP HOLDING LTD	6,099	670,890	5.45%
ALIBABA HEALTH INFORMATION T	6,708	35,888	0.29%
ANTA SPORTS PRODUCTS LTD	3,741	353,337	2.87%
BAIDU INC-CLASS A	1,321	139,498	1.13%
BANK OF CHINA LTD-H	21,498	78,898	0.64%
BOC HONG KONG HOLDINGS LTD	7,045	175,420	1.42%
BUDWEISER BREWING CO APAC LT	4,345	45,449	0.37%
BYD CO LTD-H	395	112,101	0.91%
BYD ELECTRONIC INTL CO LTD	500	16,275	0.13%
CHINA CONSTRUCTION BANK-H	41,366	243,232	1.97%
CHINA LIFE INSURANCE CO-H	3,890	60,762	0.49%
CHINA MENGNIU DAIRY CO	730	13,651	0.11%
CHINA MERCHANTS BANK-H	1,693	65,265	0.53%
CHINA MOBILE LTD	2,438	179,071	1.45%
CHINA OVERSEAS LAND & INVEST	12,775	203,378	1.65%
CHINA RESOURCES BEER HOLDING	404	13,736	0.11%
CHINA RESOURCES LAND LTD	1,894	54,263	0.44%
CHINA RESOURCES MIXC LIFESTY	2,655	92,792	0.75%
CHINA UNICOM HONG KONG LTD	1,510	10,072	0.08%
CHOW TAI FOOK JEWELLERY GROU	6,021	52,684	0.43%
CK ASSET HOLDINGS LTD	5,558	190,084	1.54%
CK HUTCHISON HOLDINGS LTD	961	42,957	0.35%
CK INFRASTRUCTURE HOLDINGS L	162	8,618	0.07%
CSPC PHARMACEUTICAL GROUP LT	2,092	12,657	0.10%
ENN ENERGY HOLDINGS LTD	846	50,802	0.41%
GALAXY ENTERTAINMENT GROUP L	791	30,888	0.25%
GEELY AUTOMOBILE HOLDINGS LT	15,817	192,651	1.56%
HAIER SMART HOME CO LTD-H	736	22,963	0.19%
HANG LUNG PROPERTIES LTD	6,801	51,619	0.42%
HANG SENG BANK LTD	1,548	151,317	1.23%
HANSOH PHARMACEUTICAL GROUP	716	15,000	0.12%
HENDERSON LAND DEVELOPMENT	3,087	76,558	0.62%
HENGAN INTL GROUP CO LTD	200	5,360	0.04%
HONG KONG & CHINA GAS	10,875	69,600	0.56%
HONG KONG EXCHANGES & CLEAR	3,372	1,099,946	8.93%
HSBC HOLDINGS PLC	6,234	439,185	3.57%
IND & COMM BK OF CHINA-H	18,699	86,763	0.70%
JD HEALTH INTERNATIONAL INC	1,535	53,725	0.44%
JD.COM INC-CLASS A	609	101,764	0.83%
LENOVO GROUP LTD	17,763	188,288	1.53%
LI AUTO INC-CLASS A	1,996	215,768	1.75%
LI NING CO LTD	7,171	141,269	1.15%
LINK REIT	12,814	502,949	4.08%
LONGFOR GROUP HOLDINGS LTD	3,746	56,340	0.46%
MEITUAN-CLASS B	4,282	736,504	5.98%
MTR CORP	2,753	80,800	0.66%
NETEASE INC	1,208	181,804	1.48%
NEW WORLD DEVELOPMENT	3,898	37,616	0.31%
NONGFU SPRING CO LTD-H	848	29,002	0.24%
ORIENT OVERSEAS INTL LTD	192	21,197	0.17%
PING AN INSURANCE GROUP CO-H	18,271	916,291	7.44%
SANDS CHINA LTD	4,392	87,489	0.71%
SEMICONDUCTOR MANUFACTURING	815	16,993	0.14%
SHENZHOU INTERNATIONAL GROUP	1,980	139,689	1.13%
SINO BIOPHARMACEUTICAL	2,404	8,967	0.07%
SINOPHARM GROUP CO-H	2,387	49,650	0.40%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### Hang Seng ESG ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
SUN HUNG KAI PROPERTIES	4,799	410,554	3.33%
SUNNY OPTICAL TECH	405	23,287	0.19%
TECHTRONIC INDUSTRIES CO LTD	736	86,922	0.71%
TENCENT HOLDINGS LTD	2,076	922,990	7.49%
TINGYI (CAYMAN ISLN) HLDG CO	434	4,869	0.04%
TRIP.COM GROUP LTD	113	55,483	0.45%
WHARF REAL ESTATE INVESTMENT	3,890	106,975	0.87%
WUXI APPTEC CO LTD-H	1,475	80,535	0.65%
WUXI BIOLOGICS CAYMAN INC	15,992	279,860	2.27%
XIAOMI CORP-CLASS B	22,759	512,077	4.16%
XINYI GLASS HOLDINGS LTD	724	6,704	0.05%
XINYI SOLAR HOLDINGS LTD	2,241	9,457	0.08%
ZHONGSHENG GROUP HOLDINGS	2,779	40,073	0.33%
Total investments		<u>12,268,163</u>	<u>99.58%</u>
Total investments, at cost		<u>12,722,937</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### Electric Vehicle and Battery Active ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Listed equities</b>			
<b>China</b>			
CONTEMPORARY AMPEREX TECHN-A	2,353	84,688	11.08%
EVE ENERGY CO LTD-A	11,600	80,851	10.58%
SHENZHEN INOVANCE TECHNOLO-A	455	4,060	0.53%
WUXI LEAD INTELLIGENT EQUI-A	3,446	9,528	1.25%
YUNNAN ENERGY NEW MATERIAL-A	937	4,580	0.60%
ZHEJIANG SHUANGHUAN DRIVEL-A	3,137	12,353	1.62%
		<u>196,060</u>	
<b>France</b>			
STMICROELECTRONICS NV	541	16,091	2.11%
		<u>16,091</u>	
<b>Germany</b>			
INFINEON TECHNOLOGIES AG	411	14,431	1.89%
		<u>14,431</u>	
<b>Hong Kong</b>			
BYD CO LTD-H	2,087	76,253	9.97%
BYD ELECTRONIC INTL CO LTD	4,993	20,923	2.74%
FUYAO GLASS INDUSTRY GROUP-H	6,665	44,834	5.86%
GANFENG LITHIUM GROUP CO L-H	6,188	17,845	2.33%
LI AUTO INC-CLASS A	1,738	24,188	3.16%
XIAOMI CORP-CLASS B	1,506	4,362	0.57%
ZHUZHOU CRRC TIMES ELECTRI-H	2,977	11,766	1.54%
		<u>200,171</u>	
<b>Japan</b>			
DENSO CORP	1,734	25,766	3.37%
NIDEC CORP	388	8,154	1.07%
		<u>33,920</u>	
<b>South Korea</b>			
HYUNDAI MOTOR CO	173	32,280	4.22%
LG CHEM LTD	13	3,544	0.46%
LG ENERGY SOLUTION	56	17,750	2.32%
PEOPLE & TECHNOLOGY INC	231	9,892	1.29%
POSCO HOLDINGS INC	22	6,477	0.85%
SAMSUNG SDI CO LTD	69	19,971	2.61%
		<u>89,914</u>	
<b>United States</b>			
ALBEMARLE CORP	284	26,898	3.52%
NVIDIA CORP	179	21,738	2.84%
NXP SEMICONDUCTORS NV	182	43,682	5.71%
TESLA INC	234	61,221	8.01%
TEXAS INSTRUMENTS INC	243	50,196	6.57%
		<u>203,735</u>	
Total investments		<u>754,322</u>	<u>98.67%</u>
Total investments, at cost		<u>694,166</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Listed equities</b>			
<b>China</b>			
LUXSHARE PRECISIONIndustr-A	161	1,000	0.06%
		<u>1,000</u>	
<b>Hong Kong</b>			
KUAISHOU TECHNOLOGY	1,603	11,320	0.69%
NETEASE INC	1,110	21,507	1.31%
TENCENT HOLDINGS LTD	1,862	106,578	6.51%
		<u>139,405</u>	
<b>Japan</b>			
NINTENDO CO LTD	562	30,002	1.83%
SONY GROUP CORP	1,590	30,874	1.89%
		<u>60,876</u>	
<b>South Korea</b>			
NAVER CORP	91	11,788	0.72%
		<u>11,788</u>	
<b>Taiwan</b>			
MEDIATEK INC	412	15,297	0.93%
TAIWAN SEMICONDUCTOR MANUFAC	5,191	156,977	9.59%
		<u>172,274</u>	
<b>United States</b>			
ADVANCED MICRO DEVICES	136	22,315	1.36%
ALPHABET INC-CL A	905	150,094	9.17%
AMAZON.COM INC	193	35,962	2.20%
APPLE INC	675	157,275	9.60%
ASML HOLDING NV-NY REG SHS	26	21,665	1.32%
AUTODESK INC	175	48,209	2.94%
COINBASE GLOBAL INC -CLASS A	112	19,955	1.22%
CROWDSTRIKE HOLDINGS INC - A	67	18,791	1.15%
IQIYI INC-ADR	2,132	6,097	0.37%
MARVELL TECHNOLOGY INC	563	40,603	2.48%
META PLATFORMS INC-CLASS A	286	163,718	10.00%
MICROSOFT CORP	361	155,338	9.49%
NETEASE INC-ADR	121	11,315	0.69%
NVIDIA CORP	1,205	146,335	8.94%
TESLA INC	493	128,984	7.88%
UNITY SOFTWARE INC	221	4,999	0.31%
VIPSHOP HOLDINGS LTD - ADR	2,101	33,049	2.02%
WALT DISNEY CO/THE	419	40,304	2.46%
		<u>1,205,008</u>	
Total investments		<u>1,590,351</u>	<u>97.13%</u>
Total investments, at cost		<u>1,185,654</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### Hang Seng TECH ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities</b>			
<b>Hong Kong</b>			
ALIBABA GROUP HOLDING LTD	2,412,293	265,352,230	8.08%
ALIBABA HEALTH INFORMATION T	9,562,244	51,158,005	1.56%
ASMPT LTD	492,571	46,794,245	1.43%
BAIDU INC-CLASS A	1,050,633	110,946,845	3.38%
BILIBILI INC-CLASS Z	438,086	84,901,067	2.59%
BYD ELECTRONIC INTL CO LTD	1,171,698	38,138,770	1.16%
CHINA LITERATURE LTD	685,910	22,223,484	0.68%
EAST BUY HOLDING LTD	688,968	13,614,008	0.41%
HAIER SMART HOME CO LTD-H	3,609,608	112,619,770	3.43%
HUA HONG SEMICONDUCTOR LTD	972,690	20,329,221	0.62%
JD HEALTH INTERNATIONAL INC	1,658,348	58,042,180	1.77%
JD.COM INC-CLASS A	1,841,891	307,779,986	9.37%
KINGDEE INTERNATIONAL SFTWR	4,538,321	40,844,889	1.24%
KINGSOFT CORP LTD	1,392,752	41,155,821	1.25%
KUAISHOU TECHNOLOGY	4,510,915	247,423,688	7.53%
LENOVO GROUP LTD	11,979,153	126,979,022	3.87%
LI AUTO INC-CLASS A	2,049,115	221,509,331	6.74%
MEITUAN-CLASS B	1,624,996	279,499,312	8.51%
NETEASE INC	1,026,506	154,489,153	4.70%
NIO INC-CLASS A	204,371	11,516,306	0.35%
SEMICONDUCTOR MANUFACTURING	6,221,522	129,718,734	3.95%
SENSETIME GROUP INC-CLASS B	34,805,343	59,865,190	1.82%
SUNNY OPTICAL TECH	1,138,474	65,462,255	1.99%
TENCENT HOLDINGS LTD	510,929	227,159,033	6.92%
TONGCHENG TRAVEL HOLDINGS LT	2,072,485	41,366,801	1.26%
TRIP.COM GROUP LTD	253,862	124,646,242	3.80%
WEIBO CORP-CLASS A	7,241	590,141	0.02%
XIAOMI CORP-CLASS B	10,073,684	226,657,890	6.90%
XPENG INC - CLASS A SHARES	2,389,468	126,522,331	3.85%
ZHONGAN ONLINE P&C INSURAN-H	1,265,590	20,072,257	0.61%
Total investments		<u>3,277,378,207</u>	<u>99.79%</u>
Total investments, at cost		<u>2,770,346,556</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### USD Money Market ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Debt securities</b>			
<b>Canada</b>			
AGRI BK CHINA/HK AGRBK 5.15 12/02/24	2,000,000	2,009,679	3.44%
AGRI BK CHINA/HK AGRBK 5.31 11/20/24	300,000	300,617	0.51%
AGRI BK CHN/SYD AGRBK 0 10/08/24	2,600,000	2,596,035	4.44%
AGRI BK CN/SEOUL AGRBK 0 11/22/24	1,600,000	1,587,608	2.71%
BANK OF CHINA/HK BCHINA 5.8 10/25/24	2,400,000	2,532,040	4.33%
BANK OF COMM/SYD BOCOM 0 11/15/24	1,500,000	1,489,823	2.55%
BK OF CHN/TOKYO BCHINA 0 10/24/24	1,600,000	1,594,008	2.73%
BK OF CHN/TOKYO BCHINA 0 12/17/24	1,000,000	988,900	1.69%
ICBC/LUXEMBOURG ICBCAS 0 12/20/24	1,300,000	1,285,037	2.20%
		<u>14,383,747</u>	
<b>Macau</b>			
ICBC MACAU LTD ICBCAS 5.29 12/06/24	2,300,000	2,309,744	3.95%
		<u>2,309,744</u>	
<b>United States</b>			
TREASURY BILL B 10/15/24	1,000,000	998,055	1.71%
TREASURY BILL B 10/29/24	1,000,000	996,243	1.70%
		<u>1,994,298</u>	
Total investments		<u>18,687,789</u>	<u>31.96%</u>
Total investments, at cost		<u>18,460,497</u>	

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
37 INTERACTIVE ENTERTAINME-A	33,918	41,600	–	36,132	39,386
AUTOHOME INC-ADR	17,952	22,715	–	19,182	21,485
BAIDU INC-CLASS A	607,529	768,600	–	650,841	725,288
BEIJING ENLIGHT MEDIA CO L-A	42,939	58,000	–	48,041	52,898
BILIBILI INC-CLASS Z	52,794	86,270	–	65,531	73,533
CHINA FILM CO LTD-A	29,562	27,000	–	56,562	–
CHINA LITERATURE LTD	108,756	135,677	–	114,619	129,814
CHINA RUYI HOLDINGS LTD	1,560,797	2,197,498	–	1,784,487	1,973,808
CHINA TOWER CORP LTD-H	11,945,391	14,720,527	–	12,618,214	14,047,704
CHINA UNITED NETWORK-A	506,654	611,748	–	532,802	585,600
FOCUS MEDIA INFORMATION TE-A	231,930	284,000	–	240,644	275,286
GIANT NETWORK GROUP CO LTD-A	30,279	17,500	–	47,779	–
IQIYI INC-ADR	120,152	163,531	–	133,450	150,233
JIANGSU PHOENIX PUBLISH-A	39,537	44,000	–	39,821	43,716
KANZHUN LTD - ADR	60,053	98,602	–	74,705	83,950
KINGNET NETWORK CO LTD-A	33,314	30,400	–	63,714	–
KINGSOFT CORP LTD	258,206	306,140	–	266,159	298,187
KUAISHOU TECHNOLOGY	631,682	779,707	–	665,394	745,995
KUNLUN TECH CO LTD-A	19,150	21,900	–	19,650	21,400
MANGO EXCELLENT MEDIA CO L-A	28,034	35,700	–	30,051	33,683
NETEASE INC	521,332	645,437	–	551,976	614,793
ORIENTAL PEARL GROUP CO LT-A	50,183	57,000	–	107,183	–
PEOPLE.CN CO LTD-A	17,583	15,100	–	32,683	–
PERFECT WORLD CO LTD-A	26,573	22,600	–	49,173	–
TENCENT HOLDINGS LTD	1,783,068	2,206,157	–	1,908,412	2,080,813
TENCENT MUSIC ENTERTAINM-ADR	202,269	249,829	–	213,282	238,816
WANDA FILM HOLDING CO LTD-A	32,948	41,650	–	35,594	39,004
ZHEJIANG CENTURY HUATONG -A	115,036	127,800	–	117,283	125,553
<b>Consumer Discretionary</b>					
AIMA TECHNOLOGY GROUP CO L-A	11,963	14,300	–	26,263	–
ALIBABA GROUP HOLDING LTD	4,386,371	5,188,773	–	4,733,635	4,841,509
ANHUI JIANGHUAI AUTO GROUP-A	34,682	36,500	–	35,661	35,521
ANTA SPORTS PRODUCTS LTD	343,477	425,264	–	363,423	405,318
BAIC BLUEPARK NEW ENERGY -A	88,105	96,400	–	89,929	94,576
BEIJING ROBOROCK TECHNOLOG-A	1,655	3,400	1,008	2,713	3,350
BEIQI FOTON MOTOR CO LTD-A	123,020	116,500	–	239,520	–
BETHEL AUTOMOTIVE SAFETY S-A	6,164	9,040	3,505	9,174	9,535
BOSIDENG INTL HLDGS LTD	1,018,305	1,287,671	–	1,080,760	1,225,216
BRILLIANCE CHINA AUTOMOTIVE	813,458	708,379	–	1,521,837	–
BYD CO LTD -A	28,964	36,300	–	30,858	34,406
BYD CO LTD-H	281,004	348,442	–	297,585	331,861
CHANGZHOU XINGYU AUTOMOTIV-A	4,167	5,100	–	4,649	4,618
CHINA TOURISM GROUP DUTY F-A	30,706	32,200	–	32,228	30,678
CHINA TOURISM GROUP DUTY F-H	29,312	28,008	–	57,320	–
CHONGQING CHANGAN AUTOMOB-A	133,054	162,400	–	137,630	157,824
CHOW TAI FOOK JEWELLERY GROU	535,030	664,756	–	564,653	635,133
DONGFENG MOTOR GRP CO LTD-H	709,757	431,756	–	1,141,513	–
ECOVACS ROBOTICS CO LTD-A	8,655	9,800	–	8,786	9,669
FUYAO GLASS INDUSTRY GROUP-A	31,716	38,500	–	33,427	36,789
FUYAO GLASS INDUSTRY GROUP-H	162,516	201,198	–	171,234	192,480
GEELY AUTOMOBILE HOLDINGS LT	1,627,156	2,004,657	–	1,718,332	1,913,481
GREAT WALL MOTOR CO LTD-A	39,234	48,700	–	40,487	47,447
GREAT WALL MOTOR CO LTD-H	618,683	771,632	–	655,277	735,038
GREE ELECTRIC APPLIANCES I-A	43,713	56,600	–	46,847	53,466
GUANGZHOU AUTOMOBILE GROUP-A	79,055	87,000	–	80,270	85,785
GUANGZHOU AUTOMOBILE GROUP-H	779,567	936,305	–	812,907	902,965
H WORLD GROUP LTD-ADR	55,948	68,372	–	58,648	65,672



## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Consumer Discretionary (continued)</b>					
HAILILAO INTERNATIONAL HOLDI	450,634	552,403	–	472,235	530,802
HAIER SMART HOME CO LTD-A	99,789	125,000	–	106,752	118,037
HAIER SMART HOME CO LTD-H	654,579	804,873	–	689,423	770,029
HANG ZHOU GREAT STAR INDUS-A	18,715	22,000	–	18,981	21,734
HANGZHOU ROBAM APPLIANCES-A	13,786	15,300	–	29,086	–
HISENSE HOME APPLIANCES G-A	–	31,300	–	15,012	16,288
HISENSE HOME APPLIANCES GR-H	–	205,084	–	97,313	107,771
HISENSE VISUAL TECH CO LTD-A	20,255	22,319	–	20,224	22,350
HLA GROUP CORP L-A	66,541	102,900	–	80,481	88,960
HUAYU AUTOMOTIVE SYSTEMS -A	50,216	59,600	–	51,718	58,098
HUIZHOU DESAY SV AUTOMOTIV-A	8,718	9,500	–	8,578	9,640
JASON FURNITURE HANGZHOU C-A	11,813	12,800	–	24,613	–
JD.COM INC-CLASS A	631,594	834,186	–	675,768	790,012
LI AUTO INC-CLASS A	309,364	436,336	–	352,354	393,346
LI NING CO LTD	636,649	765,112	–	662,069	739,692
MEITUAN-CLASS B	1,367,573	1,684,636	–	1,463,687	1,588,522
MIDEA GROUP CO LTD-A	55,900	68,700	–	58,604	65,996
MINISO GROUP HOLDING LTD	100,681	124,715	–	107,031	118,365
NEW ORIENTAL EDUCATION & TEC	398,611	499,596	–	424,570	473,637
NINGBO JOYSON ELECTRONIC -A	20,988	26,200	–	22,926	24,262
NINGBO TUOPU GROUP CO LTD-A	18,374	21,235	14,234	25,142	28,701
NIO INC - ADR	364,479	371,695	–	736,174	–
NIO INC-CLASS A	–	535,590	–	99,798	435,792
OFFCN EDUCATION TECHNOLOGY-A	95,406	57,300	–	152,706	–
OPPEIN HOME GROUP INC-A	7,743	9,100	–	8,131	8,712
PDD HOLDINGS INC	160,974	238,088	–	177,612	221,450
POP MART INTERNATIONAL GROUP	127,442	180,460	–	137,006	170,896
SAIC MOTOR CORP LTD-A	123,002	146,900	–	128,078	141,824
SAILUN GROUP CO LTD-A	46,959	66,400	–	52,811	60,548
SERES GROUP CO L-A	24,073	27,900	–	25,586	26,387
SHANDONG LINGLONG TYRE CO -A	21,590	27,000	–	23,352	25,238
SHANGHAI JINJIANG INTERNAT-A	13,640	14,600	–	28,240	–
SHANGHAI YUYUAN TOURIST MA-A	57,719	54,200	–	111,919	–
SHENZHEN KEDALI INDUSTRY C-A	3,816	3,400	–	7,216	–
SHENZHOU INTERNATIONAL GROUP	222,610	273,952	–	234,512	262,050
SICHUAN CHANGHONG ELECTRIC-A	71,261	66,500	–	137,761	–
SONGCHENG PERFORMANCE DEVE-A	37,931	44,000	–	81,931	–
TAL EDUCATION GROUP- ADR	120,963	141,985	–	131,826	131,122
TONGCHENG TRAVEL HOLDINGS LT	331,360	430,482	–	355,032	406,810
TOPSPORTS INTERNATIONAL HOLD	494,508	738,395	–	542,209	690,694
TRAVELSKY TECHNOLOGY LTD-H	245,277	311,657	–	259,959	296,975
TRIP.COM GROUP LTD	147,872	185,013	–	156,871	176,014
VIPSHOP HOLDINGS LTD - ADR	93,538	129,244	–	105,054	117,728
WUCHAN ZHONGDA GROUP CO L-A	81,689	77,800	–	159,489	–
XPENG INC - CLASS A SHARES	310,935	431,791	–	350,744	391,982
YADEA GROUP HOLDINGS LTD	327,202	404,465	–	342,272	389,395
YUM CHINA HOLDINGS INC	110,449	130,195	–	116,461	124,183
ZEEKR INTELLIGENT TECHNO-ADR	–	–	–	–	–
ZHEJIANG CHINA COMMODITIES-A	85,834	108,100	–	89,285	104,649
ZHEJIANG LEAPMOTOR TECHNOLOG	134,628	166,911	–	141,705	159,834
ZHEJIANG SUPOR CO LTD -A	8,610	10,000	–	8,551	10,059
ZHEJIANG WANFENG AUTO -A	–	71,900	–	34,194	37,706
ZHONGSHENG GROUP HOLDINGS	220,886	276,307	–	232,574	264,619

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Consumer Staples</b>					
ALIBABA HEALTH INFORMATION T	1,517,635	1,865,691	–	1,590,880	1,792,446
ANGEL YEAST CO LTD-A	12,097	16,700	–	13,910	14,887
ANHUI GUJING DISTILLERY CO-A	6,200	7,000	–	6,758	6,442
ANHUI GUJING DISTILLERY CO-B	28,373	39,500	–	31,903	35,970
ANHUI KOUZI DISTILLERY CO -A	9,325	10,800	–	9,153	10,972
ANHUI YINGJIA DISTILLERY C-A	10,389	12,800	–	11,053	12,136
ANJOY FOODS GROUP CO LTD-A	4,495	4,600	–	4,381	4,714
BEIJING DABEINONG TECHNOLO-A	62,225	36,800	–	99,025	–
BEIJING YANJING BREWERY CO-A	41,551	55,700	–	46,174	51,077
BY-HEALTH CO LTD-A	25,408	33,200	–	27,919	30,689
CHINA FEIHE LTD	966,260	1,198,495	–	1,015,349	1,149,406
CHINA HUIZHAN DAIRY INVESTMENTS HK LTD	296,760	–	–	–	296,760
CHINA MENGNIU DAIRY CO	848,455	1,045,357	–	895,499	998,313
CHINA RESOURCES BEER HOLDING	436,954	538,910	–	461,670	514,194
CHONGQING BREWERY CO-A	7,102	9,700	–	7,717	9,085
DASHENLIN PHARMACEUTICAL G-A	16,045	18,300	–	34,345	–
EAST BUY HOLDING LTD	121,635	73,900	–	195,535	–
EASTROC BEVERAGE GROUP CO -A	3,989	7,400	–	5,359	6,030
FOSHAN HAITIAN FLAVOURING -A	74,426	91,000	–	77,532	87,894
FUJIAN SUNNER DEVELOPMENT-A	16,741	14,900	–	31,641	–
GIANT BIOGENE HOLDING CO LTD	80,407	102,123	–	84,128	98,402
GUANGDONG HAID GROUP CO-A	26,364	32,400	–	27,224	31,540
HEBEI YANGYUAN ZHIHUI BEVE-A	18,611	24,400	–	20,503	22,508
HEILONGJIANG AGRICULTURE-A	28,058	30,900	–	28,270	30,688
HENAN SHUANGHUI INVESTMENT-A	55,504	67,700	–	57,393	65,811
HENGAN INTL GROUP CO LTD	170,605	214,013	–	180,830	203,788
INNER MONGOLIA YILI INDUS-A	100,651	126,700	–	106,424	120,927
JD HEALTH INTERNATIONAL INC	300,558	368,621	–	315,671	353,508
JIANGSU KING'S LUCK BREWER-A	20,010	22,900	–	20,438	22,472
JIANGSU YANGHE DISTILLERY-A (formerly known as JIANGSU YANGHE BREWERY -A)	24,049	29,700	–	25,245	28,504
JIUGUI LIQUOR CO LTD-A	4,733	3,000	–	7,733	–
JONJEE HIGH-TECH INDUSTRIA-A	12,016	10,100	–	22,116	–
KWEICHOW MOUTAI CO LTD-A	20,106	25,700	–	21,684	24,122
LUZHOU LAOJIAO CO LTD-A	23,259	24,900	–	24,857	23,302
MUYUAN FOODS CO LTD-A	87,735	108,800	–	92,373	104,162
NEW HOPE LIUHE CO LTD-A	68,860	88,600	–	74,908	82,552
NONGFU SPRING CO LTD-H	542,518	668,735	–	573,026	638,227
SHANGHAI BAIRUN INVESTMENT-A	14,204	12,300	–	26,504	–
SHANXI XINGHUACUN FEN WINE-A	19,362	21,900	–	20,644	20,618
SHEDE SPIRITS CO LTD-A	4,563	4,600	–	9,163	–
SICHUAN SWELLFUN CO LTD-A	7,246	7,100	–	14,346	–
SMOORE INTERNATIONAL HOLDING	493,938	611,990	–	519,704	586,224
TINGYI (CAYMAN ISLN) HLDG CO	527,092	655,076	–	556,680	625,488
TSINGTAO BREWERY CO LTD-A	11,073	13,467	–	11,681	12,859
TSINGTAO BREWERY CO LTD-H	167,922	205,780	–	174,636	199,066
VINDA INTERNATIONAL HOLDINGS	96,678	–	(96,678)	–	–
WANT WANT CHINA HOLDINGS LTD	1,269,087	1,564,198	–	1,336,224	1,497,061
WENS FOODSTUFF GROUP CO L-A (formerly known as WENS FOODSTUFFS GROUP CO L-A)	107,144	130,000	–	110,862	126,282
WULIANGYE YIBIN CO LTD-A	62,426	76,100	–	66,097	72,429
YIFENG PHARMACY CHAIN CO L-A	15,864	18,700	5,352	19,614	20,302
YIHAI KERRY ARAWANA HOLDIN-A	24,590	30,400	–	25,975	29,015
YONGHUI SUPERSTORES CO LTD-A	138,917	95,600	–	234,517	–
YUNNAN BOTANEE BIO-TECHNOL-A	6,262	6,100	–	12,362	–

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Energy</b>					
CHINA COAL ENERGY CO-H	547,227	688,409	–	581,755	653,881
CHINA MERCHANTS ENERGY -A	127,792	155,716	–	134,781	148,727
CHINA OILFIELD SERVICES-H	486,170	601,574	–	511,780	575,964
CHINA PETROLEUM & CHEMICAL-A	503,241	665,820	–	550,022	619,039
CHINA PETROLEUM & CHEMICAL-H	6,568,955	8,113,923	–	6,942,317	7,740,561
CHINA SHENHUA ENERGY CO-A	105,246	131,600	–	111,726	125,120
CHINA SHENHUA ENERGY CO-H	910,192	1,126,339	–	962,178	1,074,353
CNOOC ENERGY TECHNOLOGY & -A	–	227,400	–	110,088	117,312
COSCO SHIPPING ENERGY TRAN-A	53,161	64,200	–	56,712	60,649
COSCO SHIPPING ENERGY TRAN-H	349,186	424,121	–	362,642	410,665
GUANGHUI ENERGY CO LTD-A	101,148	126,900	–	108,420	119,628
INNER MONGOLIA DIAN TOU EN-A	33,932	42,200	–	36,428	39,704
INNER MONGOLIA YITAI COAL-B	285,132	352,800	–	300,260	337,672
JIZHONG ENERGY RESOURCES-A	52,005	58,600	–	110,605	–
OFFSHORE OIL ENGINEERING-A	66,548	85,800	–	72,558	79,790
PETROCHINA CO LTD-A	344,128	415,800	–	367,168	392,760
PETROCHINA CO LTD-H	5,685,521	7,034,312	–	6,009,309	6,710,524
PINGDINGSHAN TIANAN COAL -A	–	82,600	–	40,032	42,568
SHAANXI COAL INDUSTRY CO L-A	154,461	188,700	–	164,367	178,794
SHAN XI HUA YANG GROUP NEW-A	53,267	69,000	–	59,214	63,053
SHANXI COKING COAL ENERGY-A	91,522	104,700	–	93,408	102,814
SHANXI LU'AN ENVIRONMENTAL-A	46,579	54,800	–	49,206	52,173
YANKUANG ENERGY GROUP CO-A	59,288	82,500	35,426	82,730	94,484
YANKUANG ENERGY GROUP CO-H	614,557	1,001,434	342,277	924,651	1,033,617
YANTAI JEREH OILFIELD-A	15,886	18,800	–	16,680	18,006
<b>Financials</b>					
AGRICULTURAL BANK OF CHINA-A	1,369,163	1,693,600	–	1,444,426	1,618,337
AGRICULTURAL BANK OF CHINA-H	7,454,851	9,225,696	–	7,883,595	8,796,952
AVIC INDUSTRY-FINANCE HOLD-A	142,319	135,200	–	277,519	–
BANK OF BEIJING CO LTD -A	340,912	415,700	–	353,271	403,341
BANK OF CHANGSHA CO LTD-A	60,992	78,200	–	66,163	73,029
BANK OF CHENGDU CO LTD-A	60,968	72,300	–	62,977	70,291
BANK OF CHINA LTD-A	567,131	689,300	–	588,742	667,689
BANK OF CHINA LTD-H	21,406,633	26,697,228	–	22,706,918	25,396,943
BANK OF COMMUNICATIONS CO-A	633,792	778,000	–	665,772	746,020
BANK OF COMMUNICATIONS CO-H	2,358,753	2,906,253	–	2,491,332	2,773,674
BANK OF HANGZHOU CO LTD-A	95,357	116,400	–	98,844	112,913
BANK OF JIANGSU CO LTD-A	290,536	365,850	–	307,364	349,022
BANK OF NANJING CO LTD -A	165,434	196,700	–	171,623	190,511
BANK OF NINGBO CO LTD -A	106,050	131,463	–	111,680	125,833
BANK OF SHANGHAI CO LTD-A	227,083	279,600	–	235,851	270,832
BANK OF SUZHOU CO LTD-A	55,875	63,210	–	56,712	62,373
BOC INTERNATIONAL CHINA CO-A	40,409	46,100	–	45,204	41,305
CAITONG SECURITIES CO LTD-A	69,616	88,000	–	76,460	81,156
CHANGJIANG SECURITIES CO L-A	85,827	82,200	–	87,570	80,457
CHINA CINDA ASSET MANAGEME-H	2,572,484	1,564,968	–	4,137,452	–
CHINA CITIC BANK CORP LTD-H	2,406,022	2,965,119	–	2,541,765	2,829,376
CHINA CONSTRUCTION BANK-A	150,699	192,462	–	160,399	182,762
CHINA CONSTRUCTION BANK-H	25,913,388	32,222,939	–	27,470,234	30,666,093
CHINA EVERBRIGHT BANK CO L-H	854,178	1,039,636	–	885,834	1,007,980
CHINA EVERBRIGHT BANK CO-A	739,648	923,701	–	778,212	885,137
CHINA GALAXY SECURITIES CO-A	97,186	138,300	–	120,930	114,556
CHINA GALAXY SECURITIES CO-H	935,440	1,157,924	–	993,988	1,099,376
CHINA GREAT WALL SECURITIE-A	62,162	67,500	–	63,073	66,589
CHINA INTERNATIONAL CAPITA-H	406,051	503,254	–	426,252	483,053

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Financials (continued)</b>					
CHINA INTERNATIONAL CAPTAL-A	37,705	46,100	–	40,397	43,408
CHINA LIFE INSURANCE CO-A	44,372	49,800	–	45,696	48,476
CHINA LIFE INSURANCE CO-H	2,004,949	2,481,669	–	2,119,931	2,366,687
CHINA MERCHANTS BANK-A	331,927	399,823	–	352,186	379,564
CHINA MERCHANTS BANK-H	1,050,911	1,301,972	–	1,112,021	1,240,862
CHINA MERCHANTS SECURITIES-A	116,764	144,000	–	123,893	136,871
CHINA MINSHENG BANKING COR-H	1,773,386	2,216,545	–	1,879,724	2,110,207
CHINA MINSHENG BANKING-A	577,243	696,301	–	597,204	676,340
CHINA PACIFIC INSURANCE GR-A	109,677	131,100	–	116,299	124,478
CHINA PACIFIC INSURANCE GR-H	710,479	875,404	–	750,340	835,543
CHINA TAIPING INSURANCE HOLD	384,016	479,258	–	406,068	457,206
CHINA ZHESHANG BANK CO LTD-A	347,993	403,000	–	356,827	394,166
CHONGQING RURAL COMMERCIAL-A	138,928	168,800	–	144,643	163,085
CITIC SECURITIES CO LTD-H	474,194	542,058	–	515,871	500,381
CITIC SECURITIES CO-A	197,701	201,600	–	207,264	192,037
CNPC CAPITAL CO LTD-A	132,009	162,200	–	137,640	156,569
CSC FINANCIAL CO LTD-A	68,965	72,700	–	71,236	70,429
DONGXING SECURITIES CO LT-A	46,623	62,200	–	52,542	56,281
EAST MONEY INFORMATION CO-A	255,429	263,800	–	264,883	254,346
EVERBRIGHT SECURITIE CO -A	60,021	73,100	–	64,218	68,903
FAR EAST HORIZON LTD	513,228	645,875	–	543,468	615,635
FIRST CAPITAL SECURITIES C-A	62,845	58,900	–	121,745	–
FOUNDER SECURITIES CO LTD-A	132,388	149,300	–	135,108	146,580
GF SECURITIES CO LTD-A	95,044	110,200	–	98,398	106,846
GF SECURITIES CO LTD-H	272,092	260,630	–	532,722	–
GUANGZHOU YUEXIU CAPITAL H-A	62,236	58,300	–	120,536	–
GUOLIAN SECURITIES CO LTD-A	37,778	39,300	–	39,764	37,314
GUOSEN SECURITIES CO LTD-A	97,307	120,800	–	100,827	117,280
GUOTAI JUNAN SECURITIES CO-A	119,134	124,200	–	92,827	150,507
GUOYUAN SECURITIES CO LTD-A	65,671	82,800	–	71,724	76,747
HAITONG SECURITIES CO LTD-A	151,553	161,500	–	119,559	193,494
HAITONG SECURITIES CO LTD-H	729,185	740,807	–	561,677	908,315
HITHINK ROYALFLUSH INFORMA-A	8,610	9,100	–	9,117	8,593
HUATAI SECURITIES CO LTD-A	116,737	140,000	–	120,950	135,787
HUATAI SECURITIES CO LTD-H	344,309	429,886	–	364,252	409,943
HUAXIA BANK CO LTD-A	212,761	261,000	–	220,676	253,085
IND & COMM BK OF CHINA-A	1,010,272	1,253,100	–	1,067,548	1,195,824
IND & COMM BK OF CHINA-H	17,540,833	23,568,989	–	18,921,046	22,188,776
INDUSTRIAL BANK CO LTD -A	334,678	399,700	–	352,424	381,954
INDUSTRIAL SECURITIES CO-A	137,114	147,000	–	140,112	144,002
NANJING SECURITIES CO LTD-A	53,291	69,788	–	60,527	62,552
NEW CHINA LIFE INSURANCE C-A	32,120	40,400	–	34,410	38,110
NEW CHINA LIFE INSURANCE C-H	220,850	306,919	–	248,249	279,520
ORIENT SECURITIES CO LTD-A	118,338	142,200	–	122,915	137,623
PEOPLE'S INSURANCE CO GROU-H	2,339,349	2,893,978	–	2,467,410	2,765,917
PICC HOLDING CO-A	149,308	180,500	–	155,308	174,500
PICC PROPERTY & CASUALTY-H	1,859,325	2,294,645	–	1,958,857	2,195,113
PING AN BANK CO LTD-A	309,801	384,785	–	325,676	368,910
PING AN INSURANCE GROUP CO-A	173,402	213,500	–	182,762	204,140
PING AN INSURANCE GROUP CO-H	1,806,001	2,238,383	–	1,913,046	2,131,338
POSTAL SAVINGS BANK OF CHI-A	461,857	562,000	–	487,295	536,562
POSTAL SAVINGS BANK OF CHI-H	2,138,175	2,624,703	–	2,245,210	2,517,668
QIFU TECHNOLOGY INC	33,131	39,661	–	34,435	38,357
SDIC CAPITAL CO LTD-A	100,646	112,000	–	102,582	110,064
SHANGHAI PUDONG DEVEL BANK-A	469,735	589,543	–	499,485	559,793
SHANGHAI RURAL COMMERCIAL -A	151,562	178,700	–	159,611	170,651
SHENWAN HONGYUAN GROUP CO-A	366,340	429,400	–	378,988	416,752
SINOLINK SECURITIES CO LTD-A	58,391	63,800	–	59,214	62,977

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Financials (continued)</b>					
SOOCHOW SECURITIES CO LTD-A	81,246	90,900	–	83,400	88,746
SOUTHWEST SECURITIES CO LT-A	102,761	103,700	–	104,773	101,688
TIANFENG SECURITIES CO LTD-A	136,256	129,100	–	265,356	–
WESTERN SECURITIES CO LTD-A	66,543	84,093	–	73,392	77,244
ZHESHANG SECURITIES CO LTD-A	57,697	71,800	–	63,801	65,696
ZHONGAN ONLINE P&C INSURAN-H	189,960	115,584	–	305,544	–
ZHONGTAI SECURITIES CO LTD-A	109,229	122,900	–	111,631	120,498
<b>Health Care</b>					
AIER EYE HOSPITAL GROUP CO-A	147,440	181,135	–	155,978	172,597
AKESO INC	135,680	229,639	–	172,059	193,260
ASYMCHEM LABORATORIES TIAN-A	4,991	6,500	–	5,215	6,276
AUTOBIO DIAGNOSTICS CO LTD-A	8,881	9,800	–	9,174	9,507
BEIGENE LTD	183,609	231,961	–	195,081	220,489
BEIJING TIANTAN BIOLOGICAL-A	24,364	36,200	9,292	32,325	37,531
BEIJING TONG REN TANG CO-A (formerly known as BEIJING TONGRENTANG CO- A)	20,990	27,100	–	22,149	25,941
BEIJING WANTAI BIOLOGICAL-A	12,797	24,860	–	17,725	19,932
BLOOMAGE BIOTECHNOLOGY COR-A	7,492	9,375	–	7,717	9,150
CHANGCHUN HIGH-TECH INDUST-A	5,764	6,800	–	6,049	6,515
CHINA MEDICAL SYSTEM HOLDING	359,783	218,842	–	578,625	–
CHINA NATIONAL MEDICINES-A	11,743	13,800	–	11,927	13,616
CHINA RESOURCES PHARMACEUTIC	418,917	710,607	–	530,013	599,511
CHINA RESOURCES SANJIU MED-A	14,534	22,200	7,810	20,869	23,675
CHONGQING TAIJI INDUS GRP-A	8,323	4,400	–	12,723	–
CHONGQING ZHIFEI BIOLOGICA-A	37,969	46,800	–	39,647	45,122
CSPC INNOVATION PHARMACEUT-A	25,564	33,300	5,112	30,617	33,359
CSPC PHARMACEUTICAL GROUP LT	2,405,813	2,809,164	–	2,569,429	2,645,548
DONG-E-E-JIAOCO LTD-A	9,672	12,700	–	10,219	12,153
GENSCRIPT BIOTECH CORP	314,894	382,534	–	327,117	370,311
GUANGZHOU BAIYUNSHAN PHAR-A	20,992	26,000	–	22,726	24,266
GUANGZHOU KINGMED DIAGNOST-A	6,777	6,800	–	13,577	–
HANGZHOU TIGERMED CONSULTI-A	5,434	6,400	–	5,838	5,996
HANSOH PHARMACEUTICAL GROUP	319,860	391,852	–	335,384	376,328
HUADONG MEDICINE CO LTD-A	27,573	32,600	–	28,390	31,783
HUALAN BIOLOGICAL ENGINEER-A	29,033	31,800	–	29,065	31,768
HUBEI JUMPCAN PHARMACEUT-A	13,638	15,400	–	14,985	14,053
HUMANWELL HEALTHCARE GROUP-A	25,564	30,800	–	26,430	29,934
HYGEIA HEALTHCARE HOLDINGS C	91,824	110,500	–	202,324	–
IMEIK TECHNOLOGY DEVELOPME-A	3,076	5,600	1,390	5,004	5,062
INNOVENT BIOLOGICS INC	327,136	408,257	–	346,563	388,830
IRAY TECHNOLOGY CO LTD-A	1,193	924	–	2,117	–
JIANGSU HENGRUI PHARMACEUT-A	102,128	127,318	–	108,238	121,208
JIANGSU NHWA PHARMACEUTICA-A	14,198	18,900	–	15,846	17,252
JIANGSU YUYUE MEDICAL EQU-A	15,931	19,300	–	16,268	18,963
JOINCARE PHARMACEUTICAL GR-A	25,402	32,200	–	57,602	–
JOINTOWN PHARMACEUTICAL-A	57,693	85,455	30,244	82,566	90,826
LEGEND BIOTECH CORP-ADR	19,506	24,312	–	20,763	23,055
LEPU MEDICAL TECHNOLOGY-A	27,306	36,200	–	30,151	33,355
LIVZON PHARMACEUTICAL GROU-A	8,577	11,400	–	9,620	10,357
MEINIAN ONEHEALTH HEALTHCA-A	57,731	34,600	–	92,331	–
MGI TECH CO LTD-A	6,280	5,866	–	12,146	–
NANJING KING-FRIEND BIOCHE-A	22,664	18,200	–	40,864	–
PHARMARON BEIJING CO LTD-A	21,553	28,800	–	24,186	26,167
SHANDONG WEIGAO GP MEDICAL-H	664,980	828,969	–	702,902	791,047
SHANGHAI FOSUN PHARMACEUTI-A	32,254	40,400	–	34,603	38,051
SHANGHAI FOSUN PHARMACEUTI-H	131,483	79,612	–	211,095	–

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Health Care (continued)</b>					
SHANGHAI JUNSHI BIOSCIENCE-A	12,035	6,764	–	18,799	–
SHANGHAI PHARMACEUTICALS-A	42,109	53,788	–	45,688	50,209
SHANGHAI PHARMACEUTICALS-H	196,424	228,448	–	201,738	223,134
SHANGHAI RAAS BLOOD PRODUC-A	103,863	130,900	–	108,028	126,735
SHANGHAI UNITED IMAGING HE-A	13,088	16,179	–	13,612	15,655
SHENZHEN KANGTAI BIOLOGICA-A	16,075	17,900	–	33,975	–
SHENZHEN MINDRAY BIO-MEDIC-A	19,255	23,930	–	20,327	22,858
SHENZHEN NEW INDUSTRIES BI-A	11,953	15,300	–	13,103	14,150
SHENZHEN SALUBRIS PHARM-A	17,274	21,100	–	17,313	21,061
SHIJIAZHANG YILING PHARMA-A	26,427	28,200	–	26,179	28,448
SICHUAN KELUN PHARMACEUTIC-A	22,251	33,800	–	26,688	29,363
SINO BIOPHARMACEUTICAL	2,786,637	3,414,838	–	2,923,970	3,277,505
SINOPHARM GROUP CO-H	361,434	443,265	–	379,062	425,637
TOPCHOICE MEDICAL CORPORAT-A	4,733	3,000	–	7,733	–
WALVAX BIOTECHNOLOGY CO-A	23,189	26,000	–	49,189	–
WUXI APPTec CO LTD-A	41,229	41,000	–	42,122	40,107
WUXI APPTec CO LTD-H	94,060	114,105	–	97,816	110,349
WUXI BIOLOGICS CAYMAN INC	1,032,758	1,256,587	–	1,166,359	1,122,986
YUNNAN BAIYAO GROUP CO LTD-A	28,379	35,300	–	29,726	33,953
ZAI LAB LTD	251,615	157,062	–	408,677	–
ZHANGZHOU PIENZEHUANG PHA-A	9,501	11,700	–	10,035	11,166
ZHEJIANG HUAHAI PHARMACEUT-A	21,014	28,900	–	23,820	26,094
<b>Industrials</b>					
AECC AERO-ENGINE CONTROL-A	19,790	24,800	–	21,416	23,174
AECC AVIATION POWER CO-A	41,534	53,200	–	44,001	50,733
AIR CHINA LTD-A	182,491	226,900	–	194,764	214,627
AIR CHINA LTD-H	467,572	284,176	–	751,748	–
AVICHINA INDUSTRY & TECH-H	669,224	814,805	–	693,614	790,415
AVICOPTER PLC-A	8,874	19,400	–	12,762	15,512
BEIJING NEW BUILDING MATER-A	26,603	31,880	–	27,708	30,775
BEIJING-SHANGHAI HIGH SPE-A	788,174	984,500	–	836,130	936,544
BOC AVIATION LTD	55,996	68,276	–	58,251	66,021
CHINA BAOAN GROUP-A	37,919	42,400	–	80,319	–
CHINA COMMUNICATIONS SERVI-H	644,592	784,640	–	667,639	761,593
CHINA CSSC HOLDINGS LTD-A	70,330	88,200	–	76,230	82,300
CHINA EASTERN AIRLINES CO-A	271,639	326,800	–	282,776	315,663
CHINA ENERGY ENGINEERING C-A	528,880	594,000	–	542,571	580,309
CHINA MERCHANTS EXPRESSWAY-A	50,100	139,500	–	81,448	108,152
CHINA MERCHANTS PORT HOLDING	378,328	434,258	–	413,897	398,689
CHINA NATIONAL CHEMICAL-A	95,026	120,500	–	99,190	116,336
CHINA RAILWAY GROUP LTD-A	325,287	410,600	–	344,159	391,728
CHINA RAILWAY GROUP LTD-H	1,123,039	1,408,678	–	1,193,641	1,338,076
CHINA SOUTHERN AIRLINES CO-A	178,557	220,900	–	185,389	214,068
CHINA STATE CONSTRUCTION -A	677,105	815,440	–	701,422	791,123
CHINA STATE CONSTRUCTION INT	537,382	671,218	–	568,743	639,857
CHINA XD ELECTRIC CO LTD-A	81,247	91,800	–	83,055	89,992
CITIC LTD	1,567,880	1,932,188	–	1,655,389	1,844,679
CONTEMPORARY AMPEREX TECHN-A	70,525	87,680	–	74,805	83,400
COSCO SHIPPING DEVELOPME-A	162,422	180,100	–	162,630	179,892
COSCO SHIPPING HOLDINGS CO-A	205,788	249,100	–	216,006	238,882
COSCO SHIPPING HOLDINGS CO-H	799,852	938,908	–	825,610	913,150
COSCO SHIPPING PORTS LTD	409,319	248,976	–	658,295	–
CRRc CORP LTD - H	1,165,179	1,456,194	–	1,235,691	1,385,682
CRRc CORP LTD-A	392,886	477,900	–	413,664	457,122
CSSC SCIENCE & TECHNOLOGY -A	21,027	25,900	–	46,927	–
DAQIN RAILWAY CO LTD -A	248,843	396,700	–	299,610	345,933
DONGFANG ELECTRIC CORP LTD-A	42,109	53,100	–	45,204	50,005

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Industrials (continued)</b>					
EVE ENERGY CO LTD-A	32,516	39,200	–	33,436	38,280
FANGDA CARBON NEW MATERIAL-A	57,656	45,900	–	103,556	–
FAW JIEFANG GROUP CO LTD-A	44,458	61,500	–	50,352	55,606
FOSUN INTERNATIONAL LTD	659,312	803,618	–	685,108	777,822
GINLONG TECHNOLOGIES CO LT-A	6,004	6,900	–	6,227	6,677
GOLDWIND SCIENCE & TECHNOL-A	49,437	69,700	–	56,712	62,425
GONEO GROUP CO LTD-A	6,252	10,245	5,468	10,842	11,123
GOODWE TECHNOLOGIES CO LTD-A	2,090	1,436	–	3,526	–
GOTION HIGH-TECH CO LTD-A	26,571	34,000	–	28,798	31,773
GUANGZHOU BAIYUN INTERNATI-A	34,114	47,100	–	38,364	42,850
HAINAN AIRLINES HOLDING CO-A	680,214	788,500	–	697,790	770,924
HAITIAN INTERNATIONAL HLDGS	170,398	210,926	–	177,883	203,441
HONGFA TECHNOLOGY CO LTD-A	7,115	3,800	–	10,915	–
HORIZON CONSTRUCTION DEVELOP	–	–	161,771	161,771	–
HOYMILES POWER ELECTRONICS-A	832	800	–	1,632	–
JD LOGISTICS INC	529,485	660,763	–	560,865	629,383
JIANGSU EXPRESS CO LTD-H	329,512	401,136	–	340,878	389,770
JIANGSU HENGLI HYDRAULIC C-A	21,119	26,400	–	22,106	25,413
JIANGSU ZHONGTIAN TECHNOLO-A	53,864	66,700	–	55,727	64,837
JIANGXI SPECIAL ELECTRIC -A	25,865	14,800	–	40,665	–
JUNEYAO AIRLINES CO LTD-A	35,416	39,100	–	35,594	38,922
KEDA INDUSTRIAL GROUP CO L-A	26,571	22,800	–	49,371	–
KUANG-CHI TECHNOLOGIES CO-A	33,813	35,900	–	34,648	35,065
LIAONING PORT CO LTD-A	304,586	341,625	–	312,087	334,124
METALLURGICAL CORP OF CHIN-A	288,162	333,400	–	295,236	326,326
MING YANG SMART ENERGY GRO-A	36,249	20,800	–	57,049	–
NARI TECHNOLOGY CO LTD-A	127,428	159,868	–	134,885	152,411
NINGBO DEYE TECHNOLOGY CO -A	6,474	11,900	4,109	9,779	12,704
NINGBO ORIENT WIRES & CABL-A	10,578	13,100	–	11,053	12,625
NINGBO SANXING MEDICAL CO -A	19,800	28,537	–	22,986	25,351
ORIENT OVERSEAS INTL LTD	35,671	44,044	–	37,671	42,044
POWER CONSTRUCTION CORP OF-A	279,138	327,400	–	288,805	317,733
S F HOLDING CO LTD-A	78,539	96,400	–	81,970	92,969
SANY HEAVY EQUIPMENT INTL	294,780	188,238	–	483,018	–
SANY HEAVY INDUSTRY CO LTD-A	134,476	168,900	–	141,773	161,603
SHANDONG HIMILE MECHANICAL-A	12,057	14,100	–	12,510	13,647
SHANGHAI CONSTRUCTION GROU-A	140,463	132,900	–	273,363	–
SHANGHAI ELECTRIC GRP CO L-A	202,809	227,800	–	208,310	222,299
SHANGHAI INTERNATIONAL AIR-A	18,574	23,300	–	20,016	21,858
SHANGHAI INTERNATIONAL POR-A	106,603	132,000	–	115,333	123,270
SHANGHAI M&G STATIONERY IN-A	14,420	15,500	–	14,178	15,742
SHANGHAI MOONS' ELECTRIC C-A	6,076	6,000	–	12,076	–
SHANXI COAL INTERNATIONAL -A	29,692	37,100	–	32,499	34,293
SHENZHEN INOVANCE TECHNOLO-A	21,117	26,400	–	22,106	25,411
SHENZHEN SED INDUSTRY CO-A	17,818	9,700	–	27,518	–
SICHUAN ROAD&BRIDGE GROUP-A	112,171	135,700	–	119,885	127,986
SIEYUAN ELECTRIC CO LTD-A	11,866	15,300	–	12,621	14,545
SINOMA INTERNATIONAL ENGIN-A	37,930	53,100	–	43,368	47,662
SINOTRUK HONG KONG LTD	184,405	227,446	–	192,965	218,886
SPRING AIRLINES CO LTD-A	15,231	19,400	–	16,057	18,574
SUNGROW POWER SUPPLY CO LT-A	23,344	34,746	16,337	35,239	39,188
SUNWODA ELECTRONIC CO LTD-A	28,028	35,700	–	30,051	33,677
TBEA CO LTD-A	78,396	97,900	–	83,704	92,592
TIAN DI SCIENCE & TECHNOLO-A	–	141,100	–	67,032	74,068
WEICHAI POWER CO LTD-A	106,602	135,700	–	113,022	129,280
WEICHAI POWER CO LTD-H	519,839	645,526	–	549,694	615,671
XCMG CONSTRUCTION MACHIN-A	187,372	232,781	–	194,801	225,352
XIAMEN C & D INC-A	42,954	60,500	–	49,206	54,248

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Industrials (continued)</b>					
YTO EXPRESS GROUP CO LTD-A	52,440	64,200	–	56,685	59,955
YUNDA HOLDING CO LTD-A	42,941	47,400	–	90,341	–
YUTONG BUS CO LTD-A	32,682	42,400	–	35,862	39,220
ZHEJIANG CHINT ELECTRICS-A	32,313	41,200	–	35,028	38,485
ZHEJIANG DINGLI MACHINERY -A	7,746	8,600	–	8,072	8,274
ZHEJIANG EXPRESSWAY CO-H	426,405	519,337	–	441,738	504,004
ZHEJIANG SANHUA INTELLIGEN-A	28,028	35,349	–	30,024	33,353
ZHEJIANG WEIMING ENVIRONME-A	24,402	33,500	–	27,708	30,194
ZHEJIANG WEIXING NEW BUILD-A	23,190	30,286	–	25,670	27,806
ZHUZHOU CRRC TIMES ELECTRI-A	12,896	16,401	–	14,178	15,119
ZHUZHOU CRRC TIMES ELECTRI-H	132,513	159,488	–	137,222	154,779
ZOOMLION HEAVY INDUSTRY S-A	110,957	135,400	–	117,001	129,356
ZTO EXPRESS CAYMAN INC	–	167,067	–	31,159	135,908
ZTO EXPRESS CAYMAN INC-ADR	114,514	116,453	–	230,967	–
<b>Information Technology</b>					
360 SECURITY TECHNOLOGY IN-A	115,062	127,508	–	117,247	125,323
AAC TECHNOLOGIES HOLDINGS IN	191,623	239,194	–	202,768	228,049
ACCELINK TECHNOLOGIES CO -A	10,958	15,100	–	12,510	13,548
ACM RESEARCH SHANGHAI I-A	4,242	5,889	–	5,004	5,127
ADVANCED MICRO-FABRICATION-A	9,806	12,229	–	10,479	11,556
AMLOGIC SHANGHAI INC-A	6,325	7,178	–	6,490	7,013
ANKER INNOVATIONS TECHNOLO-A	5,996	9,660	2,668	8,381	9,943
ASR MICROELECTRONICS CO LT-A	6,322	2,688	–	9,010	–
AVARY HOLDING SHENZHEN CO -A	30,110	50,600	–	38,527	42,183
BEIJING KINGSOFT OFFICE SO-A	7,226	8,831	–	7,658	8,399
BOE TECHNOLOGY GROUP CO LT-A	596,767	726,600	–	618,670	704,697
BYD ELECTRONIC INTL CO LTD	211,787	261,267	–	222,989	250,065
CAMBRICON TECHNOLOGIES-A	6,303	7,400	–	6,856	6,847
CHAOZHOU THREE-CIRCLE GROU-A	30,278	36,700	–	30,841	36,137
CHINA COMMON RICH RENEWABLE ENERGY INVES	583,345	–	–	–	583,345
CHINA GREATWALL TECHNOLOGY-A	50,827	57,500	–	51,708	56,619
CHINA NATIONAL SOFTWARE -A	12,884	13,800	–	14,092	12,592
CHINA RAILWAY SIGNAL & COM-A	117,762	132,530	–	120,930	129,362
CHINA RESOURCES MICROELECT-A	20,277	25,372	–	21,684	23,965
CHINA ZHENHUA GROUP SCIENC-A	8,663	7,000	–	15,663	–
EMPYREAN TECHNOLOGY CO LTD-A	5,201	9,100	–	5,896	8,405
EOPTOLINK TECHNOLOGY INC L-A	10,918	14,100	–	11,703	13,315
EVERDISPLAY OPTRONICS SHAN-A	184,313	214,288	–	189,318	209,283
FLAT GLASS GROUP CO LTD-A	24,389	38,300	–	31,692	30,997
FLAT GLASS GROUP CO LTD-H	112,849	113,072	–	225,921	–
FOXCONN INDUSTRIAL INTERNE-A	209,388	259,400	–	224,501	244,287
GALAXYCORE INC-A	27,610	47,152	–	36,068	38,694
GCL TECHNOLOGY HOLDINGS LTD	5,775,591	7,148,093	–	6,095,071	6,828,613
GIGADEVICE SEMICONDUCTO-CL A	10,273	13,000	–	11,053	12,220
GOERTEK INC -A	52,666	66,000	–	56,471	62,195
GRG BANKING EQUIPMENT CO -A	37,161	46,234	–	40,309	43,086
GUANGZHOU HAIGE COMMUNICAT-A	37,156	47,600	–	40,188	44,568
GUANGZHOU SHIYUAN ELECTRON-A	10,673	9,000	–	19,673	–
HANGZHOU CHANG CHUAN TECHN-A	9,386	4,800	–	14,186	–
HANGZHOU FIRST APPLIED MAT-A	29,338	39,500	21,095	42,544	47,389
HANGZHOU SILAN MICROELECTR-A	26,392	28,400	–	26,177	28,615
HENGDIAN GROUP DMEGC -A	24,029	26,100	–	50,129	–
HENGTONG OPTIC-ELECTRIC CO-A	37,134	45,700	–	40,032	42,802
HUA HONG SEMICONDUCTOR LTD	156,694	221,591	–	169,966	208,319
HUAGONG TECH CO LTD-A	15,488	19,100	–	15,645	18,943
HUNDSUN TECHNOLOGIES INC-A	28,204	30,300	–	30,831	27,673



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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Information Technology (continued)</b>					
HWATSING TECHNOLOGY CO LTD-A	2,067	3,726	2,059	3,547	4,305
HYGON INFORMATION TECHNOLO-A	31,607	52,056	–	39,409	44,254
IEIT SYSTEMS CO LTD-A	22,404	28,901	–	23,774	27,531
IFLYTEK CO LTD - A	36,991	44,900	–	38,686	43,205
INGENIC SEMICONDUCTOR CO -A	7,102	9,600	–	7,717	8,985
ISOFTSTONE INFORMATION TEC-A	14,689	15,000	–	15,175	14,514
JA SOLAR TECHNOLOGY CO LTD-A	51,833	63,800	–	55,488	60,145
JCET GROUP CO LTD-A	17,240	45,300	–	29,612	32,928
JIANGSU PACIFIC QUARTZ CO -A	4,559	7,000	3,629	15,188	–
JINKO SOLAR CO LTD-A	134,778	203,128	–	147,095	190,811
KINGBOARD HOLDINGS LTD	176,200	112,073	–	288,273	–
KINGDEE INTERNATIONAL SFTWR	782,798	1,009,937	–	823,406	969,329
LENOVO GROUP LTD	2,170,407	2,691,385	–	2,296,724	2,565,068
LENS TECHNOLOGY CO LTD-A	81,225	94,400	–	83,400	92,225
LINGYI ITECH GUANGDONG CO -A	109,275	124,200	–	110,922	122,553
LONGI GREEN ENERGY TECHNOL-A	119,864	149,040	–	126,721	142,183
LUXSHARE PRECISION INDUSTR-A	115,065	142,860	–	121,734	136,191
MAXSCEND MICROELECTRONICS -A	8,616	9,707	–	8,551	9,772
MONTAGE TECHNOLOGY CO LTD-A	17,205	22,457	–	18,827	20,835
NATIONAL SILICON INDUSTRY -A	43,841	47,324	–	45,036	46,129
NAURA TECHNOLOGY GROUP CO-A	8,120	10,700	–	8,970	9,850
NINESTAR CORP-A	22,289	27,000	–	22,560	26,729
OFILM GROUP CO LTD-A	50,172	65,000	–	52,294	62,878
PIOTECH INC-A	2,425	4,114	2,404	4,170	4,773
QI AN XIN TECHNOLOGY GROUP-A	9,824	7,678	–	17,502	–
RANGE INTELLIGENT COMPUTI-A	21,558	26,900	–	23,325	25,133
RISEN ENERGY CO LTD-A	16,039	7,700	–	23,739	–
ROCKCHIP ELECTRONICS CO L-A	6,111	6,900	–	6,536	6,475
SANAN OPTOELECTRONICS CO L-A	79,918	88,144	–	81,104	86,958
SANGFOR TECHNOLOGIES INC-A	6,107	6,100	–	12,207	–
SG MICRO CORP-A	6,770	9,300	–	7,257	8,813
SHANGHAI AIKO SOLAR ENERGY-A	28,403	22,700	–	51,103	–
SHANGHAI BAOSIGHT SOFTWARE-A	28,738	37,694	9,427	35,038	40,821
SHANGHAI BAOSIGHT SOFTWARE-B	159,250	218,000	54,610	202,145	229,715
SHANGHAI BOCHU ELECTRONIC-A	1,777	4,292	1,070	3,336	3,803
SHENGYI TECHNOLOGY CO LTD -A	35,545	43,635	–	38,364	40,816
SHENNAN CIRCUITS CO LTD-A	7,946	10,100	–	8,483	9,563
SHENZHEN GOODIX TECHNOLOGY-A	6,774	8,371	–	7,073	8,072
SHENZHEN KAIFA TECHNOLOGY-A	22,621	17,400	–	40,021	–
SHENZHEN SC NEW ENERGY TEC-A	4,797	3,700	–	8,497	–
SHENZHEN TRANSSION HOLDING-A	13,049	17,672	8,688	18,559	20,850
STARPOWER SEMICONDUCTOR LT-A	2,425	2,500	1,410	6,335	–
SUNNY OPTICAL TECH	192,303	235,075	–	201,475	225,903
SUPCON TECHNOLOGY CO LTD-A	12,951	14,800	–	13,344	14,407
SUZHOU DONGSHAN PRECISION-A	24,401	34,100	–	27,919	30,582
SUZHOU MAXWELL TECHNOLOGIE-A	3,887	5,600	–	4,510	4,977
SUZHOU TFC OPTICAL COMMUNI-A	6,029	9,169	3,811	9,174	9,835
TCL TECHNOLOGY GROUP CORP-A	304,592	352,800	–	312,087	345,305
TCL ZHONGHUAN RENEWABLE EN-A	62,202	76,900	–	64,422	74,680
THUNDER SOFTWARE TECHNOLOG-A	6,777	6,600	–	13,377	–
TIANJIN712 COMMUNICATION &-A	11,946	6,400	–	18,346	–
TIANMA MICROELECTRONICS-A	38,895	22,800	–	61,695	–
TIANSHUI HUATIAN TECHNOLOG-A	46,615	63,500	–	52,542	57,573
TONGFU MICROELECTRONIC CO-A	23,450	29,400	–	23,985	28,865
TONGWEI CO LTD-A	71,758	85,400	–	74,185	82,973
TRINA SOLAR CO LTD-A	34,107	38,220	–	35,028	37,299
TSINGHUA TONGFANG CO LTD-A	51,131	30,300	–	81,431	–
UNIGROUP GUOXIN MICROELECT-A	13,457	15,700	–	13,519	15,638

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Information Technology (continued)</b>					
UNISPLENDOUR CORP LTD-A	45,901	55,000	–	48,006	52,895
UNIVERSAL SCIENTIFIC INDUS-A	27,289	35,500	–	30,024	32,765
VERISILICON MICROELECTRONI-A	6,529	5,270	–	11,799	–
VICTORY GIANT TECHNOLOGY -A	–	20,100	–	3,798	16,302
WILL SEMICONDUCTOR CO LTD-A	18,629	23,200	–	20,043	21,786
WINGTECH TECHNOLOGY CO LTD-A	19,934	20,300	–	19,834	20,400
WUHAN GUIDE INFRARED CO LT-A	66,610	75,300	–	66,319	75,591
WUS PRINTED CIRCUIT KUNSHA-A	29,601	37,900	–	31,366	36,135
XIAMEN FARATRONIC CO LTD-A	2,909	3,200	–	6,109	–
XIAOMI CORP-CLASS B	4,144,442	5,147,453	–	4,380,192	4,911,703
XINJIANG DAQO NEW ENERGY C-A	27,567	35,153	–	29,899	32,821
XINYI SOLAR HOLDINGS LTD	1,320,308	1,622,982	–	1,385,113	1,558,177
YANGZHOU YANGJIE ELECTRONI-A	8,217	4,400	–	12,617	–
YEALINK NETWORK TECHNOLOGY-A	19,443	23,700	–	20,666	22,477
YONYOU NETWORK TECHNOLOGY-A	54,939	53,200	–	55,851	52,288
ZHEJIANG DAHUA TECHNOLOGY-A	50,203	63,400	–	54,210	59,393
ZHEJIANG JINGSHENG MECHANI-A	20,429	22,500	–	20,425	22,504
ZHONGJI INNOLIGHT CO LTD-A	12,618	18,500	8,767	18,722	21,163
ZTE CORP-A	62,862	81,000	–	67,296	76,566
ZTE CORP-H	202,166	251,761	–	213,526	240,401
<b>Materials</b>					
ALUMINUM CORP OF CHINA LTD-A	210,454	251,900	–	218,578	243,776
ALUMINUM CORP OF CHINA LTD-H	1,052,395	1,311,830	–	1,113,090	1,251,135
ANHUI CONCH CEMENT CO LTD-A	62,194	78,200	–	66,730	73,664
ANHUI CONCH CEMENT CO LTD-H	331,195	409,102	–	349,008	391,289
ASIA-POTASH INTERNATIONAL-A	13,630	7,400	–	21,030	–
BAOSHAN IRON & STEEL CO-A	357,099	421,000	–	370,108	407,991
BEIJING ORIENTAL YUHONG-A	17,677	21,300	–	20,224	18,753
CANMAX TECHNOLOGIES CO LTD-A	12,032	9,000	–	21,032	–
CATHAY BIOTECH INC-A	8,297	10,142	–	8,906	9,533
CHENGXIN LITHIUM GROUP CO-A	13,655	7,400	–	21,055	–
CHIFENG JILONG GOLD MINING-A	24,155	32,200	–	26,688	29,667
CHINA HONGQIAO GROUP LTD	640,684	1,066,876	–	806,429	901,131
CHINA JUSHI CO LTD -A	59,267	77,000	–	65,886	70,381
CHINA NATIONAL BUILDING MA-H	1,029,562	1,703,511	–	1,282,923	1,450,150
CHINA NORTHERN RARE EARTH -A	55,585	72,400	–	60,058	67,927
CHINA RARE EARTH RESOURCES-A	16,723	17,400	–	16,653	17,470
CITI PACIFIC SPECIAL STEE-A	–	114,600	–	54,489	60,111
CMOC GROUP LTD-A	286,421	335,900	–	296,495	325,826
CMOC GROUP LTD-H	1,003,665	1,237,199	–	1,055,953	1,184,911
CNGR ADVANCED MATERIAL CO -A	10,428	14,300	6,811	15,012	16,527
GANFENG LITHIUM GROUP CO L-A	25,531	30,700	–	26,113	30,118
GANFENG LITHIUM GROUP CO L-H	107,172	105,838	–	213,010	–
GEM CO LTD-A	81,422	94,400	–	83,400	92,422
GUANGDONG HEC TECHNOLOGY H-A	43,390	51,300	–	94,690	–
GUANGZHOU TINCI MATERIALS -A	27,998	35,816	–	30,858	32,956
HANGZHOU OXYGEN PLANT GROU-A	13,834	16,000	–	29,834	–
HAOHUA CHEMICAL SCIENCE -A	11,915	10,000	–	21,915	–
HENAN SHENHUO COAL & POWER-A	35,385	43,900	–	36,422	42,863
HENGLI PETROCHEMICAL CO L-A	113,457	137,800	–	117,376	133,881
HENGYI PETROCHEMICAL CO -A	55,873	63,200	–	56,712	62,361
HESTEEL CO LTD-A	165,426	156,900	–	322,326	–
HOSHINE SILICON INDUSTRY C-A	11,260	16,300	–	12,721	14,839
HUAFON CHEMICAL CO LTD -A	81,225	94,400	–	83,400	92,225
HUAIBEI MINING HOLDINGS CO-A	37,129	55,900	–	45,036	47,993
HUNAN VALIN STEEL CO LTD -A	106,167	122,000	–	108,420	119,747

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Materials (continued)</b>					
INNER MONGOLIA BAOTOU STE-A	745,045	864,900	–	764,778	845,167
INNER MONGOLIA JUNZHENG EN-A	133,199	152,800	–	135,942	150,057
INNER MONGOLIA YUAN XING-A	53,389	75,400	–	61,279	67,510
JCHX MINING MANAGEMENT CO -A	–	20,568	–	10,008	10,560
JIANGSU EASTERN SHENGHONG -A	103,748	125,900	–	109,254	120,394
JIANGSU YANGNONG CHEMICAL -A	5,707	7,000	–	6,229	6,478
JIANGSU YOKE TECHNOLOGY-A	6,970	9,400	–	7,476	8,894
JIANGXI COPPER CO LTD-A	32,577	39,200	–	33,716	38,061
JIANGXI COPPER CO LTD-H	294,699	372,034	–	313,580	353,153
JINDUICHENG MOLYBDENUM CO -A	50,786	57,400	–	51,681	56,505
LB GROUP CO LTD-A	36,133	45,800	–	39,073	42,860
MEIHUA HOLDINGS GROUP CO -A	43,385	57,500	–	48,009	52,876
MMG LTD	–	2,524,370	536,152	1,717,702	1,342,820
MMG LTD-RIGHTS	–	2,513	(2,513)	–	–
NANJING IRON & STEEL CO-A	–	206,900	–	100,080	106,820
NINGBO SHANSHAN CO LTD-A	32,987	36,900	–	69,887	–
NINGXIA BAOFENG ENERGY GRO-A	115,052	147,000	–	122,407	139,645
PANGANG GROUP VANADIUM TIT-A	136,290	187,800	–	154,022	170,068
QINGHAI SALT LAKE INDUSTRY-A	85,832	108,500	–	90,859	103,473
RONGSHENG PETROCHEMICAL CO-A	162,848	198,900	–	168,851	192,897
SATELLITE CHEMICAL CO LTD-A	53,883	65,800	–	55,542	64,141
SHANDONG GOLD MINING CO LT-A	57,679	68,500	–	59,685	66,494
SHANDONG GOLD MINING CO LT-H	194,166	241,693	–	204,484	231,375
SHANDONG HUALU HENGSHENG-A	32,689	40,100	–	34,760	38,029
SHANDONG NANSHAN ALUMINUM-A	187,802	216,700	–	192,228	212,274
SHANDONG SUN PAPER INDUSTR-A	42,145	53,700	–	45,629	50,216
SHANGHAI PUTAILAI NEW ENER-A	30,471	42,300	–	34,794	37,977
SHANJIN INTERNATIONAL GOLD-A (formerly known as YINTAI GOLD CO LTD-A)	41,537	54,000	–	45,559	49,978
SHANXI MEIJIN ENERGY CO LT-A	69,518	65,200	–	134,718	–
SHANXI TAIGANG STAINLESS-A	88,946	83,600	–	172,546	–
SHENZHEN CAPCHEM TECHNOLOG-A	11,512	14,000	–	11,475	14,037
SHENZHEN YUTO PACKAGING TE-A	13,657	17,600	–	15,012	16,245
SICHUAN HEBANG BIOTECHNOL- A	138,910	132,000	–	270,910	–
SINOMA SCIENCE&TECHNOLOGY -A	24,028	27,600	–	51,628	–
SINOMINE RESOURCE GROUP CO-A	11,318	9,200	–	20,518	–
SKSHU PAINT CO LTD-A	7,116	5,500	–	12,616	–
SUNRESIN NEW MATERIALS CO -A	7,253	7,400	–	14,653	–
TIANQI LITHIUM CORP-A	22,574	25,600	–	23,352	24,822
TIANSHAN ALUMINUM GROUP CO-A	72,909	83,100	–	74,226	81,783
TONGKUN GROUP CO LTD-A	35,565	44,121	–	38,364	41,322
TONGLING NONFERROUS METALS-A	199,532	229,900	–	204,205	225,227
WANHUA CHEMICAL GROUP CO -A	50,129	62,500	–	52,964	59,665
WEIHAI GUANGWEI COMPOSITES-A	12,519	16,000	–	12,788	15,731
WESTERN MINING CO -A	36,133	45,200	–	38,691	42,642
WESTERN SUPERCONDUCTING TE-A	9,689	12,587	–	10,660	11,616
XIAMEN TUNGSTEN CO LTD-A	20,992	27,200	–	23,084	25,108
YONGXING SPECIAL MATERIALS-A	8,649	6,900	–	15,549	–
YUNNAN ALUMINIUM CO LTD-A	55,161	62,300	–	55,878	61,583
YUNNAN CHIHONG ZINC & GERM-A	81,200	91,400	–	83,400	89,200
YUNNAN ENERGY NEW MATERIAL-A	15,229	16,800	–	15,819	16,210
YUNNAN TIN CO LTD-A	25,965	28,200	–	25,854	28,311
YUNNAN YUNTIANHUA CO-A	28,733	34,300	–	29,377	33,656
ZANGGE MINING CO LTD-A	24,853	30,800	–	25,653	30,000
ZHAOJIN MINING INDUSTRY - H	348,318	555,970	–	426,069	478,219
ZHEJIANG HUAYOU COBALT CO -A	27,218	26,300	–	26,958	26,560

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Materials (continued)</b>					
ZHEJIANG JUHUA CO-A	41,175	51,700	–	44,202	48,673
ZHEJIANG LONGSHENG GROUP C-A	46,615	66,300	–	53,512	59,403
ZHEJIANG NHU CO LTD-A	46,659	63,264	–	51,090	58,833
ZHONGJIN GOLD CORP-A	74,467	98,784	–	80,851	92,400
ZIJIN MINING GROUP CO LTD-A	331,582	408,900	–	349,172	391,310
ZIJIN MINING GROUP CO LTD-H	1,468,780	1,910,790	–	1,570,383	1,809,187
<b>Real Estate</b>					
C&D INTERNATIONAL INVESTMENT	178,700	233,804	–	186,829	225,675
CHINA MERCHANTS SHEKOU IND-A	142,564	181,900	–	151,624	172,840
CHINA OVERSEAS LAND & INVEST	1,032,127	1,272,001	–	1,089,993	1,214,135
CHINA OVERSEAS PROPERTY HOLD	345,991	220,297	–	566,288	–
CHINA RESOURCES LAND LTD	864,741	1,070,104	–	914,205	1,020,640
CHINA RESOURCES MIXC LIFESTY	182,679	229,383	–	194,312	217,750
CHINA VANKE CO LTD -A	153,329	159,900	–	159,535	153,694
CHINA VANKE CO LTD-H	592,687	724,220	–	615,316	701,591
COUNTRY GARDEN HOLDINGS CO	3,322,623	–	–	–	3,322,623
COUNTRY GARDEN SERVICES HOLD	584,597	355,680	–	940,277	–
GREENLAND HOLDINGS CORP LT-A	181,876	110,000	–	291,876	–
HAINAN AIRPORT INFRASTRUCT-A	176,633	197,200	–	180,951	192,882
HANGZHOU BINJIANG REAL EST-A	44,560	29,400	–	73,960	–
KE HOLDINGS INC-ADR	176,182	217,770	–	185,952	208,000
LONGFOR GROUP HOLDINGS LTD	529,327	688,012	–	573,711	643,628
POLY DEVELOPMENTS AND HOLD-A	190,602	224,900	–	198,083	217,419
SEAZEN HOLDINGS CO LTD-A	35,585	20,400	–	55,985	–
SHANGHAI LINGANG HOLDINGS-A	37,971	41,400	–	38,096	41,275
SHANGHAI ZHANGJIANG HIGH-A	24,417	27,300	–	24,524	27,193
SHENZHEN OVERSEAS CHINESE-A	129,084	77,500	–	206,584	–
YOUNGOR FASHION CO LTD-A	68,130	92,200	–	76,183	84,147
YUEXIU PROPERTY CO LTD	424,626	272,920	–	697,546	–
<b>Utilities</b>					
BEIJING ENTERPRISES HLDGS	134,085	166,703	–	140,628	160,160
BEIJING ENTERPRISES WATER GR	1,083,071	1,318,166	–	1,123,158	1,278,079
CECEP SOLAR ENERGY CO LT-A	57,730	34,600	–	92,330	–
CGN POWER CO LTD-A	248,274	310,300	–	258,774	299,800
CGN POWER CO LTD-H	2,835,465	3,556,477	–	3,019,079	3,372,863
CHINA GAS HOLDINGS LTD	726,773	903,104	–	768,738	861,139
CHINA LONGYUAN POWER GROUP-H	890,771	1,057,060	–	924,028	1,023,803
CHINA NATIONAL NUCLEAR POW-A	304,734	365,200	–	321,733	348,201
CHINA POWER INTERNATIONAL	1,321,189	1,578,278	–	1,522,172	1,377,295
CHINA RESOURCES GAS GROUP LT	247,619	307,477	–	261,806	293,290
CHINA RESOURCES POWER HOLDIN	517,321	640,737	–	546,214	611,844
CHINA THREE GORGES RENEWAB-A	466,827	543,800	–	482,514	528,113
CHINA YANGTZE POWER CO LTD-A	394,942	488,000	–	417,926	465,016
DATANG INTL POWER GEN CO-A	162,889	273,400	–	207,425	228,864
ENN ENERGY HOLDINGS LTD	213,481	262,816	–	225,351	250,946
ENN NATURAL GAS CO LTD-A	41,334	46,700	–	41,700	46,334
GD POWER DEVELOPMENT CO -A	286,977	339,600	–	297,572	329,005
GUANGDONG INVESTMENT LTD	786,843	980,458	–	829,937	937,364
HUADIAN POWER INTL CORP-A	135,217	154,300	–	118,730	170,787
HUANENG LANCANG RIVER HYDR-A	–	126,200	–	23,605	102,595
HUANENG POWER INTL INC-A	144,041	169,300	–	151,663	161,678
HUANENG POWER INTL INC-H	1,131,197	1,406,087	–	1,195,385	1,341,899
KUNLUN ENERGY CO LTD	1,042,668	1,293,912	–	1,101,755	1,234,825
SDIC POWER HOLDINGS CO LTD-A	119,849	146,000	–	124,316	141,533
SHANGHAI ELECTRIC POWER CO-A	41,551	53,500	–	45,870	49,181

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Utilities (continued)</b>					
SHENERGY COMPANY LIMITED-A	71,999	97,100	–	80,510	88,589
SHENZHEN ENERGY GROUP CO L-A	70,366	94,900	–	78,396	86,870
SICHUAN CHUANTOU ENERGY CO-A	73,648	98,200	–	81,732	90,116
WINTIME ENERGY GROUP CO L-A	351,379	411,200	–	264,232	498,347
ZHEJIANG ZHENENG ELECTRIC-A	171,992	228,000	–	186,990	213,002

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Hang Seng High Dividend Yield ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
CHINA MOBILE LTD	661,236	1,109,459	–	804,970	965,725
CHINA TELECOM CORP LTD-H	8,645,477	17,218,512	–	11,296,348	14,567,641
CHINA UNICOM HONG KONG LTD	5,787,699	11,843,826	–	7,712,792	9,918,733
HKBN LTD	15,734,039	818,113	–	16,552,152	–
PCCW LTD	16,244,229	43,907,832	–	25,549,561	34,602,500
<b>Consumer Discretionary</b>					
DONGFENG MOTOR GRP CO LTD-H	18,469,715	958,431	–	19,428,146	–
<b>Consumer Staples</b>					
CHINA FEIHE LTD	–	38,991,861	–	15,500,816	23,491,045
UNI-PRESIDENT CHINA HOLDINGS	5,605,607	19,405,857	–	10,506,025	14,505,439
<b>Energy</b>					
CHINA COAL ENERGY CO-H	7,553,026	7,227,596	–	8,648,242	6,132,380
CHINA PETROLEUM & CHEMICAL-H	9,763,062	21,741,029	–	13,660,743	17,843,348
CHINA SHENHUA ENERGY CO-H	2,693,503	2,375,144	–	3,063,155	2,005,492
CNOOC LTD	5,952,769	4,107,504	–	6,652,459	3,407,814
PETROCHINA CO LTD-H	10,502,961	10,408,477	–	12,059,282	8,852,156
<b>Financials</b>					
AGRICULTURAL BANK OF CHINA-H	17,496,122	30,417,669	–	21,340,465	26,573,326
BANK OF CHINA LTD-H	16,862,776	24,958,955	–	20,316,407	21,505,324
BANK OF COMMUNICATIONS CO-H	10,106,916	15,466,633	–	12,228,854	13,344,695
BOC HONG KONG HOLDINGS LTD	1,674,309	4,445,047	–	2,636,110	3,483,246
CHINA CINDA ASSET MANAGEME-H	45,377,793	117,081,744	–	70,070,478	92,389,059
CHINA CITIC BANK CORP LTD-H	13,407,857	22,315,847	–	16,293,333	19,430,371
CHINA CONSTRUCTION BANK-H	10,665,329	18,352,961	–	13,020,660	15,997,630
CHINA EVERBRIGHT BANK CO L-H	23,061,860	37,816,673	–	28,185,368	32,693,165
CHINA GALAXY SECURITIES CO-H	8,885,336	18,177,412	–	11,804,059	15,258,689
CHINA MINSHENG BANKING COR-H	15,473,129	39,762,320	–	23,580,411	31,655,038
CHINA PACIFIC INSURANCE GR-H	–	4,634,967	–	1,870,035	2,764,932
FAR EAST HORIZON LTD	8,217,646	24,229,084	–	13,739,024	18,707,706
HANG SENG BANK LTD	244,332	959,628	–	510,207	693,753
IND & COMM BK OF CHINA-H	12,001,662	22,933,610	–	15,292,486	19,642,786
NEW CHINA LIFE INSURANCE C-H	1,769,903	5,175,576	–	2,978,697	3,966,782
PEOPLE'S INSURANCE CO GROU-H	12,937,695	32,901,436	–	19,845,438	25,993,693
PING AN INSURANCE GROUP CO-H	–	3,078,356	–	1,242,238	1,836,118
<b>Industrials</b>					
CHINA COMMUNICATIONS CONST-H	–	26,464,658	–	10,678,228	15,786,430
CHINA EVERBRIGHT ENVIRONMENT	17,573,424	24,161,906	–	20,978,056	20,757,274
CHINA MERCHANTS PORT HOLDING	4,205,061	6,768,092	–	5,092,639	5,880,514
CHINA STATE CONSTRUCTION INT	4,116,420	7,071,349	–	5,054,746	6,133,023
CITIC LTD	5,171,898	14,068,040	–	8,222,822	11,017,116
COSCO SHIPPING HOLDINGS CO-H	–	7,897,704	–	3,186,910	4,710,794
COSCO SHIPPING PORTS LTD	8,439,898	14,090,280	–	10,283,427	12,246,751
CRRC CORP LTD - H	5,978,237	13,012,017	–	8,095,300	10,894,954
HORIZON CONSTRUCTION DEVELOP	–	–	1,346,923	1,346,923	–
XINYI GLASS HOLDINGS LTD	3,093,300	13,044,363	–	6,869,167	9,268,496
<b>Information Technology</b>					
KINGBOARD HOLDINGS LTD	1,531,319	4,392,378	–	2,542,585	3,381,112
KINGBOARD LAMINATES HLDG LTD	4,375,232	227,009	–	4,602,241	–

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Hang Seng High Dividend Yield ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Materials</b>					
ANHUI CONCH CEMENT CO LTD-H	2,300,996	4,038,002	–	2,789,132	3,549,866
CHINA HONGQIAO GROUP LTD	10,462,469	3,841,250	–	11,345,562	2,958,157
CHINA NATIONAL BUILDING MA-H	12,919,382	670,838	–	13,590,220	–
CHINA RESOURCES BUILDING MAT	10,440,506	540,536	–	10,981,042	–
LEE & MAN PAPER MANUFACTURIN	9,208,853	478,549	–	9,687,402	–
<b>Real Estate</b>					
CHINA OVERSEAS LAND & INVEST	–	7,419,098	–	2,993,217	4,425,881
HANG LUNG PROPERTIES LTD	–	30,145,354	–	11,985,698	18,159,656
HENDERSON LAND DEVELOPMENT	–	6,295,438	–	2,545,388	3,750,050
HYSAN DEVELOPMENT CO	2,458,849	125,851	–	2,584,700	–
KERRY PROPERTIES LTD	3,000,799	155,457	–	3,156,256	–
LINK REIT	–	4,731,464	–	1,881,084	2,850,380
NEW WORLD DEVELOPMENT	3,707,004	191,230	–	3,898,234	–
YUEXIU PROPERTY CO LTD	5,824,677	301,462	–	6,126,139	–
<b>Utilities</b>					
BEIJING ENTERPRISES HLDGS	–	4,200,905	–	1,700,642	2,500,263
BEIJING ENTERPRISES WATER GR	29,699,051	32,327,037	–	34,413,158	27,612,930
CHINA GAS HOLDINGS LTD	–	20,783,694	–	8,386,474	12,397,220
CK INFRASTRUCTURE HOLDINGS L	948,291	1,925,651	–	1,251,796	1,622,146
CLP HOLDINGS LTD	646,647	1,110,449	–	793,135	963,961
GUANGDONG INVESTMENT LTD	9,178,802	474,832	–	9,653,634	–
POWER ASSETS HOLDINGS LTD	1,072,321	2,223,050	–	1,439,321	1,856,050

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Biotech ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Health Care</b>					
AKESO INC	331,879	25,596	–	54,841	302,634
APELOA PHARMACEUTICAL CO-A	43,000	287,700	–	44,700	286,000
BEIJING TIANTAN BIOLOGICAL-A	551,473	511,868	22,134	788,367	297,108
BEIJING WANTAI BIOLOGICAL-A	24,900	9,200	–	19,400	14,700
BETTA PHARMACEUTICALS CO L-A	21,000	122,600	–	143,600	–
BGI GENOMICS CO LTD-A	20,100	127,409	–	133,800	13,709
CHINA MEDICAL SYSTEM HOLDING	800,277	37,850	–	115,000	723,127
CHINA NATIONAL MEDICINES-A	31,700	236,900	–	268,600	–
GAN & LEE PHARMACEUTICALS -A	36,400	7,100	–	29,900	13,600
GENSCRIPT BIOTECH CORP	717,583	–	–	205,557	512,026
HANSOH PHARMACEUTICAL GROUP	779,081	–	–	149,777	629,304
HUALAN BIOLOGICAL ENGINEER-A	435,265	97,400	–	467,265	65,400
HUBEI JUMPCAN PHARMACEUT-A	27,200	201,900	–	186,600	42,500
HUTCHMED CHINA LTD	338,482	14,495	–	61,391	291,586
HYGEIA HEALTHCARE HOLDINGS C	228,580	11,143	–	32,200	207,523
INNOVENT BIOLOGICS INC	904,161	3,361	–	199,204	708,318
JIANGSU HENGRUI PHARMACEUT-A	918,710	6,100	–	788,110	136,700
LIVZON PHARMACEUTICAL GROU-A	210,000	32,400	–	68,600	173,800
MGI TECH CO LTD-A	25,382	21,996	–	21,509	25,869
NANJING KING-FRIEND BIOCHE-A	57,400	99,000	–	122,100	34,300
REMEGEN CO LTD-A	16,265	89,578	–	90,959	14,884
SHANGHAI ALLIST PHARMACEUT-A	–	136,900	–	114,627	22,273
SHANGHAI JUNSHI BIOSCIENCE-A	61,049	345,100	–	384,937	21,212
SHANGHAI RAAS BLOOD PRODUC-A	902,800	1,951,700	–	1,880,500	974,000
SHENYANG XINGQI PHARMACEUT-A	12,100	78,900	6,000	75,100	21,900
SHENZHEN KANGTAI BIOLOGICA-A	26,000	129,900	–	107,200	48,700
SICHUAN BOKIN PHARMACEUTI-A	–	2,700	–	2,160	540
SICHUAN KELUN-BIOTECH BIOPHA	–	20,789	–	1,497	19,292
SINO BIOPHARMACEUTICAL	6,343,364	–	–	908,097	5,435,267
WALVAX BIOTECHNOLOGY CO-A	139,100	32,000	–	123,300	47,800
WUXI APPTec CO LTD-A	382,200	394,900	–	673,300	103,800
WUXI BIOLOGICS CAYMAN INC	1,396,528	1,006,009	–	264,493	2,138,044
ZAI LAB LTD-ADR	65,490	–	–	65,490	–



## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Biotech ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Health Care</b>						
APELOA PHARMACEUTICAL CO	16-Aug-24	279,400	–	–	279,400	–
BEIJING TIANTAN BIOLOGICAL	21-Oct-24	–	250,000	–	–	250,000
BEIJING TIANTAN BIOLOGICAL	16-Aug-24	–	490,560	–	490,560	–
BEIJING WANTAI BIOLOGICAL	6-Nov-24	81,800	–	–	–	81,800
BEIJING WANTAI BIOLOGICAL	18-Nov-24	36,000	–	–	–	36,000
BETTA PHARMACEUTICALS CO L	16-Aug-24	122,600	–	–	122,600	–
BGI GENOMICS CO LTD	9-Oct-24	123,000	114,400	–	123,000	114,400
CHINA NATIONAL MEDICINES	16-Aug-24	236,900	–	–	236,900	–
GAN & LEE PHARMACEUTICALS	16-Dec-24	184,600	–	–	–	184,600
HUALAN BIOLOGICAL ENGINEER	14-May-24	84,400	–	–	84,400	–
HUALAN BIOLOGICAL ENGINEER	16-Dec-24	86,000	–	–	–	86,000
HUALAN BIOLOGICAL ENGINEER	18-Nov-24	–	362,900	–	–	362,900
HUBEI JUMPCAN PHARMACEUT	18-Nov-24	175,100	154,700	–	175,100	154,700
JIANGSU HENGRUI PHARMACEUT	18-Nov-24	–	113,200	–	–	113,200
JIANGSU HENGRUI PHARMACEUT	21-Oct-24	–	400,000	–	–	400,000
LIVZON PHARMACEUTICAL GROU	23-Oct-24	–	39,000	–	–	39,000
MGI TECH CO LTD	6-Nov-24	126,000	–	–	–	126,000
NANJING KING-FRIEND BIOCHE	9-Oct-24	83,000	82,000	–	83,000	82,000
NANJING KING-FRIEND BIOCHE	16-Dec-24	151,300	–	–	–	151,300
REMEGEN CO LTD	13-Mar-25	–	73,800	–	–	73,800
REMEGEN CO LTD	16-Aug-24	81,500	–	–	81,500	–
SHANGHAI ALLIST PHARMACEUT	13-Mar-25	–	102,600	–	–	102,600
SHANGHAI JUNSHI BIOSCIENCE	9-Oct-24	287,100	278,500	–	345,100	220,500
SHANGHAI RAAS BLOOD PRODUC	8-May-24	1,847,100	–	–	1,847,100	–
SHANGHAI RAAS BLOOD PRODUC	22-Oct-24	–	1,500,000	–	–	1,500,000
SHENYANG XINGQI PHARMACEUT	6-May-24	57,700	–	–	57,700	–
SHENYANG XINGQI PHARMACEUT	18-Nov-24	–	72,800	–	20,800	52,000
SHENZHEN KANGTAI BIOLOGICA	18-Nov-24	96,000	–	–	60,000	36,000
SHENZHEN KANGTAI BIOLOGICA	16-Dec-24	247,900	–	–	62,000	185,900
SICHUAN BIKIN PHARMACEUTI	13-Mar-25	–	2,000	–	–	2,000
WALVAX BIOTECHNOLOGY CO	18-Nov-24	729,000	–	–	–	729,000
WUXI APPTEC CO LTD	8-May-24	145,100	–	–	145,100	–
WUXI APPTEC CO LTD	23-Oct-24	–	100,000	–	–	100,000
WUXI APPTEC CO LTD	13-Mar-25	–	87,000	–	–	87,000
WUXI APPTEC CO LTD	22-Oct-24	–	400,000	–	–	400,000

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Cloud Computing ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
KINGSOFT CORP LTD	310,086	38,648	–	44,022	304,712
NETEASE INC-ADR	32,932	155	–	7,461	25,626
TENCENT HOLDINGS LTD	81,098	597	–	34,589	47,106
ZHEJIANG CENTURY HUATONG -A	250,983	1,220,500	–	1,471,483	–
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING-SP ADR	41,728	223	–	11,902	30,049
<b>Financials</b>					
HITHINK ROYALFLUSH INFORMA-A	10,487	19,900	–	16,500	13,887
<b>Information Technology</b>					
BEIJING KINGSOFT OFFICE SO-A	37,753	14,843	–	36,272	16,324
BEIJING SHIJI INFORMATION -A	51,000	28,800	–	28,000	51,800
BEIJING SINNET TECHNOLOGY-A	47,415	60,400	–	47,600	60,215
CETC CYBERSPACE SECURITY T-A	43,300	36,000	–	24,500	54,800
CHINA NATIONAL SOFTWARE -A	77,600	11,900	–	71,000	18,500
CHINA TRANSINFO TECHNOLOGY-A	44,500	271,874	–	263,800	52,574
DHC SOFTWARE CO LTD -A	163,300	130,700	–	86,400	207,600
EMPYREAN TECHNOLOGY CO LTD-A	2,700	4,700	–	2,800	4,600
GEOVIS TECHNOLOGY CO LTD-A	–	53,800	–	43,239	10,561
GLODON CO LTD-A	348,600	132,600	–	48,300	432,900
HUNDSUN TECHNOLOGIES INC-A	73,582	472,863	–	444,700	101,745
HYGON INFORMATION TECHNOLO-A	45,616	1,700	–	40,855	6,461
IFLYTEK CO LTD - A	162,800	111,700	–	180,700	93,800
ISOFTSTONE INFORMATION TEC-A	15,200	124,200	–	110,300	29,100
KINGDEE INTERNATIONAL SFTWR	920,248	125,738	–	119,000	926,986
LONGSHINE TECHNOLOGY GROUP-A	24,200	140,000	–	164,200	–
NAVINFO CO LTD-A	77,900	59,300	–	94,400	42,800
RUIJIE NETWORKS CO LTD-A	2,300	17,800	–	2,800	17,300
SANGFOR TECHNOLOGIES INC-A	15,730	11,500	–	9,100	18,130
SENSETIME GROUP INC-CLASS B	6,528,435	1,768,462	–	985,778	7,311,119
SHANGHAI BAOSIGHT SOFTWARE-A	50,300	11,400	10,060	26,600	45,160
TAIJI COMPUTER CORP LTD-A	24,800	124,100	–	16,100	132,800
THUNDER SOFTWARE TECHNOLOG-A	42,300	105,000	–	121,100	26,200
TSINGHUA TONGFANG CO LTD-A	226,800	696,300	–	587,600	335,500
WANGSU SCIENCE & TECHNOLOG-A	–	767,200	–	610,900	156,300
YONYOU NETWORK TECHNOLOGY-A	604,900	67,800	–	537,900	134,800

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### China Cloud Computing ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Unlisted total return swaps</b>						
<b>Financials</b>						
HITHINK ROYALFLUSH INFORMA	8-May-24	7,200	–	–	7,200	–
HITHINK ROYALFLUSH INFORMA	13-Mar-25	–	8,800	–	–	8,800
HITHINK ROYALFLUSH INFORMA	16-Dec-24	41,980	–	–	–	41,980
<b>Information Technology</b>						
BEIJING KINGSOFT OFFICE SO	18-Nov-24	–	19,500	–	–	19,500
BEIJING KINGSOFT OFFICE SO	13-Mar-25	–	7,500	–	–	7,500
BEIJING KINGSOFT OFFICE SO	16-Dec-24	35,000	–	–	–	35,000
BEIJING SHIJI INFORMATION	16-Dec-24	179,300	–	–	–	179,300
BEIJING SINNET TECHNOLOGY	18-Nov-24	328,000	–	–	–	328,000
CETC CYBERSPACE SECURITY T	16-Dec-24	144,900	–	–	–	144,900
CHINA NATIONAL SOFTWARE	16-Dec-24	126,600	–	–	–	126,600
CHINA NATIONAL SOFTWARE	23-Oct-24	–	46,500	–	–	46,500
CHINA TRANSINFO TECHNOLOGY	13-Mar-25	–	224,600	–	–	224,600
DHC SOFTWARE CO LTD	16-Dec-24	517,400	–	–	–	517,400
EMPYREAN TECHNOLOGY CO LTD	18-Nov-24	21,000	–	–	–	21,000
GEOVIS TECHNOLOGY CO LTD	18-Nov-24	–	39,900	–	–	39,900
HUNDSUN TECHNOLOGIES INC	8-May-24	404,900	–	–	404,900	–
HUNDSUN TECHNOLOGIES INC	18-Nov-24	–	27,100	–	–	27,100
HUNDSUN TECHNOLOGIES INC	13-Mar-25	–	358,800	–	–	358,800
HYGON INFORMATION TECHNOLO	13-Mar-25	–	34,200	–	–	34,200
IFLYTEK CO LTD	18-Nov-24	373,000	–	–	99,100	273,900
IFLYTEK CO LTD	15-Oct-24	–	82,400	–	–	82,400
ISOFTSTONE INFORMATION TEC	13-Mar-25	–	26,600	–	–	26,600
ISOFTSTONE INFORMATION TEC	9-Oct-24	72,800	70,400	–	72,800	70,400
NAVINFO CO LTD	16-Dec-24	574,200	–	–	–	574,200
RUIJIE NETWORKS CO LTD	8-May-24	15,500	–	–	15,500	–
SANGFOR TECHNOLOGIES INC	16-Dec-24	56,000	–	–	–	56,000
SHANGHAI BAOSIGHT SOFTWARE	16-Dec-24	–	164,760	–	–	164,760
THUNDER SOFTWARE TECHNOLOG	13-Mar-25	105,000	103,500	–	105,000	103,500
TSINGHUA TONGFANG CO LTD	23-Oct-24	–	488,200	–	–	488,200
WANGSU SCIENCE & TECHNOLOG	13-Mar-25	–	564,000	–	–	564,000
YONYOU NETWORK TECHNOLOGY	18-Nov-24	–	464,400	–	–	464,400

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Hang Seng ESG ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
BAIDU INC-CLASS A	1,613	137	–	429	1,321
CHINA MOBILE LTD	3,062	164	–	788	2,438
CHINA UNICOM HONG KONG LTD	1,988	–	–	478	1,510
NETEASE INC	2,049	37	–	878	1,208
TENCENT HOLDINGS LTD	3,651	85	–	1,660	2,076
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING LTD	5,731	2,168	–	1,800	6,099
ANTA SPORTS PRODUCTS LTD	4,870	202	–	1,331	3,741
BYD CO LTD-H	497	27	–	129	395
CHOW TAI FOOK JEWELLERY GROU	7,100	979	–	2,058	6,021
GALAXY ENTERTAINMENT GROUP L	1,009	42	–	260	791
GEELY AUTOMOBILE HOLDINGS LT	15,826	4,891	–	4,900	15,817
HAIER SMART HOME CO LTD-H	1,111	–	–	375	736
JD.COM INC-CLASS A	978	17	–	386	609
LI AUTO INC-CLASS A	1,739	1,200	–	943	1,996
LI NING CO LTD	10,539	131	–	3,499	7,171
MEITUAN-CLASS B	5,087	854	–	1,659	4,282
SANDS CHINA LTD	7,946	101	–	3,655	4,392
SHENZHOU INTERNATIONAL GROUP	2,864	37	–	921	1,980
TRIP.COM GROUP LTD	131	15	–	33	113
ZHONGSHENG GROUP HOLDINGS	4,283	–	–	1,504	2,779
<b>Consumer Staples</b>					
ALIBABA HEALTH INFORMATION T	7,103	1,882	–	2,277	6,708
BUDWEISER BREWING CO APAC LT	5,496	240	–	1,391	4,345
CHINA MENGNIU DAIRY CO	1,141	–	–	411	730
CHINA RESOURCES BEER HOLDING	554	–	–	150	404
HENGAN INTL GROUP CO LTD	263	–	–	63	200
JD HEALTH INTERNATIONAL INC	2,180	–	–	645	1,535
NONGFU SPRING CO LTD-H	1,282	–	–	434	848
TINGYI (CAYMAN ISLN) HLDG CO	551	–	–	117	434
<b>Financials</b>					
AIA GROUP LTD	16,278	2,984	–	4,924	14,338
BANK OF CHINA LTD-H	31,867	587	–	10,956	21,498
BOC HONG KONG HOLDINGS LTD	3,036	6,227	–	2,218	7,045
CHINA CONSTRUCTION BANK-H	40,886	14,070	–	13,590	41,366
CHINA LIFE INSURANCE CO-H	6,315	–	–	2,425	3,890
CHINA MERCHANTS BANK-H	2,599	46	–	952	1,693
HANG SENG BANK LTD	2,172	28	–	652	1,548
HONG KONG EXCHANGES & CLEAR	3,761	756	–	1,145	3,372
HSBC HOLDINGS PLC	9,038	262	–	3,066	6,234
IND & COMM BK OF CHINA-H	28,387	363	–	10,051	18,699
PING AN INSURANCE GROUP CO-H	19,789	4,077	–	5,595	18,271
<b>Health Care</b>					
CSPC PHARMACEUTICAL GROUP LT	2,790	–	–	698	2,092
HANSOH PHARMACEUTICAL GROUP	1,043	–	–	327	716
SINO BIOPHARMACEUTICAL	3,736	–	–	1,332	2,404
SINOPHARM GROUP CO-H	4,083	–	–	1,696	2,387
WUXI APPTTEC CO LTD-H	2,006	95	–	626	1,475
WUXI BIOLOGICS CAYMAN INC	16,595	4,423	–	5,026	15,992

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Hang Seng ESG ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities (continued)</b>					
<b>Industrials</b>					
CK HUTCHISON HOLDINGS LTD	1,204	109	–	352	961
MTR CORP	3,781	–	–	1,028	2,753
ORIENT OVERSEAS INTL LTD	276	–	–	84	192
TECHTRONIC INDUSTRIES CO LTD	904	57	–	225	736
XINYI GLASS HOLDINGS LTD	1,095	–	–	371	724
<b>Information Technology</b>					
BYD ELECTRONIC INTL CO LTD	–	900	–	400	500
LENOVO GROUP LTD	22,902	1,478	–	6,617	17,763
SEMICONDUCTOR MANUFACTURING	1,102	–	–	287	815
SUNNY OPTICAL TECH	505	27	–	127	405
XIAOMI CORP-CLASS B	37,677	973	–	15,891	22,759
XINYI SOLAR HOLDINGS LTD	2,994	–	–	753	2,241
<b>Real Estate</b>					
CHINA OVERSEAS LAND & INVEST	14,371	3,514	–	5,110	12,775
CHINA RESOURCES LAND LTD	3,743	–	–	1,849	1,894
CHINA RESOURCES MIXC LIFESTY	3,805	69	–	1,219	2,655
CK ASSET HOLDINGS LTD	6,196	1,045	–	1,683	5,558
COUNTRY GARDEN SERVICES HOLD	12,783	–	–	12,783	–
HANG LUNG PROPERTIES LTD	9,274	–	–	2,473	6,801
HENDERSON LAND DEVELOPMENT	4,768	87	–	1,768	3,087
LINK REIT	16,754	932	–	4,872	12,814
LONGFOR GROUP HOLDINGS LTD	9,423	–	–	5,677	3,746
NEW WORLD DEVELOPMENT	5,302	212	–	1,616	3,898
SUN HUNG KAI PROPERTIES	7,814	157	–	3,172	4,799
WHARF REAL ESTATE INVESTMENT	5,127	159	–	1,396	3,890
<b>Utilities</b>					
CK INFRASTRUCTURE HOLDINGS L	214	–	–	52	162
ENN ENERGY HOLDINGS LTD	718	323	–	195	846
HONG KONG & CHINA GAS	16,973	584	–	6,682	10,875

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Electric Vehicle and Battery Active ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
APTIV PLC	141	–	–	141	–
BYD CO LTD-H	3,941	821	–	2,675	2,087
DENSO CORP	3,470	–	–	1,736	1,734
FUYAO GLASS INDUSTRY GROUP-H	15,709	–	–	9,044	6,665
HYUNDAI MOTOR CO	–	173	–	–	173
LI AUTO INC-CLASS A	–	1,738	–	–	1,738
PANASONIC HOLDINGS CORP	5,859	–	–	5,859	–
TESLA INC	470	–	–	236	234
ZHEJIANG SHUANGHUAN DRIVEL-A	6,275	–	–	3,138	3,137
<b>Industrials</b>					
CONTEMPORARY AMPEREX TECHN-A	5,007	200	–	2,854	2,353
EVE ENERGY CO LTD-A	–	12,900	–	1,300	11,600
L.K. TECHNOLOGY HOLDINGS LTD	20,757	–	–	20,757	–
LG ENERGY SOLUTION	13	78	–	35	56
NIDEC CORP	532	–	194	338	388
PEOPLE & TECHNOLOGY INC	789	–	–	558	231
SHENZHEN INOVANCE TECHNOLO-A	1,409	1,900	–	2,854	455
WUXI LEAD INTELLIGENT EQUI-A	6,892	–	–	3,446	3,446
ZHEJIANG HANGKE TECHNOLOGY-A	11,635	–	–	11,635	–
ZHUZHOU CRRC TIMES ELECTRI-H	–	2,977	–	–	2,977
<b>Information Technology</b>					
BYD ELECTRONIC INTL CO LTD	6,837	6,954	–	8,798	4,993
INFINEON TECHNOLOGIES AG	821	–	–	410	411
NVIDIA CORP	143	–	639	603	179
NXP SEMICONDUCTORS NV	323	48	–	189	182
RENESAS ELECTRONICS CORP	4,297	650	–	4,947	–
SAMSUNG SDI CO LTD	137	–	–	68	69
STMICROELECTRONICS NV	1,081	–	–	540	541
TEXAS INSTRUMENTS INC	421	32	–	210	243
THUNDER SOFTWARE TECHNOLOG-A	889	–	–	889	–
XIAOMI CORP-CLASS B	–	3,012	–	1,506	1,506
<b>Materials</b>					
ALBEMARLE CORP	355	213	–	284	284
GANFENG LITHIUM GROUP CO L-H	–	12,378	–	6,190	6,188
LG CHEM LTD	61	–	–	48	13
POSCO HOLDINGS INC	17	13	–	8	22
SHENZHEN DYNANONIC CO LTD-A	1,201	–	–	1,201	–
YUNNAN ENERGY NEW MATERIAL-A	1,875	–	–	938	937

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
ALPHABET INC-CL A	1,702	73	–	870	905
Baidu INC - SPON ADR	405	–	–	405	–
HYBE CO LTD	160	–	–	160	–
IQIYI INC-ADR	3,554	–	–	1,422	2,132
KUAISHOU TECHNOLOGY	2,671	–	–	1,068	1,603
META PLATFORMS INC-CLASS A	356	110	–	180	286
NAVER CORP	153	–	–	62	91
NETEASE INC	3,880	–	–	2,770	1,110
NETEASE INC-ADR	203	–	–	82	121
NINTENDO CO LTD	938	–	–	376	562
ROBLOX CORP -CLASS A	618	–	–	618	–
TENCENT HOLDINGS LTD	1,595	905	–	638	1,862
WALT DISNEY CO/THE	699	–	–	280	419
<b>Consumer Discretionary</b>					
AMAZON.COM INC	323	–	–	130	193
SONY GROUP CORP	530	–	1,272	212	1,590
TESLA INC	164	768	–	439	493
VIPSHOP HOLDINGS LTD - ADR	–	2,101	–	–	2,101
<b>Financials</b>					
COINBASE GLOBAL INC -CLASS A	188	–	–	76	112
<b>Information Technology</b>					
ADVANCED MICRO DEVICES	546	–	–	410	136
APPLE INC	986	112	–	423	675
ASML HOLDING NV-NY REG SHS	88	–	–	62	26
AUTODESK INC	293	–	–	118	175
CROWDSTRIKE HOLDINGS INC - A	113	–	–	46	67
LUXSHARE PRECISION INDUSTR-A	267	–	–	106	161
MARVELL TECHNOLOGY INC	937	–	–	374	563
MEDIATEK INC	–	412	–	–	412
MICROSOFT CORP	540	37	–	216	361
NVIDIA CORP	196	197	1,359	547	1,205
QUALCOMM INC	522	–	–	522	–
TAIWAN SEMICONDUCTOR MANUFAC	8,149	943	–	3,901	5,191
UNITY SOFTWARE INC	367	–	–	146	221

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### Hang Seng TECH ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Listed equities</b>					
<b>Communication Services</b>					
BAIDU INC-CLASS A	1,307,485	160,531	–	417,383	1,050,633
BILIBILI INC-CLASS Z	506,236	102,211	–	170,361	438,086
CHINA LITERATURE LTD	851,419	106,127	–	271,636	685,910
KINGSOFT CORP LTD	1,767,224	182,141	–	556,613	1,392,752
KUAISHOU TECHNOLOGY	5,622,909	944,562	–	2,056,556	4,510,915
NETEASE INC	1,277,559	156,996	–	408,049	1,026,506
TENCENT HOLDINGS LTD	937,043	17,188	–	443,302	510,929
WEIBO CORP-CLASS A	8,996	1,120	–	2,875	7,241
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING LTD	3,532,216	313,420	–	1,433,343	2,412,293
HAIER SMART HOME CO LTD-H	4,492,131	552,295	–	1,434,818	3,609,608
JD.COM INC-CLASS A	2,844,612	118,954	–	1,121,675	1,841,891
LI AUTO INC-CLASS A	1,519,557	1,243,460	–	713,902	2,049,115
MEITUAN-CLASS B	3,266,165	56,211	–	1,697,380	1,624,996
NIO INC-CLASS A	254,261	31,308	–	81,198	204,371
TONGCHENG TRAVEL HOLDINGS LT	2,292,954	532,165	–	752,634	2,072,485
TRIP.COM GROUP LTD	315,925	38,810	–	100,873	253,862
XPENG INC - CLASS A SHARES	2,616,249	687,469	–	914,250	2,389,468
<b>Consumer Staples</b>					
ALIBABA HEALTH INFORMATION T	11,900,107	1,458,839	–	3,796,702	9,562,244
EAST BUY HOLDING LTD	938,274	36,695	–	286,001	688,968
JD HEALTH INTERNATIONAL INC	2,063,280	254,348	–	659,280	1,658,348
PING AN HEALTHCARE AND TECHN	1,137,649	93,780	–	1,231,429	–
<b>Financials</b>					
ZHONGAN ONLINE P&C INSURAN-H	1,574,963	193,486	–	502,859	1,265,590
<b>Information Technology</b>					
ASMPT LTD	–	535,673	–	43,102	492,571
BYD ELECTRONIC INTL CO LTD	1,457,997	178,128	–	464,427	1,171,698
HUA HONG SEMICONDUCTOR LTD	1,209,885	146,214	–	383,409	972,690
KINGDEE INTERNATIONAL SFTWR	5,706,290	639,681	–	1,807,650	4,538,321
LENOVO GROUP LTD	14,748,970	1,973,346	–	4,743,163	11,979,153
SEMICONDUCTOR MANUFACTURING	7,729,914	961,259	–	2,469,651	6,221,522
SENSETIME GROUP INC-CLASS B	37,126,243	10,911,976	–	13,232,876	34,805,343
SUNNY OPTICAL TECH	1,318,010	248,231	–	427,767	1,138,474
XIAOMI CORP-CLASS B	19,783,556	351,600	–	10,061,472	10,073,684



## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2024 to 30 September 2024

#### USD Money Market ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2024	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2024
<b>Debt securities</b>					
<b>Canada</b>					
AGRI BK CHINA/HK AGRBK 0 04/02/24	2,000,000	–	–	2,000,000	–
AGRI BK CHINA/HK AGRBK 5.15 12/02/24	–	2,000,000	–	–	2,000,000
AGRI BK CHINA/HK AGRBK 5.31 11/20/24	–	300,000	–	–	300,000
AGRI BK CHN/SYD AGRBK 0 10/08/24	–	2,600,000	–	–	2,600,000
AGRI BK CN/SEOUL AGRBK 0 11/22/24	–	1,600,000	–	–	1,600,000
AGRI BK CN/SEOUL AGRBK 5.61 06/21/24	1,000,000	–	–	1,000,000	–
AGRIC BK CHINA AGRBK 0 07/02/24	1,000,000	–	–	1,000,000	–
BANK OF CHINA/HK BCHINA 5.8 10/25/24	–	2,400,000	–	–	2,400,000
BANK OF CHINA/SG BCHINA 5.51 06/06/24	–	3,000,000	–	3,000,000	–
BANK OF COMM/MAC BOCOM 5.35 04/23/24	1,000,000	–	–	1,000,000	–
BANK OF COMM/SG BOCOM 0 07/02/24	–	1,600,000	–	1,600,000	–
BANK OF COMM/SG BOCOM 0 08/16/24	–	2,000,000	–	2,000,000	–
BANK OF COMM/SYD BOCOM 0 11/15/24	–	1,500,000	–	–	1,500,000
BK OF CHN/TOKYO	500,000	–	–	500,000	–
BK OF CHN/TOKYO BCHINA 0 04/30/24	1,000,000	–	–	1,000,000	–
BK OF CHN/TOKYO BCHINA 0 06/28/24	1,000,000	–	–	1,000,000	–
BK OF CHN/TOKYO BCHINA 0 07/24/24	–	1,600,000	–	1,600,000	–
BK OF CHN/TOKYO BCHINA 0 10/24/24	–	1,600,000	–	–	1,600,000
BK OF CHN/TOKYO BCHINA 0 12/17/24	–	1,000,000	–	–	1,000,000
ICBC/LUXEMBOURG ICBCAS 0 12/20/24	–	1,300,000	–	–	1,300,000
ICBC/LUXEMBOURG ICBCAS 5 1/2 09/05/24	800,000	–	–	800,000	–
<b>Macau</b>					
ICBC MACAU LTD ICBCAS 5.29 12/06/24	–	2,300,000	–	–	2,300,000
<b>South Korea</b>					
KOREA DEV BK/SG KDB 0 09/19/24	–	4,000,000	–	4,000,000	–
<b>Spain</b>					
BANCO SANTANDER SANTAN 3.892 05/24/24	250,000	–	–	250,000	–
<b>United States</b>					
TREASURY BILL B 08/13/24	–	1,000,000	–	1,000,000	–
TREASURY BILL B 09/05/24	–	1,000,000	–	1,000,000	–
TREASURY BILL B 09/10/24	–	1,000,000	–	1,000,000	–
TREASURY BILL B 09/24/24	–	1,000,000	–	1,000,000	–
TREASURY BILL B 10/15/24	–	1,000,000	–	–	1,000,000
TREASURY BILL B 10/29/24	–	1,000,000	–	–	1,000,000
<b>Velencia</b>					
SAUDI NTL BK SG NCBKSG 0 09/04/24	1,000,000	–	–	1,000,000	–
SAUDI NTL BK SG NCBKSG 5.63 08/30/24	–	2,000,000	–	2,000,000	–

## GLOBAL X ETF SERIES

### DETAILS IN RESPECT OF FINANCIAL DERIVATIVE INSTRUMENTS (UNAUDITED)

As at 30 September 2024, the Sub-Fund held unlisted total return swaps as shown details in investment portfolio. The counterparty of the swaps were Citigroup Global Markets Limited, Mirae Asset Securities (HK) Limited and Guotai Junan Financial Products Limited.

### HOLDINGS OF COLLATERAL (UNAUDITED)

As at 30 September 2024

#### China Biotech ETF

Collateral provider	Nature of collateral	Maturity tenor	Currency denomination	Value of the collateral RMB	% of net assets covered by collateral
Citigroup Global Markets Limited	Government bond	31-Oct-24	USD	12,326,494	3.47%
Citigroup Global Markets Limited	Government bond	15-Jul-26	USD	2,309,377	0.65%
Citigroup Global Markets Limited	Government bond	15-Jan-27	USD	3,053,716	0.86%
Citigroup Global Markets Limited	Government bond	30-Apr-27	USD	2,871,314	0.81%
Guotai Junan Financial Products Limited	Government bond	31-Jul-25	USD	7,385,076	2.08%
Guotai Junan Financial Products Limited	Equities	NA	HKD	48,687,652	13.72%
Mirae Asset Securities (HK) Limited	Equities	NA	HKD	92,243,885	25.99%
				168,877,514	47.57%
<b>Custody/safe-keeping arrangement</b>			<b>Amount of collateral received/held as of 30 Sep 2024</b>	<b>Proportion of collateral posted by the sub-fund as of 30 Sep 2024</b>	
			<b>RMB</b>	<b>%</b>	
<b>Custodian of collateral</b>					
Citibank, N.A., Hong Kong Branch			168,877,514	100.00	

## GLOBAL X ETF SERIES

### HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2024

#### China Cloud Computing ETF

Collateral provider	Nature of collateral	Maturity tenor	Currency denomination	Value of the collateral RMB	% of net assets covered by collateral
Citigroup Global Markets Limited	Government bond	23-Jan-25	USD	6,004,340	2.49%
Citigroup Global Markets Limited	Government bond	15-Jan-27	USD	2,581,318	1.07%
Citigroup Global Markets Limited	Government bond	30-Jun-27	USD	2,504,734	1.04%
Mirae Asset Securities (HK) Limited	Equities	NA	USD	2,928,257	1.21%
Mirae Asset Securities (HK) Limited	Equities	NA	USD	12,192,921	5.05%
Mirae Asset Securities (HK) Limited	Equities	NA	HKD	30,185,435	12.50%
Mirae Asset Securities (HK) Limited	Equities	NA	USD	15,349,197	6.36%
Mirae Asset Securities (HK) Limited	Equities	NA	HKD	45,870,365	18.99%
				117,616,567	48.70%
<b>Custody/safe-keeping arrangement</b>			<b>Amount of collateral received/held as of 30 Sep 2024</b>	<b>Proportion of collateral posted by the sub-fund as of 30 Sep 2024</b>	
			<b>RMB</b>	<b>%</b>	
<b>Custodian of collateral</b>					
Citibank, N.A., Hong Kong Branch			117,616,567	100.00	

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED)

#### NET ASSET VALUE

	<u>As at 30 September 2024</u>		<u>As at 31 March 2024</u>		<u>As at 31 March 2023</u>	
	Dealing net asset value	Dealing net asset value per unit	Dealing net asset value*	Dealing net asset value per unit*	Dealing net asset value	Dealing net asset value per unit
<u>Listed Class</u>						
MSCI China ETF (in HK\$)	5,691,263,065	30.8637	3,817,880,652	23.5092	1,203,829,656	28.5269
Hang Seng High Dividend Yield ETF (in HK\$)	4,294,944,626	23.8079	2,164,572,254	19.3612	946,490,138	22.7522
China Biotech ETF (in RMB)	354,796,502	47.3063	366,622,547	42.3842	724,492,526	56.8229
China Cloud Computing ETF (in RMB)	241,498,303	44.7220	242,317,657	39.7243	503,503,823	57.874
Hang Seng ESG ETF (in HK\$)	12,320,056	4.1067	12,474,622	3.1187	25,474,864	4.2458
Electric Vehicle and Battery Active ETF (in USD)	764,477	7.6448	1,342,185	6.7110	7,301,417	7.3014
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD)	1,637,411	10.9161	2,404,586	9.6183	2,090,195	6.9673
Hang Seng TECH ETF (in HK\$)	3,284,540,215	5.8320	3,313,968,611	4.2444	–	–
USD Money Market ETF (in USD)	55,163,920	133.5688	31,601,359	130.0467	–	–
<u>Unlisted Class E (USD)</u>						
USD Money Market ETF (in USD)	3,327,353	128.2929	977,982	125.1330	–	–
<u>Unlisted Class R2 (HKD)</u>						
MSCI China ETF (in HK\$)	12,353	37.0580	–	–	–	–
Hang Seng High Dividend Yield ETF (in HK\$)	11,393	34.1787	–	–	–	–
USD Money Market ETF (in HK\$)	10,056	1,005.6426	–	–	–	–
<u>Unlisted Class R2 (RMB)</u>						
MSCI China ETF (in RMB)	12,162	30.4041	–	–	–	–
Hang Seng High Dividend Yield ETF (in RMB)	11,025	27.5649	–	–	–	–
USD Money Market ETF (in RMB)	9,702	970.2074	–	–	–	–
<u>Unlisted Class R2 (USD)</u>						
MSCI China ETF (in USD)	12,395	4.9580	–	–	–	–
Hang Seng High Dividend Yield ETF (in USD)	11,452	4.5802	–	–	–	–
USD Money Market ETF (in USD)	10,099	126.2431	–	–	–	–

\*The Dealing net asset value and Dealing net asset value per share are representing data as of 28 March 2024 which is the last official Dealing Date for period ended 31 March 2024.

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER UNIT

	<u>For the period ended</u> <u>30 September 2024</u>		<u>For the year/period ended</u> <u>31 March 2024</u>		<u>For the year/period ended</u> <u>31 March 2023</u>	
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit
<u>Listed Class</u>						
MSCI China ETF (in HK\$) <sup>1</sup>	30.8637	23.1843	28.5588	21.0924	31.8306	20.0118
Hang Seng High Dividend Yield ETF (in HK\$) <sup>1</sup>	23.8079	19.5646	24.5578	17.8199	26.8086	17.2898
China Biotech ETF (in RMB) <sup>2</sup>	47.3063	37.8175	62.5360	38.1815	69.0418	49.1197
China Cloud Computing ETF (in RMB) <sup>2</sup>	44.7220	32.3674	60.3561	33.8934	57.8741	36.1645
Hang Seng ESG ETF (in HK\$) <sup>3</sup>	4.1067	2.9827	4.3391	2.9475	4.7979	2.9493
Electric Vehicle and Battery Active ETF (in USD) <sup>3</sup>	7.6448	6.1462	7.8267	5.9698	8.3776	6.3019
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD) <sup>3</sup>	11.3471	8.9099	9.6665	6.6295	8.3590	5.2340
Hang Seng TECH ETF (in HK\$) <sup>4</sup>	5.8320	4.0109	5.5539	3.6692	–	–
USD Money Market ETF (in USD) <sup>5</sup>	133.5688	130.1453	130.1065	125.0000	–	–
<u>Unlisted Class E (USD)</u>						
USD Money Market ETF (in USD) <sup>5</sup>	128.2929	125.2183	126.5338	125.0000	–	–
<u>Unlisted Class R2 (HKD)</u>						
MSCI China ETF (in HK\$) <sup>1</sup>	37.0580	28.9242	–	–	–	–
Hang Seng High Dividend Yield ETF (in HK\$) <sup>1</sup>	34.1787	28.7546	–	–	–	–
USD Money Market ETF (in HK\$) <sup>5</sup>	1,007.2023	999.9222	–	–	–	–
<u>Unlisted Class R2 (RMB)</u>						
MSCI China ETF (in RMB) <sup>1</sup>	30.4041	24.0391	–	–	–	–
Hang Seng High Dividend Yield ETF (in RMB) <sup>1</sup>	27.5649	23.5116	–	–	–	–
USD Money Market ETF (in RMB) <sup>5</sup>	1,004.8953	970.1169	–	–	–	–
<u>Unlisted Class R2 (USD)</u>						
MSCI China ETF (in USD) <sup>1</sup>	4.9580	3.8548	–	–	–	–
Hang Seng High Dividend Yield ETF (in USD) <sup>1</sup>	4.5802	3.8378	–	–	–	–
USD Money Market ETF (in USD) <sup>5</sup>	126.2431	125.0204	–	–	–	–

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER UNIT (CONTINUED)

Listed Class	For the year ended 31 March 2022		For the year ended 31 March 2021		For the year/period ended 31 March 2020		For the year ended 31 March 2019	
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit
MSCI China ETF (in HK\$) <sup>1</sup>	45.7042	24.4620	52.5877	30.2310	36.2498	28.0868	37.6964	27.2491
Hang Seng High Dividend Yield ETF (in HK\$) <sup>1</sup>	30.2194	22.6851	29.3399	22.4517	30.8182	21.0591	32.9142	25.8052
China Biotech ETF (in RMB) <sup>2</sup>	116.5631	54.8504	121.3202	61.7807	67.9412	48.8418	–	–
China Cloud Computing ETF (in RMB) <sup>2</sup>	97.3897	40.8027	126.9259	68.4645	81.6336	47.2181	–	–
Hang Seng ESG ETF (in HK\$) <sup>3</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF (in HK\$) <sup>4</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF (in USD) <sup>5</sup>	–	–	–	–	–	–	–	–

  

Listed Class	For the year ended 31 March 2018		For the year ended 31 March 2017		For the year ended 31 March 2016		For the year ended 31 March 2015	
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit
MSCI China ETF (in HK\$) <sup>1</sup>	39.7705	25.4109	25.9516	19.9304	30.7717	18.1352	25.9101	20.0785
Hang Seng High Dividend Yield ETF (in HK\$) <sup>1</sup>	34.6248	25.2672	26.1597	20.8090	28.5089	19.3450	23.1866	19.7785
China Biotech ETF (in RMB) <sup>2</sup>	–	–	–	–	–	–	–	–
China Cloud Computing ETF (in RMB) <sup>2</sup>	–	–	–	–	–	–	–	–
Hang Seng ESG ETF (in HK\$) <sup>3</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF (in HK\$) <sup>4</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF (in USD) <sup>5</sup>	–	–	–	–	–	–	–	–

<sup>1</sup> The financial year of these Sub-Funds, which were new additions to the Trust during the period ended 31 March 2014, commences from 11 June 2013 (date of inception) to 31 March 2014.

<sup>2</sup> The financial year of these Sub-Funds, which were new additions to the Trust during the period ended 31 March 2015, commences from 24 September 2014 (date of inception) to 31 March 2015.

<sup>3</sup> The financial year of these Sub-Funds, which were new additions to the Trust during the period ended 30 September 2022, commences from 18 March 2022 (date of inception) to 30 September 2022.

<sup>4</sup> The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 29 March 2023 (date of inception) to 30 September 2023.

<sup>5</sup> The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 28 June 2023 (date of inception) to 30 September 2023.

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### PERFORMANCE OF THE SUB-FUNDS

	<u>For the period ended</u> <u>30 September 2024</u>		<u>For the year/period ended</u> <u>31 March 2024</u>		<u>For the year/period ended</u> <u>31 March 2023</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF <sup>1 # ^</sup>	31.28	31.38	(17.59)	(17.38)	(4.64)	(4.51)
Hang Seng High Dividend Yield ETF <sup>1 # ^</sup>	30.58	31.76	(7.88)	(7.03)	(4.48)	(4.46)
China Biotech ETF <sup>2 # ^</sup>	11.61	12.08	(25.41)	(24.93)	(11.54)	(10.84)
China Cloud Computing ETF <sup>2 # ^</sup>	12.58	12.94	(31.36)	(30.86)	18.99	19.85
Hang Seng ESG ETF <sup>3 ^</sup>	31.68	31.93	(26.55)	(26.39)	(4.04)	(3.53)
Electric Vehicle and Battery Active ETF <sup>3 ^</sup>	13.91	(2.67)	(8.09)	(12.32)	(10.51)	(10.99)
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) <sup>3 ^</sup>	13.49	7.41	38.05	33.62	(13.72)	(19.22)
Hang Seng TECH ETF <sup>4 ^</sup>	37.40	37.85	(15.11)	(15.53)	–	–
USD Money Market ETF <sup>5 ^</sup>	2.71	N/A	4.02	N/A	–	–

	<u>For the year ended</u> <u>31 March 2022</u>		<u>For the year ended</u> <u>31 March 2021</u>		<u>For the year/period ended</u> <u>31 March 2020</u>		<u>For the year ended</u> <u>31 March 2019</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF <sup>1 # ^</sup>	(32.06)	(32.37)	42.79	42.57	(7.28)	(6.33)	(6.29)	(6.18)
Hang Seng High Dividend Yield ETF <sup>1 # ^</sup>	(4.39)	(3.18)	32.53	33.85	(23.16)	(22.41)	(5.35)	(4.89)
China Biotech ETF <sup>2 # ^</sup>	(35.39)	(34.96)	60.41	62.65	23.94	23.39	–	–
China Cloud Computing ETF <sup>2 # ^</sup>	(48.23)	(47.93)	36.66	37.14	37.49	37.60	–	–
Hang Seng ESG ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF <sup>4 ^</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF <sup>5 ^</sup>	–	–	–	–	–	–	–	–

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### PERFORMANCE OF THE SUB-FUNDS (CONTINUED)

	<u>For the year ended</u> <u>31 March 2018</u>		<u>For the year ended</u> <u>31 March 2017</u>		<u>For the year ended</u> <u>31 March 2016</u>		<u>For the year ended</u> <u>31 March 2015</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF <sup>1 # ^</sup>	39.72	39.94	19.36	19.88	(17.85)	(18.81)	23.90	23.94
Hang Seng High Dividend Yield ETF <sup>1 # ^</sup>	25.96	32.75	21.88	23.35	(4.42)	(4.13)	16.94	17.56
China Biotech ETF <sup>2 # ^</sup>	–	–	–	–	–	–	–	–
China Cloud Computing ETF <sup>2 # ^</sup>	–	–	–	–	–	–	–	–
Hang Seng ESG ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF <sup>4 ^</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF <sup>5 ^</sup>	–	–	–	–	–	–	–	–

<sup>1</sup>The financial year of these Sub-Funds, which were new additions to the Trust during the period ended 31 March 2014, commences from 11 June 2013 (date of inception) to 31 March 2014.

<sup>2</sup>The financial year of these Sub-Funds, which were new additions to the Trust during the period ended 31 March 2020, commences from 24 July 2019 (date of inception) to 31 March 2020.

<sup>3</sup>The financial year of these Sub-Funds, which were new additions to the Trust during the period ended 30 September 2022, commences from 18 March 2022 (date of inception) to 30 September 2022.

<sup>4</sup>The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 29 March 2023 (date of inception) to 30 September 2023.

<sup>5</sup>The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 28 June 2023 (date of inception) to 30 September 2023.

<sup>†</sup>The respective tracking indices of these Sub-Funds are price return indices. A price return index calculates the performance of index constituents on the basis that any dividends or distributions are not reinvested.

<sup>#</sup>The respective tracking indices of these Sub-Funds are net total return indices. A net total return index calculates the performance of the index constituents on the basis that any dividends or distributions are reinvested after the deduction of any withholding taxes that may apply.

<sup>^</sup>The Sub-Funds' performances are calculated on the basis that any dividends or distributions are reinvested.



## GLOBAL X ETF SERIES

### INFORMATION ON EXPOSURE ARISING FROM FINANCIAL DERIVATIVE INSTRUMENTS (UNAUDITED)

	<u>For the period ended 30 September 2024</u>					
	Highest (%)	Gross exposure Lowest (%)	Average (%)	Highest (%)	Net exposure Lowest (%)	Average (%)
<u>Unlisted total return swaps</u>						
China Biotech ETF	45.20	21.53	33.63	45.20	21.53	33.63
China Cloud Computing ETF	48.20	33.37	40.35	48.20	33.37	40.35

  

	<u>For the year ended 31 March 2024</u>					
	Highest (%)	Gross exposure Lowest (%)	Average (%)	Highest (%)	Net exposure Lowest (%)	Average (%)
<u>Unlisted total return swaps</u>						
China Biotech ETF	36.23	0.00	20.64	36.23	0.00	20.64
China Cloud Computing ETF	43.32	0.00	23.23	43.32	0.00	23.23

## GLOBAL X ETF SERIES

### MANAGEMENT AND ADMINISTRATION

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#### Directors of the Manager

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Mr. Nam Ki Kim  
Mr. Ho Wah Fok

#### Registrar

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#### Trustee

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<sup>\*</sup> In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF, China Biotech ETF and China Cloud Computing ETF only.

<sup>~</sup> In respect of Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, AI & Innovative Technology Active ETF (formerly known as Metaverse Theme Active ETF) and Hang Seng TECH ETF only.

<sup>#</sup> In respect of USD Money Market ETF only.