

# INTERIM FINANCIAL STATEMENTS

## GLOBAL X ETF SERIES

GLOBAL X MSCI CHINA ETF

GLOBAL X HANG SENG HIGH DIVIDEND YIELD ETF

GLOBAL X CHINA BIOTECH ETF

GLOBAL X CHINA CLOUD COMPUTING ETF

GLOBAL X HANG SENG ESG ETF

GLOBAL X ELECTRIC VEHICLE AND BATTERY ACTIVE ETF

GLOBAL X METAVERSE THEME ACTIVE ETF

FOR THE SIX MONTHS ENDED 30 SEPTEMBER 2023

GLOBAL X HANG SENG TECH ETF

FOR THE PERIOD FROM 29 MARCH 2023 (DATE OF INCEPTION) TO 30 SEPTEMBER 2023

GLOBAL X USD MONEY MARKET ETF

FOR THE PERIOD FROM 28 JUNE 2023 (DATE OF INCEPTION) TO 30 SEPTEMBER 2023

(SUB-FUNDS OF GLOBAL X ETF SERIES)

## **GLOBAL X ETF SERIES**

### Unaudited Condensed Interim Financial Statements

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#### **IMPORTANT:**

Any opinion expressed herein reflects the Manager's view only and is subject to change. For more information about the Global X ETF Series, please refer to the prospectus of Global X ETF Series which is available at our website: [www.globalxetfshk.com](http://www.globalxetfshk.com).

Investors should not rely on the information contained in this report for their investment decisions.

## GLOBAL X ETF SERIES

### STATEMENT OF NET ASSETS

As at 30 September 2023 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$	As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<b>ASSETS</b>									
Financial assets at fair value through profit or loss	6(e)	585,802,978	1,202,150,566	1,047,600,993	945,918,883	542,533,069	724,319,566	342,751,889	502,665,423
Dividends receivable		733,357	49,552	7,568,026	283,580	345,298	–	430,841	–
Amounts due from brokers	7	–	–	–	–	–	–	–	2,703,051
Interest receivable		–	–	–	–	–	–	–	–
Prepayment and other receivables		–	–	–	–	–	–	–	–
Time deposits	8	–	–	–	–	–	–	–	–
Cash and cash equivalents	6(e),9	2,740,633	2,108,886	2,756,322	1,476,595	1,186,904	1,489,544	804,371	4,698,399
<b>TOTAL ASSETS</b>		<b>589,276,968</b>	<b>1,204,309,004</b>	<b>1,057,925,341</b>	<b>947,679,058</b>	<b>544,065,271</b>	<b>725,809,110</b>	<b>343,987,101</b>	<b>510,066,873</b>
<b>LIABILITIES</b>									
Management fee payable	6(b)	75,265	179,348	603,021	554,496	299,076	436,577	205,471	274,798
Establishment costs payable		–	–	–	–	–	–	–	–
Trustee fee payable	6(c)	–	–	39,009	39,009	84,822	84,822	37,578	37,578
Fees payable	6(d)	–	–	41,247	41,247	241,184	241,185	97,704	97,704
Interest payable from swap contracts		–	–	–	–	17,096	–	31,812	–
Redemption payable	6(g)	–	–	–	–	–	–	–	5,614,200
Amounts due to brokers	7	11	–	2,040,092	–	–	–	–	4,770
Registration fee payable	6(f)	420,000	300,000	698,544	533,544	632,000	554,000	606,000	534,000
Other payable		–	–	20,624	20,624	–	–	–	–
<b>TOTAL LIABILITIES</b>		<b>495,276</b>	<b>479,348</b>	<b>3,442,537</b>	<b>1,188,920</b>	<b>1,274,178</b>	<b>1,316,584</b>	<b>978,565</b>	<b>6,563,050</b>
<b>EQUITY</b>									
Net assets attributable to unitholders	3(a)	<b>588,781,692</b>	<b>1,203,829,656</b>	<b>1,054,482,804</b>	<b>946,490,138</b>	<b>542,791,093</b>	<b>724,492,526</b>	<b>343,008,536</b>	<b>503,503,823</b>
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>589,276,968</b>	<b>1,204,309,004</b>	<b>1,057,925,341</b>	<b>947,679,058</b>	<b>544,065,271</b>	<b>725,809,110</b>	<b>343,987,101</b>	<b>510,066,873</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF NET ASSETS (CONTINUED)

As at 30 September 2023 (unaudited)

	Notes	Global X Hang Seng ESG ETF		Global X Electric Vehicle and Battery Active ETF		Global X Metaverse Theme Active ETF		Global X Hang Seng TECH ETF	Global X USD Money Market ETF
		As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD	As at 30 September 2023 (unaudited) HK\$	As at 30 September 2023 (unaudited) USD
<b>ASSETS</b>									
Financial assets at fair value through profit or loss	6(e)	21,833,033	25,388,056	5,047,827	6,790,533	1,698,314	1,896,450	3,070,067,535	2,502,616
Dividends receivable		45,657	74,392	5,260	5,056	664	2,052	–	–
Amounts due from brokers	7	–	–	–	1,692	–	–	–	–
Interest receivable		–	–	–	–	–	–	–	96,944
Prepayment and other receivables		–	–	–	–	–	–	–	17,316
Time deposits	8	–	–	–	–	–	–	–	24,258,790
Cash and cash equivalents	6(e),9	125,798	56,072	372,621	1,249,743	166,653	196,557	5,107,681	7,199
<b>TOTAL ASSETS</b>		<b>22,004,488</b>	<b>25,518,520</b>	<b>5,425,708</b>	<b>8,047,024</b>	<b>1,865,631</b>	<b>2,095,059</b>	<b>3,075,175,216</b>	<b>26,882,865</b>
<b>LIABILITIES</b>									
Management fee payable	6(b)	5,321	6,156	3,449	4,886	1,170	1,264	908,726	19,367
Establishment costs payable		–	–	–	–	–	–	352,531	45,013
Trustee fee payable	6(c)	–	–	–	–	–	–	–	–
Fees payable	6(d)	–	–	–	–	–	–	801,607	4,198
Interest payable from swap contracts		–	–	–	–	–	–	–	–
Redemption payable	6(g)	–	–	–	711,820	–	–	–	–
Amounts due to brokers	7	–	–	–	–	–	–	–	–
Registration fee payable	6(f)	37,500	37,500	27,300	28,901	4,000	3,600	105,000	1,000
Other payable		–	–	–	–	–	–	178,104	13,131
<b>TOTAL LIABILITIES</b>		<b>42,821</b>	<b>43,656</b>	<b>30,749</b>	<b>745,607</b>	<b>5,170</b>	<b>4,864</b>	<b>2,345,968</b>	<b>82,709</b>
<b>EQUITY</b>									
Net assets attributable to unitholders	3(a)	<b>21,961,667</b>	<b>25,474,864</b>	<b>5,394,959</b>	<b>7,301,417</b>	<b>1,860,461</b>	<b>2,090,195</b>	<b>3,072,829,248</b>	<b>26,800,156</b>
<b>TOTAL LIABILITIES AND EQUITY</b>		<b>22,004,488</b>	<b>25,518,520</b>	<b>5,425,708</b>	<b>8,047,024</b>	<b>1,865,631</b>	<b>2,095,059</b>	<b>3,075,175,216</b>	<b>26,882,865</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME

For the six months ended 30 September 2023 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2022 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2022 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
<b>INCOME</b>									
Dividend income		13,522,828	9,830,256	65,342,993	40,296,893	6,740,363	6,039,697	1,831,729	3,830,257
Interest income		955	239	47	38	5,135	4,696	2,979	3,371
Interest income from swap contracts		–	–	–	–	38,371	–	5,943	–
Net (loss)/gain on financial assets at fair value through profit or loss	5	(119,637,162)	(103,875,945)	(143,579,943)	(172,903,357)	(57,293,309)	(193,415,820)	(98,664,119)	(77,717,898)
Net exchange gain/(loss)		84,023	(3,078)	–	–	102,370	303,877	145,262	211,472
Other income		–	–	–	–	–	–	–	–
		<b>(106,029,356)</b>	<b>(94,048,528)</b>	<b>(78,236,903)</b>	<b>(132,606,426)</b>	<b>(50,407,070)</b>	<b>(187,067,550)</b>	<b>(96,678,206)</b>	<b>(73,672,798)</b>
<b>EXPENSES</b>									
Management fee	6(b)	(584,420)	(404,978)	(3,504,299)	(2,038,587)	(2,120,339)	(2,814,521)	(1,449,067)	(1,685,450)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(2,114,231)	(447,368)	(1,366,407)	(1,369,186)	(772,007)	(444,341)	(332,786)	(245,959)
Establishment costs		–	–	–	–	–	–	–	–
Other administration fee	6(d)	–	–	–	–	–	–	–	–
Legal and other professional fees		–	–	–	–	–	–	–	–
Auditor's remuneration		–	–	–	–	–	–	–	–
Other expenses		–	–	–	–	–	–	–	–
		<b>(2,698,651)</b>	<b>(852,346)</b>	<b>(4,870,706)</b>	<b>(3,407,773)</b>	<b>(2,892,346)</b>	<b>(3,258,862)</b>	<b>(1,781,853)</b>	<b>(1,931,409)</b>
<b>Operating (loss)/profit</b>		<b>(108,728,007)</b>	<b>(94,900,874)</b>	<b>(83,107,609)</b>	<b>(136,014,199)</b>	<b>(53,299,416)</b>	<b>(190,326,412)</b>	<b>(98,460,059)</b>	<b>(75,604,207)</b>
<b>FINANCE COSTS</b>									
Interest expense		(603)	(606)	(4,987)	(4,687)	(2,367)	(7,733)	(3,409)	(172)
<b>(Loss)/profit before tax</b>		<b>(108,728,610)</b>	<b>(94,901,480)</b>	<b>(83,112,596)</b>	<b>(136,018,886)</b>	<b>(53,301,783)</b>	<b>(190,334,145)</b>	<b>(98,463,468)</b>	<b>(75,604,379)</b>
Withholding tax on dividend income	11	(1,034,219)	(726,940)	(3,891,016)	(2,188,866)	(507,728)	(394,346)	(45,565)	(76,704)
<b>(Loss)/profit after tax and total comprehensive income for the period</b>		<b>(109,762,829)</b>	<b>(95,628,420)</b>	<b>(87,003,612)</b>	<b>(138,207,752)</b>	<b>(53,809,511)</b>	<b>(190,728,491)</b>	<b>(98,509,033)</b>	<b>(75,681,083)</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF PROFIT OR LOSS AND OTHER COMPREHENSIVE INCOME (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

		Global X Hang Seng ESG ETF		Global X Electric Vehicle and Battery Active ETF		Global X Metaverse Theme Active ETF		Global X Hang Seng TECH ETF	Global X USD Money Market ETF
	Notes	For the six months ended 30 September 2023 (unaudited) HK\$	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD	For the six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD	For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$	For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited) USD
<b>INCOME</b>									
Dividend income		559,488	5,014,624	36,422	32,462	6,545	7,162	8,727,225	–
Interest income		2	19	20	11	4	7	38	290,062
Interest income from swap contracts		–	–	–	–	–	–	–	–
Net (loss)/gain on financial assets at fair value through profit or loss	5	(4,013,609)	(37,592,527)	(447,618)	(1,108,323)	145,694	(591,823)	(87,031,679)	9,115
Net exchange gain/(loss)		114	(42)	(2,673)	(3,897)	(916)	2,626	(7,894)	–
Other income		–	–	–	159	–	–	–	12,317
		<b>(3,454,005)</b>	<b>(32,577,926)</b>	<b>(413,849)</b>	<b>(1,079,588)</b>	<b>151,327</b>	<b>(582,028)</b>	<b>(78,312,310)</b>	<b>311,494</b>
<b>EXPENSES</b>									
Management fee	6(b)	(34,513)	(277,381)	(24,860)	(32,582)	(8,085)	(7,374)	(3,940,284)	(19,367)
Transaction costs on financial assets at fair value through profit or loss	6(a)	(7,799)	(125,406)	(3,418)	(17,262)	(853)	(1,735)	(1,293,251)	(267)
Establishment costs		–	–	–	–	–	–	(352,531)	(45,013)
Other administration fee	6(d)	–	–	–	–	–	–	(877,921)	(10,420)
Legal and other professional fees		–	–	–	–	–	–	(57,245)	(3,736)
Auditor's remuneration		–	–	–	–	–	–	(30,575)	(2,262)
Other expenses		–	–	–	–	–	–	(13,970)	(911)
		<b>(42,312)</b>	<b>(402,787)</b>	<b>(28,278)</b>	<b>(49,844)</b>	<b>(8,938)</b>	<b>(9,109)</b>	<b>(6,565,777)</b>	<b>(81,976)</b>
<b>Operating (loss)/profit</b>		<b>(3,496,317)</b>	<b>(32,980,713)</b>	<b>(442,127)</b>	<b>(1,129,432)</b>	<b>142,389</b>	<b>(591,137)</b>	<b>(84,878,087)</b>	<b>229,518</b>
<b>FINANCE COSTS</b>									
Interest expense		(3)	(123)	–	–	–	–	(138)	–
<b>(Loss)/profit before tax</b>		<b>(3,496,320)</b>	<b>(32,980,836)</b>	<b>(442,127)</b>	<b>(1,129,432)</b>	<b>142,389</b>	<b>(591,137)</b>	<b>(84,878,225)</b>	<b>229,518</b>
Withholding tax on dividend income	11	(16,877)	(170,471)	(4,410)	(4,125)	(1,262)	(1,240)	(185,263)	–
<b>(Loss)/profit after tax and total comprehensive income for the period</b>		<b>(3,513,197)</b>	<b>(33,151,307)</b>	<b>(446,537)</b>	<b>(1,133,557)</b>	<b>141,127</b>	<b>(592,377)</b>	<b>(85,063,488)</b>	<b>229,518</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS

For the six months ended 30 September 2023 (unaudited)

	Notes	Global X MSCI China ETF		Global X Hang Seng High Dividend Yield ETF		Global X China Biotech ETF		Global X China Cloud Computing ETF	
		For the six months ended 30 September 2023	For the six months ended 30 September 2022	For the six months ended 30 September 2023	For the six months ended 30 September 2022	For the six months ended 30 September 2023	For the six months ended 30 September 2022	For the six months ended 30 September 2023	For the six months ended 30 September 2022
		(unaudited) HK\$	(unaudited) HK\$	(unaudited) HK\$	(unaudited) HK\$	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB	(unaudited) RMB
<b>Balance at the beginning of the period</b>		<b>1,203,829,656</b>	<b>526,436,175</b>	<b>946,490,138</b>	<b>564,778,840</b>	<b>724,492,526</b>	<b>963,501,712</b>	<b>503,503,823</b>	<b>483,934,722</b>
Issue of units during the period									
– in kind	4	–	–	–	–	–	–	–	–
– cash creation and cash component	4	417,800,915	59,912,217	274,526,897	277,954,047	–	25,834,583	10,413,872	91,889,855
Redemption of units during the period									
– cash redemption and cash component	4	(923,086,050)	(153,609,076)	(8,790,619)	–	(127,891,922)	(115,278,957)	(72,400,126)	(85,493,897)
Net (redemption)/issue of units		(505,285,135)	(93,696,859)	265,736,278	277,954,047	(127,891,922)	(89,444,374)	(61,986,254)	6,395,958
Distribution to unitholders	12	–	–	(70,740,000)	(50,100,000)	–	–	–	–
(Loss)/profit after tax and total comprehensive income for the period		(109,762,829)	(95,628,420)	(87,003,612)	(138,207,752)	(53,809,511)	(190,728,491)	(98,509,033)	(75,681,083)
<b>Balance at the end of the period</b>		<b>588,781,692</b>	<b>337,110,896</b>	<b>1,054,482,804</b>	<b>654,425,135</b>	<b>542,791,093</b>	<b>683,328,847</b>	<b>343,008,536</b>	<b>414,649,597</b>
<b>Number of units in issue at beginning of period</b>		<b>42,200,000</b>	<b>17,600,000</b>	<b>41,600,000</b>	<b>21,800,000</b>	<b>12,750,000</b>	<b>15,000,000</b>	<b>8,700,000</b>	<b>9,950,000</b>
Issue of units during the period (units)	3(b)	16,000,000	2,000,000	12,600,000	12,000,000	–	450,000	200,000	1,800,000
Redemption of units during the period (units)	3(b)	(34,800,000)	(5,600,000)	(400,000)	–	(2,400,000)	(2,100,000)	(1,450,000)	(1,850,000)
<b>Number of units in issue at end of period</b>		<b>23,400,000</b>	<b>14,000,000</b>	<b>53,800,000</b>	<b>33,800,000</b>	<b>10,350,000</b>	<b>13,350,000</b>	<b>7,450,000</b>	<b>9,900,000</b>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CHANGES IN NET ASSETS ATTRIBUTABLE TO UNITHOLDERS (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

		<u>Global X Hang Seng ESG ETF</u>		<u>Global X Electric Vehicle and Battery Active ETF</u>		<u>Global X Metaverse Theme Active ETF</u>		<u>Global X Hang Seng TECH ETF</u>	<u>Global X USD Money Market ETF</u>
	Notes	For the six months ended 30 September 2023 (unaudited) HK\$	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD	For the Six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD	For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$	For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited) USD
<b>Balance at the beginning of the period</b>		<b>25,474,864</b>	–	<b>7,301,417</b>	–	<b>2,090,195</b>	–	–	–
Issue of units during the period									
– in kind	4	–	212,605,200	–	–	–	–	2,723,985,600	–
– cash creation and cash component	4	–	22,566,807	–	12,371,338	–	2,263,306	435,899,897	26,570,638
Redemption of units during the period									
– cash redemption and cash component	4	–	(4,158,014)	(1,459,921)	(2,586,461)	(370,861)	–	(1,992,761)	–
Net (redemption)/issue of units		–	231,013,993	(1,459,921)	9,784,877	(370,861)	2,263,306	3,157,892,736	26,570,638
Distribution to unitholders	12	–	–	–	–	–	–	–	–
(Loss)/profit after tax and total comprehensive income for the period		(3,513,197)	(33,151,307)	(446,537)	(1,133,557)	141,127	(592,377)	(85,063,488)	229,518
<b>Balance at the end of the period</b>		<b>21,961,667</b>	<b>197,862,686</b>	<b>5,394,959</b>	<b>8,651,320</b>	<b>1,860,461</b>	<b>1,670,929</b>	<b>3,072,829,248</b>	<b>26,800,156</b>
<b>Number of units in issue at beginning of period</b>		<b>6,000,000</b>	–	<b>1,000,000</b>	–	<b>300,000</b>	–	–	–
Issue of units during the period (units)	3(b)	–	57,000,000	–	1,700,000	–	300,000	642,800,000	212,000
Redemption of units during the period (units)	3(b)	–	(1,000,000)	(200,000)	(350,000)	(50,000)	–	(400,000)	–
<b>Number of units in issue at end of period</b>		<b>6,000,000</b>	<b>56,000,000</b>	<b>800,000</b>	<b>1,350,000</b>	<b>250,000</b>	<b>300,000</b>	<b>642,400,000</b>	<b>212,000</b>

The accompanying notes are an integral part of these financial statements.



## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS

For the six months ended 30 September 2023 (unaudited)

	Global X MSCI China ETF	
	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2022 (unaudited) HK\$
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax	(108,728,610)	(94,901,480)
Adjustments for:		
Dividend income	(13,522,828)	(9,830,256)
Interest income	(955)	(239)
Interest expense	603	606
	<u>(122,251,790)</u>	<u>(104,731,369)</u>
Decrease in financial assets at fair value through profit or loss	616,347,588	189,339,734
Decrease in amounts due from brokers	–	44,335,759
(Decrease)/increase in management fee payable	(104,083)	12,926
Increase/(decrease) in amounts due to brokers	11	(1,633)
Increase in registration fee payable	<u>120,000</u>	<u>112,500</u>
Cash generated from operations	494,111,726	129,067,917
Dividends received, net of withholding tax	11,804,804	8,455,772
Interest received	955	239
Interest paid	(603)	(606)
Net cash flows generated from operating activities	<u><b>505,916,882</b></u>	<u><b>137,523,322</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	417,800,915	59,912,217
Cash paid on redemptions of units	<u>(923,086,050)</u>	<u>(207,457,876)</u>
Net cash flows used in financing activities	<u><b>(505,285,135)</b></u>	<u><b>(147,545,659)</b></u>
<b>NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENTS</b>		
	<b>631,747</b>	<b>(10,022,337)</b>
Cash and cash equivalents at the beginning of the period	<u>2,108,886</u>	<u>10,962,397</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>2,740,633</b></u>	<u><b>940,060</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(e),9 <u><b>2,740,633</b></u>	<u><b>940,060</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

	<u>Global X Hang Seng</u> <u>High Dividend Yield ETF</u>	
	For the six months ended 30 September 2023 (unaudited) Notes	For the six months ended 30 September 2022 (unaudited) HK\$
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax	(83,112,596)	(136,018,886)
Adjustments for:		
Dividend income	(65,342,993)	(40,296,893)
Interest income	(47)	(38)
Interest expense	4,987	4,687
	<u>(148,450,649)</u>	<u>(176,311,130)</u>
Increase in financial assets at fair value through profit or loss	(101,682,110)	(84,906,892)
Increase in management fee payable	48,525	605,397
Increase in amounts due to brokers	2,040,092	–
Increase in registration fee payable	165,000	187,500
Cash used in operations	(247,879,142)	(260,425,125)
Dividends received, net of withholding tax	54,167,531	34,977,593
Interest received	47	38
Interest paid	(4,987)	(4,687)
Net cash flows used in operating activities	<u>(193,716,551)</u>	<u>(225,452,181)</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	274,526,897	277,954,047
Cash paid on redemption of units	(8,790,619)	–
Cash paid on distribution to unitholders	(70,740,000)	(50,100,000)
Net cash flows generated from financing activities	<u>194,996,278</u>	<u>227,854,047</u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>	<b>1,279,727</b>	<b>2,401,866</b>
Cash and cash equivalents at the beginning of the period	<u>1,476,595</u>	<u>544,126</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>	<b><u>2,756,322</u></b>	<b><u>2,945,992</u></b>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(e),9 <u>2,756,322</u>	<u>2,945,992</u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

	Notes	Global X China Biotech ETF	
		For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Loss before tax		(53,301,783)	(190,334,145)
Adjustments for:			
Dividend income		(6,740,363)	(6,039,697)
Interest income		(5,135)	(4,696)
Interest income from swap contracts		(38,371)	–
Interest expense		2,367	7,733
		<u>(60,083,285)</u>	<u>(196,370,805)</u>
Decrease in financial assets at fair value through profit or loss		181,786,497	281,795,710
Increase in amounts due from brokers		–	(22,617)
(Decrease)/increase in management fee payable		(137,501)	262,233
Decrease in fee payable		(1)	–
Increase in amounts due to brokers		–	5,718,597
Increase in registration fee payable		78,000	66,000
Cash generated from operations		<u>121,643,710</u>	<u>91,449,118</u>
Dividends received, net of withholding tax		5,887,337	5,645,351
Interest received		5,135	4,696
Interest received from swap contracts		55,467	–
Interest paid		<u>(2,367)</u>	<u>(7,733)</u>
Net cash flows generated from operating activities		<u><b>127,589,282</b></u>	<u><b>97,091,432</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Cash received from issue of units		–	25,834,583
Cash paid on redemptions of units		<u>(127,891,922)</u>	<u>(110,142,107)</u>
Net cash flows used in financing activities		<u><b>(127,891,922)</b></u>	<u><b>(84,307,524)</b></u>
<b>NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS</b>			
		<b>(302,640)</b>	<b>12,783,908</b>
Cash and cash equivalents at the beginning of the period		<u>1,489,544</u>	<u>1,851,097</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>			
		<u><b>1,186,904</b></u>	<u><b>14,635,005</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>			
Bank balances	6(e),9	<u><b>1,186,904</b></u>	<u><b>14,635,005</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

	<u>Global X China Cloud Computing ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax	(98,463,468)	(75,604,379)
Adjustments for:		
Dividend income	(1,831,729)	(3,830,257)
Interest income	(2,979)	(3,371)
Interest income from swap contracts	(5,943)	–
Interest expense	3,409	172
	<u>(100,300,710)</u>	<u>(79,437,835)</u>
Decrease in financial assets at fair value through profit or loss	159,913,534	69,313,119
Decrease/(increase) in amounts due from brokers	2,703,051	(2,804,343)
(Decrease)/increase in management fee payable	(69,327)	280,687
Decrease in amounts due to brokers	(4,770)	–
Increase in registration fee payable	72,000	168,000
Cash generated from/(used in) operations	<u>62,313,778</u>	<u>(12,480,372)</u>
Dividends received, net of withholding tax	1,355,323	3,753,553
Interest received	2,979	3,371
Interest received from swap contracts	37,755	–
Interest paid	(3,409)	(172)
Net cash flows generated from/(used in) operating activities	<u><b>63,706,426</b></u>	<u><b>(8,723,620)</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	10,413,872	91,889,855
Cash paid on redemptions of units	(78,014,326)	(81,323,087)
Net cash flows (used in)/generated from financing activities	<u><b>(67,600,454)</b></u>	<u><b>10,566,768</b></u>
<b>NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS</b>		
	<u><b>(3,894,028)</b></u>	<u><b>1,843,148</b></u>
Cash and cash equivalents at the beginning of the period	<u>4,698,399</u>	<u>1,659,352</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u><b>804,371</b></u>	<u><b>3,502,500</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(e),9 <u><b>804,371</b></u>	<u><b>3,502,500</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

	Notes	Global X Hang Seng ESG ETF	
		For the six months ended 30 September 2023 (unaudited) HK\$	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) HK\$
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Loss before tax		(3,496,320)	(32,980,836)
Adjustments for:			
Dividend income		(559,488)	(5,014,624)
Interest income		(2)	(19)
Interest expense		3	123
		<u>(4,055,807)</u>	<u>(37,995,356)</u>
Decrease in financial assets at fair value through profit or loss		3,555,023	15,374,019
(Decrease)/increase in management fee payable		(835)	170,205
Increase in registration fee payable		–	30,000
Cash used in operations		<u>(501,619)</u>	<u>(22,421,132)</u>
Dividends received, net of withholding tax		571,346	4,332,716
Interest received		2	19
Interest paid		(3)	(123)
Net cash flows generated from/(used in) operating activities		<u><b>69,726</b></u>	<u><b>(18,088,520)</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Cash received from issue of units		–	22,566,807
Cash paid on redemptions of units		–	(4,158,014)
Net cash flows generated from financing activities		<u>–</u>	<u><b>18,408,793</b></u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>			
		<b>69,726</b>	<b>320,273</b>
Cash and cash equivalents at the beginning of the period		<u>56,072</u>	<u>–</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>			
		<u><b>125,798</b></u>	<u><b>320,273</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>			
Bank balances	6(e),9	<u><b>125,798</b></u>	<u><b>320,273</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

	<u>Global X Electric Vehicle and Battery</u>	
	<u>Active ETF</u>	
	For the six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD
Notes		
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Loss before tax	(442,127)	(1,129,432)
Adjustments for:		
Dividend income	(36,422)	(32,462)
Interest income	(20)	(11)
	<u>(478,569)</u>	<u>(1,161,905)</u>
Decrease/(increase) in financial assets at fair value through profit or loss	1,742,706	(8,266,856)
Decrease in amount due from a broker	1,692	–
(Decrease)/increase in management fee payable	(1,437)	19,728
Increase in amounts due to brokers	–	161,783
(Decrease)/increase in registration fee payable	(1,601)	22,900
Cash generated from/(used in) operations	1,262,791	(9,224,350)
Dividends received, net of withholding tax	31,808	21,900
Interest received	20	11
Net cash flows generated from/(used in) operating activities	<u>1,294,619</u>	<u>(9,202,439)</u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units	–	12,371,338
Cash paid on redemptions of units	(2,171,741)	(2,586,461)
Net cash flows (used in)/generated from financing activities	<u>(2,171,741)</u>	<u>9,784,877</u>
<b>NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS</b>		
	(877,122)	582,438
Cash and cash equivalents at the beginning of the period	<u>1,249,743</u>	<u>–</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
	<u>372,621</u>	<u>582,438</u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Bank balances	6(e),9 <u>372,621</u>	<u>582,438</u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the six months ended 30 September 2023 (unaudited)

	Notes	Global X Metaverse Theme Active ETF	
		For the six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Profit/(loss) before tax		142,389	(591,137)
Adjustments for:			
Dividend income		(6,545)	(7,162)
Interest income		(4)	(7)
		<u>135,840</u>	<u>(598,306)</u>
Decrease/(increase) in financial assets at fair value through profit or loss		198,136	(1,458,063)
(Decrease)/increase in management fee payable		(94)	3,641
Increase in registration fee payable		400	3,600
Cash generated from/(used in) operations		<u>334,282</u>	<u>(2,049,128)</u>
Dividends received, net of withholding tax		6,671	5,061
Interest received		4	7
Net cash flows generated from/(used in) operating activities		<u><b>340,957</b></u>	<u><b>(2,044,060)</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Cash received from issue of units		–	2,263,306
Cash paid on redemptions of units		<u>(370,861)</u>	<u>–</u>
Net cash flows (used in)/generated from financing activities		<u><b>(370,861)</b></u>	<u><b>2,263,306</b></u>
<b>NET (DECREASE)/INCREASE IN CASH AND CASH EQUIVALENTS</b>			
		<u><b>(29,904)</b></u>	<u><b>219,246</b></u>
Cash and cash equivalents at the beginning of the period		<u>196,557</u>	<u>–</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>			
		<u><b>166,653</b></u>	<u><b>219,246</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>			
Bank balances	6(e),9	<u><b>166,653</b></u>	<u><b>219,246</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited)

	<u>Global X Hang Seng TECH ETF</u> For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$
	Notes
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>	
Loss before tax	(84,878,225)
Adjustments for:	
Dividend income	(8,727,225)
Interest income	(38)
Interest expense	138
	<u>(93,605,350)</u>
Increase in financial assets at fair value through profit or loss	(346,081,935)
Increase in management fee payable	908,726
Increase in establishment costs payable	352,531
Increase in fees payable	801,607
Increase in registration fee payable	105,000
Increase in other payable	178,104
Cash used in operations	<u>(437,341,317)</u>
Dividends received, net of withholding tax	8,541,962
Interest received	38
Interest paid	<u>(138)</u>
Net cash flows used in operating activities	<u><b>(428,799,455)</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>	
Cash received from issue of units	435,899,897
Cash paid on redemptions of units	<u>(1,992,761)</u>
Net cash flows generated from financing activities	<u><b>433,907,136</b></u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>	<b>5,107,681</b>
Cash and cash equivalents at the beginning of the period	<u>–</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>	<u><b>5,107,681</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>	
Bank balances	6(e),9
	<u><b>5,107,681</b></u>

The accompanying notes are an integral part of these financial statements.



## GLOBAL X ETF SERIES

### STATEMENT OF CASH FLOWS (CONTINUED)

For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited)

	Notes	Global X USD Money Market ETF For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited) USD
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Profit before tax		229,518
Adjustments for:		
Interest income		(290,062)
		<u>(60,544)</u>
Increase in deposits at bank with original maturity more than three months		(5,770,104)
Increase in financial assets at fair value through profit or loss		(2,502,616)
Increase in prepayment and other receivables		(17,316)
Increase in management fee payable		19,367
Increase in establishment costs payable		45,013
Increase in fees payable		4,198
Increase in registration fee payable		1,000
Increase in other payable		13,131
Cash used in operations		<u>(8,267,871)</u>
Interest received		193,118
Net cash flows used in operating activities		<u><b>(8,074,753)</b></u>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
Cash received from issue of units		<u>26,570,638</u>
Net cash flows generated from financing activities		<u><b>26,570,638</b></u>
<b>NET INCREASE IN CASH AND CASH EQUIVALENTS</b>		
Cash and cash equivalents at the beginning of the period		<u>–</u>
<b>CASH AND CASH EQUIVALENTS AT THE END OF THE PERIOD</b>		
		<u><b>18,495,885</b></u>
<b>ANALYSIS OF BALANCES OF CASH AND CASH EQUIVALENTS</b>		
Term deposits with original maturity less than three months	9	18,488,686
Bank balances	6(e),9	<u>7,199</u>
Cash and cash equivalents as stated in the statement of cash flows		<u><b>18,495,885</b></u>

The accompanying notes are an integral part of these financial statements.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 1. GENERAL INFORMATION

Global X ETF Series (the “Trust”) is an umbrella unit trust governed by its trust deed dated 23 December 2010 as amended by the supplemental deeds dated 16 December 2011, 23 December 2011, 5 November 2012, 14 May 2013, 3 September 2014, 16 November 2015, 11 August 2016, 29 September 2016, 5 October 2016, 24 November 2016, 11 July 2019, 1 November 2019 and 31 December 2019, 11 March 2022 and 17 March 2023 (collectively, the “Trust Deed”) between Mirae Asset Global Investments (Hong Kong) Limited (the “Manager”) and Cititrust Limited (the “Trustee”). The Trust Deed is governed by Hong Kong law.

As at 30 September 2023, the Trust had nine sub-funds, each a separate sub-fund of the Trust, which are authorised by the Securities and Futures Commission of Hong Kong (the “SFC”) pursuant to section 104(1) of the Securities and Futures Ordinance. The nine sub-funds, including Global X MSCI China ETF, Global X Hang Seng High Dividend Yield ETF, Global X China Biotech ETF, Global X China Cloud Computing ETF, Global X Hang Seng ESG ETF, Global X Electric Vehicle and Battery Active ETF, Global X Metaverse Theme Active ETF, Global X Hang Seng TECH ETF and Global X USD Money Market ETF are referred to individually or collectively as the “Sub-Fund(s)”. The Sub-Funds are listed on the Stock Exchange of Hong Kong Limited (the “SEHK”) (a subsidiary of The Hong Kong Exchanges and Clearing Limited) with details below:

<u>Name of Sub-Funds</u>	<u>Listing date on the SEHK</u>
Global X MSCI China ETF (“MSCI China ETF”)	17 June 2013
Global X Hang Seng High Dividend Yield ETF (“Hang Seng High Dividend Yield ETF”)	17 June 2013
Global X China Biotech ETF (“China Biotech ETF”)	25 July 2019
Global X China Cloud Computing ETF (“China Cloud Computing ETF”)	25 July 2019
Global X Hang Seng ESG ETF (“Hang Seng ESG ETF”)	21 March 2022
Global X Electric Vehicle and Battery Active ETF (“Electric Vehicle and Battery Active ETF”)	21 March 2022
Global X Metaverse Theme Active ETF (“Metaverse Theme Active ETF”)	21 March 2022
Global X Hang Seng TECH ETF (“Hang Seng TECH ETF”)	30 March 2023
Global X USD Money Market ETF (“USD Money Market ETF”)	29 June 2023

These financial statements relate to the above-mentioned Sub-Funds. The Manager is responsible for the preparation of the financial statements.

The first reporting period for Hang Seng TECH ETF will cover for the period from 29 March 2023 (date of inception) to 31 March 2024 and USD Money Market ETF will cover for the period from 28 June 2023 (date of inception) to 31 March 2024.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 1. GENERAL INFORMATION (CONTINUED)

##### MSCI China ETF

The objective of MSCI China ETF (stock code: 3040) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the MSCI China Index by investing all, or substantially all, of the assets of MSCI China ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Hang Seng High Dividend Yield ETF

The objective of Hang Seng High Dividend Yield ETF (stock code: 3110) is to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Hang Seng High Dividend Yield Index by investing all, or substantially all, of the assets of Hang Seng High Dividend Yield ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Biotech ETF

The objective of China Biotech ETF (United States dollar counter stock code: 9820, Hong Kong dollar counter stock code: 2820) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Solactive China Biotech Index NTR by investing all, or substantially all, of the assets of China Biotech ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### China Cloud Computing ETF

The objective of China Cloud Computing ETF (United States dollar counter stock code: 9826, Hong Kong dollar stock code: 2826) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Solactive China Cloud Computing Index NTR by investing all, or substantially all, of the assets of China Cloud Computing ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Hang Seng ESG ETF

The objective of Hang Seng ESG ETF (stock code: 3029) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the HSI ESG Enhanced Index by investing all, or substantially all, of the assets of Hang Seng ESG ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### Electric Vehicle and Battery Active ETF

The objective of Electric Vehicle and Battery Active ETF (stock code: 3139) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which are directly or indirectly involved in electric vehicle or electric vehicle-related battery businesses (the “EV/Battery Business”).

##### Metaverse Theme Active ETF

The objective of Metaverse Theme Active ETF (stock code: 3006) is to achieve long term capital growth by primarily investing (i.e. at least 70% of its net asset value) in companies which engage in activities relating to or provide products, services or technologies that enable the development and operation of the Metaverse (the “Metaverse Business”).

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 1. GENERAL INFORMATION (CONTINUED)

##### Hang Seng TECH ETF

The objective of Hang Seng TECH ETF (stock code: 2837) is to provide investment results that, before deduction of fees and expenses, closely correspond to performance of the Hang Seng TECH Index by investing all, or substantially all, of the assets of Hang Seng Tech ETF in index securities in substantially the same weightings as constituted in the underlying index.

##### USD Money Market ETF

The objective of USD Money Market ETF (stock code: 3137) is to invest in short term deposits and high quality money market investments (i.e. at least 70% of its net asset value) issued by governments, quasi-governments, international organisations and financial institutions, including debt securities, commercial papers, short-term notes, certificates of deposits and commercial bills.

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The condensed interim financial statements are unaudited and are prepared in accordance with International Accounting Standard (“IAS”) 34 Interim Financial Reporting and the relevant disclosure provisions of the Trust Deed, and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the Securities and Futures Commission of Hong Kong (the “SFC Code”).

The unaudited condensed interim financial statements do not include all the information and disclosures required in the annual financial statements.

The principal accounting policies applied in the preparation of these financial statements are set out below. These policies have been consistently applied to all the years presented, unless otherwise stated.

##### (a)(i) Basis of preparation

The financial statements of the Sub-Funds have been prepared in accordance with International Financial Reporting Standards (“IFRSs”) as issued by the International Accounting Standards Board (“IASB”), and interpretations issued by the International Financial Reporting Interpretations Committee of the IASB and the relevant disclosure provisions of the Trust Deed and the relevant disclosure provisions specified in Appendix E of the Code on Unit Trusts and Mutual Funds of the SFC (the “UT Code”).

The financial statements have been prepared under the historical cost convention, except for financial assets classified as at fair value through profit or loss (“FVPL”) that have been measured at fair value. The financial statements are presented in Hong Kong dollars (“HK\$”) for MSCI China ETF, Hang Seng High Dividend Yield ETF, Hang Seng ESG ETF and Hang Seng TECH ETF, Renminbi (“RMB”) for China Biotech ETF and China Cloud Computing ETF and United States dollars (“USD”) for Electric Vehicle and Battery Active ETF, Metaverse Theme Active ETF and USD Money Market ETF. All values are rounded to the nearest HK\$, RMB and USD respectively except where otherwise indicated.

All references to net assets or net asset value throughout the financial statements refer to net assets attributable to unitholders unless otherwise stated.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (a)(i) Basis of preparation (continued)

Hang Seng TECH ETF and USD Money Market ETF have adopted for the first time all the applicable and effective IFRSs.

##### (a)(ii) Significant accounting judgements, estimates and assumptions

The preparation of financial statements, in conformity with IFRSs, requires the Management to make judgements, estimates and assumptions that affect the application of accounting policies and the reported amounts recognised in the financial statements and disclosure of contingent liabilities. The estimates and associated assumptions are based on historical experience and various other factors that are believed to be reasonable under the circumstances, the results of which form the basis of making the judgements about carrying values of assets and liabilities that are not readily apparent from other sources. Actual results may differ from these estimates.

##### (a)(iii) Changes in accounting policies and disclosures

There are no standards, amendments to standards or interpretations that are effective for annual periods beginning on 1 April 2023/29 March 2023 (date of inception for Global X Hang Seng TECH ETF)/28 June 2023 (date of inception for Global X USD Money Market ETF) that have a material effect on the financial statements of the Sub-Funds.

##### (a)(iv) Issued but not yet effective IFRSs

A number of new standards, amendments to standards and interpretations are effective for annual periods beginning after 1 April 2023/29 March 2023 (date of inception for Global X Hang Seng TECH ETF)/28 June 2023 (date of inception for Global X USD Money Market ETF) and have not been early adopted in preparing these financial statements. None of these are expected to have a material effect on the financial statements of the Sub-Funds.

#### (b) Financial instruments

##### (i) *Classification*

In accordance with IFRS 9, the Sub-Funds classify their financial assets and financial liabilities at initial recognition into the categories of financial assets and financial liabilities as set out below.

##### *Financial assets*

The Sub-Funds classify their financial assets as subsequently measured at amortised cost or measured at FVPL on the basis of both:

- The entity's business model for managing the financial assets; and
- The contractual cash flow characteristics of the financial asset

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (i) *Classification (continued)*

###### *Financial assets measured at amortised cost*

A debt instrument is measured at amortised cost if it is held within a business model whose objective is to hold financial assets in order to collect contractual cash flows and its contractual terms give rise on specified dates to cash flows that are solely payments of principal and interest (“SPPI”) on the principal amount outstanding. The Sub-Funds include in this category short-term non-financing receivables including dividends receivable, amounts due from brokers, interest receivable, prepayment and other receivables, deposits and cash and cash equivalents.

###### *Financial assets measured at FVPL*

A financial asset is measured at FVPL if:

- (a) Its contractual terms do not give rise to cash flows on specified dates that are solely SPPI on the principal amount outstanding; or
- (b) It is not held within a business model whose objective is either to collect contractual cash flows, or to both collect contractual cash flows and sell; or
- (c) At initial recognition, it is irrevocably designated as measured at FVPL when doing so eliminates or significantly reduces a measurement or recognition inconsistency that would otherwise arise from measuring assets or liabilities or recognising the gains and losses on them on different bases.

The Sub-Funds include in this category:

- Instruments held for trading. This category includes equity instruments which are acquired principally for the purpose of generating a profit from short-term fluctuations in price.

###### *Financial liabilities*

###### *Financial liabilities measured at amortised cost*

This category includes all financial liabilities. The Sub-Funds include in this category management fee payable, establishment costs payable, trustee fee payable, fee payable, interest payable from swap contracts, redemption payable, amounts due to brokers, registration fee payable and other payable.

###### (ii) *Recognition*

The Sub-Funds recognise a financial asset or a financial liability when, and only when, they become a party to the contractual provisions of the instrument. Purchases and sales of financial assets at fair value through profit or loss are accounted for on the trade date basis.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (iii) *Initial measurement*

Financial assets at fair value through profit or loss are recorded in the statement of net assets at fair value. All transaction costs for such instruments are recognised directly in profit or loss.

Financial assets and liabilities (other than those classified as at fair value through profit or loss) are measured initially at their fair value plus any directly attributable incremental costs of acquisition or issue.

###### (iv) *Subsequent measurement*

After initial measurement, the Sub-Funds measure financial instruments which are classified as at FVPL at fair value. Subsequent changes in the fair values of those financial instruments are recorded in “net change in unrealised gain/loss on financial assets at FVPL” in “net gain/(loss) on financial assets at FVPL. Interest and dividends earned on these instruments are recorded separately in “interest income”, “interest income from swap contracts” and “dividend income” in the statement of profit or loss and other comprehensive income.

Debt instruments, other than those classified as at FVPL, are measured at amortised cost using the effective interest method less any allowance for impairment. Gains and losses are recognised in profit or loss when the debt instruments are derecognised or impaired, as well as through the amortisation process.

Financial liabilities, other than those classified as at FVPL, are measured at amortised cost using the effective interest method. Gains and losses are recognised in profit or loss when the liabilities are derecognised, as well as through the amortisation process.

The effective interest method is a method of calculating the amortised cost of a financial asset or a financial liability and of allocating and recognising the interest income or interest expense in profit or loss over the relevant period. The effective interest rate is the rate that exactly discounts estimated future cash payments or receipts through the expected life of the financial asset or financial liability to the gross carrying amount of the financial asset or to the amortised cost of the financial liability. When calculating the effective interest rate, the Sub-Funds estimate cash flows considering all contractual terms of the financial instruments, but does not consider expected credit losses. The calculation includes all fees paid or received between parties to the contract that are an integral part of the effective interest rate, transaction costs and all other premiums or discounts.

###### (v) *Derecognition*

A financial asset is derecognised when the rights to receive cash flows from the financial asset have expired, or where the Sub-Funds have transferred its rights to receive cash flows from the financial asset, or has assumed an obligation to pay the received cash flows in full without material delay to a third party under a pass-through arrangement and either the Sub-Funds have transferred substantially all the risks and rewards of the asset or the Sub-Funds have neither transferred nor retained substantially all the risks and rewards of the financial asset, but has transferred control of the asset.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (b) Financial instruments (continued)

###### (v) *Derecognition (continued)*

When the Sub-Funds have transferred its rights to receive cash flows from an asset (or has entered into a pass-through arrangement), and has neither transferred nor retained substantially all the risks and rewards of the asset nor transferred control of the asset, the asset is recognised to the extent of the Sub-Funds' continuing involvement in the asset. In that case, the Sub-Funds also recognise an associated liability. The transferred asset and the associated liability are measured on a basis that reflects the rights and obligations that the Sub-Funds have retained.

The Sub-Funds derecognised a financial liability when the obligation under the liability is discharged or cancelled, or expires.

##### (c) Fair value measurement

The Sub-Funds measure their investments in financial instruments at fair value at the end of each reporting period.

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The fair value measurement is based on the presumption that the transaction to sell the asset or transfer the liability takes place either:

- in the principal market for the asset or liability; or
- in the absence of a principal market, in the most advantageous market for the asset or liability.

The principal or the most advantageous market must be accessible by the Sub-Funds.

The fair value of an asset or a liability is measured using the assumptions that market participants would use when pricing the asset or liability, assuming that market participants act in their economic best interest.

The fair value for financial instruments that are listed or traded on an exchange is based on quoted last traded market prices that are within the bid-ask spread.

For all other financial instruments not traded in an active market, the fair value is determined using valuation techniques deemed to be appropriate in the circumstances. Valuation techniques include the market approach (i.e., using recent arm's length market transactions, adjusted as necessary, and reference to the current market value of another instrument that is substantially the same) and the income approach (i.e., discounted cash flow analysis and option pricing models making as much use of available and supportable market data as possible).



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (c) Fair value measurement (continued)

All assets and liabilities for which fair value is measured or disclosed in the financial statements are categorised within the fair value hierarchy, described as follows, based on the lowest level input that is significant to the fair value measurement as a whole:

- Level 1 — Quoted (unadjusted) market prices in active markets for identical assets or liabilities
- Level 2 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is directly or indirectly observable
- Level 3 — Valuation techniques for which the lowest level input that is significant to the fair value measurement is unobservable

For assets and liabilities that are recognised in the financial statements on a recurring basis, the Sub-Funds determine whether transfers have occurred between levels in the hierarchy by re-assessing categorisation (based on the lowest level input that is significant to the fair value measurement as a whole) at the end of each reporting period.

##### (d) Derivative financial instruments

Derivative financial instruments are recorded on a mark-to-market basis. Fair values are determined by using quoted market prices that are within the bid-ask spread for futures contracts. All derivatives are carried as assets when amounts are receivable by the Sub-Funds and as liabilities when amounts are payable by the Sub-Funds. Unrealised gains and losses arising from changes in fair value and realised gains and losses are recognised in profit or loss.

##### (e) Income

Interest income is recognised in profit or loss on a time-proportionate basis using the effective interest method.

Dividend income on equity securities is recognised on the date on which the investments are quoted ex-dividend. Dividend income on equity securities where no ex-dividend date is quoted is accounted for when the Sub-Funds' right to receive payment is established. Dividend income is presented gross of any non-recoverable withholding taxes, which are disclosed separately in profit or loss.

Other income is recognised in profit or loss when the right to receive payment has been established, it is probable that the economic benefits associated with the income will flow to the Sub-Funds and the amount of the income can be measured reliably.

##### (f) Expenses

Expenses are recognised on an accrual basis.

##### (g) Amounts due from/to brokers

Amounts due from brokers represent receivables for securities sold (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial assets measured at amortised cost for recognition and measurement.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (g) Amounts due from/to brokers (continued)

Amounts due to brokers are payables for securities purchased (in a regular way transaction) that have been contracted for, but not yet delivered, on the reporting date. Refer to note 2(b) for the accounting policy for financial liabilities measured at amortised cost for recognition and measurement.

##### (h) Cash and cash equivalents

Cash and cash equivalents in the statement of net assets comprise short-term deposits in banks which are readily convertible to known amounts of cash and are subject to an insignificant risk of changes in value.

For the purpose of the statement of cash flows, cash and cash equivalents consist of cash and cash equivalents as defined above, net of outstanding bank overdrafts when applicable.

##### (i) Translation of foreign currencies

###### Functional and presentation currency

Items included in the financial statements are measured using the currency of the primary economic environment in which the Sub-Funds operate (the “functional currency”). The performance of MSCI China ETF, Hang Seng High Dividend Yield ETF, Hang Seng ESG ETF and Hang Seng TECH ETF is measured and reported to the unitholders in HK\$. The performance of China Biotech ETF and China Cloud Computing ETF is measured and reported to the unitholders in RMB. The performance of Electric vehicle and Battery Active ETF, Metaverse Theme Active ETF and USD Money Market ETF is measured and reported to the unitholders in USD. The Management considers the HK\$, RMB and USD as the currencies that most faithfully represent the economic effects of the underlying transactions, events and conditions. The financial statements of the MSCI China ETF, Hang Seng High Dividend Yield ETF, Hang Seng ESG ETF and Hang Seng TECH ETF are presented in HK\$, the financial statements of the China Biotech ETF and China Cloud Computing ETF are presented in RMB and the financial statements of Electric vehicle and Battery Active ETF, Metaverse Theme Active ETF and USD Money Market ETF are presented in USD respectively, which are the Sub-Funds’ functional and presentation currencies.

###### Transactions and balances

Transactions during the period, including purchases and sales of securities, income and expenses, are translated at the rates of exchange prevailing on the dates of the transactions.

Monetary assets and liabilities expressed in foreign currencies are translated at the functional currency rates of exchange ruling at the end of the reporting period. Differences arising on settlement or transaction of monetary items are recognised in the statement of profit or loss and other comprehensive income.

Non-monetary items that are measured in terms of historical cost in a foreign currency are translated using the exchange rates at the dates of the initial transactions. Non-monetary items measured at fair value in a foreign currency are translated using the exchange rates at the date when the fair value is determined.

Foreign currency transaction gains and losses on financial instruments classified as at FVPL are included in profit or loss in the statement of profit or loss and other comprehensive income.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (j) Redeemable units

Redeemable units are classified as an equity instrument when:

- (i) The redeemable units entitle the holder to a pro-rata share of the Sub-Fund's net assets in the event of the Sub-Fund's liquidation;
- (ii) The redeemable units are in the class of instruments that is subordinate to all other classes of instruments;
- (iii) All redeemable units in the class of instruments that is subordinate to all other classes of instruments have identical features;
- (iv) The redeemable units do not include any contractual obligation to deliver cash or another financial asset other than the holder's rights to a pro-rata share of the Sub-Fund's net assets; or
- (v) The total expected cash flows attributable to the redeemable units over the life of the instrument are based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds over the life of the instrument.

In addition to the redeemable units having all the above features, the Sub-Funds must have no other financial instrument or contract that has:

- (i) Total cash flows based substantially on profit or loss, the change in the recognised net assets or the change in the fair value of the recognised and unrecognised net assets of the Sub-Funds; and
- (ii) The effect of substantially restricting or fixing the residual return to the redeemable unitholders.

The Sub-Funds' redeemable units meet the definition of puttable instruments classified as equity instruments under the revised IAS 32 and are classified as equity.

The Sub-Funds continuously assess the classification of the redeemable units. If the redeemable units cease to have all the features or meet all the conditions set out to be classified as equity, the Sub-Funds will reclassify them as financial liabilities and measure them at fair value at the date of reclassification, with any differences from the previous carrying amount recognised in equity. If the redeemable units subsequently have all the features and meet the conditions to be classified as equity, the Sub-Funds will reclassify them as equity instruments and measure them at the carrying amount of the liabilities at the date of the reclassification.

Redeemable units can be redeemed in-kind and/or in cash equal to a proportionate share of the Sub-Funds' net asset value ("NAV"). The Sub-Funds' net asset value per unit is calculated by dividing the net assets attributable to unitholders with the total number of outstanding units of the respective Sub-Funds. Units are created or redeemed in multiples of 1,000,000 units for Hang Seng ESG ETF, 200,000 units for MSCI China ETF and Hang Seng High Dividend Yield ETF, 50,000 units for China Biotech ETF, China Cloud Computing ETF, Electric Vehicle and Battery Active ETF and Metaverse Theme Active ETF, 400,000 units for Hang Seng TECH ETF and 1,000 units for USD Money Market ETF respectively.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (k) Impairment of financial assets

The Sub-Funds recognise an allowance for expected credit losses (“ECLs”) for all debt instruments not held at fair value through profit or loss. ECLs are based on the difference between the contractual cash flows due in accordance with the contract and all the cash flows that the Sub-Funds expect to receive, discounted at an approximation of the original effective interest rate. The expected cash flows will include cash flows from the sale of collateral held or other credit enhancements that are integral to the contractual terms.

ECLs are recognised in two stages. For credit exposures for which there has not been a significant increase in credit risk since initial recognition, ECLs are provided for credit losses that result from default events that are possible within the next 12 months (a 12-month ECL). For those credit exposures for which there has been a significant increase in credit risk since initial recognition, a loss allowance is required for credit losses expected over the remaining life of the exposure, irrespective of the timing of the default (a lifetime ECL).

The Sub-Funds may also consider a financial asset to be in default when internal or external information indicates that the Sub-Funds are unlikely to receive the outstanding contractual amounts in full before taking into account any credit enhancements held by the Sub-Funds. A financial asset is written off when there is no reasonable expectation of recovering the contractual cash flows.

##### (l) Net change in unrealised gains or losses on financial assets at fair value through profit or loss

This item includes changes in the fair value of financial assets as at fair value through profit or loss and excludes dividend income and interest expense.

Unrealised gains and losses comprise changes in the fair value of financial instruments for the period and from reversal of prior year unrealised gains and losses for financial instruments which were realised in the reporting period.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(m) Net realised gains or losses on disposal of financial assets

Net realised gains or losses on disposal of financial assets classified as at fair value through profit or loss are calculated using the weighted average method. They represent the difference between an instrument's average cost and disposal amount.

(n) Offsetting financial instruments

Financial assets and financial liabilities are offset and the net amount is reported in the statement of net assets if there is a currently enforceable legal right to offset the recognised amounts and there is an intention to settle on a net basis, or to realise the assets and settle the liabilities simultaneously.

(o) Taxes

In some jurisdictions, investment income and capital gains are subject to withholding tax deducted from the source of the income. The Sub-Funds present the withholding tax separately from the gross investment income in profit or loss. For the purpose of the statement of cash flows, cash inflows from investments are presented net of withholding taxes, when applicable.

(p) Distributions to unitholders

Distributions are at the discretion of the Manager. A distribution to the Sub-Funds' unitholders is accounted for as a deduction from net assets attributable to unitholders. A proposed distribution is recognised as a liability in the period in which it is approved by the Manager. No distribution will be paid out of or effectively out of the MSCI China ETF's capital. The Manager of Hang Seng High Dividend Yield ETF, China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, Metaverse Theme Active ETF, Hang Seng TECH ETF and USD Money Market ETF may also, at its discretion, pay dividend out of gross income while all or part of the fees and expenses of the relevant Sub-Funds are charged to/paid out of the capital of such Sub-Funds, resulting in an increase in distributable income for the payment of dividends by the relevant Sub-Funds. Therefore, the Sub-Funds may effectively pay dividend out of capital.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

##### (q) Transaction costs

Transaction costs are costs incurred to acquire financial assets or liabilities at fair value through profit or loss. They include fees and commissions paid to agents, brokers and dealers. Transaction costs, when incurred, are immediately recognised in profit or loss as an expense.

##### (r) Related parties

A party is considered to be related to the Sub-Funds if:

- (a) the party is a person or a close member of that person's family and that person
  - (i) has control or joint control over the Sub-Funds;
  - (ii) has significant influence over the Sub-Funds; or
  - (iii) is a member of the key management personnel of the Sub-Funds or of a parent of the Sub-Funds;

or

- (b) the party is an entity where any of the following conditions applies:
  - (i) the entity and the Sub-Funds are members of the same group;
  - (ii) one entity is an associate or joint venture of the other entity (or of a parent, subsidiary or fellow subsidiary of the other entity);
  - (iii) the entity and the group are joint ventures of the same third party;
  - (iv) one entity is a joint venture of a third entity and the other entity is an associate of the third entity;
  - (v) the entity is a post-employment benefit plan for the benefit of employees of either the Sub-Fund or an entity related to the Sub-Funds;
  - (vi) the entity is controlled or jointly controlled by a person identified in (a);
  - (vii) a person identified in (a)(i) has significant influence over the entity or is a member of the key management personnel of the entity (or of a parent of the entity); and
  - (viii) the entity, or any member of a group of which it is a part, provides key management personnel services to the Sub-Funds or to the parent of the Sub-Funds.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS

##### (a) Net assets attributable to unitholders

The creation and redemption of units of the Sub-Funds can only be facilitated by or through participating dealers. Investors other than the participating dealers make a request to create or redeem units through a participating dealer, and if the investor is a retail investor, such request must be made through a stockbroker which has opened an account with a participating dealer.

The Trustee shall receive subscription proceeds or index securities constituting baskets plus remaining subscription proceeds from the participating dealers for the creation of units and pay redemption proceeds or index securities constituting baskets plus remaining redemption proceeds for the redemption of units to the relevant participating dealer in such form and manner as prescribed by the Trust Deed. Units are created or redeemed in multiples of 1,000,000 units for Hang Seng ESG ETF, 200,000 units for MSCI China ETF and Hang Seng High Dividend Yield ETF, 50,000 units for China Biotech ETF, China Cloud Computing ETF, Electric Vehicle and Battery Active ETF and Metaverse Theme Active ETF, 400,000 units for Hang Seng TECH ETF and 1,000 units for USD Money Market ETF respectively. Subscriptions and redemptions of units during the period are shown on the statement of changes in net assets attributable to unitholders.

As stated in note 2(i), units of the Sub-Funds, which are represented by assets less liabilities, are classified as equity and accounted for in accordance with IFRSs (the “Accounting NAV”). For the purpose of determining the NAV per unit for subscriptions and redemptions and for various fee calculations (the “Dealing NAV”), the Administrator calculates the Dealing NAV in accordance with the provisions of the Trust Deed, which may be different from the accounting policies under IFRSs.

The establishment costs for establishing Hang Seng TECH ETF and USD Money Market ETF were HK\$352,531 and USD45,013 respectively, which were fully borne by the Sub-Funds.

Per the prospectus of the Sub-Funds, the establishment costs are amortised over the first three financial years of the Sub-Funds. However, with respect to the Sub-Funds for the purpose of financial statements preparation in compliance with IFRSs, its accounting policy is to expense the formation fee in profit or loss as incurred.

The establishment costs payable as at 30 September 2023 are as follows:

	<u>Establishment costs payable</u>
	As at
	30 September 2023
	(unaudited)
Hang Seng TECH ETF (in HK\$) <sup>1</sup>	352,531
USD Money Market ETF (in USD) <sup>2</sup>	45,013

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (a) Net assets attributable to unitholders (continued)

The Accounting NAV and Dealing NAV for the Sub-Funds are different as at 30 September 2023 and 31 March 2023 as shown below:

	As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$
<u>MSCI China ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>588,781,692</u>	<u>1,203,829,656</u>
	As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$
<u>Hang Seng High Dividend Yield ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>1,054,482,804</u>	<u>946,490,138</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>CSI Biotech ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>542,791,093</u>	<u>724,492,526</u>
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
<u>China Cloud Computing ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	<u>343,008,536</u>	<u>503,503,823</u>



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (a) Net assets attributable to unitholders (continued)

	As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$
<u>Hang Seng ESG ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	21,961,667	25,474,864
	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD
<u>Electric Vehicle and Battery Active ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	5,394,959	7,301,417
	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD
<u>Metaverse Theme Active ETF</u>		
Accounting NAV as reporting in the statement of net assets/ Dealing NAV	1,860,461	2,090,195
		As at 30 September 2023 (unaudited) HK\$
<u>Hang Seng TECH ETF</u>		
Accounting NAV as reporting in the statement of net assets		3,072,829,248
Adjustment for the establishment costs		342,552
Dealing NAV		3,073,171,800
		As at 30 September 2023 (unaudited) USD
<u>USD Money Market ETF</u>		
Accounting NAV as reporting in the statement of net assets		26,800,156
Adjustment for the establishment costs		44,492
Dealing NAV		26,844,648

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

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#### 3. NET ASSETS ATTRIBUTABLE TO UNITHOLDERS AND MOVEMENT OF UNITS (CONTINUED)

##### (b) Movement of units

The table below summarises the movement of units for the period/year ended 30 September 2023 and 31 March 2023, and the Accounting NAV per unit and the Dealing NAV per unit for each of the Sub-Funds as at 30 September 2023 and 31 March 2023.

As at 30 September 2023 (unaudited)	Number of units				Net assets attributable to unitholders	
	Units in issue at beginning of period	Creation during the period	Redemption during the period	Units in issue at end of period	Accounting NAV Per unit at period end	Dealing NAV Per unit at period end
MSCI China ETF	42,200,000	16,000,000	(34,800,000)	23,400,000	HK\$25.1616	HK\$25.1616
Hang Seng High Dividend Yield ETF	41,600,000	12,600,000	(400,000)	53,800,000	HK\$19.6001	HK\$19.6001
China Biotech ETF	12,750,000	–	(2,400,000)	10,350,000	RMB52.4436	RMB52.4436
China Cloud Computing ETF	8,700,000	200,000	(1,450,000)	7,450,000	RMB46.0414	RMB46.0414
Hang Seng ESG ETF	6,000,000	–	–	6,000,000	HK\$3.6603	HK\$3.6603
Electric Vehicle and Battery Active ETF	1,000,000	–	(200,000)	800,000	USD6.7437	USD6.7437
Metaverse Theme Active ETF	300,000	–	(50,000)	250,000	USD7.4418	USD7.4418
Hang Seng TECH ETF <sup>1</sup>	–	642,800,000	(400,000)	642,400,000	HK\$4.7834	HK\$4.7839
USD Money Market ETF <sup>2</sup>	–	212,000	–	212,000	USD126.4158	USD126.6257

  

As at 31 March 2023 (audited)	Number of units				Net assets attributable to unitholders	
	Units in issue at beginning of year	Creation during the year	Redemption during the year	Units in issue at end of year	Accounting NAV Per unit at year end	Dealing NAV Per unit at year end
MSCI China ETF	17,600,000	35,400,000	(10,800,000)	42,200,000	HK\$28.5268	HK\$28.5269
Hang Seng High Dividend Yield ETF	21,800,000	20,800,000	(1,000,000)	41,600,000	HK\$22.7522	HK\$22.7522
China Biotech ETF	15,000,000	1,750,000	(4,000,000)	12,750,000	RMB56.8229	RMB56.8229
China Cloud Computing ETF	9,950,000	2,500,000	(3,750,000)	8,700,000	RMB57.8740	RMB57.8740
Hang Seng ESG ETF	–	57,000,000	(51,000,000)	6,000,000	HK\$4.2458	HK\$4.2458
Electric Vehicle and Battery Active ETF	–	1,700,000	(700,000)	1,000,000	USD7.3014	USD7.3014
Metaverse Theme Active ETF	–	300,000	–	300,000	USD6.9673	USD6.9673

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023 respectively.

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023 respectively.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 4. CREATION AND REDEMPTION OF UNITS

##### MSCI China ETF

For the period ended 30 September 2023, MSCI China ETF:

- issued 16,000,000 units (2022: 2,000,000 units) in exchange for cash balances of HK\$417,800,915 (2022: HK\$59,912,217); and
- redeemed 34,800,000 units (2022: 5,600,000 units) in exchange for cash balances of HK\$923,086,050 (2022: HK\$153,609,076).

##### Hang Seng High Dividend Yield ETF

For the period ended 30 September 2023, Hang Seng High Dividend Yield ETF:

- issued 12,600,000 units (2022: 12,000,000 units) in exchange for cash balances of HK\$274,526,897 (2022: HK\$277,954,047); and
- redeemed 400,000 units (2022: nil) in exchange for cash balances of HK\$8,790,619 (2022: HK\$nil).

##### China Biotech ETF

For the period ended 30 September 2023, China Biotech ETF:

- issued nil units (2022: 450,000 units) in exchange for cash balances of RMBnil (2022: RMB25,834,583); and
- redeemed 2,400,000 units (2022: 2,100,000 units) in exchange for cash balances of RMB127,891,922 (2022: RMB115,278,957).

##### China Cloud Computing ETF

For the period ended 30 September 2023, China Cloud Computing ETF:

- issued 200,000 units (2022: 1,800,000 units) in exchange for cash balances of RMB10,413,872 (2022: RMB91,889,855); and
- redeemed 1,450,000 units (2022: 1,850,000 units) in exchange for cash balances of RMB72,400,126 (2022: RMB85,493,897).

##### Hang Seng ESG ETF

For the period ended 30 September 2023, Hang Seng ESG ETF:

- issued nil units (2022: 57,000,000 units) in exchange for investments of HK\$nil (2022: HK\$212,605,200) and cash balances of HK\$nil (2022: HK\$22,566,807); and
- redeemed nil units (2022: 1,000,000 units) in exchange for cash balances of HK\$nil (2022: HK\$4,158,014).

##### Electric Vehicle and Battery Active ETF

For the period ended 30 September 2023, Electric Vehicle and Battery Active ETF:

- issued nil units (2022: 1,700,000 units) in exchange for cash balances of USDnil (2022: USD12,371,338); and
- redeemed 200,000 units (2022: 350,000 units) in exchange for cash balances of USD1,459,921 (2022: USD2,586,461).

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 4. CREATION AND REDEMPTION OF UNITS (CONTINUED)

##### Metaverse Theme Active ETF

For the period ended 30 September 2023, Metaverse Theme Active ETF:

- issued nil units (2022: 300,000 units) in exchange for cash balances of USDnil (2022: USD2,263,306).
- redeemed 50,000 units (2022: nil units) in exchange for cash balances of USD370,861 (2022: USDnil).

##### Hang Seng TECH ETF

For the period from 29 March 2023 (date of inception) to 30 September 2023, Hang Seng TECH ETF:

- issued 642,800,000 units in exchange for investments of HK\$2,723,985,600 and cash balances of HK\$435,899,897; and
- redeemed 400,000 units in exchange for cash balances of HK\$1,992,761.

##### USD Money Market ETF

For the period from 28 June 2023 (date of inception) to 30 September 2023, USD Money Market ETF:

- issued 212,000 units in exchange for cash balances of USD26,570,638.

As at 30 September 2023 and 31 March 2023, there were no subscription receivable for all Sub-Funds.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 5. NET (LOSS)/GAIN ON FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS

The following is a breakdown of the net loss on financial assets at fair value through profit or loss:

	<u>MSCI China ETF</u>		<u>Hang Seng High Dividend Yield ETF</u>		<u>China Biotech ETF</u>	
	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2022 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) HK\$	For the six months ended 30 September 2022 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB
Net realized (loss)/gain on sale of financial assets at fair value through profit or loss	(132,942,975)	(64,731,595)	(23,099,740)	(21,422,890)	(176,418,183)	(94,068,673)
Net change in unrealized gain/(loss) on financial assets at fair value through profit or loss	13,305,813	(39,144,350)	(120,480,203)	(151,480,467)	119,124,874	(99,347,147)
	<u>(119,637,162)</u>	<u>(103,875,945)</u>	<u>(143,579,943)</u>	<u>(172,903,357)</u>	<u>(57,293,309)</u>	<u>(193,415,820)</u>
	<u>China Cloud Computing ETF</u>		<u>Hang Seng ESG ETF</u>		<u>Electric Vehicle and Battery Active ETF</u>	
	For the six months ended 30 September 2023 (unaudited) RMB	For the six months ended 30 September 2022 (unaudited) RMB	For the six months ended 30 September 2023 (unaudited) HK\$	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) HK\$	For the six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD
Net realised (loss)/gain on sale of financial assets at fair value through profit or loss	(40,092,625)	(82,398,533)	123,755	712,521	(3,370)	208,663
Net change in unrealised gain/(loss) on financial assets at fair value through profit or loss	(58,571,494)	4,680,635	(4,137,364)	(38,305,048)	(444,248)	(1,316,986)
	<u>(98,664,119)</u>	<u>(77,717,898)</u>	<u>(4,013,609)</u>	<u>(37,592,527)</u>	<u>(447,618)</u>	<u>(1,108,323)</u>

**GLOBAL X ETF SERIES**

**NOTES TO THE FINANCIAL STATEMENTS**

**30 September 2023**

5. NET (LOSS)/GAIN ON FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS (CONTINUED)

	<u>Metaverse Theme Active ETF</u>	<u>Hang Seng TECH ETF</u>	<u>USD Money Market ETF</u>
	For the six months ended 30 September 2023 (unaudited) USD	For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited) USD	For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited) HK\$
Net realised (loss)/gain on sale of financial assets at fair value through profit or loss	61,017	(103,894)	15,223,756
Net change in unrealised gain/(loss) on financial assets at fair value through profit or loss	84,677	(487,929)	(748)
	<u>145,694</u>	<u>(591,823)</u>	<u>(87,031,679)</u>

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS

Related parties are those as defined in note 2(q). Related parties of the Sub-Funds also include the Manager of the Sub-Funds and its connected persons. Connected persons of the Manager are those as defined in the UT Code. All transactions entered into during the period between the Sub-Funds and their related parties, including the Manager and its connected persons were carried out in the ordinary course of business and on normal commercial terms. To the best of the Manager's knowledge, the Sub-Funds do not have any other transactions with their related parties, including the Manager and its connected persons except for those disclosed below.

##### (a) Brokerage commission

The Sub-Funds utilise the trading services of brokers who are related to the Trustee and the Manager in their purchases and sales of investments. The details of such transactions and the brokerage commission are shown below:

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>MSCI China ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	477,682,275	22.70%	163,392	0.03%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	135,318,661	27.21%	45,115	0.03%
<u>Hang Seng High Dividend Yield ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	415,969,156	43.97%	46,669	0.01%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	397,992,896	43.06%	61,117	0.02%
<u>China Biotech ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	193,660,504	18.72%	79,695	0.04%
Mirae Asset Securities (HK) Limited <sup>*</sup>	326,084,663	31.52%	184,223	0.06%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	136,092,198	16.23%	27,876	0.02%
Mirae Asset Securities (HK) Limited <sup>*</sup>	83,786,953	10.00%	42,766	0.05%
<u>China Cloud Computing ETF (in RMB)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	69,051,346	12.27%	15,185	0.02%
Mirae Asset Securities (HK) Limited <sup>*</sup>	129,520,884	23.01%	76,034	0.06%
<i>For the six months ended 30 September 2022 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	11,355,607	1.80%	4,334	0.04%
Mirae Asset Securities (HK) Limited <sup>*</sup>	78,850,122	12.49%	37,017	0.05%

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

##### (a) Brokerage commission (continued)

	Total transaction value	% of total transaction value of the Sub-Fund	Total brokerage commission	Average rate of commission
<u>Hang Seng ESG ETF (in HK\$)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	3,134,838	60.26%	313	0.01%
<i>For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	30,440,222	39.79%	8,798	0.03%
Mirae Asset Securities (HK) Limited*	4,153,016	5.43%	1,246	0.03%
<u>Electric Vehicle and Battery Active ETF (in USD)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	234,731	2.78%	70	0.03%
<i>For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	3,355,001	6.32%	1,440	0.04%
Mirae Asset Securities (HK) Limited*	2,804,609	5.28%	1,367	0.05%
<u>Metaverse Theme Active ETF (in USD)</u>				
<i>For the six months ended 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	54,472	5.57%	16	0.03%
<i>For the period from 18 March 2022 (date of inception) to 30 September 2022 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	243,584	7.68%	73	0.03%
Mirae Asset Securities (HK) Limited*	12,807	0.40%	4	0.03%
<u>Hang Seng TECH ETF (in HK\$)</u>				
<i>For the period from 29 March 2023 (date of inception) to 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	3,091,651,847	86.10%	37,248	0.00%
Mirae Asset Securities (HK) Limited*	398,759,317	11.10%	119,628	0.03%
<u>USD Money Market ETF (in USD)</u>				
<i>For the period from 28 June 2023 (date of inception) to 30 September 2023 (unaudited):</i>				
Citibank, N.A. <sup>#</sup>	1,900,000	0.45%	–	0.00%

<sup>#</sup> Affiliate of the Trustee

<sup>\*</sup> Affiliate of the Manager

During the period ended 30 September 2023, USD Money Market ETF did not incur any brokerage commission from brokers who are related to the Trustee and the Manager in their purchases and sales of investments.



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

##### (b) Management fee

The Manager is entitled to receive a management fee, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears.

	<u>Maximum rate of the net asset value in %</u>	<u>Annual rate of the net asset value in %</u>	
		For the period ended 30 September 2023 (unaudited)	For the period ended 30 September 2022 (unaudited)
MSCI China ETF	2%	0.18% <sup>3</sup>	0.18% <sup>3</sup>
Hang Seng High Dividend Yield ETF	2%	0.68% <sup>3</sup>	0.68% <sup>3</sup>
China Biotech ETF	2%	0.68% <sup>3</sup>	0.68% <sup>3</sup>
China Cloud Computing ETF	2%	0.68% <sup>3</sup>	0.68% <sup>3</sup>
Hang Seng ESG ETF	2%	0.29% <sup>3</sup>	0.29% <sup>3</sup>
Electric Vehicle and Battery Active ETF	2%	0.75% <sup>3</sup>	0.75% <sup>3</sup>
Metaverse Theme Active ETF	2%	0.75% <sup>3</sup>	0.75% <sup>3</sup>
Hang Seng TECH ETF <sup>1</sup>	2%	0.35% <sup>4</sup>	N/A
USD Money Market ETF <sup>2</sup>	2%	0.35% <sup>4</sup>	N/A

The management fee entitled to the Manger for the periods ended 30 September 2023 and 30 September 2022 are as follows:

	<u>Management fee</u>	
	For the period ended 30 September 2023 (unaudited)	For the period ended 30 September 2022 (unaudited)
MSCI China ETF (in HK\$)	584,420	404,978
Hang Seng High Dividend Yield ETF (in HK\$)	3,504,299	2,038,587
China Biotech ETF (in RMB)	2,120,339	2,814,521
China Cloud Computing ETF (in RMB)	1,449,067	1,685,450
Hang Seng ESG ETF (in HK\$)	34,513	277,381
Electric Vehicle and Battery Active ETF (in USD)	24,860	32,582
Metaverse Theme Active ETF (in USD)	8,085	7,374
Hang Seng TECH ETF (in HK\$) <sup>1</sup>	3,940,284	N/A
USD Money Market ETF (in USD) <sup>2</sup>	19,367	N/A

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

#### 30 September 2023

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

##### (b) Management fee (continued)

The management fee payable as at 30 September 2023 and 31 March 2023 are as follows:

	<u>Management fee payable</u>	
	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
MSCI China ETF (in HK\$)	75,265	179,348
Hang Seng High Dividend Yield ETF (in HK\$)	603,021	554,496
China Biotech ETF (in RMB)	299,076	436,577
China Cloud Computing ETF (in RMB)	205,471	274,798
Hang Seng ESG ETF (in HK\$)	5,321	6,156
Electric Vehicle and Battery Active ETF (in USD)	3,449	4,886
Metaverse Theme Active ETF (in USD)	1,170	1,264
Hang Seng TECH ETF (in HK\$) <sup>1</sup>	908,726	N/A
USD Money Market ETF (in USD) <sup>2</sup>	19,367	N/A

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023

<sup>3</sup>MSCI China ETF has adopted a single management fee structure with effect from 1 March 2021. China Biotech ETF and China Cloud Computing ETF have adopted a single management fee structure with effect from 1 October 2021. Hang Seng High Dividend Yield ETF has adopted a single management fee structure with effect from 1 November 2021. Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF and Metaverse Theme Active ETF have adopted a single management fee structure since inception date. Under this structure, the Manager will retain the single management fee to pay for all of the costs, fees and expenses associated with the Sub-Fund, including the fees payable to the Manager for portfolio management services, Manager's servicing fee (if any), Trustee's fee, Registrar's fee, Service Agent's fees and operational fees of the Sub-Fund. Any costs, fees and expenses associated with the Sub-Fund exceeding the single management fee shall be borne by the Manager and shall not be charged to the Sub-Fund.

<sup>4</sup>The ongoing charges for Hang Seng TECH ETF and USD Money Market ETF were capped at 0.45% for the first 12 months.

##### (c) Trustee fee

The Trustee is entitled to receive trustee fee, which includes trustee, custody and fund accounting fees, calculated at an annual rate of the Dealing NAV of the Sub-Funds at each dealing day, accrued daily and payable monthly in arrears.

The trustee fee paid by the Manager on behalf of the Sub-Funds and such amounts payable to the Manager as at 30 September 2023 and 31 March 2023 is as follows:

	<u>Trustee fee payable</u>	
	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
Hang Seng High Dividend Yield ETF (in HK\$)	39,009	39,009
China Biotech ETF (in RMB)	84,822	84,822
China Cloud Computing ETF (in RMB)	37,578	37,578

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

##### (d) Other expenses

##### (i) Fees payable

China Biotech ETF and China Cloud Computing ETF have adopted a single management fee structure with effect from 1 October 2021. Hang Seng High Dividend Yield ETF has adopted a single management fee structure with effect from 1 November 2021. Prior to the adoption of the single management fee structure, the Manager is responsible for the payment of certain fees (including but not limited to audit fee and index licensing fee) on behalf of Hang Seng High Dividend Yield ETF, China Biotech ETF and China Cloud Computing ETF. The Manager recharges all or a portion of these fees to the respective Sub-Funds.

The fees paid by the Manager on behalf of the Sub-Funds prior to the adoption of single management fee structure and such amounts payable to the Manager as at 30 September 2023 and 31 March 2023 is as follows:

	<u>Fees payable</u>	
	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
Hang Seng High Dividend Yield ETF (in HK\$)	41,247	41,247
China Biotech ETF (in RMB)	241,183	241,185
China Cloud Computing ETF (in RMB)	97,704	97,704

The Sub-Funds below are newly set up and represents the sum of the estimated ongoing charges over a 12-months period. It may be different upon actual operation of the Sub-Funds and may vary from year to year. For the first 12-months period from the launch of the Sub-Funds, the ongoing charges of the Listed Class of Units are capped at 0.45% of the average NAV of the relevant class. Any ongoing expenses exceeding 0.45% of the average NAV of the relevant class will be borne by the Manager and will not be charged to the Sub-Fund.

	<u>Fees payable</u>
	As at 30 September 2023 (unaudited)
Hang Seng TECH ETF (in HK\$) <sup>1</sup>	801,607
USD Money Market ETF (in USD) <sup>2</sup>	4,198

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

- (e) Bank balances and financial assets at fair value through profit or loss

##### Bank balances

The bank balances included in the note 9 of all the Sub-Funds were placed with interest-bearing accounts in Citibank, N.A., a related company of the Trustee. The carrying amounts of the bank balances approximate to their fair values. Interest was earned on these bank balances during the period/year ended 30 September 2023 and 31 March 2023.

##### Financial assets at fair value through profit or loss

Financial assets at fair value through profit or loss included in the statement of net assets of the Sub-Funds are held with Citibank, N.A., a related company of the Trustee and Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds as at 30 September 2023 and 31 March 2023 are as follows:

	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
<u>Citibank, N.A.</u>		
MSCI China ETF (in HK\$)	585,802,978	1,202,150,566
Hang Seng High Dividend Yield ETF (in HK\$)	1,047,600,993	945,918,883
China Biotech ETF (in RMB)	454,256,630	724,319,566
China Cloud Computing ETF (in RMB)	265,161,082	502,665,423
Hang Seng ESG ETF (in HK\$)	21,833,033	25,388,056
Electric Vehicle and Battery Active ETF (in USD)	5,047,827	6,790,533
Metaverse Theme Active ETF (in USD)	1,698,314	1,896,450
Hang Seng TECH ETF (in HKD)	3,070,067,535	–
USD Money Market ETF (in USD)	2,502,616	–
<u>Mirae Asset Securities (HK) Limited</u>		
China Biotech ETF (in RMB)	88,276,439	–
China Cloud Computing ETF (in RMB)	77,590,807	–

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS (CONTINUED)

##### (f) Registration fee payable

For each application of unit creation and unit redemption, the Trustee is entitled to a registration fee of HK\$7,500 for MSCI China ETF, Hang Seng Dividend Yield ETF, Hang Seng ESG ETF and HK\$7,500 since launch to 31 August 2023 for Hang Seng TECH ETF and HK\$4,000 for Hang Seng TECH ETF effective 1 September 2023, RMB6,000 for China Biotech ETF and China Cloud Computing ETF, USD1,000 for Electric Vehicle and Battery Active ETF and Metaverse Theme Active ETF and USD100 for USD Money Market ETF. These fees are borne by the participating dealer. The registration fee would be paid to the Sub-Funds or may be set off and deducted against any cash components. The Sub-Funds would pay the registration fee to the Trustee on behalf of the participating dealer.

The registration fee payable to the Manager for such amounts paid by Manager on behalf of the Sub-Funds up to 31 July 2023 and the Trustee as at 30 September 2023 and 31 March 2023 are as follows:

	As at 30 September 2023 (unaudited)	As at 31 March 2023 (audited)
MSCI China ETF (in HK\$)	420,000	300,000
Hang Seng High Dividend Yield ETF (in HK\$)	698,544	533,544
China Biotech ETF (in RMB)	632,000	554,000
China Cloud Computing ETF (in RMB)	606,000	534,000
Hang Seng ESG ETF (in HK\$)	37,500	37,500
Electric Vehicle and Battery Active ETF (in USD)	27,300	28,901
Metaverse Theme Active ETF (in USD)	4,000	3,600

The details of the registration fee due to the Trustee by the Sub-Funds as at the period end is shown below.

	As at 30 September 2023 (unaudited)
Hang Seng TECH ETF (in HK\$) <sup>1</sup>	105,000
USD Money Market ETF (in USD) <sup>2</sup>	1,000

<sup>1</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023

<sup>2</sup>The financial period of these Sub-Funds, which were new additions to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

6. TRANSACTIONS WITH THE TRUSTEE, THE MANAGER AND ITS CONNECTED PERSONS  
(CONTINUED)

(g) Redemption payable

As at 30 September 2023, the redemption payable of China Cloud Computing ETF of HK\$nil (31 March 2023: HK\$5,614,200) was to Citibank, N.A., a participating dealer of this Sub-Fund and a related company of the Manager.

As at 30 September 2023, the redemption payable of Electric Vehicle and Battery Active ETF of HK\$nil (31 March 2023: USD711,820) was to Citibank, N.A., a participating dealer of this Sub-Fund and a related company of the Manager.

7. AMOUNTS DUE FROM/TO BROKERS

MSCI China ETF

As at 30 September 2023, the amounts due to brokers of MSCI China ETF, Citibank, N.A., which is a related party of the Trustee, of HK\$11 represented payables for unsettled foreign exchange on the reporting date. As at 31 March 2023, there were no amounts due to brokers.

Hang Seng High Dividend Yield ETF

As at 30 September 2023, the amounts due to brokers of Hang Seng High Dividend Yield ETF, Citibank, N.A., which is a related party of the Trustee, of HK\$1,737,000 represented payables for securities purchased that have been contracted for but not yet delivered on the reporting date, and the amount of HK\$303,088 due to brokers of Hang Seng High Dividend Yield ETF, UBS Securities, represented payables for securities purchased that have been contracted for but not yet delivered on the reporting date. As at 31 March 2023, there were no amounts due to brokers.

China Cloud Computing ETF

As at 30 September 2023, there were no amounts due from brokers. As at 31 March 2023, the amounts due from brokers of China Cloud Computing ETF, Mirae Asset Securities (HK) Limited, which is a participating dealer of this Sub-Fund and a related company of the Manager, of RMB2,682,329, Citibank, N.A., which is a related party of the Trustee, of RMB20,722 represented receivable for securities sold that have been contracted for but not yet delivered on the reporting date. The balance is receivable in less than 1 month.

As at 30 September 2023, there were no amounts due to brokers. As at 31 March 2023, the amounts due to broker of China Cloud Computing ETF, Hong Kong and Shanghai Banking Corporation Limited, of RMB4,770 represented payables for securities purchased that have been contracted for but not yet settled on the reporting date.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 8. TIME DEPOSITS

The interest-bearing term deposits with respective financial institutions as at 30 September 2023 were as follows:

	<u>USD Money</u> <u>Market ETF</u> As at 30 September 2023 (unaudited)
	USD
- BANCO SANTANDER, S.A.	1,028,163
- CHINA CITIC BANK INTERNATIONAL LIMITED	3,542,147
- CHINA CONSTRUCTION BANK CORPORATION	5,790,796
- CHINA EVERBRIGHT BANK CO., LTD.	6,231,828
- INDUSTRIAL AND COMMERCIAL BANK OF CHINA (ASIA) LIMITED	4,952,593
- UNITED OVERSEAS BANK LTD.	2,713,263
	<u>24,258,790</u>

The remaining maturity of the interest-bearing term deposits as at 30 September 2023 were as follows:

	<u>USD Money</u> <u>Market ETF</u> As at 30 September 2023 (unaudited)
	USD
- Less than 1 month	18,488,686
- Between 1 - 3 months	4,056,841
- Over 3 months	1,713,263
	<u>24,258,790</u>

#### 9. CASH AND CASH EQUIVALENTS

As at 30 September 2023, the cash and cash equivalents included in the statement of net assets of all the Sub-Funds were placed with interest-bearing saving accounts in Citibank, N.A. a related company of the trustee.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 10. SOFT COMMISSION ARRANGEMENTS

The Manager may effect transactions, provided that any such transaction is consistent with standards of “best execution”, by or through the agency of another person for the accounts of the Sub-Funds with whom the Manager or any of its connected persons has an arrangement under which that party will from time to time provide to or procure for the Manager or any of its connected persons goods, services or other benefits (such as advisory services, computer hardware associated with specialised software or research services and performance measures), the nature of which is such that their provision can reasonably be expected to benefit the Sub-Funds as a whole and may contribute to an improvement in the performance of the Sub-Funds. For the avoidance of doubt, such goods and services may not include travel, accommodation, entertainment, general administrative goods or services, general office equipment or premises, membership fees, employees’ salaries and direct money payments. Since the inception of the Sub-Funds, the Manager has not participated in any soft commission arrangements in respect of any transactions for the accounts of the Sub-Funds.

#### 11. TAXATION

No provision for Hong Kong profits tax has been made for the Sub-Funds as they are authorised as collective investment schemes under section 104 of the Hong Kong Securities and Futures Ordinance and are therefore exempt from Hong Kong profits tax under section 26A(1A) of the Hong Kong Inland Revenue Ordinance.

The Sub-Funds invest in shares of companies in the People’s Republic of China (“PRC”) listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange (“A-shares”) and the SEHK (“H-shares”). Under the PRC Corporate Income Tax Law, the Sub-Funds may be liable to pay PRC tax on the capital gains realised from the trading of A-shares and H-shares.

##### PRC tax provision

Under the general tax provision of PRC Corporate Income Tax Law (“PRC CIT Law”), the non-PRC residents with no place of effective management, establishment or place of business in the PRC may be subject to 10% PRC withholding income tax (“WIT”) on the capital gain derived from disposal of securities, unless exempt or reduced under current PRC tax laws and regulations or relevant tax treaties.

No provision was made for taxation from such gains in the financial statements as the Manager believes that the taxation on capital gains derived from H-Shares is not probable under the current enforcement environment.

Withholding income tax of 10% was charged on dividend income received from A-shares and H-shares during the year.



## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 12. DISTRIBUTIONS TO UNITHOLDERS

	<u>Hang Seng High Dividend Yield ETF</u>	
	For the period ended 30 September 2023 (unaudited) HK\$	For the period ended 30 September 2022 (unaudited) HK\$
Interim distribution to unitholders dated 28 September 2023, HK\$1.35 per unit (2022:HK\$1.50 per unit)	<u>70,740,000</u>	<u>50,100,000</u>

The net loss of Hang Seng High Dividend Yield ETF for the year ended 30 September 2023 was HK\$87,003,612 (2022: net income of HKD138,207,752). There is no undistributed income of Hang Seng High Dividend Yield ETF (2022: nil) brought forward as at 1 April 2023 and carried forward as at 30 September 2023.

There were no distributions made to unitholders of MSCI China ETF, China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, Metaverse Theme Active ETF, Hang Seng TECH ETF<sup>1</sup> and USD Money Market ETF<sup>2</sup> for the periods ended 30 September 2023 and 30 September 2022.

As at 30 September 2023 and 31 March 2023, no distribution was payable by the Sub-Funds.

The Manager may in its absolute discretion distribute income to unitholders at such time or times as it may determine in each financial period or determine that no distribution shall be made in any financial period. The amount to be distributed to unitholders, if any, will be derived from the net income of the Sub-Funds.

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023

**GLOBAL X ETF SERIES**

**NOTES TO THE FINANCIAL STATEMENTS**

**30 September 2023**

**13. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS**

	<u>MSCI China ETF</u>		<u>Hang Seng High Dividend Yield ETF</u>		<u>China Biotech ETF</u>	
	As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$	As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB
Listed equities	585,802,978	1,202,150,566	1,047,600,993	945,918,883	454,256,630	724,319,566
Unlisted funded total return swaps (i)	–	–	–	–	88,276,439	–
	<u>585,802,978</u>	<u>1,202,150,566</u>	<u>1,047,600,993</u>	<u>945,918,883</u>	<u>542,533,069</u>	<u>724,319,566</u>
	<u>China Cloud Computing ETF</u>		<u>Hang Seng ESG ETF</u>		<u>Electric Vehicle and Battery Active ETF</u>	
	As at 30 September 2023 (unaudited) RMB	As at 31 March 2023 (audited) RMB	As at 30 September 2023 (unaudited) HK\$	As at 31 March 2023 (audited) HK\$	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD
Listed equities	265,161,082	502,665,423	21,833,033	25,388,056	5,047,827	6,790,533
Unlisted funded total return swaps (i)	77,590,807	–	–	–	–	–
	<u>342,751,889</u>	<u>502,665,423</u>	<u>21,833,033</u>	<u>25,388,056</u>	<u>5,047,827</u>	<u>6,790,533</u>

(i) The total return swaps were fully funded and held with Mirae Asset Securities (HK) Limited, a related company of the Manager of the Sub-Funds.

**GLOBAL X ETF SERIES**

**NOTES TO THE FINANCIAL STATEMENTS**

**30 September 2023**

13. FINANCIAL ASSETS AT FAIR VALUE THROUGH PROFIT OR LOSS (CONTINUED)

	<u>Metaverse Theme Active ETF</u>		<u>Hang Seng TECH</u> <u>ETF</u>	<u>USD Money</u> <u>Market ETF</u>
	As at 30 September 2023 (unaudited) USD	As at 31 March 2023 (audited) USD	As at 30 September 2023 (unaudited) HK\$	As at 30 September 2023 (unaudited) USD
Listed equities	1,698,314	1,896,450	3,070,067,535	–
Debt securities	–	–	–	2,502,616
	<u>1,698,314</u>	<u>1,896,450</u>	<u>3,070,067,535</u>	<u>2,502,616</u>

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 14. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE

The UT Code allows the Sub-Funds to invest in constituent securities issued by a single issuer for more than 10% of the Sub-Funds' net asset value provided that:

- (a) the investment is limited to any constituent securities that each accounts for more than 10% of the weighting of the tracked index; and
- (b) the Sub-Funds' holding of any such constituent securities may not exceed their respective weightings in the tracked index, except where weightings are exceeded as a result of changes in the composition of the tracked index and the excess is only transitional and temporary in nature.

Constituent securities that account for more than 10% of the net asset value of the Sub-Funds as at year/period end were as follows:

<u>As at 30 September 2023 (unaudited)</u>	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>MSCI China ETF</i>		
Tencent Holdings Ltd.	13.40%	13.39%
<i>China Biotech ETF</i>		
Wuxi Apptec Co Ltd-A	11.43%	11.41%
Wuxi Biologics Cayman Inc	10.19%	10.14%
<i>China Cloud Computing ETF</i>		
KE Holdings Inc	10.84%	10.82%
Netease Inc	10.04%	10.03%
<u>As at 31 March 2023 (audited)</u>	Weighting in the tracked index	Weighting in the Sub-Fund's net asset value
<i>MSCI China ETF</i>		
Tencent Holdings Ltd.	14.11%	14.25%
<i>China Biotech ETF</i>		
Jiangsu Hengrui Medicine C-A	11.31%	11.31%
<i>Metaverse Theme Active ETF<sup>1</sup></i>		
Microsoft Corp Com USD0.00000625	4.07%	10.17%

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 14. INVESTMENT LIMITATION AND PROHIBITIONS UNDER THE UT CODE (CONTINUED)

Hang Seng High Dividend Yield ETF, Electric Vehicle and Battery Active ETF, Hang Seng ESG ETF, Metaverse Theme Active ETF, Hang Seng TECH ETF<sup>1</sup> and USD Money Market ETF<sup>2</sup> (31 March 2023: Hang Seng High Dividend Yield ETF, China Cloud Computing ETF, Hang Seng ESG ETF and Electric Vehicle and Battery Active ETF<sup>1</sup>) did not have any constituent securities that individually accounted for more than 10% of their respective net asset values as at 30 September 2023.

<sup>1</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 29 March 2023 (date of inception) to 30 September 2023

<sup>2</sup>The financial period of this Sub-Fund, which was new addition to the Company during the period ended 30 September 2023, commence from 28 June 2023 (date of inception) to 30 September 2023

#### 15. SEGMENT INFORMATION

The Manager makes the strategic resource allocations on behalf of the Sub-Funds and has determined the operating segments based on the reports reviewed which are used to make strategic decisions.

The Manager considers that each Sub-Fund has a single operating segment which is investing in securities. The objectives of the Sub-Funds are to track the performance of their respective indices and invest in substantially all the index constituents with security weight and industry weight that are closely aligned with the characteristics of the tracked indices.

The internal financial information used by the Manager for the Sub-Funds' assets, liabilities and performance is the same as that disclosed in the statement of net assets and statement of profit or loss and other comprehensive income.

There were no changes in the reportable segment during the period.

The Sub-Funds are domiciled in Hong Kong. Majority of the Sub-Funds' income is derived from investments in securities which constitute the relevant tracked indices. The Sub-Funds' investments have been categorised by the relevant industry but no industrial information for performance is provided as the Manager considers that the cost to develop it would be excessive and the information is generally not used by the Manager in managing the Sub-Funds.

The Sub-Funds have portfolios that closely correspond to the security weight and industry weight of the relevant tracked indices. Refer to note 13 for unit holdings that account for more than 10% of each Sub-Fund's net asset value.

#### 16. EVENTS AFTER THE REPORTING PERIOD

##### MSCI China ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements, there is net subscription of units of HK\$160,088,560 which there is of units redemption of 2,400,000 units of HK\$57,721,200 and issue of 8,800,000 units of HK\$217,809,760.

## GLOBAL X ETF SERIES

### NOTES TO THE FINANCIAL STATEMENTS

30 September 2023

#### 16. EVENTS AFTER THE REPORTING PERIOD (CONTINUED)

##### Hang Seng High Dividend Yield ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements, there is net subscription of units of HK\$935,000,020 which there is issue of 49,000,000 units of HK\$935,000,020 and no redemption of units.

##### China Biotech ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements, there is net redemption of units of RMB18,079,675 which there is of units redemption of 450,000 units of RMB23,669,550 and issue of 100,000 units of RMB5,589,875.

##### China Cloud Computing ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements of these financial statements, there is net redemption of units of RMB9,057,780 which there is of units redemption of 200,000 units of RMB9,057,780 and no issuance of units.

##### Electric Vehicle and Battery Active ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements of these financial statements, there is net redemption of units of USD334,155 which there is of units redemption of 50,000 units of USD334,155 and no issuance of units.

##### Hang Seng TECH ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements, there is net subscription of units of HK\$406,888,600 which there is of units redemption of 400,000 units of HK\$1,834,200 and issue of 85,200,000 units of HK\$408,722,800.

##### USD Money Market ETF

Subsequent to 30 September 2023 and up to the date of authorisation of these financial statements, there is net redemption of units of USD6,611,345 which there is of units redemption of 83,000 units of USD10,547,527 and issue of 31,000 units of USD3,936,183.

## GLOBAL X ETF SERIES

### ESG FUND DISCLOSURE (UNAUDITED)

As at 30 September 2023

	<b>Global X ETF Series Global X Hang Seng ESG ETF (1 April 2023 – 30 September 2023)</b>
Actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus	From 1 April 2023 to 30 September 2023, 99.4% of the Sub-Fund's average net asset value was invested in securities that are commensurate with the Sub-Fund's ESG focus.
Actual proportion of the investment universe that was eliminated or selected as a result of the Sub-Fund's ESG-related screening	<p>Global X Hang Seng ESG ETF is an index-tracking fund, which invests in constituents of the HSI ESG Enhanced Index (the "Underlying Index"). The investment decisions are based on the criteria set forth in the index methodology established by index provider. The Manager places reliance on the index methodology of the Underlying Index.</p> <p>The universe of the Underlying Index comprises the constituents of the Hang Seng Index (the "Base Index"), with three ESG screenings applied. The three ESG screenings are (i) ESG Risk Rating screening based on the ESG Risk Ratings from Sustainalytics, (ii) the United Nation Global Compact ("UNGC") principle screening based on the UNGC compliance ratings from Sustainalytics, Arabesque S-Ray and ISS ESG, and (iii) the controversial product involvement screening based on the controversial product involvement data from Sustainalytics. From 3 April 2023 to 29 September 2023, 15.3% of the securities in the Base Index was eliminated from the universe of the underlying index of the Sub-Fund as a result of the ESG screenings, according to data from Hang Seng Indexes Company Limited.</p>
Comparison of the performance of the Sub-Fund's ESG factors against the designated reference benchmark (if any)	From the 3 April 2023 to 29 September 2023, the net total returns of the underlying index of the Sub-Fund and the Base Index were -13.8% and -10.2% respectively, according to data from Hang Seng Indexes Company Limited.
Actions taken by the Sub-Fund in attaining the Sub-Fund's ESG focus	<p>The Manager engages directly with the index provider of HSI ESG Enhanced Index on an ongoing basis to ensure that the Sub-Fund's ESG focus has been duly considered and the suitable ESG criteria have been used in the index methodology.</p> <p>The Manager has retained an independent third-party proxy adviser to provide research and voting recommendations for the Sub-Fund. During the period from 1 April 2023 to 30 September 2023, 99.5% of the proxy votes were casted in accordance with the proxy voting guidelines adopted by the Manager and the voting recommendations provided by the third-party proxy adviser.</p>

**GLOBAL X ETF SERIES**

**ESG FUND DISCLOSURE (UNAUDITED) (CONTINUED)**

As at 30 September 2023

	<b>Global X ETF Series Global X Hang Seng ESG ETF (1 April 2023 – 30 September 2023)</b>
Description of the basis of the assessment performed	The underlying index of the Sub-Fund only includes securities issued by companies that are commensurate with the Sub-Fund's ESG focus. The actual proportion of underlying investments that are commensurate with the Sub-Fund's ESG focus is determined based on the proportion of net asset value of the Sub-Fund invested in constituents of underlying index of the Sub-Fund.



## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED)

As at 30 September 2023

#### MSCI China ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities</b>			
<b>China</b>			
360 SECURITY TECHNOLOGY IN-A	15,935	168,381	0.03%
37 INTERACTIVE ENTERTAINME-A	4,753	110,871	0.02%
3PEAK INC-A	346	67,692	0.01%
ACCELINK TECHNOLOGIES CO - A	1,500	52,017	0.01%
ADVANCED MICRO-FABRICATION-A	1,447	234,174	0.04%
AECC AERO-ENGINE CONTROL-A	2,632	60,744	0.01%
AECC AVIATION POWER CO LTD	5,888	235,134	0.04%
AGRICULTURAL BANK OF CHINA-A	196,451	760,230	0.13%
AIER EYE HOSPITAL GROUP CO-A	21,212	409,749	0.07%
AIMA TECHNOLOGY GROUP CO L-A	1,255	39,932	0.01%
AIR CHINA LTD-A	26,843	233,148	0.04%
ALUMINUM CORP OF CHINA LTD	30,869	208,387	0.04%
AMLOGIC SHANGHAI INC-A	669	45,342	0.01%
ANGEL YEAST CO LTD-A	1,723	62,232	0.01%
ANHUI CONCH CEMENT CO LTD-A	9,092	254,403	0.04%
ANHUI GUJING DISTILLERY CO-A	939	274,349	0.05%
ANHUI GUJING DISTILLERY CO-B	4,006	524,786	0.09%
ANHUI HONGLU STEEL CONSTRU-A	1,193	36,331	0.01%
ANHUI JIANGHUAI AUTO CO-A	4,800	67,644	0.01%
ANHUI KOUZI DISTILLERY CO -A	1,056	58,744	0.01%
ANHUI YINGJIA DISTILLERY C-A	1,373	108,553	0.02%
ANKER INNOVATIONS TECHNOLO-A	600	60,472	0.01%
APELOA PHARMACEUTICAL CO-A	2,293	44,343	0.01%
ASIA-POTASH INTERNATIONAL-A	1,740	52,540	0.01%
ASR MICROELECTRONICS CO LT-A	685	46,412	0.01%
ASYMCHEM LABORATORIES TIAN-A	835	136,163	0.02%
AUTOBIO DIAGNOSTICS CO LTD-A	1,042	51,222	0.01%
AVARY HOLDING SHENZHEN CO -A	4,168	91,221	0.02%
AVIC CAPITAL CO LTD -A	20,524	77,218	0.01%
AVICOPTER PLC-A	1,056	42,965	0.01%
BAIC BLUEPARK NEW ENERGY-A	12,700	71,809	0.01%
BANK OF BEIJING CO LTD -A	49,677	247,243	0.04%
BANK OF CHANGSHA CO LTD-A	9,101	80,124	0.01%
BANK OF CHENGDU CO LTD-A	8,492	125,608	0.02%
BANK OF CHINA LTD-A	83,069	336,642	0.06%
BANK OF COMMUNICATIONS-A	90,431	559,923	0.10%
BANK OF HANGZHOU CO LTD-A	13,555	162,612	0.03%
BANK OF JIANGSU CO LTD-A	35,076	270,722	0.05%
BANK OF NANJING CO LTD -A	24,298	210,259	0.04%
BANK OF NINGBO CO LTD -A	14,874	429,619	0.07%
BANK OF SHANGHAI CO LTD-A	33,244	220,489	0.04%
BANK OF SUZHOU CO LTD-A	8,499	62,947	0.01%
BAOSHAN IRON & STEEL CO-A	52,694	344,959	0.06%
BEIJING DABEINONG TECHNOLO-A	9,495	68,895	0.01%
BEIJING EASPRING MATERIAL-A	941	42,585	0.01%
BEIJING E-HUALU INFO TECH-A	1,176	43,676	0.01%
BEIJING ENLIGHT MEDIA CO L-A	6,641	61,393	0.01%
BEIJING KINGSOFT OFFICE SO-A	1,054	420,116	0.07%
BEIJING KUNLUN TECH CO LTD-A	2,195	90,464	0.02%
BEIJING NEW BUILDING MATER-A	3,542	114,415	0.02%
BEIJING ORIENTAL YUHONG-A	2,600	74,567	0.01%
BEIJING ROBOROCK TECHNOLOG-A	195	61,912	0.01%
BEIJING SHIJI INFORMATION -A	4,853	59,732	0.01%
BEIJING TIANTAN BIOLOGICAL-A	3,329	91,717	0.02%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
BEIJING TONGRENTANG CO-A	2,776	163,467	0.03%
BEIJING UNITED INFORMATION-A	1,265	45,187	0.01%
BEIJING WANTAI BIOLOGICAL-A	1,708	88,092	0.01%
BEIJING YANJING BREWERY CO-A	6,339	68,618	0.01%
BEIJING-SHANGHAI HIGH SPE-A	75,115	414,221	0.07%
BEIQI FOTON MOTOR CO LTD-A	18,200	67,692	0.01%
BETHEL AUTOMOTIVE SAFETY S-A	888	70,160	0.01%
BETTA PHARMACEUTICALS CO L-A	839	51,660	0.01%
BGI GENOMICS CO LTD-A	839	47,051	0.01%
BLOOMAGE BIOTECHNOLOGY COR-A	882	82,239	0.01%
BOC INTERNATIONAL CHINA CO-A	6,278	76,798	0.01%
BOE TECHNOLOGY GROUP CO LT-A	88,629	367,749	0.06%
BTG HOTELS GROUP CO LTD-A	2,049	37,950	0.01%
BYD CO LTD -A	4,166	1,060,000	0.18%
BY-HEALTH CO LTD-A	3,566	72,794	0.01%
CAITONG SECURITIES CO LTD-A	10,477	88,747	0.02%
CAMBRICON TECHNOLOGIES-A	985	131,242	0.02%
CANMAX TECHNOLOGIES CO LTD-A	1,668	46,081	0.01%
CECEP SOLAR ENERGY CO LT-A	8,850	55,082	0.01%
CECEP WIND POWER CORP-A	14,997	52,716	0.01%
CETC CYBERSPACE SECURITY T-A	1,661	42,459	0.01%
CHANGCHUN HIGH & NEW TECH-A	800	119,535	0.02%
CHANGJIANG SECURITIES CO L-A	12,752	79,916	0.01%
CHANGSHA ZOOMLION HEAVY INDS	16,435	121,018	0.02%
CHANGZHOU XINGYU AUTOMOTIV-A	712	116,335	0.02%
CHAOZHOU THREE-CIRCLE GROU-A	4,097	136,526	0.02%
CHENGXIN LITHIUM GROUP CO-A	1,775	42,664	0.01%
CHIFENG JILONG GOLD MINING-A	3,329	52,032	0.01%
CHINA BAOAN GROUP-A	5,888	65,951	0.01%
CHINA CONSTRUCTION BANK-A	22,203	150,363	0.03%
CHINA COSCO HOLDINGS-A	29,995	316,305	0.05%
CHINA CSSC HOLDINGS LTD-A	10,073	302,101	0.05%
CHINA EASTERN AIRLINES CO-A	39,543	187,030	0.03%
CHINA ENERGY ENGINEERING COR	76,568	186,013	0.03%
CHINA EVERBRIGHT BANK CO-A	107,060	353,309	0.06%
CHINA FILM CO LTD-A	4,100	62,055	0.01%
CHINA GALAXY SECURITIES CO-A	9,741	115,077	0.02%
CHINA GREAT WALL SECURITIE-A	7,482	66,755	0.01%
CHINA GREATWALL TECHNOLOGY-A	7,159	80,727	0.01%
CHINA INTERNATIONAL CAPTAL-A	4,173	168,037	0.03%
CHINA JUSHI CO LTD-A	9,097	132,014	0.02%
CHINA LIFE INSURANCE CO- A	5,987	233,360	0.04%
CHINA MEHECO CO LTD -A	3,217	42,811	0.01%
CHINA MERCHANTS BANK-A	48,158	1,706,774	0.29%
CHINA MERCHANTS ENERGY -A	18,771	129,744	0.02%
CHINA MERCHANTS SECURITIES-A	17,485	262,761	0.04%
CHINA MERCHANTS SHEKOU IND-A	18,023	240,042	0.04%
CHINA MINSHENG BANKING-A	83,732	345,630	0.06%
CHINA NATIONAL CHEMICAL-A	13,986	116,967	0.02%
CHINA NATIONAL MEDICINES-A	1,255	44,721	0.01%
CHINA NATIONAL NUCLEAR POW-A	44,358	348,083	0.06%
CHINA NATIONAL SOFTWARE -A	1,710	69,648	0.01%
CHINA NORTHERN RARE EARTH-A	8,143	190,735	0.03%
CHINA PACIFIC INSURANCE GR-A	15,270	469,290	0.08%
CHINA PETROLEUM & CHEMICAL-A	72,975	476,158	0.08%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
CHINA RAILWAY GROUP LTD -A	47,175	345,848	0.06%
CHINA RAILWAY SIGNAL & COM-A	17,041	96,720	0.02%
CHINA RARE EARTH RESOURCES-A	1,833	56,727	0.01%
CHINA RESOURCES MICROELECT-A	2,695	156,698	0.03%
CHINA RESOURCES SANJIU MED-A	2,333	125,694	0.02%
CHINA SHENHUA ENERGY CO -A	14,875	498,885	0.08%
CHINA SOUTHERN AIRLINES CO-A	26,202	172,375	0.03%
CHINA STATE CONSTRUCTION -A	96,431	573,232	0.10%
CHINA THREE GORGES RENEWAB-A	67,548	347,079	0.06%
CHINA TOURISM GROUP DUTY F-A	4,312	491,191	0.08%
CHINA UNITED NETWORK-A	73,035	385,479	0.07%
CHINA VANKE CO LTD -A	22,516	316,583	0.05%
CHINA XD ELECTRIC CO LTD-A	11,800	61,519	0.01%
CHINA YANGTZE POWER CO LTD-A	56,365	1,347,513	0.23%
CHINA ZHENHUA GROUP SCIENC-A	961	83,644	0.01%
CHINA ZHESHANG BANK CO LTD-A	50,757	139,677	0.02%
CHONGQING BREWERY CO-A	902	82,669	0.01%
CHONGQING CHANGAN AUTOMOB-A	19,169	276,941	0.05%
CHONGQING FULING ZHACAI - A	2,307	39,108	0.01%
CHONGQING RURAL COMMERCIAL-A	20,576	88,473	0.02%
CHONGQING TAIJI INDUS GRP-A	1,100	55,173	0.01%
CHONGQING ZHIFEI BIOLOGICA-A	5,378	281,365	0.05%
CITIC SECURITIES CO-A SHARES	27,967	651,168	0.11%
CMOC GROUP LTD-A	41,693	264,874	0.04%
CNCR ADVANCED MATERIAL CO -A	1,492	81,234	0.01%
CNNC HUA YUAN TITANIUM -A	6,725	33,398	0.01%
CNPC CAPITAL CO LTD-A	14,200	101,050	0.02%
CONTEMPORARY AMPEREX TECHN-A	10,085	2,201,023	0.37%
COSCO SHIPPING DEVELOPME-A	23,473	60,053	0.01%
COSCO SHIPPING ENERGY TRAN-A	7,814	113,563	0.02%
CRRC CORP LTD	55,696	349,643	0.06%
CSC FINANCIAL CO LTD-A	9,759	258,170	0.04%
DAJIN HEAVY INDUSTRY CO LT-A	1,168	32,606	0.01%
DAQIN RAILWAY CO LTD -A	35,189	275,755	0.05%
DASHENLIN PHARMACEUTICAL G-A	2,298	62,126	0.01%
DATANG INTL POWER GEN CO-A	19,165	54,800	0.01%
DHC SOFTWARE CO LTD -A	7,136	49,861	0.01%
DO-FLUORIDE NEW MATERIALS -A	2,012	34,713	0.01%
DONG-E E-JIAO-A	1,191	62,810	0.01%
DONGFANG ELECTRIC CORP LTD-A	6,278	105,547	0.02%
DONGXING SECURITIES CO LT-A	7,155	62,530	0.01%
EAST MONEY INFORMATION CO-A	36,267	592,576	0.10%
EASTROC BEVERAGE GROUP CO -A	500	98,288	0.02%
ECOVACS ROBOTICS CO LTD-A	1,004	52,344	0.01%
ENN NATURAL GAS CO LTD-A	5,893	110,350	0.02%
EOPTOLINK TECHNOLOGY INC L-A	1,500	74,172	0.01%
EVE ENERGY CO LTD-A	4,666	226,309	0.04%
EVERBRIGHT SECURITIE CO -A	8,839	158,295	0.03%
EVERDISPLAY OPTRONICS SHAN-A	26,548	75,054	0.01%
FANGDA CARBON NEW MATERIAL-A	8,761	54,434	0.01%
FARASIS ENERGY GAN ZHOU CO-A	2,545	55,153	0.01%
FAW CAR COMPANY LIMITED-A	6,914	64,512	0.01%
FIRST CAPITAL SECURITIES C-A	9,568	60,579	0.01%
FLAT GLASS GROUP CO LTD-A	3,521	107,188	0.02%
FOCUS MEDIA INFORMATION TE-A	33,846	260,137	0.04%
FOSHAN HAITIAN FLAVOURING -A	10,368	423,402	0.07%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
FOUNDER SECURITIES CO LTD-A	18,500	147,161	0.02%
FOXCONN INDUSTRIAL INTERNE-A	22,911	485,175	0.08%
FU JIAN ANJOY FOODS CO LTD-A	747	99,571	0.02%
FUJIAN SUNNER DEVELOPMENT-A	2,583	53,311	0.01%
FUYAO GROUP GLASS INDUSTR-A	4,225	167,678	0.03%
GALAXYCORE INC-A	3,401	56,155	0.01%
GANFENG LITHIUM GROUP CO L-A	3,320	159,170	0.03%
G-BITS NETWORK TECHNOLOGY-A	245	96,291	0.02%
GD POWER DEVELOPMENT CO -A	41,841	165,515	0.03%
GEM CO LTD-A	11,929	78,093	0.01%
GEMDALE CORP-A	10,133	74,287	0.01%
GF SECURITIES CO LTD-A	13,586	214,245	0.04%
GIANT NETWORK GROUP CO LTD-A	4,130	57,448	0.01%
GIGADEVICE SEMICONDUCTOR B-A	1,372	145,418	0.02%
GINLONG TECHNOLOGIES CO LT-A	834	70,385	0.01%
GOERTEK INC -A	7,783	132,021	0.02%
GONGNIU GROUP CO LTD-A	900	100,344	0.02%
GOODWE TECHNOLOGIES CO LTD-A	326	46,324	0.01%
GOTION HIGH-TECH CO LTD-A	3,884	96,863	0.02%
GREAT WALL MOTOR CO LTD-A	5,524	152,429	0.03%
GREE ELECTRIC APPLIANCES I-A	6,298	245,752	0.04%
GREENLAND HOLDINGS CORP LT-A	26,650	78,494	0.01%
GRG BANKING EQUIPMENT CO -A	5,793	75,349	0.01%
GUANGDONG HAID GROUP CO-A	3,849	187,221	0.03%
GUANGDONG HEC TECHNOLOGY H-A	6,754	48,208	0.01%
GUANGDONG LY INTELLIGENT M-A	16,266	100,015	0.02%
GUANGZHOU AUTOMOBILE GROUP-A	10,929	117,599	0.02%
GUANGZHOU BAIYUN INTERNATI-A	4,915	59,174	0.01%
GUANGZHOU BAIYUNSHAN PHAR-A	2,837	95,820	0.02%
GUANGZHOU GREAT POWER ENER-A	894	31,732	0.01%
GUANGZHOU HAIGE COMMUNICAT-A	4,932	59,750	0.01%
GUANGZHOU KINGMED DIAGNOST-A	893	59,861	0.01%
GUANGZHOU TINCI MATERIALS -A	4,117	119,623	0.02%
GUANGZHOU YUEXIU FINANCIAL-A	9,506	68,362	0.01%
GUANGZOU SHIYUAN ELECTRON-A	1,549	84,404	0.01%
GUOLIAN SECURITIES CO LTD-A	5,026	57,269	0.01%
GUOSEN SECURITIES CO LTD-A	14,620	144,742	0.03%
GUOTAI JUNAN SECURITIES CO-A	17,593	274,975	0.05%
GUOYUAN SECURITIES CO LTD-A	9,850	71,683	0.01%
HAIER SMART HOME CO LTD-A	14,571	369,649	0.06%
HAINAN AIRLINES CO-A	98,515	156,730	0.03%
HAINAN AIRPORT INFRASTRUCT-A	25,975	110,850	0.02%
HAITONG SECURITIES CO LTD-A	22,253	238,013	0.04%
HANG ZHOU GREAT STAR INDUS-A	2,500	52,377	0.01%
HANGZHOU BINJIANG REAL EST-A	6,929	71,430	0.01%
HANGZHOU CHANG CHUAN TECHN-A	1,068	38,494	0.01%
HANGZHOU FIRST APPLIED MAT-A	4,004	122,925	0.02%
HANGZHOU LION ELECTRONICS -A	1,169	41,443	0.01%
HANGZHOU OXYGEN PLANT GROU-A	1,833	63,978	0.01%
HANGZHOU ROBAM APPLIANCES-A	1,835	53,160	0.01%
HANGZHOU SILAN MICROELECTR-A	2,837	74,289	0.01%
HANGZHOU TIGERMED CONSULTI-A	805	57,631	0.01%
HAOHUA CHEMICAL SCIENCE -A	1,255	44,519	0.01%
HEBEI HENGSHUI LAOBAIGAN-A	1,735	43,269	0.01%
HEBEI YANGYUAN ZHIHUI BEVE-A	2,575	67,650	0.01%
HEILONGJIANG AGRICULTURE-A	3,824	54,096	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
HENAN SHENHUO COAL & POWER-A	4,775	87,670	0.01%
HENAN SHUANGHUI INVESTMENT-A	7,846	222,575	0.04%
HENGDIAN GROUP DMEGC -A	3,325	58,403	0.01%
HENGLI PETROCHEMICAL CO L-A	15,904	246,183	0.04%
HENGTONG OPTIC-ELECTRIC CO-A	5,029	76,332	0.01%
HENGYI PETROCHEMICAL CO -A	8,497	67,134	0.01%
HESTEEL CO LTD-A	24,290	59,271	0.01%
HISENSE ELECTRIC CO LTD-A	2,800	67,602	0.01%
HITHINK ROYALFLUSH INFORMA-A	1,103	177,210	0.03%
HONGFA TECHNOLOGY CO LTD-A	969	34,947	0.01%
HOSHINE SILICON INDUSTRY C-A	1,637	106,303	0.02%
HOYMILES POWER ELECTRONICS-A	139	40,970	0.01%
HOYUAN GREEN ENERGY CO LTD-A	1,129	50,826	0.01%
HUADIAN POWER INTL CORP-A	18,849	104,348	0.02%
HUADONG MEDICINE CO LTD-A	3,821	173,496	0.03%
HUAFON CHEMICAL CO LTD -A	11,734	89,177	0.02%
HUAGONG TECH CO LTD-A	1,880	68,044	0.01%
HUAIBEI MINING HOLDINGS CO-A 600985	5,793	86,869	0.01%
HUALAN BIOLOGICAL ENGINEER-A	3,996	95,360	0.02%
HUANENG POWER INTL INC-A	21,311	180,288	0.03%
HUATAI SECURITIES CO LTD-A	17,212	292,517	0.05%
HUAXI SECURITIES VCO LTD -A	5,930	53,035	0.01%
HUAXIA BANK CO LTD-A	31,158	191,582	0.03%
HUAYU AUTOMOTIVE SYSTEMS -A	7,050	142,247	0.02%
HUBEI FEILIHUA QUARTZ GLAS-A	936	45,518	0.01%
HUBEI JUMPCAN PHARMACEUT-A	1,758	51,666	0.01%
HUBEI XINGFA CHEMICALS GRP-A	2,018	42,886	0.01%
HUIZHOU DESAY SV AUTOMOTIV-A	1,338	206,595	0.04%
HUNAN CHANGYUAN LICO CO LT-A	4,023	36,975	0.01%
HUNAN VALIN STEEL CO LTD -A	15,880	102,080	0.02%
HUNDSUN ELECTRONIC CO LTD-A	4,087	142,563	0.02%
IFLYTEK CO LTD	5,266	286,771	0.05%
IMEIK TECHNOLOGY DEVELOPME-A	542	227,380	0.04%
IND & COMM BK OF CHINA - A	144,963	729,275	0.12%
INDUSTRIAL BANK CO LTD	47,764	836,393	0.14%
INDUSTRIAL SECURITIES CO-A	20,233	139,632	0.02%
INGENIC SEMICONDUCTOR CO -A	902	71,470	0.01%
INNER MONGOLIA DIAN TOU EN-A	4,090	60,496	0.01%
INNER MONGOLIA JUNZHENG EN-A	19,661	86,441	0.01%
INNER MONGOLIA YILI INDUS-A	14,315	408,241	0.07%
INNER MONGOLIA YITAI COAL-B 'B'CNV1	40,638	445,260	0.08%
INNER MONGOLIA YUAN XING-A	8,147	61,916	0.01%
INNER MONGOLIAN BAOTOU STEEL	107,887	199,474	0.03%
INSPUR ELECTRONIC INFORMAT-A	2,909	117,608	0.02%
IRAY TECHNOLOGY CO LTD-A	233	61,379	0.01%
ISOFTSTONE INFORMATION TEC-A	1,646	47,030	0.01%
JA SOLAR TECHNOLOGY CO LTD-A	7,394	203,315	0.03%
JASON FURNITURE HANGZHOU C-A	1,659	72,208	0.01%
JCET GROUP CO LTD	3,824	125,374	0.02%
JIANGSU EASTERN SHENGHONG -A	13,988	173,671	0.03%
JIANGSU HENGLI HIGHPRESSUR-A	3,061	210,258	0.04%
JIANGSU HENGRUI MEDICINE C-A	14,275	689,601	0.12%
JIANGSU KING'S LUCK BREWER-A	2,578	162,588	0.03%
JIANGSU NHWA PHARMACEUTICA-A	2,000	56,994	0.01%
JIANGSU PACIFIC QUARTZ CO -A	606	69,487	0.01%
JIANGSU PHOENIX PUBLISH-A	5,500	61,960	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
JIANGSU YANGHE BREWERY -A	3,534	491,575	0.08%
JIANGSU YANGNONG CHEMICAL -A	837	62,082	0.01%
JIANGSU YOKE TECHNOLOGY-A	893	62,012	0.01%
JIANGSU YUYUE MEDICAL EQU-A	1,890	69,970	0.01%
JIANGSU ZHONGTIAN TECHNOLO-A	7,770	124,033	0.02%
JIANGXI COPPER CO LTD-A	4,548	94,160	0.02%
JIANGXI SPECIAL ELECTRIC -A	3,534	33,734	0.01%
JINDUICHENG MOLYBDENUM CO -A	7,026	83,834	0.01%
JINKO SOLAR CO LTD-A	15,420	167,415	0.03%
JIUGUI LIQUOR CO LTD-A	724	68,651	0.01%
JIZHONG ENERGY RESOURCE-A	7,939	57,519	0.01%
JOINCARE PHARMACEUTICAL GR-A	4,001	53,288	0.01%
JOINTOWN PHARMACEUTICAL-A	6,294	73,273	0.01%
JONJEE HIGH-TECH INDUSTRIA-A	1,278	47,451	0.01%
JUEWEI FOOD CO LTD-A	1,075	43,426	0.01%
JUNEYAO AIRLINES CO LTD-A 603885	4,917	75,583	0.01%
KEDA INDUSTRIAL CO LTD -A	4,161	52,019	0.01%
KINGNET NETWORK CO LTD-A 002517	4,700	63,659	0.01%
KUANG-CHI TECHNOLOGIES CO-A	4,699	72,939	0.01%
KWEICHOW MOUTAI CO LTD-A	2,868	5,544,855	0.94%
LB GROUP CO LTD-A	5,026	99,248	0.02%
LENS TECHNOLOGY CO LTD-A	11,725	154,775	0.03%
LEPU MEDICAL TECHNOLOGY-A	3,857	68,120	0.01%
LIAONING PORT CO LTD-A	44,223	74,634	0.01%
LIVON PHARMACEUTICAL GROU-A	1,076	42,727	0.01%
LONGI GREEN ENERGY TECHNOL-A	17,246	505,733	0.09%
LUXI CHEMICAL GROUP CO LT-A	4,090	49,769	0.01%
LUXSHARE PRECISION INDUSTR-A	16,455	527,465	0.09%
LUZHOU LAOJIAO CO LTD-A	3,442	801,601	0.14%
MANGO EXCELLENT MEDIA CO L-A	4,000	121,727	0.02%
MAXSCEND MICROELECTRONICS -A	1,075	134,855	0.02%
MEIHUA MONOSODIUM GLUTAMAT-A	6,749	69,792	0.01%
MEINIAN ONEHEALTH HEALTHCA-A	8,860	64,764	0.01%
METALLURGICAL CORP OF CHIN-A	42,030	165,811	0.03%
MING YANG SMART ENERGY GRO-A	4,931	83,643	0.01%
MONTAGE TECHNOLOGY CO LTD-A	2,349	125,495	0.02%
MUYUAN FOODSTUFF CO LTD-A	12,683	516,577	0.09%
NANJING KING-FRIEND BIOCHE-A	3,326	45,370	0.01%
NANJING SECURITIES CO LTD-A	8,045	70,135	0.01%
NARI TECHNOLOGY DEVELOPMEN-A	18,672	445,386	0.08%
NATIONAL SILICON INDUSTRY -A	5,895	125,279	0.02%
NAURA TECHNOLOGY GROUP CO-A	1,198	310,744	0.05%
NAVINFO CO LTD - A	5,026	54,729	0.01%
NEW CHINA LIFE INSURANCE C-A	4,573	181,047	0.03%
NEW HOPE LIUHE CO LTD-A	10,286	121,626	0.02%
NINESTAR CORP-A	2,834	78,780	0.01%
NINGBO DEYE TECHNOLOGY CO -A	873	72,672	0.01%
NINGBO JOYSON ELECTRONIC-A	2,824	52,851	0.01%
NINGBO ORIENT WIRES & CABL-A	1,169	50,026	0.01%
NINGBO RONBAY NEW ENERGY T-A	866	39,070	0.01%
NINGBO SHANSHAN CO LTD-A	4,832	72,199	0.01%
NINGBO TUOPU GROUP CO LTD-A	2,452	195,390	0.03%
NINGXIA BAOFENG ENERGY GRO-A	16,732	257,201	0.04%
NORTH INDUSTRIES GROUP RED-A	2,871	45,552	0.01%
OFFCN EDUCATION TECHNOLOGY-A	14,265	57,503	0.01%
OFFSHORE OIL ENGINEERING-A	10,027	69,845	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
OPPEIN HOME GROUP INC-A	1,101	113,488	0.02%
ORIENT SECURITIES CO LTD-A	17,524	180,463	0.03%
OVCTEK CHINA INC-A	1,779	49,109	0.01%
PANGANG GROUP VANADIUM TIT-A	19,861	78,567	0.01%
PEOPLE.CN CO LTD-A	2,300	94,791	0.02%
PERFECT WORLD CO LTD-A	4,164	59,084	0.01%
PETROCHINA CO LTD-A	49,449	424,179	0.07%
PHARMARON BEIJING CO LTD-A	2,939	98,254	0.02%
PICC HOLDING CO-A	22,022	139,668	0.02%
PING AN BANK CO LTD-A	44,571	536,610	0.09%
PING AN INSURANCE GROUP CO-A	24,527	1,273,445	0.22%
PIOTECH INC-A	296	75,795	0.01%
POLY REAL ESTATE GROUP CO -A	27,968	383,018	0.07%
POSTAL SAVINGS BANK OF CHI-A	66,981	357,846	0.06%
POWER CONSTRUCTION CORP OF-A	40,613	233,565	0.04%
PYLON TECHNOLOGIES CO LTD-A	324	45,521	0.01%
QI AN XIN TECHNOLOGY GROUP-A	1,278	70,695	0.01%
QINGHAI SALT LAKE INDUSTRY-A	12,680	233,897	0.04%
RAYTRON TECHNOLOGY CO LTD-A	859	44,045	0.01%
RISEN ENERGY CO LTD-A	2,213	45,294	0.01%
RIYUE HEAVY INDUSTRY CO LT-A	1,855	31,665	0.01%
ROCKCHIP ELECTRONICS CO L-A	475	31,376	0.01%
RONGSHENG PETRO CHEMICAL-A	23,744	303,986	0.05%
S F HOLDING CO LTD-A	11,159	489,411	0.08%
SAIC MOTOR CORPORATION LTD-A	18,075	287,560	0.05%
SAILUN GROUP CO LTD-A	6,926	93,883	0.02%
SANAN OPTOELECTRONICS CO L-A	10,880	180,344	0.03%
SANGFOR TECHNOLOGIES INC-A	839	84,155	0.01%
SANY HEAVY INDUSTRY CO LTD-A	19,691	336,341	0.06%
SATELLITE CHEMICAL CO LTD-A	7,700	126,888	0.02%
SDIC ESSENCE HOLDINGS CO L-A	14,898	110,341	0.02%
SDIC POWER HOLDINGS CO LTD-A	16,670	210,912	0.04%
SEAZEN HOLDINGS CO LTD-A	4,775	68,011	0.01%
SERES GROUP CO L-A 601127	3,195	191,369	0.03%
SG MICRO CORP-A	916	76,577	0.01%
SHAANXI COAL INDUSTRY CO L-A	21,955	435,666	0.07%
SHAN XI HUA YANG GROUP NEW-A	7,972	72,155	0.01%
SHANDONG BUCHANG PHARMACEU-A	2,039	39,277	0.01%
SHANDONG GOLD MINING CO LT-A	8,137	219,634	0.04%
SHANDONG HIMILE MECHANICAL-A 'A' CNY1	1,700	64,325	0.01%
SHANDONG HUALU HENGSHENG-A	4,617	159,314	0.03%
SHANDONG LINGLONG TYRE CO -A	2,927	63,934	0.01%
SHANDONG NANSHAN ALUMINUM-A	27,399	92,481	0.02%
SHANDONG SUN PAPER INDUSTR-A	6,104	80,444	0.01%
SHANGHAI AIKO SOLAR ENERGY-A	4,002	95,977	0.02%
SHANGHAI BAIRUN FLAVOR & F-A	2,003	62,075	0.01%
SHANGHAI BAOSIGHT SOFTWARE-A	3,972	192,905	0.03%
SHANGHAI BAOSIGHT SOFTWARE-B	22,529	371,413	0.06%
SHANGHAI CONSTRUCTION CO-A	20,765	61,384	0.01%
SHANGHAI ELECTRIC GRP CO L-A	29,691	149,369	0.03%
SHANGHAI ELECTRIC POWER CO-A	6,339	60,441	0.01%
SHANGHAI FOSUN PHARMACEUTI-A	4,574	140,621	0.02%
SHANGHAI FRIENDESS ELECTRO-A	303	81,229	0.01%
SHANGHAI FUDAN MICROELE-DO-A	986	50,557	0.01%
SHANGHAI INTERNATIONAL AIR-A	2,586	105,327	0.02%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
SHANGHAI INTERNATIONAL POR-A	15,702	86,926	0.01%
SHANGHAI JINJIANG INTERNET-A	1,760	71,136	0.01%
SHANGHAI JUNSHI BIOSCIENCE-A	1,641	67,914	0.01%
SHANGHAI LINGANG HOLDINGS-A	5,038	61,575	0.01%
SHANGHAI M&G STATIONERY IN-A	1,760	69,055	0.01%
SHANGHAI MOONS' ELECTRIC C-A	900	66,648	0.01%
SHANGHAI ORIENTAL PEARL ME-A	7,700	61,499	0.01%
SHANGHAI PHARMACEUTICALS-A	6,278	122,014	0.02%
SHANGHAI PUDONG DEVEL BANK-A	67,357	514,079	0.09%
SHANGHAI PUTAILAI NEW ENER-A	4,263	134,222	0.02%
SHANGHAI RAAS BLOOD PRODUC-A	15,548	116,325	0.02%
SHANGHAI RURAL COMMERCIAL -A	22,262	144,541	0.02%
SHANGHAI YUYUAN TOURIST-A	8,837	70,675	0.01%
SHANXI COAL INTERNATIONAL -A	4,130	83,685	0.01%
SHANXI LU'AN ENVIRONMENTAL-A	6,683	136,422	0.02%
SHANXI MEIJIN ENERGY CO LT -A	9,752	73,590	0.01%
SHANXI SECURITIES CO LTD-A	8,014	49,534	0.01%
SHANXI TAIGANG STAINLESS-A	13,349	57,972	0.01%
SHANXI XINGHUACUN FEN WINE-A	2,803	721,634	0.12%
SHANXI XISHAN COAL & ELEC-A	12,860	139,068	0.02%
SHEDE SPIRITS CO LTD-A	830	111,526	0.02%
SHENERGY COMPANY LIMITED-A	10,870	74,198	0.01%
SHENGHE RESOURCES HOLDINGS-A	3,608	42,158	0.01%
SHENGYI TECHNOLOGY CO LTD -A	4,946	81,665	0.01%
SHENNAN CIRCUITS CO LTD-A	944	67,552	0.01%
SHENWAN HONGYUAN GROUP CO-A	53,128	247,286	0.04%
SHENZHEN CAPCHEM TECHNOLOG-A	1,639	77,204	0.01%
SHENZHEN DYNANONIC CO LTD-A	513	42,219	0.01%
SHENZHEN ENERGY GROUP CO L-A	10,664	73,136	0.01%
SHENZHEN INOVANCE TECHNOLO-A	3,118	222,854	0.04%
SHENZHEN KAIFA TECHNOLOGY-A	3,195	59,107	0.01%
SHENZHEN KANGTAI BIOLOGICA-A	2,071	65,162	0.01%
SHENZHEN KEDALI INDUSTRY C-A	395	40,839	0.01%
SHENZHEN KSTAR SCIENCE AND-A	984	30,368	0.01%
SHENZHEN MINDRAY BIO-MEDIC-A	2,753	798,459	0.14%
SHENZHEN NEW INDUSTRIES BI-A	1,660	113,346	0.02%
SHENZHEN OVERSEAS CHINESE-A	18,899	85,935	0.02%
SHENZHEN SALUBRIS PHARM-A	2,018	64,318	0.01%
SHENZHEN SC NEW ENERGY TEC-A	561	45,801	0.01%
SHENZHEN SED INDUSTRY CO-A	2,007	48,283	0.01%
SHENZHEN SENIOR TECHNOLOGY-A	2,590	36,388	0.01%
SHENZHEN SUNLORD ELECTRONI-A	1,640	50,702	0.01%
SHENZHEN TRANSSION HOLDING-A	1,868	292,647	0.05%
SHENZHEN YUTO PACKAGING TE-A	1,777	47,143	0.01%
SHIJIAZHUANG YILING PHARMA-A	3,522	88,819	0.02%
SHUANGLIANG ECO-ENERGY SYS-A	3,865	43,915	0.01%
SICHUAN CHUANTOU ENERGY CO-A	10,038	159,697	0.03%
SICHUAN HEBANG BIOTECHNOL- A	20,528	51,636	0.01%
SICHUAN KELUN PHARMACEUTIC-A	2,837	88,897	0.02%
SICHUAN NEW ENERGY POWER CO	2,924	37,404	0.01%
SICHUAN ROAD&BRIDGE CO LT-A	15,853	147,747	0.03%
SICHUAN SWELLFUN CO LTD-A	945	61,275	0.01%
SICHUAN YAHUA INDUSTRIAL-A	2,274	36,618	0.01%
SIEYUAN ELECTRIC CO LTD-A	1,656	91,997	0.02%
SINOLINK SECURITIES CO LTD-A	8,045	80,340	0.01%
SINOMA INTERNATIONAL ENGIN-A	5,889	71,407	0.01%
SINOMA SCIENCE&TECHNOLOGY -A	3,523	77,862	0.01%



## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
SINOMINE RESOURCE GROUP CO-A	1,276	49,941	0.01%
SINOPEC SHANGHAI PETROCHE-A	16,738	55,777	0.01%
SKSHU PAINT CO LTD-A	1,080	79,560	0.01%
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	5,890	77,370	0.01%
SOOCHOW SECURITIES CO LTD-A	11,799	106,921	0.02%
SOUTHWEST SECURITIES CO LT-A	15,230	67,451	0.01%
SPRING AIRLINES CO LTD-A	2,330	137,029	0.02%
STARPOWER SEMICONDUCTOR LT-A	329	63,531	0.01%
SUNGROW POWER SUPPLY CO LT-A	3,237	311,460	0.05%
SUNRESIN NEW MATERIALS CO -A	984	61,773	0.01%
SUNWODA ELECTRONIC CO LTD-A	3,537	64,255	0.01%
SUZHOU DONGSHAN PRECISION-A	3,540	65,984	0.01%
SUZHOU MAXWELL TECHNOLOGIE-A	479	65,197	0.01%
SUZHOU TFC OPTICAL COMMUNI-A	800	81,696	0.01%
TANGSHAN JIDONG CEMENT INV-A	5,946	48,193	0.01%
TBEA CO LTD-A	11,173	177,994	0.03%
TCL TECHNOLOGY GROUP CORP	44,201	193,857	0.03%
TCL ZHONGHUAN RENEWABLE EN-A	8,872	222,974	0.04%
THUNDER SOFTWARE TECHNOLOG-A	893	73,502	0.01%
TIANJIN712 COMMUNICATION &-A	1,278	34,729	0.01%
TIANMA MICROELECTRONICS-A	5,071	50,259	0.01%
TIANQI LITHIUM CORP-A	3,235	191,017	0.03%
TIANSHAN ALUMINUM GROUP CO-A	8,811	62,038	0.01%
TIANSHUI HUATIAN TECHNOLOG-A	7,136	68,884	0.01%
TITAN WIND ENERGY SUZHOU-A	3,857	53,526	0.01%
TONGFU MICROELECTRONIC CO-A	3,174	65,406	0.01%
TONGKUN GROUP CO LTD-A	5,039	79,896	0.01%
TONGLING NONFERROUS METALS-A	24,567	84,243	0.01%
TONGWEI CO LTD-A	9,883	342,722	0.06%
TOPCHOICE MEDICAL INVESTME-A	724	68,643	0.01%
TRINA SOLAR CO LTD-A	4,520	148,533	0.03%
TSINGHUA UNISPLENDOUR CO-A	6,372	161,445	0.03%
TSINGTAO BREWERY CO LTD-A	1,549	145,630	0.02%
UNIGROUP GUOXIN MICROELECT-A	1,827	171,255	0.03%
UNIVERSAL SCIENTIFIC INDUS-A	3,865	60,617	0.01%
VERISILICON MICROELECTRONI-A	881	56,775	0.01%
WALVAX BIOTECHNOLOGY CO-A	3,295	83,378	0.01%
WANDA FILM HOLDING CO LTD-A	4,752	68,858	0.01%
WANHUA CHEMICAL GROUP CO LTD	7,180	681,667	0.12%
WEICHAI POWER CO LTD-A	15,700	211,465	0.04%
WEIHAI GUANGWEI COMPOSITES-A	1,665	49,130	0.01%
WENS FOODSTUFFS GROUP CO - A	15,038	280,950	0.05%
WESTERN MINING CO -A	5,026	69,425	0.01%
WESTERN SECURITIES CO LTD-A	10,073	71,465	0.01%
WESTERN SUPERCONDUCTING TE-A	1,004	49,386	0.01%
WILL SEMICONDUCTOR CO LTD-A	2,478	247,886	0.04%
WINGTECH TECHNOLOGY CO LTD-A	2,583	121,198	0.02%
WINTIME ENERGY CO LTD-A	50,930	76,099	0.01%
WUCHAN ZHONGDA GROUP CO LTD	12,088	61,592	0.01%
WUHAN GUIDE INFRARED CO LT-A	9,759	79,937	0.01%
WUHAN HUMANWELL HEALTHCARE-A	3,329	86,564	0.01%
WULIANGYE YIBIN CO LTD-A	8,937	1,499,627	0.25%
WUS PRINTED CIRCUIT KUNSHA-A	4,019	97,248	0.02%
WUXI APPTec CO LTD-A	5,888	545,460	0.09%
WUXI AUTOWELL TECHNOLOGY C-A	384	58,970	0.01%
XCMG CONSTRUCTION MACHIN-A	27,556	188,688	0.03%
XIAMEN C & D INC-A	6,716	71,616	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
XIAMEN FARATRONIC CO LTD-A	447	46,575	0.01%
XIAMEN TUNGSTEN CO LTD-A	2,837	52,301	0.01%
XINJIANG DAQO NEW ENERGY C-A	3,617	157,196	0.03%
XINJIANG GOLDWIND SCI&TECH-A	7,786	77,251	0.01%
XINJIANG GUANGHUI INDUSTRY-A	15,042	123,534	0.02%
YANGZHOU YANGJIE ELECTRONI-A	964	36,227	0.01%
YANTAI JEREH OILFIELD-A	1,888	64,721	0.01%
YANZHOU COAL MINING CO-A	8,757	190,620	0.03%
YEALINK NETWORK TECHNOLOGY-A	2,607	99,877	0.02%
YIFENG PHARMACY CHAIN CO L-A	1,827	68,875	0.01%
YIHAI KERRY ARAWANA HOLDIN-A	2,897	107,064	0.02%
YINTAI RESOURCES CO LTD-A	6,274	95,971	0.02%
YONGHUI SUPERSTORES CO LTD-A	20,535	70,858	0.01%
YONGXING SPECIAL MATERIALS-A	980	47,848	0.01%
YONYOU NETWORK TECHNOLOGY CO LTD	7,777	138,941	0.02%
YOUNGOR GROUP CO-A	10,383	79,356	0.01%
YTO EXPRESS GROUP CO LTD-A	7,789	125,843	0.02%
YUAN LONGPING HIGH-TECH AG-A	2,758	44,471	0.01%
YUNDA HOLDING CO LTD-A	6,645	70,430	0.01%
YUNNAN ALUMINIUM CO LTD-A	7,814	126,835	0.02%
YUNNAN BAIYAO GROUP CO LTD-A	3,857	220,986	0.04%
YUNNAN BOTANEE BIO-TECHNOL-A	810	81,246	0.01%
YUNNAN CHIHONG ZINC & GERM-A	11,700	66,532	0.01%
YUNNAN ENERGY NEW MATERIAL-A	2,364	152,217	0.03%
YUNNAN TIN CO LTD-A	3,523	54,268	0.01%
YUNNAN YUNTIANHUA CO-A	3,996	73,410	0.01%
ZANGGE MINING CO LTD-A	3,257	79,895	0.01%
ZHANGZHOU PIENZEHUANG PHA-A	1,366	404,114	0.07%
ZHEFU HOLDING GROUP CO LTD-A	12,548	52,335	0.01%
ZHEJIANG CENTURY HUATONG -A	17,522	90,974	0.02%
ZHEJIANG CHINA COMMODITIES-A	12,682	123,238	0.02%
ZHEJIANG CHINT ELECTRICS-A	4,699	117,642	0.02%
ZHEJIANG DAHUA TECHNOLOGY-A	7,477	178,993	0.03%
ZHEJIANG DINGLI MACHINERY -A	949	53,812	0.01%
ZHEJIANG HUAHAI PHARMACEUT-A	3,044	55,463	0.01%
ZHEJIANG HUAYOU COBALT CO - A	3,291	132,698	0.02%
ZHEJIANG JINGSHENG MECHAN-A	2,641	135,446	0.02%
ZHEJIANG JIUZHOU PHARMACEU-A	1,774	55,264	0.01%
ZHEJIANG JUHUA CO-A	5,996	98,099	0.02%
ZHEJIANG LONGSHENG GROUP C-A	7,105	70,953	0.01%
ZHEJIANG NHU CO LTD-A	6,924	120,874	0.02%
ZHEJIANG SANHUA INTELLIGEN-A	3,610	115,253	0.02%
ZHEJIANG SUPCON TECHNOLOGY-A	1,666	85,603	0.01%
ZHEJIANG SUPOR COOKWARE CO-A	983	51,228	0.01%
ZHEJIANG WEIMING ENVIRONME-A	3,548	67,506	0.01%
ZHEJIANG WEIXING NEW BUILD-A	3,296	64,660	0.01%
ZHEJIANG ZHENENG ELECTRIC-A	25,290	115,538	0.02%
ZHENGZHOU YUTONG BUS CO - A	4,800	68,264	0.01%
ZHESHANG SECURITIES CO LTD-A	8,815	95,515	0.02%
ZHONGJI INNOLIGHT CO LTD-A	1,888	235,017	0.04%
ZHONGJIN GOLD CORP-A	10,665	125,420	0.02%
ZHONGTAI SECURITIES CO LTD-A	16,076	122,694	0.02%
ZHUZHOU CRRC TIMES ELECTRI-A	1,547	66,152	0.01%
ZHUZHOU KIBING GROUP CO LT-A	6,003	52,914	0.01%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>China (continued)</b>			
ZIBO QIXIANG TENGDA CHEMIC-A	6,267	43,250	0.01%
ZIJIN MINING GROUP CO LTD-A	47,217	615,670	0.10%
ZTE CORP-A	9,250	324,947	0.06%
		92,862,243	
<b>Hong Kong</b>			
3SBIO INC	70,540	462,037	0.08%
AAC TECHNOLOGIES HOLDINGS IN	27,713	371,354	0.06%
AGRICULTURAL BANK OF CHINA-H	1,117,713	3,263,722	0.55%
AIR CHINA LIMITED-H	70,318	371,982	0.06%
AKESO INC	19,452	700,272	0.12%
ALIBABA GROUP HOLDING LTD	630,969	54,010,946	9.17%
ALIBABA HEALTH INFORMATION T	207,199	1,011,131	0.17%
ALUMINUM CORP OF CHINA LTD-H	151,965	665,607	0.11%
ANTA SPORTS PRODUCTS LTD	48,980	4,317,587	0.73%
AVICHINA INDUSTRY & TECH-H	98,300	365,676	0.06%
BAIDU INC-CLASS A	86,664	11,560,978	1.96%
BANK OF CHINA LTD-H	3,052,522	8,363,910	1.42%
BANK OF COMMUNICATIONS CO-H	342,144	1,621,763	0.28%
BEIGENE LTD	26,447	2,845,697	0.48%
BEIJING CAPITAL INTL AIRPO-H	74,320	269,782	0.05%
BEIJING ENTERPRISES HLDGS	19,422	524,394	0.09%
BEIJING ENTERPRISES WATER GR	159,160	272,164	0.05%
BILIBILI INC-CLASS Z	7,678	831,527	0.14%
BOC AVIATION LTD	8,037	439,222	0.07%
BOSIDENG INTL HLDGS LTD	147,165	495,946	0.08%
BYD CO LTD-H	40,081	9,699,602	1.65%
BYD ELECTRONIC INTL CO LTD	30,413	1,085,744	0.18%
C&D INTERNATIONAL INVESTMENT	28,271	538,845	0.09%
CGN POWER CO LTD-H	408,601	829,460	0.14%
CHINA CINDA ASSET MANAGEME-H	371,234	293,275	0.05%
CHINA CITIC BANK CORP LTD-H	349,035	1,270,487	0.22%
CHINA COAL ENERGY CO-H	79,107	485,717	0.08%
CHINA COMMON RICH RENEWABLE ENERGY INVES <sup>1</sup>	583,345	–	0.00%
CHINA COMMUNICATIONS SERVI-H	94,642	311,372	0.05%
CHINA CONCH VENTURE HOLDINGS	55,895	373,379	0.06%
CHINA CONSTRUCTION BANK-H	3,695,210	16,332,828	2.77%
CHINA EVERBRIGHT BANK CO L-H	125,505	294,937	0.05%
CHINA EVERBRIGHT ENVIRONMENT	142,018	383,449	0.07%
CHINA FEIHE LTD	139,774	645,756	0.11%
CHINA GALAXY SECURITIES CO-H	135,110	545,844	0.09%
CHINA GAS HOLDINGS LTD	104,813	774,568	0.13%
CHINA HONGQIAO GROUP LTD	92,334	708,202	0.12%
CHINA HUIZHAN DAIRY INVESTMENTS HK LTD <sup>1</sup>	296,760	–	0.00%
CHINA INTERNATIONAL CAPITA-H	58,695	842,860	0.14%
CHINA JINMAO HOLDINGS GROUP LTD	237,123	239,494	0.04%
CHINA LIFE INSURANCE CO-H	284,807	3,474,645	0.59%
CHINA LITERATURE LTD	15,656	448,544	0.08%
CHINA LONGYUAN POWER GROUP-H	128,691	877,673	0.15%
CHINA MEDICAL SYSTEM HOLDING	51,956	616,198	0.11%
CHINA MEIDONG AUTO HOLDINGS	26,663	114,384	0.02%
CHINA MENGNIU DAIRY CO	120,781	3,170,501	0.54%
CHINA MERCHANTS BANK - H	149,371	4,884,432	0.83%
CHINA MERCHANTS PORT HOLDINGS	53,971	526,217	0.09%

<sup>1</sup>These suspended stocks have been fully written off by the Manager for the period ended 30 September 2023.

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
CHINA MINSHENG BANKING-H	255,766	685,453	0.12%
CHINA MOLYBDENUM CO LTD-H	146,135	735,059	0.13%
CHINA NATIONAL BUILDING MA-H	149,282	613,549	0.10%
CHINA OILFIELD SERVICES-H	69,766	655,800	0.11%
CHINA OVERSEAS LAND & INVEST	146,618	2,381,076	0.40%
CHINA OVERSEAS PROPERTY HOLDINGS	51,974	459,450	0.08%
CHINA PACIFIC INSURANCE GR-H	100,926	1,978,150	0.34%
CHINA PETROLEUM & CHEMICAL CORP	948,506	4,059,606	0.69%
CHINA POWER INTERNATIONAL	190,628	543,290	0.09%
CHINA RAILWAY GROUP LTD-H	162,123	654,977	0.11%
CHINA RESOURCES BEER (HOLDINGS) CO LTD	62,095	2,666,980	0.45%
CHINA RESOURCES CEMENT	96,669	194,305	0.03%
CHINA RESOURCES GAS GROUP	35,669	818,604	0.14%
CHINA RESOURCES LAND LTD	122,829	3,832,265	0.65%
CHINA RESOURCES MIXC LIFESTY	26,365	833,134	0.14%
CHINA RESOURCES PHARMACEUTIC	60,507	314,636	0.05%
CHINA RESOURCES POWER HOLDIN	75,211	1,123,652	0.19%
CHINA RUYI HOLDINGS LTD	232,270	471,508	0.08%
CHINA SHENHUA ENERGY CO - H	129,277	3,283,636	0.56%
CHINA SOUTHERN AIRLINES CO-H	73,499	280,031	0.05%
CHINA STATE CONSTRUCTION INT	77,653	639,861	0.11%
CHINA TAIPING INSURANCE HOLD	55,375	431,925	0.07%
CHINA TOURISM GROUP DUTY F-H	3,145	326,765	0.06%
CHINA TOWER CORP LTD-H	1,732,843	1,299,632	0.22%
CHINA TRADITIONAL CHINESE MEDICINE HOLDI	116,912	426,729	0.07%
CHINA VANKE CO LTD-H	85,378	737,666	0.13%
CHINASOFT INTERNATIONAL LTD	104,960	585,677	0.10%
CHOW TAI FOOK JEWELRY	77,071	909,438	0.15%
CITIC LTD	222,681	1,603,303	0.27%
CITIC SECURITIES	70,665	1,122,160	0.19%
CONCH CEMENT CO LTD	47,584	992,126	0.17%
COSCO PACIFIC LTD	59,659	305,454	0.05%
COSCO SHIPPING ENERGY TRANSPORTATION CO	49,941	427,495	0.07%
COSCO SHIPPING HOLDINGS CO-H	115,572	928,043	0.16%
COUNTRY GARDEN HOLDINGS CO	479,200	436,072	0.07%
COUNTRY GARDEN SERVICES HOLD	84,504	682,792	0.12%
CRRC CORP LTD - H	168,398	636,544	0.11%
CSPC PHARMACEUTICAL GROUP LTD	341,713	1,961,433	0.33%
DONGFENG MOTOR GRP CO LTD-H	103,547	319,960	0.05%
DONGYUE GROUP	61,666	363,213	0.06%
EAST BUY HOLDING LTD	15,892	587,209	0.10%
ENN ENERGY HOLDINGS LTD	30,307	1,969,955	0.34%
FAR EAST HORIZON LTD	49,879	281,318	0.05%
FLAT GLASS GROUP CO LTD-H	16,479	290,030	0.05%
FOSUN INTERNATIONAL	95,012	470,309	0.08%
FUYAO GLASS INDUSTRY GROUP-H	23,384	839,486	0.14%
GANFENG LITHIUM CO LTD-H	15,562	500,318	0.08%
GCL POLY ENERGY HOLDINGS LTD	793,802	1,158,951	0.20%
GDS HOLDINGS LTD-CL A	36,641	395,723	0.07%
GEELY AUTOMOBILE HOLDINGS LTD	230,961	2,134,080	0.36%
GENSCRIPT BIOTECH CORP	44,839	925,925	0.16%
GF SECURITIES CO LTD-H	39,332	413,773	0.07%
GREAT WALL MOTOR COMPANY-H	89,354	834,566	0.14%
GREENTOWN CHINA HOLDINGS	39,060	317,558	0.05%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
GUANGDONG INVESTMENT LTD	113,359	677,887	0.12%
GUANGZHOU AUTOMOBILE GROUP-H	113,404	438,873	0.08%
HAILAO INTERNATIONAL HOLDI	65,358	1,372,518	0.23%
HAIER SMART HOME CO LTD-H	93,003	2,292,524	0.39%
HAITIAN INTERNATIONAL HLDGS	24,530	407,198	0.07%
HAITONG SECURITIES	105,085	493,899	0.08%
HANSOH PHARMACEUTICAL GROUP	45,634	486,458	0.08%
HENGAN INTL GROUP CO LTD	24,610	614,019	0.10%
HUA HONG SEMICONDUCTOR LTD	22,680	449,971	0.08%
HUANENG POWER INTL INC-H	163,687	622,011	0.11%
HUATAI SECURITIES CO LTD-H	49,690	496,900	0.08%
HYGEIA HEALTHCARE HOLDINGS C	13,364	586,680	0.10%
IND & COMM BK OF CHINA-H	2,501,293	9,429,875	1.60%
INNOVENT BIOLOGICS INC	44,974	1,720,255	0.29%
JD HEALTH INTERNATIONAL INC	42,597	1,729,438	0.29%
JD LOGISTICS INC	76,312	759,304	0.13%
JD.COM INC - CL A	90,192	10,381,099	1.76%
JIANGSU EXPRESS CO LTD-H	48,343	341,785	0.06%
JIANGXI COPPER COMPANY LTD-H	42,783	525,375	0.09%
JIUMAOJIU INTERNATIONAL HOLD	36,467	388,738	0.07%
KINGBOARD HOLDINGS LTD	25,630	450,575	0.08%
KINGBOARD LAMINATES HOLDING	37,064	205,335	0.04%
KINGDEE INTERNATIONAL SFTWR	108,681	1,047,685	0.18%
KINGSOFT CORP LTD	37,287	1,058,951	0.18%
KUAISHOU TECHNOLOGY	88,816	5,582,086	0.95%
KUNLUN ENERGY COMPANY LTD	150,122	1,013,323	0.17%
LENOVO GROUP LTD	278,536	2,247,786	0.38%
LI AUTO INC-CLASS A	43,019	5,949,528	1.01%
LI NING CO LTD	90,803	2,991,959	0.51%
LONGFOR GROUP HOLDINGS LTD	74,336	1,046,651	0.18%
MEITUAN-CLASS B	194,962	22,342,645	3.79%
MICROPORT SCIENTIFIC CORP	31,737	375,766	0.06%
MINTH GROUP LTD	29,108	586,526	0.10%
NETEASE INC	74,347	11,858,346	2.01%
NEW CHINA LIFE INSURANCE C-H	31,859	601,498	0.10%
NEW ORIENTAL EDUCATION & TEC	57,578	2,746,471	0.47%
NINE DRAGONS PAPER HOLDINGS	64,974	285,886	0.05%
NONGFU SPRING CO LTD-H	67,435	3,034,575	0.52%
ORIENT OVERSEAS INTL LTD	5,071	529,919	0.09%
PEOPLE'S INSURANCE	337,649	948,794	0.16%
PETROCHINA CO LTD-H	807,563	4,764,622	0.81%
PICC PROPERTY & CASUALTY -H	264,073	2,656,574	0.45%
PING AN HEALTHCARE AND TECHN	21,563	394,172	0.07%
PING AN INSURANCE GROUP CO-H	257,556	11,551,387	1.96%
POP MART INTERNATIONAL GROUP	18,455	427,233	0.07%
POSTAL SAVINGS BANK OF CHI-H	310,447	1,229,370	0.21%
SANY HEAVY EQUIPMENT INTL	42,800	529,864	0.09%
SHANDONG GOLD MINING CO LT-H	28,116	416,679	0.07%
SHANDONG WEIGAO GP MEDICAL-H	95,830	659,310	0.11%
SHANGHAI FOSUN PHARMACEUTI-A	19,154	352,434	0.06%
SHANGHAI PHARMACEU	28,324	346,119	0.06%
SHENZHEN INTL HOLDINGS	56,723	273,972	0.05%
SHENZHOU INTERNATIONAL GROUP	31,634	2,372,550	0.40%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
SINO BIOPHARMACEUTICAL	404,375	1,144,381	0.19%
SINOPHARM GROUP CO-H	52,454	1,190,706	0.20%
SINOTRUK HONG KONG LTD	26,424	398,474	0.07%
SMOORE INTERNATIONAL HOLDING	70,278	499,677	0.08%
SUNNY OPTICAL TECH	27,283	1,492,380	0.25%
TENCENT HOLDINGS LTD	257,510	78,849,562	13.39%
TINGYI (CAYMAN ISLN) HLDG CO	75,964	831,046	0.14%
TONGCHENG TRAVEL HOLDINGS LTD	47,504	817,069	0.14%
TOPSPORTS INTERNATIONAL HOLD	71,691	426,561	0.07%
TRAVELSKY TECHNOLOGY LTD-H	35,927	487,889	0.08%
TRIP.COM GROUP LTD	21,030	5,871,576	1.00%
TSINGTAO BREWERY CO LTD-H	24,324	1,559,168	0.27%
UNI-PRESIDENT CHINA HOLDINGS	51,261	281,935	0.05%
VINDA INTERNATIONAL HOLDINGS	14,298	269,088	0.05%
WANT WANT CHINA HOLDINGS LTD	183,117	935,728	0.16%
WEICHAI POWER CO LTD-H	74,868	798,093	0.14%
WUXI APPTEC CO LTD-H	14,056	1,317,750	0.22%
WUXI BIOLOGICS CAYMAN INC	146,356	6,681,151	1.13%
XIAOMI CORP-CLASS B	589,555	7,275,109	1.24%
XINYI SOLAR HLDGS	191,372	1,121,440	0.19%
XPENG INC - CLASS A SHARES	39,689	2,776,246	0.47%
XTEP INTERNATIONAL HOLDINGS HKD0.01	56,089	407,206	0.07%
YADEA GROUP HOLDINGS LTD	47,233	686,768	0.12%
YANKUANG ENERGY GROUP CO LTD	87,872	1,300,506	0.22%
YIHAI INTERNATIONAL HOLDING	20,254	273,429	0.05%
YUEXIU PROPERTY CO LTD	61,636	556,573	0.09%
ZAI LAB LTD	35,827	678,563	0.12%
ZHAOJIN MINING INDUSTRY - H	50,502	551,482	0.09%
ZHEJIANG EXPRESSWAY CO-H	46,946	274,165	0.05%
ZHONGAN ONLINE P&C INSURAN-H	27,360	632,016	0.11%
ZHONGSHENG GROUP HOLDINGS	32,066	707,055	0.12%
ZHUZHOU CRRC TIMES ELECTRIC	20,026	542,705	0.09%
ZIJIN MINING GROUP CO LTD-H	208,606	2,499,100	0.42%
ZTE CORP-H	29,116	688,593	0.12%
		445,291,348	
<b>United States</b>			
AUTOHOME INC-ADR	2,590	615,633	0.10%
DAQO NEW ENERGY CORP-ADR	2,320	550,002	0.09%
HUAZHU GROUP LTD-ADR	7,934	2,450,093	0.42%
IQIYI INC-ADR IQ	17,238	639,925	0.11%
JOYY INC	1,749	522,026	0.09%
KANZHUN LTD	8,388	996,569	0.17%
KE HOLDINGS INC	25,197	3,062,697	0.52%
LEGEND BIOTECH CORP-ADR LEGN	2,263	1,190,484	0.20%
LUFAX HOLDING LTD	27,137	225,284	0.04%
MINISO GROUP HOLDING LTD-ADR	3,644	739,165	0.13%
NIO INC - ADR	53,019	3,753,734	0.64%
PDD HOLDINGS INC	22,973	17,644,827	3.00%
QIFU TECHNOLOGY INC	4,301	517,397	0.09%
TAL EDUCATION GROUP- ADR	17,399	1,241,384	0.21%
TENCENT MUSIC ENTERTAINM-ADR TME	28,860	1,442,051	0.24%
VIPSHOP HOLDINGS LTD - ADS	12,998	1,629,789	0.28%
WEIBO CORP-SPON ADR	3,116	306,026	0.05%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### MSCI China ETF (continued)

<b>Financial assets at fair value through profit or loss</b>	<b>Holdings</b>	<b>Fair value HK\$</b>	<b>% of net assets</b>
<b>Listed equities (continued)</b>			
<b>United States (continued)</b>			
YUM CHINA HOLDINGS INC	16,030	6,995,329	1.19%
ZTO EXPRESS CAYMAN INC-ADR	16,519	3,126,972	0.53%
		<u>47,649,387</u>	
Total investments		<u>585,802,978</u>	<u>99.49%</u>
Total investments, at cost		<u>661,030,579</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### Hang Seng High Dividend Yield ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities</b>			
<b>Hong Kong</b>			
AGRICULTURAL BANK OF CHINA-H	8,421,824	24,591,726	2.33%
BANK OF CHINA LTD-H	8,117,148	22,240,985	2.11%
BANK OF COMMUNICATIONS CO-H	4,865,100	23,060,574	2.19%
BEIJING ENTERPRISES WATER GR	14,295,867	24,445,933	2.32%
BOC HONG KONG HOLDINGS LTD	805,925	17,287,091	1.64%
CHINA CINDA ASSET MANAGEME-H	21,842,372	17,255,474	1.64%
CHINA CITIC BANK CORP LTD-H	6,453,988	23,492,516	2.23%
CHINA COAL ENERGY CO-H	3,635,836	22,324,033	2.12%
CHINA CONSTRUCTION BANK-H	5,133,893	22,691,807	2.15%
CHINA EVERBRIGHT BANK CO L-H	11,185,658	26,286,296	2.49%
CHINA EVERBRIGHT ENVIRONMENT	8,458,863	22,838,930	2.17%
CHINA GALAXY SECURITIES CO-H	4,276,968	17,278,951	1.64%
CHINA HONGQIAO GROUP LTD	5,074,605	38,922,220	3.69%
CHINA MERCHANTS PORT HOLDINGS	2,024,305	19,736,974	1.87%
CHINA MINSHENG BANKING-H	7,447,813	19,960,139	1.89%
CHINA MOBILE LTD	318,393	20,918,420	1.98%
CHINA NATIONAL BUILDING MA-H	6,218,616	25,558,512	2.42%
CHINA PETROLEUM & CHEMICAL CORP	4,735,352	20,267,307	1.92%
CHINA RESOURCES CEMENT	5,025,759	10,101,776	0.96%
CHINA SHENHUA ENERGY CO - H	1,306,639	33,188,631	3.15%
CHINA STATE CONSTRUCTION INT	1,981,680	16,329,043	1.55%
CHINA TELECOM CORP LTD 'HCNY1	4,161,429	16,312,802	1.55%
CHINA UNICOM HONG KONG LTD HKD0.10	2,786,119	15,825,156	1.50%
CITIC LTD	2,489,585	17,925,012	1.70%
CK INFRASTRUCTURE HOLDINGS LTD	456,651	16,918,920	1.60%
CLP HOLDINGS LTD	311,462	18,049,223	1.71%
CNOOC LTD	2,887,276	39,786,663	3.77%
CONCH CEMENT CO LTD	1,107,567	23,092,772	2.19%
COSCO PACIFIC LTD	4,063,252	20,803,850	1.97%
CRRC CORP LTD - H	2,877,880	10,878,386	1.03%
DONGFENG MOTOR GRP CO LTD-H	8,958,949	27,683,152	2.63%
FAR EAST HORIZON LTD	3,852,538	21,728,314	2.06%
GUANGDONG INVESTMENT LTD	4,418,867	26,424,825	2.51%
HANG SENG BANK	117,635	11,463,531	1.09%
HKBN LTD 1310	7,573,456	24,159,325	2.29%
HYSAN DEVELOPMENT CO HKD5	1,183,990	17,972,968	1.70%
IND & COMM BK OF CHINA-H	5,777,009	21,779,324	2.07%
KERRY PROPERTIES LTD HKD1	1,444,440	19,239,941	1.82%
KINGBOARD HOLDINGS LTD	737,304	12,961,804	1.23%
KINGBOARD LAMINATES HOLDING	2,106,044	11,667,484	1.11%
LEE & MAN PAPER MANUFACTURIN	4,432,669	10,150,812	0.96%
NEW CHINA LIFE INSURANCE C-H	851,917	16,084,193	1.53%
NEW WORLD DEVELOPMENT	1,798,363	27,407,052	2.60%
PCCW LTD	7,879,097	28,128,376	2.67%
PEOPLE'S INSURANCE	6,227,627	17,499,632	1.66%
PETROCHINA CO LTD-H	5,094,181	30,055,668	2.85%
POWER ASSETS HOLDINGS LTD	516,191	19,563,639	1.85%
UNI-PRESIDENT CHINA HOLDINGS	2,698,508	14,841,794	1.41%
XINYI GLASS HOLDING CO LTD	1,489,209	15,100,579	1.43%
YUEXIU PROPERTY CO LTD	2,803,816	25,318,458	2.40%
Total investments		<u>1,047,600,993</u>	<u>99.35%</u>
Total investments, at cost		<u>1,191,639,259</u>	



## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### China Biotech ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
APELOA PHARMACEUTICAL CO-A	125,100	2,250,549	0.42%
BEIJING TANTAN BIOLOGICAL-A	771,600	19,776,108	3.64%
BEIJING WANTAI BIOLOGICAL-A	49,800	2,389,404	0.44%
BETTA PHARMACEUTICALS CO L-A	64,500	3,694,560	0.68%
BGI GENOMICS CO LTD-A	42,862	2,236,111	0.41%
CHINA NATIONAL MEDICINES-A	512,800	16,999,320	3.13%
GAN & LEE PHARMACEUTICALS -A	69,200	3,122,304	0.58%
HUALAN BIOLOGICAL ENGINEER-A	472,300	10,485,060	1.93%
HUBEI JUMPCAN PHARMACEUT-A	328,200	8,972,988	1.65%
JIANGSU HENGRUI MEDICINE C-A	1,023,130	45,979,462	8.47%
LIVON PHARMACEUTICAL GROU-A	335,700	12,400,758	2.28%
NANJING KING-FRIEND BIOCHE-A	386,200	4,900,878	0.90%
REMEGEN CO LTD-A	26,075	1,604,395	0.30%
SHANGHAI JUNSHI BIOSCIENCE-A	117,499	4,523,712	0.83%
SHANGHAI RAAS BLOOD PRODUC-A	3,666,600	25,519,536	4.70%
SHENZHEN KANGTAI BIOLOGICA-A	465,700	13,631,039	2.51%
WALVAX BIOTECHNOLOGY CO-A	275,400	6,482,916	1.19%
WUXI APTEC CO LTD-A	718,851	61,950,579	11.41%
ZHEJIANG WOLWO BIO-PHARMAC-A	258,100	7,678,475	1.42%
		254,598,154	
<b>Hong Kong</b>			
3D MEDICINES INC	118,093	1,502,871	0.28%
AKESO INC	434,738	14,559,336	2.68%
CHINA MEDICAL SYSTEM HOLDING	1,133,131	12,501,907	2.30%
GENSCRIPT BIOTECH CORP	853,876	16,403,115	3.02%
HANSOH PHARMACEUTICAL GROUP	961,905	9,538,956	1.76%
HYGEIA HEALTHCARE HOLDINGS C	281,193	11,483,665	2.12%
INNOVENT BIOLOGICS INC	1,076,258	38,296,519	7.06%
SIMCERE PHARMACEUTICAL GROUP	560,981	3,339,947	0.62%
SINO BIOPHARMACEUTICAL	8,322,763	21,911,163	4.04%
WUXI BIOLOGICS CAYMAN INC	1,296,264	55,048,528	10.14%
		184,586,007	
<b>United States</b>			
ZAI LAB LTD-ADR	85,099	15,072,469	2.78%
		15,072,469	
Total listed equities		454,256,630	83.69%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### China Biotech ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted funded total return swaps</b>						
<b>China</b>						
<b>APELOA</b>						
PHARMACEUTICAL CO-(Fully funded Swap)	16-Feb-24	APELOA PHARMACEUTICAL CO-A	Mirae Asset Securities (HK) Limited	372,000	6,692,280	1.23%
<b>BETTA</b>						
PHARMACEUTICALS CO L-(Fully funded Swap)	16-Feb-24	BETTA PHARMACEUTICALS CO L-A	Mirae Asset Securities (HK) Limited	203,000	11,627,840	2.14%
<b>BGI GENOMICS CO LTD-(Fully funded Swap)</b>						
	10-Nov-23	BGI GENOMICS CO LTD-A	Mirae Asset Securities (HK) Limited	179,200	9,348,864	1.72%
<b>GAN &amp; LEE PHARMACEUTICALS -(Fully funded Swap)</b>						
	15-Dec-23	GAN & LEE PHARMACEUTICALS -A	Mirae Asset Securities (HK) Limited	310,000	13,987,200	2.58%
<b>HUALAN BIOLOGICAL ENGINEER-(Fully funded Swap)</b>						
	15-Nov-23	HUALAN BIOLOGICAL ENGINEER-A	Mirae Asset Securities (HK) Limited	339,000	7,525,800	1.39%
<b>REMEGEN CO LTD-(Fully funded Swap)</b>						
	16-Feb-24	REMEGEN CO LTD-A	Mirae Asset Securities (HK) Limited	81,500	5,014,695	0.93%
<b>SHANGHAI JUNSHI BIOSCIENCE-(Fully funded Swap)</b>						
	06-Nov-23	SHANGHAI JUNSHI BIOSCIENCE-A	Mirae Asset Securities (HK) Limited	286,800	11,041,800	2.03%
<b>SHANGHAI JUNSHI BIOSCIENCE-(Fully funded Swap)</b>						
	16-Feb-24	SHANGHAI JUNSHI BIOSCIENCE-A	Mirae Asset Securities (HK) Limited	64,000	2,464,000	0.45%
<b>WALVAX BIOTECHNOLOGY CO-(Fully funded Swap)</b>						
	16-Feb-24	WALVAX BIOTECHNOLOGY CO-A	Mirae Asset Securities (HK) Limited	874,000	20,573,960	3.79%
					<u>88,276,439</u>	
Total unlisted funded total return swaps					<u>88,276,439</u>	<u>16.26%</u>
Total investments					<u>542,533,069</u>	<u>99.95%</u>
Total investments, at cost					<u>709,768,252</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### China Cloud Computing ETF

Financial assets at fair value through profit or loss	Holdings	Fair value RMB	% of net assets
<b>Listed equities</b>			
<b>China</b>			
BEIJING KINGSOFT OFFICE SO-A	13,920	5,161,536	1.51%
BEIJING SHIJI INFORMATION -A	56,300	644,635	0.19%
BEIJING SINNET TECHNOLOGY-A	109,815	1,076,187	0.31%
CETC CYBERSPACE SECURITY T-A	23,000	546,940	0.16%
CHINA NATIONAL SOFTWARE -A	18,500	700,965	0.20%
CHINA TRANSINFO TECHNOLOGY-A	80,500	961,170	0.28%
DHC SOFTWARE CO LTD -A	148,200	963,300	0.28%
EMPYREAN TECHNOLOGY CO LTD-A	28,300	2,969,236	0.87%
HITHINK ROYALFLUSH INFORMA-A	23,487	3,510,367	1.02%
HUNDSUN ELECTRONIC CO LTD-A	568,582	18,450,486	5.38%
IFLYTEK CO LTD	501,900	25,426,254	7.41%
LONGSHINE TECHNOLOGY GROUP-A	46,700	898,975	0.26%
NAVINFO CO LTD - A	106,800	1,081,884	0.32%
RUIJIE NETWORKS CO LTD-A	22,700	989,720	0.29%
SANGFOR TECHNOLOGIES INC-A	19,230	1,794,351	0.52%
SHANGHAI BAOSIGHT SOFTWARE-A	64,200	2,900,556	0.85%
TAIJI COMPUTER CORP LTD-A	29,800	911,880	0.27%
THUNDER SOFTWARE TECHNOLOG-A	141,300	10,819,341	3.15%
TSINGHUA TONGFANG CO LTD-A	152,100	1,105,767	0.32%
WINNING HEALTH TECHNOLOGY-A	355,517	2,591,719	0.76%
YONYOU NETWORK TECHNOLOGY CO LTD	613,100	10,189,722	2.97%
ZHEJIANG CENTURY HUATONG -A	973,511	4,702,058	1.37%
		<u>98,397,049</u>	
<b>Hong Kong</b>			
KINGDEE INTERNATIONAL SFTWR	910,155	8,162,137	2.38%
KINGSOFT CORP LTD	304,411	8,042,483	2.34%
SENSETIME GROUP INC-CLASS B	6,470,023	8,607,030	2.51%
TENCENT HOLDINGS LTD	110,402	31,448,041	9.17%
		<u>56,259,691</u>	
<b>United States</b>			
ALIBABA GROUP HOLDING-SP ADR BABA	52,498	33,177,004	9.67%
KE HOLDINGS INC	328,153	37,105,910	10.82%
LUFAX HOLDING LTD	753,877	5,822,123	1.70%
NETEASE INC-ADR	47,139	34,399,305	10.03%
		<u>110,504,342</u>	
Total listed equities		<u>265,161,082</u>	<u>77.31%</u>

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### China Cloud Computing ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted funded total return swaps</b>						
<b>China</b>						
BEIJING KINGSOFT OFFICE SO-(Fully funded Swap)	15-Dec-23	BEIJING KINGSOFT OFFICE SO-A	Mirae Asset Securities (HK) Limited	35,000	12,978,000	3.78%
BEIJING SHIJI INFORMATION-(Fully funded Swap)	15-Dec-23	BEIJING SHIJI INFORMATION -A	Mirae Asset Securities (HK) Limited	179,300	2,052,985	0.60%
BEIJING SINNET TECHNOLOGY-(Fully funded Swap)	16-Feb-24	BEIJING SINNET TECHNOLOGY-A	Mirae Asset Securities (HK) Limited	328,000	3,214,400	0.94%
CETC CYBERSPACE SECURITY T-(Fully funded Swap)	15-Dec-23	CETC CYBERSPACE SECURITY T-A	Mirae Asset Securities (HK) Limited	171,900	4,087,782	1.19%
CHINA NATIONAL SOFTWARE-(Fully funded Swap)	15-Dec-23	CHINA NATIONAL SOFTWARE -A	Mirae Asset Securities (HK) Limited	151,600	5,744,124	1.67%
CHINA TRANSINFO TECHNOLOGY-(Fully funded Swap)	16-Feb-24	CHINA TRANSINFO TECHNOLOGY-A	Mirae Asset Securities (HK) Limited	239,000	2,853,660	0.83%
DHC SOFTWARE CO LTD-(Fully funded Swap)	15-Dec-23	DHC SOFTWARE CO LTD -A	Mirae Asset Securities (HK) Limited	517,400	3,363,100	0.98%
HITHINK ROYALFLUSH INFORMA-(Fully funded Swap)	15-Dec-23	HITHINK ROYALFLUSH INFORM-A	Mirae Asset Securities (HK) Limited	41,980	6,274,331	1.83%
LONGSHINE TECHNOLOGY GROUP-(Fully funded Swap)	16-Feb-24	LONGSHINE TECHNOLOGY GROUP-A	Mirae Asset Securities (HK) Limited	140,000	2,695,000	0.78%
NAVINFO CO LTD-(Fully funded Swap)	15-Dec-23	NAVINFO CO LTD - A	Mirae Asset Securities (HK) Limited	574,200	5,816,646	1.70%
SANGFOR TECHNOLOGIES INC-(Fully funded Swap)	15-Dec-23	SANGFOR TECHNOLOGIES INC-A	Mirae Asset Securities (HK) Limited	56,000	5,225,360	1.52%
SHANGHAI BAOSIGHT SOFTWARE-(Fully funded Swap)	15-Dec-23	SHANGHAI BAOSIGHT SOFTWARE-A	Mirae Asset Securities (HK) Limited	137,300	6,203,214	1.81%
TAIJI COMPUTER CORP LTD-(Fully funded Swap)	16-Feb-24	TAIJI COMPUTER CORP LTD-A	Mirae Asset Securities (HK) Limited	110,000	3,366,000	0.98%
TSINGHUA TONGFANG CO LTD-(Fully funded Swap)	16-Feb-24	TSINGHUA TONGFANG CO LTD-A	Mirae Asset Securities (HK) Limited	573,900	4,172,253	1.22%
WINNING HEALTH TECHNOLOGY-(Fully funded Swap)	15-Dec-23	WINNING HEALTH TECHNOLOGY-A	Mirae Asset Securities (HK) Limited	628,600	4,582,494	1.34%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### China Cloud Computing ETF (continued)

Financial assets at fair value through profit or loss	Maturity	Underlying assets	Counterparty	Holdings	Fair value RMB	% of net assets
<b>Unlisted funded total return swaps (continued)</b>						
<b>China (continued)</b>						
ZHEJIANG CENTURY HUATONG-(Fully funded Swap)	15-Dec-23	ZHEJIANG CENTURY HUATONG -A	Mirae Asset Securities (HK) Limited	1,027,217	4,961,458	1.45%
					<u>77,590,807</u>	
Total unlisted funded total return swaps					<u>77,590,807</u>	<u>22.62%</u>
Total investments					<u>342,751,889</u>	<u>99.93%</u>
Total investments, at cost					<u>486,372,010</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### Hang Seng ESG ETF

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities</b>			
<b>Hong Kong</b>			
AIA GROUP LTD USD1.00	26,118	1,667,634	7.59%
ALIBABA GROUP HOLDING LTD	8,329	712,962	3.25%
ALIBABA HEALTH INFORMATION T	13,898	67,822	0.31%
ANTA SPORTS PRODUCTS LTD	7,261	640,057	2.91%
BAIDU INC-CLASS A	2,047	273,070	1.24%
BANK OF CHINA LTD-H	50,542	138,485	0.63%
BOC HONG KONG HOLDINGS LTD	5,653	121,257	0.55%
BUDWEISER BREWING CO APAC LT	9,002	139,171	0.63%
BYD CO LTD-H	1,303	315,326	1.44%
CHINA CONSTRUCTION BANK-H	65,113	287,800	1.31%
CHINA LIFE INSURANCE CO-H	7,196	87,791	0.40%
CHINA MENGNIU DAIRY CO	1,681	44,126	0.20%
CHINA MERCHANTS BANK - H	2,159	70,599	0.32%
CHINA MOBILE LTD	4,982	327,317	1.49%
CHINA OVERSEAS LAND & INVEST	22,387	363,565	1.65%
CHINA RESOURCES BEER (HOLDINGS) CO LTD	848	36,422	0.17%
CHINA RESOURCES LAND LTD	7,727	241,082	1.10%
CHINA RESOURCES MIXC LIFESTY	5,665	179,014	0.82%
CHINA UNICOM HONG KONG LTD HKD0.10	2,914	16,552	0.07%
CHOW TAI FOOK JEWELRY	11,282	133,128	0.61%
CK ASSET HOLDINGS LTD	9,908	408,705	1.86%
CK HUTCHISON HOLDINGS LTD	2,215	92,809	0.42%
COUNTRY GARDEN SERVICES HOLD	20,341	164,355	0.75%
CSPC PHARMACEUTICAL GROUP LTD	3,510	20,147	0.09%
ENN ENERGY HOLDINGS LTD	628	40,820	0.19%
GALAXY ENTERTAINMENT GROUP L	1,652	77,892	0.35%
GEELY AUTOMOBILE HOLDINGS LTD	28,942	267,424	1.22%
HAIDLAO INTERNATIONAL HOLDI	1,026	21,546	0.10%
HAIER SMART HOME CO LTD-H	1,377	33,943	0.15%
HANG LUNG PROPERTIES LTD HKD1	11,443	122,669	0.56%
HANG SENG BANK	3,362	327,627	1.49%
HANSON PHARMACEUTICAL GROUP	534	5,692	0.03%
HENDERSON LAND DEVELOPMENT HKD2	5,684	117,375	0.53%
HENGAN INTL GROUP CO LTD	385	9,606	0.04%
HONG KONG & CHINA GAS HKD0.25	32,336	176,555	0.80%
HONG KONG EXCHANGES & CLEAR HKD1	5,986	1,751,504	7.97%
HSBC HOLDINGS PLC	15,253	941,110	4.28%
IND & COMM BK OF CHINA-H	39,122	147,490	0.67%
JD HEALTH INTERNATIONAL INC	2,252	91,431	0.42%
JD.COM INC - CL A	1,736	199,814	0.91%
LENOVO GROUP LTD	38,490	310,614	1.41%
LI NING CO LTD	12,505	412,040	1.88%
LINK REIT NPV	23,999	921,562	4.20%
LONGFOR GROUP HOLDINGS LTD	12,172	171,382	0.78%
MEITUAN-CLASS B	9,516	1,090,534	4.97%
MTR CORP	5,428	168,268	0.77%
NETEASE INC	3,355	535,123	2.44%
NEW WORLD DEVELOPMENT	8,359	127,391	0.58%
NONGFU SPRING CO LTD-H	1,932	86,940	0.40%
ORIENT OVERSEAS INTL LTD	259	27,066	0.12%
PING AN INSURANCE GROUP CO-H	32,339	1,450,404	6.60%
SANDS CHINA LTD	11,709	281,016	1.28%
SEMICONDUCTOR MANUFACTURING INTL CORP	1,849	37,072	0.17%
SHENZHOU INTERNATIONAL GROUP	4,708	353,100	1.61%
SINO BIOPHARMACEUTICAL	5,404	15,293	0.07%
SINOPHARM GROUP CO-H	6,607	149,979	0.68%
SUN HUNG KAI PROPERTIES HKD0.50	8,364	700,903	3.19%

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### Hang Seng ESG ETF(continued)

Financial assets at fair value through profit or loss	Holdings	Fair value HK\$	% of net assets
<b>Listed equities (continued)</b>			
<b>Hong Kong (continued)</b>			
SUNNY OPTICAL TECH	1,073	58,693	0.27%
TECHTRONIC INDUSTRIES CO	2,589	196,764	0.90%
TENCENT HOLDINGS LTD	5,586	1,710,433	7.79%
TINGYI (CAYMAN ISLN) HLDG CO	811	8,872	0.04%
TRIP.COM GROUP LTD	147	41,042	0.19%
WHARF REAL ESTATE INVESTMENT 1997	7,636	230,989	1.05%
WUXI BIOLOGICS CAYMAN INC	25,343	1,156,908	5.27%
XIAOMI CORP-CLASS B	40,856	504,163	2.30%
XINYI GLASS HOLDING CO LTD	1,571	15,930	0.07%
XINYI SOLAR HLDGS	8,361	48,995	0.22%
ZHONGSHENG GROUP HOLDINGS	6,343	139,863	0.64%
Total investments		21,833,033	99.41%
Total investments, at cost		25,866,175	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### Electric Vehicle and Battery Active ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Listed equities</b>			
<b>China</b>			
CONTEMPORARY AMPEREX TECHN-A	14,610	407,132	7.55%
GANFENG LITHIUM GROUP CO L-A	25,367	155,285	2.88%
SHENZHEN DYNANONIC CO LTD-A	4,821	50,660	0.94%
SHENZHEN INOVANCE TECHNOLOG-A	5,688	51,909	0.96%
THUNDER SOFTWARE TECHNOLOG-A	3,927	41,271	0.75%
WUXI LEAD INTELLIGENT EQUI-A	50,243	187,711	3.48%
YUNNAN ENERGY NEW MATERIAL-A	7,793	64,070	1.19%
ZHEJIANG HANGKE TECHNOLOGY-A	46,543	167,052	3.10%
ZHEJIANG SHUANGHUAN DRIVEL-A	25,418	99,359	1.84%
		<u>1,224,449</u>	
<b>France</b>			
STMICROELECTRONICS NV EUR1.04	4,328	187,804	3.48%
		<u>187,804</u>	
<b>Germany</b>			
INFINEON TECHNOLOGIES AG	7,923	263,021	4.88%
		<u>263,021</u>	
<b>Hong Kong</b>			
BYD CO LTD-H	15,763	487,069	9.03%
BYD ELECTRONIC INTL CO LTD	27,351	124,675	2.31%
FUYAO GLASS INDUSTRY GROUP-H	41,121	188,493	3.49%
L.K. TECH HLDG LTD COMMON SHARES	83,032	82,482	1.53%
TECHTRONIC INDUSTRIES CO	2,823	27,394	0.51%
		<u>910,113</u>	
<b>Japan</b>			
DENSO CORP NPV	11,112	178,790	3.31%
NIDEC CORP NPV	2,128	98,838	1.83%
PANASONIC HOLDINGS CORP	13,341	150,374	2.79%
RENESAS ELECTRONICS CORP NPV	3,415	52,304	0.97%
		<u>480,306</u>	
<b>South Korea</b>			
L&F CO LTD KRW500	238	30,583	0.57%
LG CHEM LTD	241	88,674	1.64%
LG ENERGY SOLUTION	51	18,009	0.33%
POSCO HOLDINGS INC	72	28,546	0.53%
SAMSUNG SDI CO LTD KRW5000	551	209,065	3.88%
		<u>374,877</u>	
<b>United States</b>			
ALBEMARLE CORP	760	129,230	2.40%
NVIDIA CORP	1,100	478,489	8.87%
NXP SEMICONDUCTORS NV	1,295	258,896	4.80%
TESLA INC	1,886	471,915	8.75%
TEXAS INSTRUMENTS INC COM USD1	1,690	268,727	4.98%
		<u>1,607,257</u>	
Total investments		<u>5,047,827</u>	<u>93.57%</u>
Total investments, at cost		<u>5,625,050</u>	



## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### Metaverse Theme Active ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Listed equities</b>			
<b>China</b>			
LUXSHARE PRECISIONIndustr-A	12,667	51,845	2.79%
SHANGHAI AWINIC TECHNOLOGY-A	1,900	17,225	0.93%
		<u>69,070</u>	
<b>Hong Kong</b>			
KUAISHOU TECHNOLOGY	2,671	21,435	1.15%
NETEASE INC	3,880	79,019	4.25%
SUNNY OPTICAL TECH	1,900	13,270	0.71%
TENCENT HOLDINGS LTD	1,595	62,359	3.35%
		<u>176,083</u>	
<b>Japan</b>			
NINTENDO CO LTD NPV	938	39,161	2.10%
SONY GROUP CORP	530	43,473	2.34%
		<u>82,634</u>	
<b>South Korea</b>			
HYBE CO LTD	181	31,924	1.72%
NAVER CORP	153	22,847	1.23%
		<u>54,771</u>	
<b>Taiwan</b>			
TAIWAN SEMICONDUCTOR MANUFAC	7,306	118,368	6.36%
		<u>118,368</u>	
<b>United States</b>			
ACTIVISION BLIZZARD INC COM STK USD0.000001	692	64,792	3.48%
ADV MICRO DEVICES INC COM USD0.01	404	41,539	2.23%
ALPHABET INC-CL A GOOGL	311	40,697	2.19%
AMAZON.COM INC USD0.01	323	41,060	2.21%
APPLE INC	750	128,408	6.90%
AUTODESK INC COM USD0.01	293	60,625	3.26%
BAIDU INC - SPON ADR	623	83,700	4.50%
CROWDSTRIKE HOLDINGS INC - A CRWD	113	18,914	1.02%
IQIYI INC-ADR IQ	3,554	16,846	0.91%
META PLATFORMS INC-CLASS A	300	90,063	4.84%
MICROSOFT CORP COM USD0.00000625	512	161,664	8.69%
NETEASE INC-ADR	203	20,332	1.09%
NVIDIA CORP	395	171,821	9.24%
PDD HOLDINGS INC	829	81,300	4.37%
QUALCOMM INC	241	26,765	1.44%
ROBLOX CORP -CLASS A	618	17,897	0.96%
SEA LTD-ADR SE	495	21,755	1.17%
TESLA INC	164	41,036	2.21%
UNITY SOFTWARE INC U	367	11,520	0.62%
WALT DISNEY CO/THE DISNEY COM USD0.01	699	56,654	3.05%
		<u>1,197,388</u>	
Total investments		<u>1,698,314</u>	<u>91.31%</u>
Total investments, at cost		<u>1,638,744</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### Hang Seng TECH ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Listed equities</b>			
<b>Hong Kong</b>			
ALIBABA GROUP HOLDING LTD	2,917,133	249,706,585	8.13%
ALIBABA HEALTH INFORMATION T	8,683,199	42,374,011	1.38%
BAIDU INC-CLASS A	1,134,398	151,328,693	4.93%
BILIBILI INC-CLASS Z	432,964	46,890,001	1.53%
BYD ELECTRONIC INTL CO LTD	1,264,985	45,159,964	1.47%
CHINA LITERATURE LTD	735,001	21,057,779	0.69%
EAST BUY HOLDING LTD	813,144	30,045,671	0.98%
GDS HOLDINGS LTD-CL A	611,315	6,602,202	0.21%
HAIER SMART HOME CO LTD-H	3,897,456	96,072,290	3.13%
HUA HONG SEMICONDUCTOR LTD	1,049,164	20,815,414	0.68%
JD HEALTH INTERNATIONAL INC	1,785,255	72,481,353	2.36%
JD.COM INC - CL A	1,981,819	228,107,367	7.42%
KINGDEE INTERNATIONAL SFTWR	4,460,765	43,001,775	1.40%
KINGSOFT CORP LTD	1,530,303	43,460,605	1.41%
KUAISHOU TECHNOLOGY	3,862,599	242,764,347	7.90%
LENOVO GROUP LTD	12,645,149	102,046,352	3.32%
LI AUTO INC-CLASS A	1,641,904	227,075,323	7.39%
MEITUAN-CLASS B	1,931,300	221,326,980	7.20%
NETEASE INC	1,108,433	176,795,063	5.75%
NIO INC-CLASS A	81,436	5,818,602	0.19%
PING AN HEALTHCARE AND TECHN	987,045	18,043,183	0.59%
SEMICONDUCTOR MANUFACTURING INTL CORP	6,701,661	134,368,303	4.37%
SENSETIME GROUP INC-CLASS B	29,527,127	42,223,792	1.37%
SUNNY OPTICAL TECH	1,143,608	62,555,358	2.04%
TENCENT HOLDINGS LTD	805,001	246,491,306	8.02%
TRIP.COM GROUP LTD	219,282	61,223,534	1.99%
WEIBO CORP-CLASS A	3,903	391,081	0.01%
XIAOMI CORP-CLASS B	21,419,017	264,310,670	8.60%
XPENG INC - CLASS A SHARES	1,943,739	135,964,543	4.42%
ZHONGAN ONLINE P&C INSURAN-H	1,366,467	31,565,388	1.03%
Total investments		<u>3,070,067,535</u>	<u>99.91%</u>
Total investments, at cost		<u>3,172,322,970</u>	

## GLOBAL X ETF SERIES

### INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### USD Money Market ETF

Financial assets at fair value through profit or loss	Holdings	Fair value USD	% of net assets
<b>Debt securities</b>			
<b>China</b>			
AGRICULTURAL BK CHINA/HK CD 5.67% 11/30/23	1,000,000	1,005,025	3.75%
INDUSTRIAL AND COMMERCIAL BANK OF CHINA/LUX CD 5.5% 09/05/24	800,000	798,411	2.98%
		<u>1,803,436</u>	
<b>United States</b>			
TREASURY BILL B 10/03/23	500,000	499,708	1.87%
TREASURY BILL B 10/17/23	200,000	199,472	0.74%
		<u>699,180</u>	
Total investments		<u>2,502,616</u>	<u>9.34%</u>
Total investments, at cost		<u>2,495,706</u>	

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Communication Services</b>					
37 INTERACTIVE ENTERTAINME-A	9,477	3,000	–	7,724	4,753
AUTOHOME INC-ADR	5,379	1,928	–	4,717	2,590
BAIDU INC-CLASS A	157,389	61,233	–	131,958	86,664
BEIJING ENLIGHT MEDIA CO L-A	12,548	4,400	–	10,307	6,641
BEIJING KUNLUN TECH CO LTD-A	–	3,100	–	905	2,195
BILIBILI INC-CLASS Z	13,542	5,336	–	11,200	7,678
CHINA FILM CO LTD-A	–	4,100	–	–	4,100
CHINA LITERATURE LTD	29,013	10,720	–	24,077	15,656
CHINA RUYI HOLDINGS LTD	392,748	168,973	–	329,451	232,270
CHINA TOWER CORP LTD-H	3,164,110	1,201,163	–	2,632,430	1,732,843
CHINA UNITED NETWORK-A	136,244	49,700	–	112,909	73,035
FOCUS MEDIA INFORMATION TE-A	61,888	22,900	–	50,942	33,846
G-BITS NETWORK TECHNOLOGY-A	264	200	–	219	245
GIANT NETWORK GROUP CO LTD-A	–	5,800	–	1,670	4,130
IQIYI INC-ADR IQ	31,056	11,760	–	25,578	17,238
JIANGSU PHOENIX PUBLISH-A	–	5,500	–	–	5,500
JOYY INC	3,336	1,200	–	2,787	1,749
KANZHUN LTD	13,007	6,175	–	10,794	8,388
KINGNET NETWORK CO LTD-A 002517	–	4,700	–	–	4,700
KINGSOFT CORP LTD	68,062	25,855	–	56,630	37,287
KUAISHOU TECHNOLOGY	126,152	76,133	–	113,469	88,816
MANGO EXCELLENT MEDIA CO L-A	7,998	2,500	–	6,498	4,000
NETEASE INC	140,635	53,204	–	119,492	74,347
PEOPLE.CN CO LTD-A	–	2,300	–	–	2,300
PERFECT WORLD CO LTD-A	8,265	2,600	–	6,701	4,164
SHANGHAI ORIENTAL PEARL ME-A	–	7,700	–	–	7,700
TENCENT HOLDINGS LTD	444,526	183,724	–	370,740	257,510
TENCENT MUSIC ENTERTAINM-ADR TME	50,810	20,327	–	42,277	28,860
WANDA FILM HOLDING CO LTD-A	9,380	3,000	–	7,628	4,752
WEIBO CORP-SPON ADR	5,058	2,234	–	4,176	3,116
ZHEJIANG CENTURY HUATONG -A	31,953	11,900	–	26,331	17,522
			–		
<b>Consumer Discretionary</b>					
AIMA TECHNOLOGY GROUP CO L-A	–	1,800	–	545	1,255
ALIBABA GROUP HOLDING LTD	1,058,225	484,856	–	912,112	630,969
ANHUI JIANGHUAI AUTO CO-A	–	4,800	–	–	4,800
ANTA SPORTS PRODUCTS LTD	87,159	34,351	–	72,530	48,980
BAIC BLUEPARK NEW ENERGY-A	–	12,700	–	–	12,700
BEIJING ROBOROCK TECHNOLOG-A	–	200	80	85	195
BEIQI FOTON MOTOR CO LTD-A	–	18,200	–	–	18,200
BETHEL AUTOMOTIVE SAFETY S-A	1,710	600	–	1,422	888
BOSIDENG INTL HLDGS LTD	233,123	113,197	–	199,155	147,165
BTG HOTELS GROUP CO LTD-A	4,816	1,100	–	3,867	2,049
BYD CO LTD-A	7,759	2,800	–	6,393	4,166
BYD CO LTD-H	58,775	32,090	–	50,784	40,081
CHANGZHOU XINGYU AUTOMOTIV-A	1,189	500	–	977	712
CHINA MEIDONG AUTO HOLDINGS	47,966	18,240	–	39,543	26,663
CHINA TOURISM GROUP DUTY F-A	8,360	2,900	–	6,948	4,312
CHINA TOURISM GROUP DUTY F-H	4,982	2,417	–	4,254	3,145
CHONGQING CHANGAN AUTOMOB-A	35,411	12,900	–	29,142	19,169
CHOW TAI FOOK JEWEL	142,748	52,720	–	118,397	77,071
DONGFENG MOTOR GRP CO LTD-H	203,829	70,800	–	171,082	103,547
EAST BUY HOLDING LTD	28,676	10,880	–	23,664	15,892
ECOVACS ROBOTICS CO LTD-A	2,502	500	–	1,998	1,004
FUYAO GLASS INDUSTRY GROUP-H	43,309	16,000	–	35,925	23,384

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Consumer Discretionary (continued)</b>					
FUYAO GROUP GLASS INDUSTR-A	8,624	2,600	–	6,999	4,225
GEELY AUTOMOBILE HOLDINGS LTD	430,692	162,620	–	362,351	230,961
GREAT WALL MOTOR CO LTD-A	10,524	3,700	–	8,700	5,524
GREAT WALL MOTOR COMPANY-H	213,486	61,120	–	185,252	89,354
GREE ELECTRIC APPLIANCES I-A	12,026	4,100	–	9,828	6,298
GUANGZHOU AUTOMOBILE GROUP-A	20,997	7,100	–	17,168	10,929
GUANGZHOU AUTOMOBILE GROUP-H	210,105	77,520	–	174,221	113,404
HAILAO INTERNATIONAL HOLDI	79,570	59,536	–	73,748	65,358
HAIER SMART HOME CO LTD-A	27,058	9,700	–	22,187	14,571
HAIER SMART HOME CO LTD-H	164,230	67,621	–	138,848	93,003
HANG ZHOU GREAT STAR INDUS-A	–	2,500	–	–	2,500
HANGZHOU ROBAM APPLIANCES-A	4,113	1,000	–	3,278	1,835
HISENSE ELECTRIC CO LTD-A	–	2,800	–	–	2,800
HUAYU AUTOMOTIVE SYSTEMS -A	13,496	4,600	–	11,046	7,050
HUAZHU GROUP LTD-ADR	13,784	5,624	–	11,474	7,934
HUIZHOU DESAY SV AUTOMOTIV-A	2,404	900	–	1,966	1,338
JASON FURNITURE HANGZHOU C-A	3,515	1,000	–	2,856	1,659
JD.COM INC - CL A	154,346	66,941	–	131,095	90,192
JIUMAOJIU INTERNATIONAL HOLD	51,885	30,356	–	45,774	36,467
LI AUTO INC-CLASS A	80,205	30,230	–	67,416	43,019
LI NING CO LTD	168,525	64,016	–	141,738	90,803
MEITUAN-CLASS B	359,290	137,284	–	301,612	194,962
MINISO GROUP HOLDING LTD-ADR	6,783	2,480	–	5,619	3,644
MINTH GROUP LTD	53,904	19,920	–	44,716	29,108
NEW ORIENTAL EDUCATION & TEC	109,007	41,019	–	92,448	57,578
NINGBO JOYSON ELECTRONIC-A	5,906	1,700	–	4,782	2,824
NINGBO TUOPU GROUP CO LTD-A	4,690	1,500	–	3,738	2,452
NIO INC - ADR	97,774	37,053	–	81,808	53,019
OFFCN EDUCATION TECHNOLOGY-A	26,375	9,600	–	21,710	14,265
OPPEIN HOME GROUP INC-A	2,176	800	–	1,875	1,101
PDD HOLDINGS INC	36,099	16,921	–	30,047	22,973
POP MART INTERNATIONAL GROUP	39,414	12,640	–	33,599	18,455
SAIC MOTOR CORPORATION LTD-A	33,377	12,100	–	27,402	18,075
SAILUN GROUP CO LTD-A	13,150	4,500	–	10,724	6,926
SERES GROUP CO L-A 601127	–	4,500	–	1,305	3,195
SHANDONG LINGLONG TYRE CO -A	6,317	1,700	–	5,090	2,927
SHANGHAI JINJIANG INTERNET-A	3,919	1,000	–	3,159	1,760
SHANGHAI YUYUAN TOURIST-A	16,750	5,800	–	13,713	8,837
SHENZHEN KEDALI INDUSTRY C-A	1,020	200	–	825	395
SHENZHOU INTERNATIONAL GROUP	59,009	22,269	–	49,644	31,634
SONGCHENG PERFORMANCE DEVELOPMENT CO LTD	11,151	3,900	–	9,161	5,890
TAL EDUCATION GROUP- ADR	32,203	11,920	–	26,724	17,399
TONGCHENG TRAVEL HOLDINGS LTD	87,438	32,480	–	72,414	47,504
TOPSPORTS INTERNATIONAL HOLD	132,786	49,040	–	110,135	71,691
TRAVELSKY TECHNOLOGY LTD-H	66,564	24,560	–	55,197	35,927
TRIP.COM GROUP LTD	38,932	29,609	–	47,511	21,030
VIPSHOP HOLDINGS LTD - ADS	29,858	9,463	–	26,323	12,998
WUCHAN ZHONGDA GROUP CO LTD	22,213	8,100	–	18,225	12,088
XPENG INC - CLASS A SHARES	60,868	32,114	–	53,293	39,689
XTEP INTERNATIONAL HOLDINGS HKD0.01	94,098	41,125	–	79,134	56,089
YADEA GROUP HOLDINGS LTD	87,470	32,320	–	72,557	47,233
YUM CHINA HOLDINGS INC	29,863	11,301	–	25,134	16,030
ZHEJIANG CHINA COMMODITIES-A	23,492	8,500	–	19,310	12,682
ZHEJIANG SUPOR COOKWARE CO-A	2,307	500	–	1,824	983
ZHONGSHENG GROUP HOLDINGS	43,068	25,979	–	36,981	32,066

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Consumer Staples</b>					
ALIBABA HEALTH INFORMATION T	337,749	149,517	–	280,067	207,199
ANGEL YEAST CO LTD-A	3,765	1,000	–	3,042	1,723
ANHUI GUJING DISTILLERY CO-A	1,788	600	–	1,449	939
ANHUI GUJING DISTILLERY CO-B	7,702	2,700	–	6,396	4,006
ANHUI KOUZI DISTILLERY CO -A	2,554	500	–	1,998	1,056
ANHUI YINGJIA DISTILLERY C-A	2,899	900	–	2,426	1,373
BEIJING DABEINONG TECHNOLO-A	17,766	6,300	–	14,571	9,495
BEIJING YANJING BREWERY CO-A	12,067	4,100	–	9,828	6,339
BY-HEALTH CO LTD-A	7,242	2,200	–	5,876	3,566
CHINA FEIHE LTD	259,332	95,600	–	215,158	139,774
CHINA HUIZHAN DAIRY INVESTMENTS HK LTD <sup>1</sup>	296,760	–	–	–	296,760
CHINA MENGNIU DAIRY CO	225,843	85,128	–	190,190	120,781
CHINA RESOURCES BEER (HOLDINGS) CO LTD	115,775	43,708	–	97,388	62,095
CHONGQING BREWERY CO-A	2,040	500	–	1,638	902
CHONGQING FULING ZHACAI - A	3,766	1,200	613	3,272	2,307
DALI FOODS GROUP CO LTD	146,612	35,772	(62,489)	119,895	–
DASHENLIN PHARMACEUTICAL G-A	4,055	1,300	360	3,417	2,298
EASTROC BEVERAGE GROUP CO -A	–	500	–	–	500
FOSHAN HAITIAN FLAVOURING -A	16,538	7,006	1,243	14,419	10,368
FU JIAN ANJOY FOODS CO LTD-A	1,286	500	–	1,039	747
FUJIAN SUNNER DEVELOPMENT-A	5,293	1,600	–	4,310	2,583
GUANGDONG HAID GROUP CO-A	7,073	2,500	–	5,724	3,849
HEBEI HENGSHUI LAOBAIGAN-A	–	2,500	–	765	1,735
HEBEI YANGYUAN ZHIHUI BEVE-A	–	3,700	–	1,125	2,575
HEILONGJIANG AGRICULTURE-A	7,598	2,400	–	6,174	3,824
HENAN SHUANGHUI INVESTMENT-A	14,836	5,100	–	12,090	7,846
HENGAN INTL GROUP CO LTD	45,622	16,800	–	37,812	24,610
INNER MONGOLIA YILI INDUS-A	27,410	9,600	–	22,695	14,315
JD HEALTH INTERNATIONAL INC	79,441	29,994	–	66,838	42,597
JIANGSU KING'S LUCK BREWER-A	5,381	1,600	–	4,403	2,578
JIANGSU YANGHE BREWERY -A	6,478	2,400	–	5,344	3,534
JIUGUI LIQUOR CO LTD-A	1,375	500	–	1,151	724
JONJEE HIGH-TECH INDUSTRIA-A	3,327	600	–	2,649	1,278
JUEWEI FOOD CO LTD-A	2,650	500	–	2,075	1,075
KWEICHOW MOUTAI CO LTD-A	5,375	2,100	–	4,607	2,868
LUZHOU LAOJIAO CO LTD-A	6,317	2,400	–	5,275	3,442
MEIHUA MONOSODIUM GLUTAMAT-A	12,990	4,400	–	10,641	6,749
MUYUAN FOODSTUFF CO LTD-A	22,826	8,700	–	18,843	12,683
NEW HOPE LIUHE CO LTD-A	19,409	6,800	–	15,923	10,286
NONGFU SPRING CO LTD-H	125,773	47,464	–	105,802	67,435
PING AN HEALTHCARE AND TECHN	35,937	15,836	–	30,210	21,563
PROYA COSMETICS CO LTD-A	593	–	–	593	–
SHANGHAI BAIRUN FLAVOR & F-A	4,472	1,100	–	3,569	2,003
SHANXI XINGHUACUN FEN WINE-A	5,248	1,900	–	4,345	2,803
SHEDE SPIRITS CO LTD-A	–	1,100	–	270	830
SICHUAN SWELLFUN CO LTD-A	2,140	500	–	1,695	945
SMOORE INTERNATIONAL HOLDING	130,145	48,080	–	107,947	70,278
TINGYI (CAYMAN ISLN) HLDG CO	140,749	51,920	–	116,705	75,964
TOLY BREAD CO LTD-A	5,662	1,700	743	8,105	–
TSINGTAO BREWERY CO LTD-A	3,063	1,000	–	2,514	1,549
TSINGTAO BREWERY CO LTD-H	44,415	16,864	–	36,955	24,324
UNI-PRESIDENT CHINA HOLDINGS	92,490	35,040	–	76,269	51,261
VINDA INTERNATIONAL HOLDINGS	25,766	9,760	–	21,228	14,298

<sup>1</sup>These suspended stocks have been fully written off by the Manager for the period ended 30 September 2023.

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Consumer Staples (continued)</b>					
WANT WANT CHINA HOLDINGS LTD	339,281	125,200	–	281,364	183,117
WENS FOODSTUFFS GROUP CO - A	28,050	10,000	–	23,012	15,038
WULIANGYE YIBIN CO LTD-A	16,633	6,400	–	14,096	8,937
YIFENG PHARMACY CHAIN CO L-A	3,058	900	518	2,649	1,827
YIHAI INTERNATIONAL HOLDING	33,627	14,980	–	28,353	20,254
YIHAI KERRY ARAWANA HOLDIN-A	6,224	1,700	–	5,027	2,897
YONGHUI SUPERSTORES CO LTD-A	–	28,500	–	7,965	20,535
YUAN LONGPING HIGH-TECH AG-A	5,614	1,700	–	4,556	2,758
YUNNAN BOTANEE BIO-TECHNOL-A	1,187	700	–	1,077	810
<b>Energy</b>					
CHINA COAL ENERGY CO-H	146,555	54,080	–	121,528	79,107
CHINA MERCHANTS ENERGY -A	34,746	12,600	–	28,575	18,771
CHINA OILFIELD SERVICES-H	129,268	47,680	–	107,182	69,766
CHINA PETROLEUM & CHEMICAL CORP	1,817,144	668,159	–	1,536,797	948,506
CHINA PETROLEUM & CHEMICAL-A	136,396	49,700	–	113,121	72,975
CHINA SHENHUA ENERGY CO - H	241,068	90,996	–	202,787	129,277
CHINA SHENHUA ENERGY CO -A	28,266	10,000	–	23,391	14,875
COSCO SHIPPING ENERGY TRAN-A	14,876	5,100	–	12,162	7,814
COSCO SHIPPING ENERGY TRANSPORTATION CO	–	69,156	–	19,215	49,941
INNER MONGOLIA DIAN TOU EN-A	8,186	2,600	–	6,696	4,090
INNER MONGOLIA YITAI COAL-B 'B'CNV1	75,831	27,700	–	62,893	40,638
JIZHONG ENERGY RESOURCE-A	15,132	5,200	–	12,393	7,939
OFFSHORE OIL ENGINEERING-A	18,957	6,600	–	15,530	10,027
PETROCHINA CO LTD-A	92,481	33,600	–	76,632	49,449
PETROCHINA CO LTD-H	1,505,939	568,869	–	1,267,245	807,563
PINGDINGSHAN TIANAN COAL -A	9,895	2,300	–	12,195	–
SHAANXI COAL INDUSTRY CO L-A	41,518	14,800	–	34,363	21,955
SHAN XI HUA YANG GROUP NEW-A	10,336	4,300	2,807	9,471	7,972
SHANXI LU'AN ENVIRONMENTAL-A	12,807	4,400	–	10,524	6,683
SHANXI XISHAN COAL & ELEC-A	17,562	11,000	–	15,702	12,860
XINJIANG GUANGHUI INDUSTRY-A	28,078	10,000	–	23,036	15,042
YANKUANG ENERGY GROUP CO LTD	108,491	50,080	30,542	101,241	87,872
YANTAI JEREH OILFIELD-A	4,350	1,000	–	3,462	1,888
YANZHOU COAL MINING CO-A	10,854	4,500	3,459	10,056	8,757
<b>Financials</b>					
AGRICULTURAL BANK OF CHINA-A	364,568	134,100	–	302,217	196,451
AGRICULTURAL BANK OF CHINA-H	2,084,291	786,728	–	1,753,306	1,117,713
AVIC CAPITAL CO LTD -A	37,780	13,800	–	31,056	20,524
BANK OF BEIJING CO LTD -A	90,533	33,700	–	74,556	49,677
BANK OF CHANGSHA CO LTD-A	17,263	6,000	–	14,162	9,101
BANK OF CHENGDU CO LTD-A	15,740	5,700	–	12,948	8,492
BANK OF CHINA LTD-A	150,470	56,600	–	124,001	83,069
BANK OF CHINA LTD-H	5,670,129	2,148,322	–	4,765,929	3,052,522
BANK OF COMMUNICATIONS CO-H	624,744	237,156	–	519,756	342,144
BANK OF COMMUNICATIONS-A	168,061	61,700	–	139,330	90,431
BANK OF HANGZHOU CO LTD-A	25,402	9,000	–	20,847	13,555
BANK OF JIANGSU CO LTD-A	63,276	24,000	–	52,200	35,076
BANK OF NANJING CO LTD -A	44,293	16,500	–	36,495	24,298
BANK OF NINGBO CO LTD -A	28,265	10,000	–	23,391	14,874

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Financials (continued)</b>					
BANK OF SHANGHAI CO LTD-A	60,844	22,500	–	50,100	33,244
BANK OF SUZHOU CO LTD-A	15,680	5,700	–	12,881	8,499
BOC INTERNATIONAL CHINA CO-A	11,877	4,100	–	9,699	6,278
CAITONG SECURITIES CO LTD-A	19,905	6,900	–	16,328	10,477
CHANGJIANG SECURITIES CO L-A	23,650	8,500	–	19,398	12,752
CHINA CINDA ASSET MANAGEME-H	629,455	268,426	–	526,647	371,234
CHINA CITIC BANK CORP LTD-H	637,328	241,935	–	530,228	349,035
CHINA CONSTRUCTION BANK-A	41,067	14,900	–	33,764	22,203
CHINA CONSTRUCTION BANK-H	6,863,935	2,623,433	–	5,792,158	3,695,210
CHINA EVERBRIGHT BANK CO L-H	226,238	85,840	–	186,573	125,505
CHINA EVERBRIGHT BANK CO-A	177,113	79,500	–	149,553	107,060
CHINA GALAXY SECURITIES CO-A	18,451	6,400	–	15,110	9,741
CHINA GALAXY SECURITIES CO-H	250,273	92,400	–	207,563	135,110
CHINA GREAT WALL SECURITIE-A	14,426	4,900	–	11,844	7,482
CHINA INTERNATIONAL CAPITA-H	108,703	40,160	–	90,168	58,695
CHINA INTERNATIONAL CAPTAL-A	5,039	3,900	–	4,766	4,173
CHINA LIFE INSURANCE CO- A	11,880	3,900	–	9,793	5,987
CHINA LIFE INSURANCE CO-H	531,116	200,793	–	447,102	284,807
CHINA MERCHANTS BANK - H	278,525	105,214	–	234,368	149,371
CHINA MERCHANTS BANK-A	88,378	33,300	–	73,520	48,158
CHINA MERCHANTS SECURITIES-A	31,757	11,900	–	26,172	17,485
CHINA MINSHENG BANKING-A	151,825	57,000	–	125,093	83,732
CHINA MINSHENG BANKING-H	445,397	179,735	–	369,366	255,766
CHINA PACIFIC INSURANCE GR-A	29,286	10,200	–	24,216	15,270
CHINA PACIFIC INSURANCE GR-H	188,183	71,111	–	158,368	100,926
CHINA TAIPING INSURANCE HOLD	102,611	37,840	–	85,076	55,375
CHINA ZHESHANG BANK CO LTD-A	71,548	42,000	–	62,791	50,757
CHONGQING RURAL COMMERCIAL-A	37,909	13,800	–	31,133	20,576
CITIC SECURITIES	149,607	48,320	–	127,262	70,665
CITIC SECURITIES CO-A SHARES	52,436	18,900	–	43,369	27,967
CNPC CAPITAL CO LTD-A	–	14,200	–	–	14,200
CSC FINANCIAL CO LTD-A	18,541	6,400	–	15,182	9,759
DONGXING SECURITIES CO LT-A	13,847	4,600	–	11,292	7,155
EAST MONEY INFORMATION CO-A	56,576	24,600	11,315	56,224	36,267
EVERBRIGHT SECURITIE CO -A	16,752	5,800	–	13,713	8,839
FAR EAST HORIZON LTD	107,806	34,080	–	92,007	49,879
FIRST CAPITAL SECURITIES C-A	18,030	6,300	–	14,762	9,568
FOUNDER SECURITIES CO LTD-A	–	25,700	–	7,200	18,500
GF SECURITIES CO LTD-A	25,376	9,000	–	20,790	13,586
GF SECURITIES CO LTD-H	78,954	26,880	–	66,502	39,332
GUANGZHOU YUEXIU FINANCIAL-A	17,906	6,300	–	14,700	9,506
GUOLIAN SECURITIES CO LTD-A	10,236	3,100	–	8,310	5,026
GUOSEN SECURITIES CO LTD-A	27,410	9,700	–	22,490	14,620
GUOTAI JUNAN SECURITIES CO-A	32,197	11,900	–	26,504	17,593
GUOYUAN SECURITIES CO LTD-A	18,689	6,500	–	15,339	9,850
HAITONG SECURITIES	206,857	71,840	–	173,612	105,085
HAITONG SECURITIES CO LTD-A	41,327	14,900	–	33,974	22,253
HITHINK ROYALFLUSH INFORMA-A	2,307	700	–	1,904	1,103
HUATAI SECURITIES CO LTD-A	31,540	11,700	–	26,028	17,212
HUATAI SECURITIES CO LTD-H	110,429	34,000	–	94,739	49,690
HUAXI SECURITIES VCO LTD -A	11,264	3,900	–	9,234	5,930
HUAXIA BANK CO LTD-A	56,774	21,100	–	46,716	31,158
IND & COMM BK OF CHINA - A	269,441	98,900	–	223,378	144,963
IND & COMM BK OF CHINA-H	4,026,713	1,824,957	–	3,350,377	2,501,293
INDUSTRIAL BANK CO LTD	89,007	32,500	–	73,743	47,764
INDUSTRIAL SECURITIES CO-A	37,024	13,700	–	30,491	20,233



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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Financials (continued)</b>					
LUFAX HOLDING LTD	48,945	18,560	–	40,368	27,137
NANJING SECURITIES CO LTD-A	–	11,200	–	3,155	8,045
NEW CHINA LIFE INSURANCE C-A	8,968	2,900	–	7,295	4,573
NEW CHINA LIFE INSURANCE C-H	62,740	21,760	–	52,641	31,859
ORIENT SECURITIES CO LTD-A	32,027	11,900	–	26,403	17,524
PEOPLE'S INSURANCE	591,696	237,539	–	491,586	337,649
PICC HOLDING CO-A	40,569	14,800	–	33,347	22,022
PICC PROPERTY & CASUALTY -H	492,438	185,934	–	414,299	264,073
PING AN BANK CO LTD-A	83,092	30,300	–	68,821	44,571
PING AN INSURANCE GROUP CO-A	46,366	17,300	–	39,139	24,527
PING AN INSURANCE GROUP CO-H	451,839	181,854	–	376,137	257,556
POSTAL SAVINGS BANK OF CHI-A	113,922	56,600	–	103,541	66,981
POSTAL SAVINGS BANK OF CHI-H	566,894	215,194	–	471,641	310,447
QIFU TECHNOLOGY INC	8,515	2,960	–	7,174	4,301
SDIC ESSENCE HOLDINGS CO L-A	27,545	10,000	–	22,647	14,898
SEALAND SECURITIES CO LTD -A	23,269	–	–	23,269	–
SHANGHAI PUDONG DEVEL BANK-A	125,706	45,800	–	104,149	67,357
SHANGHAI RURAL COMMERCIAL -A	41,336	14,900	–	33,974	22,262
SHANXI SECURITIES CO LTD-A	15,381	5,200	–	12,567	8,014
SHENWAN HONGYUAN GROUP CO-A	96,513	36,100	–	79,485	53,128
SINOLINK SECURITIES CO LTD-A	–	11,200	–	3,155	8,045
SOOCHOW SECURITIES CO LTD-A	21,445	8,000	–	17,646	11,799
SOUTHWEST SECURITIES CO LT-A	28,430	10,200	–	23,400	15,230
WESTERN SECURITIES CO LTD-A	19,120	6,600	–	15,647	10,073
ZHESHANG SECURITIES CO LTD-A	16,650	5,800	–	13,635	8,815
ZHONGAN ONLINE P&C INSURAN-H	50,670	18,720	–	42,030	27,360
ZHONGTAI SECURITIES CO LTD-A	24,862	12,600	–	21,386	16,076
<b>Health Care</b>					
3SBIO INC	104,444	57,086	–	90,990	70,540
AIER EYE HOSPITAL GROUP CO-A	30,721	13,800	4,003	27,312	21,212
AKESO INC	33,019	14,084	–	27,651	19,452
APELOA PHARMACEUTICAL CO-A	5,039	1,300	–	4,046	2,293
ASYMCHEM LABORATORIES TIAN-A	1,508	600	–	1,273	835
AUTOBIO DIAGNOSTICS CO LTD-A	2,540	500	–	1,998	1,042
BEIGENE LTD	44,036	19,861	–	37,450	26,447
BEIJING TIANTAN BIOLOGICAL-A	–	4,700	–	1,371	3,329
BEIJING TONGRENTANG CO-A	5,858	1,700	–	4,782	2,776
BEIJING WANTAI BIOLOGICAL-A	2,568	1,000	408	2,268	1,708
BETTA PHARMACEUTICALS CO L-A	1,788	500	–	1,449	839
BGI GENOMICS CO LTD-A	1,788	500	–	1,449	839
BLOOMAGE BIOTECHNOLOGY COR-A	2,034	516	–	1,668	882
CHANGCHUN HIGH & NEW TECH-A	1,692	500	–	1,392	800
CHINA MEDICAL SYSTEM HOLDING	96,257	35,520	–	79,821	51,956
CHINA MEHECO CO LTD -A	6,397	2,000	–	5,180	3,217
CHINA NATIONAL MEDICINES-A	–	1,800	–	545	1,255
CHINA RESOURCES PHARMACEUTIC	112,105	41,360	–	92,958	60,507
CHINA RESOURCES SANJIU MED-A	4,183	1,500	–	3,350	2,333
CHINA TRADITIONAL CHINESE MEDICINE HOLDI	197,687	85,053	–	165,828	116,912
CHONGQING TAIJI INDUS GRP-A	–	1,100	–	–	1,100
CHONGQING ZHIFEI BIOLOGICA-A	6,831	3,200	1,772	6,425	5,378

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Health Care (continued)</b>					
CSPC PHARMACEUTICAL GROUP LTD	638,802	241,034	–	538,123	341,713
DA AN GENE CO LTD SUN YAT-A	5,990	1,300	–	7,290	–
DONG-E E-JIAO-A	2,808	600	–	2,217	1,191
GENSCRIPT BIOTECH CORP	83,008	30,640	–	68,809	44,839
GUANGZHOU BAIYUNSHAN PHAR-A	6,053	1,700	–	4,916	2,837
GUANGZHOU KINGMED DIAGNOST-A	2,043	500	–	1,650	893
HANGZHOU TIGERMED CONSULTI-A	1,570	500	–	1,265	805
HANGZHOU TIGERMED CONSULTI-H	8,786	2,352	–	11,138	–
HANSOH PHARMACEUTICAL GROUP	84,540	31,200	–	70,106	45,634
HUADONG MEDICINE CO LTD-A	7,483	2,400	–	6,062	3,821
HUALAN BIOLOGICAL ENGINEER-A	7,855	2,510	–	6,369	3,996
HUBEI JUMPCAN PHARMACEUT-A	3,902	1,000	–	3,144	1,758
HYGEIA HEALTHCARE HOLDINGS C	24,209	9,120	–	19,965	13,364
IMEIK TECHNOLOGY DEVELOPME-A	949	400	–	807	542
INNOVENT BIOLOGICS INC	76,562	32,534	–	64,122	44,974
IRAY TECHNOLOGY CO LTD-A	221	200	88	276	233
JAFRON BIOMEDICAL CO LTD-A	3,481	500	–	3,981	–
JIANGSU HENGRUI MEDICINE C-A	27,298	9,600	–	22,623	14,275
JIANGSU NHWA PHARMACEUTICA-A	–	2,000	–	–	2,000
JIANGSU YUYUE MEDICAL EQU-A	4,280	1,000	–	3,390	1,890
JOINCARE PHARMACEUTICAL GR-A	8,002	2,500	–	6,501	4,001
JOINN LABORATORIES CHINA C-A	1,979	400	446	2,825	–
JOINTOWN PHARMACEUTICAL-A	8,045	3,400	2,226	7,377	6,294
LEGEND BIOTECH CORP-ADR LEGN	4,181	1,558	–	3,476	2,263
LEPU MEDICAL TECHNOLOGY-A	7,688	2,400	–	6,231	3,857
LIVON PHARMACEUTICAL GROU-A	2,646	500	–	2,070	1,076
MEINIAN ONEHEALTH HEALTHCA-A	16,788	5,800	–	13,728	8,860
MICROPORT SCIENTIFIC CORP	45,639	26,157	–	40,059	31,737
NANJING KING-FRIEND BIOCHE-A	6,938	2,000	–	5,612	3,326
OVCTEK CHINA INC-A	3,866	1,000	–	3,087	1,779
PHARMARON BEIJING CO LTD-A	4,283	1,200	1,206	3,750	2,939
PHARMARON BEIJING CO LTD-H	14,348	3,876	4,624	22,848	–
PORTON PHARMA SOLUTIONS L-A	2,379	400	–	2,779	–
SHANDONG BUCHANG PHARMACEU-A	4,734	1,100	–	3,795	2,039
SHANDONG WEIGAO GP MEDICAL-H	177,532	65,520	–	147,222	95,830
SHANGHAI FOSUN PHARMACEUTI-A	44,522	16,020	–	36,814	23,728
SHANGHAI JUNSHI BIOSCIENCE-A	3,256	1,037	–	2,652	1,641
SHANGHAI MEDICILON INC-A	363	–	–	363	–
SHANGHAI PHARMACEU	55,760	19,360	–	46,796	28,324
SHANGHAI PHARMACEUTICALS-A	11,877	4,100	–	9,699	6,278
SHANGHAI RAAS BLOOD PRODUC-A	28,851	10,400	–	23,703	15,548
SHENZHEN KANGTAI BIOLOGICA-A	4,838	1,100	–	3,867	2,071
SHENZHEN MINDRAY BIO-MEDIC-A	5,201	1,900	–	4,348	2,753
SHENZHEN NEW INDUSTRIES BI-A	3,329	1,100	–	2,769	1,660
SHENZHEN SALUBRIS PHARM-A	4,785	1,100	–	3,867	2,018
SHIJIAZHANG YILING PHARMA-A	7,169	2,200	–	5,847	3,522
SICHUAN KELUN PHARMACEUTIC-A	6,053	1,700	–	4,916	2,837
SINO BIOPHARMACEUTICAL	738,566	280,300	–	614,491	404,375
SINOPHARM GROUP CO-H	95,772	36,351	–	79,669	52,454
TOPCHOICE MEDICAL INVESTME-A	1,375	500	–	1,151	724
WALVAX BIOTECHNOLOGY CO-A	6,830	2,000	–	5,535	3,295
WUHAN HUMANWELL HEALTHCARE-A	6,981	2,000	–	5,652	3,329
WUXI APPTec CO LTD-A	11,007	3,900	–	9,019	5,888
WUXI APPTec CO LTD-H	25,393	9,600	–	20,937	14,056

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Health Care (continued)</b>					
WUXI BIOLOGICS CAYMAN INC	255,998	105,758	–	215,400	146,356
YUNNAN BAIYAO GROUP CO LTD-A	7,688	2,400	–	6,231	3,857
ZAI LAB LTD	–	49,597	–	13,770	35,827
ZAI LAB LTD-ADR	6,288	–	–	6,288	–
ZHANGZHOU PIENZEHUANG PHA-A	2,554	1,000	–	2,188	1,366
ZHEJIANG HUAHAI PHARMACEUT-A	6,334	1,800	–	5,090	3,044
ZHEJIANG JIUZHOU PHARMACEU-A	3,861	1,000	–	3,087	1,774
ZHEJIANG NHU CO LTD-A	13,199	4,500	–	10,775	6,924
ZHEJIANG WOLWO BIO-PHARMAC-A	2,228	400	–	2,628	–
<b>Industrials</b>					
AECC AERO-ENGINE CONTROL-A	5,640	1,600	–	4,608	2,632
AECC AVIATION POWER CO LTD	11,449	3,900	–	9,461	5,888
AIR CHINA LIMITED-H	130,267	48,080	–	108,029	70,318
AIR CHINA LTD-A	33,228	20,900	–	27,285	26,843
AVICHINA INDUSTRY & TECH-H	177,317	67,200	–	146,217	98,300
AVICOPTER PLC-A	2,554	500	–	1,998	1,056
BEIJING CAPITAL INTL AIRPO-H	134,139	50,800	–	110,619	74,320
BEIJING CISRI-GAONA MATERI-A	2,110	–	–	2,110	–
BEIJING EASPRING MATERIAL-A	2,136	500	–	1,695	941
BEIJING NEW BUILDING MATER-A	7,270	2,200	–	5,928	3,542
BEIJING UNITED INFORMATION-A	2,185	500	512	1,932	1,265
BEIJING-SHANGHAI HIGH SPE-A	–	104,100	–	28,985	75,115
BOC AVIATION LTD	14,863	5,520	–	12,346	8,037
CHANGSHA ZOOMLION HEAVY INDS	30,406	11,000	–	24,971	16,435
CHINA AVIC AVIONICS EQUIP-A	11,604	–	–	11,604	–
CHINA BAOAN GROUP-A	11,010	3,900	–	9,022	5,888
CHINA COMMUNICATIONS SERVI-H	170,688	64,720	–	140,766	94,642
CHINA CONCH VENTURE HOLDINGS	116,462	38,240	–	98,807	55,895
CHINA COSCO HOLDINGS-A	54,559	20,400	–	44,964	29,995
CHINA CSSC HOLDINGS LTD-A	19,120	6,600	–	15,647	10,073
CHINA EASTERN AIRLINES CO-A	48,897	30,900	–	40,254	39,543
CHINA ENERGY ENGINEERING COR	138,854	52,100	–	114,386	76,568
CHINA EVERBRIGHT ENVIRONMENT	263,073	97,120	–	218,175	142,018
CHINA LESSO GROUP HOLDINGS LTD	77,504	20,608	–	98,112	–
CHINA MERCHANTS PORT HOLDINGS	100,010	36,880	–	82,919	53,971
CHINA NATIONAL CHEMICAL-A	26,132	9,300	–	21,446	13,986
CHINA RAILWAY GROUP LTD -A	87,891	32,100	–	72,816	47,175
CHINA RAILWAY GROUP LTD-H	300,305	110,880	–	249,062	162,123
CHINA SOUTHERN AIRLINES CO-A	48,084	17,700	–	39,582	26,202
CHINA SOUTHERN AIRLINES CO-H	132,588	50,240	–	109,329	73,499
CHINA STATE CONSTRUCTION -A	179,585	65,700	–	148,854	96,431
CHINA STATE CONSTRUCTION INT	143,823	53,120	–	119,290	77,653
CHINA XD ELECTRIC CO LTD-A	–	11,800	–	–	11,800
CITIC LTD	415,265	156,892	–	349,476	222,681
CONTEMPORARY AMPEREX TECHN-A	10,466	7,160	5,492	13,033	10,085
COSCO PACIFIC LTD	135,068	40,800	–	116,209	59,659
COSCO SHIPPING DEVELOPME-A	42,447	16,000	–	34,974	23,473
COSCO SHIPPING HOLDINGS CO-H	227,476	82,512	–	194,416	115,572
CRRC CORP LTD	104,192	37,800	–	86,296	55,696
CRRC CORP LTD - H	311,984	115,120	–	258,706	168,398
DAJIN HEAVY INDUSTRY CO LT-A	2,761	600	–	2,193	1,168
DAQIN RAILWAY CO LTD -A	63,635	24,000	–	52,446	35,189
DONGFANG ELECTRIC CORP LTD-A	11,877	4,100	–	9,699	6,278
EVE ENERGY CO LTD-A	8,762	3,100	–	7,196	4,666

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Industrials (continued)</b>					
FANGDA CARBON NEW MATERIAL-A	16,314	5,800	–	13,353	8,761
FARASIS ENERGY GAN ZHOU CO-A	–	2,545	–	–	2,545
FAW CAR COMPANY LIMITED-A	13,241	4,500	–	10,827	6,914
FOSUN INTERNATIONAL	176,378	64,960	–	146,326	95,012
GINLONG TECHNOLOGIES CO LT-A	1,726	600	–	1,492	834
GONGNIU GROUP CO LTD-A	–	900	–	–	900
GOODWE TECHNOLOGIES CO LTD-A	527	200	79	480	326
GOTION HIGH-TECH CO LTD-A	7,663	2,400	–	6,179	3,884
GUANGDONG KINLONG HARDWARE-A	1,375	400	–	1,775	–
GUANGZHOU BAIYUN INTERNATI-A	–	6,900	–	1,985	4,915
GUANGZHOU GREAT POWER ENER-A	2,001	500	–	1,607	894
HAINAN AIRLINES CO-A	–	136,500	–	37,985	98,515
HAITIAN INTERNATIONAL HLDGS	45,566	17,726	–	38,762	24,530
HONGFA TECHNOLOGY CO LTD-A	2,236	500	–	1,767	969
HOYMILES POWER ELECTRONICS-A	–	200	–	61	139
JD LOGISTICS INC	–	105,652	–	29,340	76,312
JIANGSU EXPRESS CO LTD-H	87,222	33,040	–	71,919	48,343
JIANGSU HENGLI HIGHPRESSUR-A	5,582	2,100	–	4,621	3,061
JIANGSU ZHONGTIAN TECHNOLO-A	14,591	5,100	–	11,921	7,770
JIANGXI SPECIAL ELECTRIC -A	7,334	2,200	–	6,000	3,534
JUNEYAO AIRLINES CO LTD-A 603885	7,887	3,800	–	6,770	4,917
KEDA INDUSTRIAL CO LTD -A	8,391	2,600	–	6,830	4,161
KUANG-CHI TECHNOLOGIES CO-A	9,213	3,000	–	7,514	4,699
LIAONING PORT CO LTD-A	80,601	30,000	–	66,378	44,223
LUOYANG XINQIANGLIAN SLEWI-A	1,381	–	–	1,381	–
METALLURGICAL CORP OF CHIN-A	76,433	28,500	–	62,903	42,030
MING YANG SMART ENERGY GRO-A	9,750	3,100	–	7,919	4,931
NARI TECHNOLOGY DEVELOPMEN-A	28,650	12,000	3,081	25,059	18,672
NINGBO DEYE TECHNOLOGY CO -A	868	600	281	876	873
NINGBO ORIENT WIRES & CABL-A	2,896	600	–	2,327	1,169
NINGBO RONBAY NEW ENERGY T-A	1,917	500	–	1,551	866
NORTH INDUSTRIES GROUP RED-A	5,958	1,700	–	4,787	2,871
ORIENT OVERSEAS INTL LTD	9,425	3,440	–	7,794	5,071
POWER CONSTRUCTION CORP OF-A	73,756	27,700	–	60,843	40,613
PYLON TECHNOLOGIES CO LTD-A	665	200	–	541	324
RIYUE HEAVY INDUSTRY CO LT-A	4,374	1,000	–	3,519	1,855
S F HOLDING CO LTD-A	20,925	7,600	–	17,366	11,159
SANY HEAVY EQUIPMENT INTL	79,013	29,280	–	65,493	42,800
SANY HEAVY INDUSTRY CO LTD-A	36,386	13,200	–	29,895	19,691
SHANDONG HIMILE MECHANICAL-A	–	1,700	–	–	1,700
'A'CNY1	–	–	–	–	–
SHANGHAI CONSTRUCTION CO-A	38,174	14,000	–	31,409	20,765
SHANGHAI ELECTRIC GRP CO L-A	54,219	20,100	–	44,628	29,691
SHANGHAI INTERNATIONAL AIR-A	5,336	1,600	–	4,350	2,586
SHANGHAI INTERNATIONAL POR-A	39,882	10,500	–	34,680	15,702
SHANGHAI M&G STATIONERY IN-A	3,919	1,000	–	3,159	1,760
SHANGHAI MOONS' ELECTRIC C-A	–	900	–	–	900
SHANXI COAL INTERNATIONAL -A	–	5,800	–	1,670	4,130
SHENZHEN INOVANCE TECHNOLO-A	11,409	2,100	–	10,391	3,118
SHENZHEN INTL HOLDINGS	102,256	38,800	–	84,333	56,723
SHENZHEN KSTAR SCIENCE AND-A	2,466	500	–	1,982	984
SHUANGLIANG ECO-ENERGY SYS-A	–	5,400	–	1,535	3,865
SICHUAN NEW ENERGY POWER CO	6,314	1,700	–	5,090	2,924
SICHUAN ROAD&BRIDGE CO LT-A	22,219	9,400	3,949	19,715	15,853
SIEYUAN ELECTRIC CO LTD-A	3,253	1,100	–	2,697	1,656

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Industrials (continued)</b>					
SINOMA INTERNATIONAL ENGIN-A	–	8,200	–	2,311	5,889
SINOTRUK HONG KONG LTD	–	26,424	–	–	26,424
SPRING AIRLINES CO LTD-A	–	3,100	–	770	2,330
SUNGROW POWER SUPPLY CO LT-A	6,317	2,100	–	5,180	3,237
SUNWODA ELECTRONIC CO LTD-A	7,337	2,200	–	6,000	3,537
SUZHOU MAXWELL TECHNOLOGIE-A	699	200	187	607	479
TBEA CO LTD-A	16,601	6,100	3,123	14,651	11,173
TITAN WIND ENERGY SUZHOU-A	7,688	2,400	–	6,231	3,857
WEICHAI POWER CO LTD-A	29,041	10,500	–	23,841	15,700
WEICHAI POWER CO LTD-H	138,686	51,200	–	115,018	74,868
XCMG CONSTRUCTION MACHIN-A	50,586	18,600	–	41,630	27,556
XIAMEN C & D INC-A	12,840	4,400	–	10,524	6,716
XINJIANG GOLDWIND SCI&TECH-A	14,776	5,100	–	12,090	7,786
YTO EXPRESS GROUP CO LTD-A	14,779	5,100	–	12,090	7,789
YUNDA HOLDING CO LTD-A	12,466	4,400	–	10,221	6,645
ZHEFU HOLDING GROUP CO LTD-A	22,969	8,500	–	18,921	12,548
ZHEJIANG CHINT ELECTRICS-A	9,213	3,000	–	7,514	4,699
ZHEJIANG DINGLI MACHINERY -A	2,215	500	–	1,766	949
ZHEJIANG EXPRESSWAY CO-H	97,223	32,080	–	82,357	46,946
ZHEJIANG HANGKE TECHNOLOGY-A	1,734	–	–	1,734	–
ZHEJIANG SANHUA INTELLIGEN-A	–	5,100	–	1,490	3,610
ZHEJIANG WEIMING ENVIRONME-A	7,291	2,200	–	5,943	3,548
ZHEJIANG WEIXING NEW BUILD-A	6,831	2,000	–	5,535	3,296
ZHENGZHOU YUTONG BUS CO - A	–	4,800	–	–	4,800
ZHUZHOU CRRC TIMES ELECTRI-A	3,099	998	–	2,550	1,547
ZHUZHOU CRRC TIMES ELECTRIC	39,066	13,680	–	32,720	20,026
ZHUZHOU KIBING GROUP CO LT-A	11,526	3,900	–	9,423	6,003
ZTO EXPRESS CAYMAN INC-ADR	30,159	11,449	–	25,089	16,519
<b>Information Technology</b>					
360 SECURITY TECHNOLOGY IN-A	30,590	10,600	–	25,255	15,935
3PEAK INC-A	478	200	–	332	346
AAC TECHNOLOGIES HOLDINGS IN	51,591	18,960	–	42,838	27,713
ACCELINK TECHNOLOGIES CO - A	–	1,500	–	–	1,500
ADVANCED MICRO-FABRICATION-A	2,637	964	–	2,154	1,447
AMLOGIC SHANGHAI INC-A	1,773	300	–	1,404	669
ANKER INNOVATIONS TECHNOLO-A	–	600	–	–	600
ASR MICROELECTRONICS CO LT-A	–	1,000	–	315	685
AVARY HOLDING SHENZHEN CO -A	8,326	2,600	–	6,758	4,168
BEIJING E-HUALU INFO TECH-A	–	1,700	–	524	1,176
BEIJING KINGSOFT OFFICE SO-A	1,977	700	–	1,623	1,054
BEIJING SHIJI INFORMATION -A	7,475	2,700	1,230	6,552	4,853
BEIJING YUANLIU HONGYUAN E-A	1,024	–	–	1,024	–
BOE TECHNOLOGY GROUP CO LT-A	160,655	60,400	–	132,426	88,629
BYD ELECTRONIC INTL CO LTD	48,245	23,352	–	41,184	30,413
CAMBRICON TECHNOLOGIES-A	–	1,300	–	315	985
CETC CYBERSPACE SECURITY T-A	3,574	1,000	–	2,913	1,661
CHAOZHOU THREE-CIRCLE GROU-A	8,193	2,600	–	6,696	4,097
CHINA COMMON RICH RENEWABLE ENERGY INVES <sup>1</sup>	583,345	–	–	–	583,345
CHINA GREATWALL TECHNOLOGY-A	13,851	4,600	–	11,292	7,159
CHINA NATIONAL SOFTWARE -A	2,848	1,000	330	2,468	1,710
CHINA RAILWAY SIGNAL & COM-A	30,765	11,680	–	25,404	17,041

<sup>1</sup>These suspended stocks have been fully written off by the Manager for the period ended 30 September 2023.

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Information Technology (continued)</b>					
CHINA RESOURCES MICROELECT-A	4,713	2,002	–	4,020	2,695
CHINA ZHENHUA GROUP SCIENC-A	2,228	500	–	1,767	961
CHINASOFT INTERNATIONAL LTD	194,716	71,760	–	161,516	104,960
DAQO NEW ENERGY CORP-ADR	4,283	1,600	–	3,563	2,320
DHC SOFTWARE CO LTD -A	13,756	4,600	–	11,220	7,136
EOPTOLINK TECHNOLOGY INC L-A	–	1,500	–	–	1,500
EVERDISPLAY OPTRONICS SHAN-A	–	26,548	–	–	26,548
FLAT GLASS GROUP CO LTD-A	7,249	2,200	–	5,928	3,521
FLAT GLASS GROUP CO LTD-H	30,511	11,280	–	25,312	16,479
FOXCONN INDUSTRIAL INTERNE-A	42,542	15,800	–	35,431	22,911
GALAXYCORE INC-A	–	4,801	–	1,400	3,401
GCL POLY ENERGY HOLDINGS LTD	1,451,154	550,249	–	1,207,601	793,802
GDS HOLDINGS LTD-CL A	62,388	26,522	–	52,269	36,641
GIGADEVICE SEMICONDUCTOR B-A	2,898	900	–	2,426	1,372
GOERTEK INC -A	14,604	5,100	–	11,921	7,783
GRG BANKING EQUIPMENT CO -A	10,593	3,900	–	8,700	5,793
GUANGDONG LY INTELLIGENT M-A	30,118	10,900	–	24,752	16,266
GUANGZHOU HAIGE COMMUNICAT-A	9,823	3,100	–	7,991	4,932
GUANGZOU SHIYUAN ELECTRON-A	2,999	1,000	–	2,450	1,549
HANGZHOU CHANG CHUAN TECHN-A	2,566	500	–	1,998	1,068
HANGZHOU FIRST APPLIED MAT-A	5,715	2,500	892	5,103	4,004
HANGZHOU LION ELECTRONICS -A	2,896	600	–	2,327	1,169
HANGZHOU SILAN MICROELECTR-A	6,053	1,700	–	4,916	2,837
HENGDIAN GROUP DMEGC -A	6,937	2,000	–	5,612	3,325
HENGTONG OPTIC-ELECTRIC CO-A	10,280	3,100	–	8,351	5,029
HOYUAN GREEN ENERGY CO LTD-A	1,783	600	315	1,569	1,129
HUA HONG SEMICONDUCTOR LTD	41,965	15,520	–	34,805	22,680
HUAGONG TECH CO LTD-A	–	2,600	–	720	1,880
HUNDSUN ELECTRONIC CO LTD-A	8,126	2,600	–	6,639	4,087
IFLYTEK CO LTD	9,991	3,500	–	8,225	5,266
INGENIC SEMICONDUCTOR CO -A	2,040	500	–	1,638	902
INSPUR ELECTRONIC INFORMAT-A	6,222	1,700	–	5,013	2,909
ISOFTSTONE INFORMATION TEC-A	–	1,700	650	704	1,646
JA SOLAR TECHNOLOGY CO LTD-A	10,101	4,840	2,658	10,205	7,394
JCET GROUP CO LTD	7,598	2,400	–	6,174	3,824
JIANGSU PACIFIC QUARTZ CO -A	1,565	300	–	1,259	606
JINKO SOLAR CO LTD-A	–	21,360	–	5,940	15,420
KINGBOARD HOLDINGS LTD	47,484	17,520	–	39,374	25,630
KINGBOARD LAMINATES HOLDING	66,805	25,360	–	55,101	37,064
KINGDEE INTERNATIONAL SFTWR	186,027	78,115	–	155,461	108,681
LENOVO GROUP LTD	519,387	196,119	–	436,970	278,536
LENS TECHNOLOGY CO LTD-A	21,254	8,000	–	17,529	11,725
LONGI GREEN ENERGY TECHNOL-A	32,462	11,700	–	26,916	17,246
LUXSHARE PRECISIONIndustr-A	30,446	11,300	–	25,291	16,455
MAXSCEND MICROELECTRONICS -A	2,258	700	–	1,883	1,075
MONTAGE TECHNOLOGY CO LTD-A	4,855	1,451	–	3,957	2,349
NATIONAL SILICON INDUSTRY -A	–	8,285	–	2,390	5,895
NAURA TECHNOLOGY GROUP CO-A	2,307	800	–	1,909	1,198
NAVINFO CO LTD - A	10,236	3,100	–	8,310	5,026
NINESTAR CORP-A	6,050	1,700	–	4,916	2,834
PIOTECH INC-A	–	200	96	–	296
QI AN XIN TECHNOLOGY GROUP-A	–	1,888	–	610	1,278
RAYTRON TECHNOLOGY CO LTD-A	1,910	500	–	1,551	859
RISEN ENERGY CO LTD-A	4,885	1,200	–	3,872	2,213
ROCKCHIP ELECTRONICS CO L-A	–	700	–	225	475
SANAN OPTOELECTRONICS CO L-A	–	15,200	–	4,320	10,880
SANGFOR TECHNOLOGIES INC-A	1,788	500	–	1,449	839
SG MICRO CORP-A	1,535	600	189	1,408	916

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Information Technology (continued)</b>					
SHANGHAI AIKO SOLAR ENERGY-A	5,570	2,200	1,223	4,991	4,002
SHANGHAI BAOSIGHT SOFTWARE-A	6,347	2,500	555	5,430	3,972
SHANGHAI BAOSIGHT SOFTWARE-B	35,879	14,640	3,095	31,085	22,529
SHANGHAI FRIENDESS ELECTRO-A	625	200	–	522	303
SHANGHAI FUDAN MICROELECT-H	20,295	5,376	–	25,671	–
SHANGHAI FUDAN MICROELE-DO-A	2,269	616	–	1,899	986
SHENGYI TECHNOLOGY CO LTD -A	9,991	3,100	–	8,145	4,946
SHENNAN CIRCUITS CO LTD-A	2,196	500	–	1,752	944
SHENZHEN KAIFA TECHNOLOGY-A	–	4,500	–	1,305	3,195
SHENZHEN SC NEW ENERGY TEC-A	1,534	300	–	1,273	561
SHENZHEN SED INDUSTRY CO-A	–	2,500	–	493	2,007
SHENZHEN SUNLORD ELECTRONI-A	3,424	1,000	–	2,784	1,640
SHENZHEN TRANSSION HOLDING-A	3,436	1,285	–	2,853	1,868
STARPOWER SEMICONDUCTOR LT-A	691	200	–	562	329
SUNNY OPTICAL TECH	50,888	19,222	–	42,827	27,283
SUZHOU DONGSHAN PRECISION-A	7,340	2,200	–	6,000	3,540
SUZHOU TFC OPTICAL COMMUNI-A	–	800	–	–	800
TCL TECHNOLOGY GROUP CORP	73,147	30,000	2,844	61,790	44,201
TCL ZHONGHUAN RENEWABLE EN-A	13,847	5,300	1,847	12,122	8,872
THUNDER SOFTWARE TECHNOLOG-A	1,976	500	–	1,583	893
TIANJIN712 COMMUNICATION &-A	3,327	600	–	2,649	1,278
TIANMA MICROELECTRONICS-A	10,496	3,100	–	8,525	5,071
TIANSHUI HUATIAN TECHNOLOG-A	13,756	4,600	–	11,220	7,136
TONGFU MICROELECTRONIC CO-A	6,478	2,000	–	5,304	3,174
TONGWEI CO LTD-A	19,309	6,500	–	15,926	9,883
TRINA SOLAR CO LTD-A	9,290	2,801	–	7,571	4,520
TSINGHUA UNISPLENDOUR CO-A	12,274	4,100	–	10,002	6,372
UNIGROUP GUOXIN MICROELECT-A	3,677	1,300	–	3,150	1,827
UNIVERSAL SCIENTIFIC INDUS-A	–	5,400	–	1,535	3,865
VERISILICON MICROELECTRONI-A	–	1,316	–	435	881
WILL SEMICONDUCTOR CO LTD-A	5,030	1,600	–	4,152	2,478
WINGTECH TECHNOLOGY CO LTD-A	5,293	1,600	–	4,310	2,583
WUHAN DR LASER TECHNOLOGY -A	756	–	–	756	–
WUHAN GUIDE INFRARED CO LT-A	14,055	5,800	2,360	12,456	9,759
WUS PRINTED CIRCUIT KUNSHA-A	8,086	2,500	–	6,567	4,019
WUXI AUTOWELL TECHNOLOGY C-A	661	200	–	477	384
XIAMEN FARATRONIC CO LTD-A	950	300	–	803	447
XIAOMI CORP-CLASS B	1,087,061	414,071	–	911,577	589,555
XINJIANG DAQO NEW ENERGY C-A	–	5,131	–	1,514	3,617
XINYI SOLAR HLDGS	349,221	132,664	–	290,513	191,372
YANGZHOU YANGJIE ELECTRONI-A	2,231	500	–	1,767	964
YEALINK NETWORK TECHNOLOGY-A	3,902	1,600	609	3,504	2,607
YONYOU NETWORK TECHNOLOGY CO LTD	14,751	5,100	–	12,074	7,777
ZHEJIANG DAHUA TECHNOLOGY-A	12,998	5,400	–	10,921	7,477
ZHEJIANG JINGSHENG MECHANI-A	5,649	1,600	–	4,608	2,641
ZHEJIANG SUPCON TECHNOLOGY-A	2,087	900	538	1,859	1,666
ZHONGJI INNOLIGHT CO LTD-A	3,427	1,300	–	2,839	1,888
ZHUZHOU HONGDA ELECTRONICS-A	1,788	–	–	1,788	–
ZTE CORP-A	17,084	6,400	–	14,234	9,250
ZTE CORP-H	53,922	19,920	–	44,726	29,116

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Materials</b>					
ALUMINUM CORP OF CHINA LTD	56,516	20,900	–	46,547	30,869
ALUMINUM CORP OF CHINA LTD-H	281,502	103,920	–	233,457	151,965
ANHUI CONCH CEMENT CO LTD-A	17,174	6,000	–	14,082	9,092
ANHUI HONGLU STEEL CONSTRU-A	2,939	600	–	2,346	1,193
ASIA-POTASH INTERNATIONAL-A	3,940	1,000	–	3,200	1,740
BAOSHAN IRON & STEEL CO-A	95,397	35,900	–	78,603	52,694
BBMG CORPORATION-A	35,705	9,200	–	44,905	–
BEIJING ORIENTAL YUHONG-A	–	2,600	–	–	2,600
CANMAX TECHNOLOGIES CO LTD-A	2,745	1,000	320	2,397	1,668
CHENGTUN MINING GROUP CO -A	13,439	–	–	13,439	–
CHENGXIN LITHIUM GROUP CO-A	3,862	1,000	–	3,087	1,775
CHIFENG JILONG GOLD MINING-A	–	4,700	–	1,371	3,329
CHINA HONGQIAO GROUP LTD	166,557	63,120	–	137,343	92,334
CHINA JUSHI CO LTD-A	17,187	6,000	–	14,090	9,097
CHINA MOLYBDENUM CO LTD-H	252,675	103,890	–	210,430	146,135
CHINA NATIONAL BUILDING MA-H	276,536	102,080	–	229,334	149,282
CHINA NORTHERN RARE EARTH-A	15,532	5,300	–	12,689	8,143
CHINA RARE EARTH RESOURCES-A	4,183	1,000	–	3,350	1,833
CHINA RESOURCES CEMENT	174,442	66,080	–	143,853	96,669
CMOC GROUP LTD-A	75,657	28,400	–	62,364	41
CNGR ADVANCED MATERIAL CO -A	2,361	1,000	–	1,869	1,492
CNNC HUA YUAN TITANIUM -A	12,792	4,400	–	10,467	6,725
CONCH CEMENT CO LTD	88,119	32,560	–	73,095	47,584
DO-FLUORIDE NEW MATERIALS -A	3,253	1,100	869	3,210	2,012
DONGYUE GROUP	104,555	44,593	–	87,482	61,666
GANFENG LITHIUM CO LTD-H	25,924	11,490	–	21,852	15,562
GANFENG LITHIUM GROUP CO L-A	6,932	2,000	–	5,612	3,320
GEM CO LTD-A	21,956	8,000	–	18,027	11,929
GUANGDONG HEC TECHNOLOGY H-A	12,923	4,400	–	10,569	6,754
GUANGZHOU TINCI MATERIALS -A	8,213	2,600	–	6,696	4,117
HANGZHOU OXYGEN PLANT GROU-A	4,183	1,000	–	3,350	1,833
HAOHUA CHEMICAL SCIENCE -A	–	1,800	–	545	1,255
HENAN SHENHUO COAL & POWER-A	9,644	3,000	–	7,869	4,775
HENGLI PETROCHEMICAL CO L-A	25,165	12,300	–	21,561	15,904
HENGYI PETROCHEMICAL CO -A	15,678	5,700	–	12,881	8,497
HESTEEL CO LTD-A	44,264	16,500	–	36,474	24,290
HOSHINE SILICON INDUSTRY C-A	3,382	1,000	–	2,745	1,637
HUAFON CHEMICAL CO LTD -A	21,263	8,000	–	17,529	11,734
HUAIBEI MINING HOLDINGS CO-A 600985	10,593	3,900	–	8,700	5,793
HUAXIN CEMENT CO LTD-A	5,806	1,300	–	7,106	–
HUBEI FEILIHUA QUARTZ GLAS-A	2,188	500	–	1,752	936
HUBEI XINGFA CHEMICALS GRP-A	4,785	1,100	–	3,867	2,018
HUNAN CHANGYUAN LICO CO LT-A	8,305	2,476	–	6,758	4,023
HUNAN VALIN STEEL CO LTD -A	29,550	10,600	–	24,270	15,880
INNER MONGOLIA EERDUOSI RE-A	5,059	–	–	5,059	–
INNER MONGOLIA JUNZHENG EN-A	36,130	13,200	–	29,669	19,661
INNER MONGOLIA YUAN XING-A	15,536	5,300	–	12,689	8,147
INNER MONGOLIAN BAOTOU STEEL	195,237	73,600	–	160,950	107,887
JIANGSU EASTERN SHENGHONG -A	17,774	12,500	–	16,286	13,988
JIANGSU YANGNONG CHEMICAL -A	1,280	500	181	1,124	837
JIANGSU YOKE TECHNOLOGY-A	2,043	500	–	1,650	893
JIANGXI COPPER CO LTD-A	8,871	2,900	–	7,223	4,548
JIANGXI COPPER COMPANY LTD-H	79,228	29,280	–	65,725	42,783
JINDUICHENG MOLYBDENUM CO -A	–	9,800	–	2,774	7,026



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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Materials (continued)</b>					
LB GROUP CO LTD-A	10,236	3,100	–	8,310	5,026
LUXI CHEMICAL GROUP CO LT-A	8,186	2,600	–	6,696	4,090
NINE DRAGONS PAPER HOLDINGS	117,216	44,400	–	96,642	64,974
NINGBO SHANSHAN CO LTD-A	9,706	3,000	–	7,874	4,832
NINGXIA BAOFENG ENERGY GRO-A	31,407	11,100	–	25,775	16,732
PANGANG GROUP VANADIUM TIT-A	36,804	13,300	–	30,243	19,861
QINGHAI SALT LAKE INDUSTRY-A	23,275	8,500	–	19,095	12,680
RONGSHENG PETRO CHEMICAL-A	43,398	16,100	–	35,754	23,744
SATELLITE CHEMICAL CO LTD-A	14,444	5,100	–	11,844	7,700
SHANDONG GOLD MINING CO LT-A	15,454	5,300	–	12,617	8,137
SHANDONG GOLD MINING CO LT-H	52,114	19,200	–	43,198	28,116
SHANDONG HUALU HENGSHENG-A	9,114	2,900	–	7,397	4,617
SHANDONG NANSHAN ALUMINUM-A	50,181	18,500	–	41,282	27,399
SHANDONG SUN PAPER INDUSTR-A	11,623	4,006	–	9,525	6,104
SHANDONG WEIFANG RAINBOW C-A	1,145	–	–	1,145	–
SHANGHAI PUTAILAI NEW ENER-A	5,942	2,600	1,048	5,327	4,263
SHANXI MEIJIN ENERGY CO LT -A	18,574	6,400	–	15,222	9,752
SHANXI TAIGANG STAINLESS-A	24,517	9,000	–	20,168	13,349
SHENGHE RESOURCES HOLDINGS-A	7,525	2,200	–	6,117	3,608
SHENZHEN CAPCHEM TECHNOLOG-A	3,134	1,100	–	2,595	1,639
SHENZHEN DYNANONIC CO LTD-A	790	500	164	941	513
SHENZHEN SENIOR TECHNOLOGY-A	5,469	1,600	–	4,479	2,590
SHENZHEN YUTO PACKAGING TE-A	3,993	1,000	–	3,216	1,777
SICHUAN HEBANG BIOTECHNOL- A	37,841	13,800	–	31,113	20,528
SICHUAN YAHUA INDUSTRIAL-A	4,948	1,300	–	3,974	2,274
SINOMA SCIENCE&TECHNOLOGY -A	7,170	2,200	–	5,847	3,523
SINOMINE RESOURCE GROUP CO-A	1,976	1,000	303	2,003	1,276
SINOPEC SHANGHAI PETROCHE-A	31,429	11,100	–	25,791	16,738
SKSHU PAINT CO LTD-A	1,604	600	291	1,415	1,080
SUNRESIN NEW MATERIALS CO -A	–	1,100	300	416	984
TANGSHAN JIDONG CEMENT INV-A	11,352	3,900	–	9,306	5,946
TIANQI LITHIUM CORP-A	6,300	2,100	–	5,165	3,235
TIANSHAN ALUMINUM GROUP CO-A	16,555	5,800	–	13,544	8,811
TIBET SUMMIT RESOURCES CO-A	3,919	800	–	4,719	–
TONGKUN GROUP CO LTD-A	10,330	3,100	–	8,391	5,039
TONGLING NONFERROUS METALS-A	45,113	16,600	–	37,146	24,567
WANHUA CHEMICAL GROUP CO LTD	13,398	4,900	–	11,118	7,180
WEIHAI GUANGWEI COMPOSITES-A	2,228	1,000	519	2,082	1,665
WESTERN MINING CO -A	10,236	3,100	–	8,310	5,026
WESTERN SUPERCONDUCTING TE-A	1,989	300	428	1,713	1,004
XIAMEN TUNGSTEN CO LTD-A	6,053	1,700	–	4,916	2,837
XINJIANG ZHONGTAI CHEMICAL-A	11,105	2,800	–	13,905	–
YINTAI RESOURCES CO LTD-A	11,857	4,100	–	9,683	6,274
YONGXING SPECIAL MATERIALS-A	1,788	500	534	1,842	980
YOUNGY CO LTD-A	1,120	100	–	1,220	–
YUNNAN ALUMINIUM CO LTD-A	14,876	5,100	–	12,162	7,814
YUNNAN CHIHONG ZINC & GERM-A	–	11,700	–	–	11,700
YUNNAN ENERGY NEW MATERIAL-A	3,828	1,500	–	2,964	2,364
YUNNAN TIN CO LTD-A	7,173	2,200	–	5,850	3,523
YUNNAN YUNTIANHUA CO-A	7,865	2,500	–	6,369	3,996
ZANGGE MINING CO LTD-A	6,735	2,000	–	5,478	3,257
ZHAOJIN MINING INDUSTRY - H	83,817	37,322	–	70,637	50,502
ZHEJIANG HUAYOU COBALT CO - A	6,826	2,000	–	5,535	3,291
ZHEJIANG JUHUA CO-A	11,519	3,900	–	9,423	5,996
ZHEJIANG LONGSHENG GROUP C-A	–	9,900	–	2,795	7,105

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### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

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#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Materials (continued)</b>					
ZHEJIANG YONGTAI TECH-A	3,766	–	–	3,766	–
ZHONGJIN GOLD CORP-A	–	14,900	–	4,235	10,665
ZIBO QIXIANG TENGDA CHEMIC-A	12,130	4,100	–	9,963	6,267
ZIJIN MINING GROUP CO LTD-A	88,148	32,100	–	73,031	47,217
ZIJIN MINING GROUP CO LTD-H	409,474	146,882	–	347,750	208,606
<b>Real Estate</b>					
C&D INTERNATIONAL INVESTMENT	45,479	20,845	–	38,053	28,271
CHINA EVERGRANDE GROUP	132,676	–	–	132,676	–
CHINA JINMAO HOLDINGS GROUP LTD	427,661	162,160	–	352,698	237,123
CHINA MERCHANTS SHEKOU IND-A	33,151	12,100	–	27,228	18,023
CHINA OVERSEAS LAND & INVEST CHINA OVERSEAS PROPERTY HOLDINGS	273,417	103,230	–	230,029	146,618
CHINA RESOURCES LAND LTD	93,839	35,520	–	77,385	51,974
CHINA RESOURCES MIXC LIFESTY	229,040	86,601	–	192,812	122,829
CHINA VANKE CO LTD -A	48,872	18,000	–	40,507	26,365
CHINA VANKE CO LTD-H	41,681	15,100	–	34,265	22,516
COUNTRY GARDEN HOLDINGS CO	122,472	87,403	–	124,497	85,378
COUNTRY GARDEN SERVICES HOLD	887,696	327,680	–	736,176	479,200
GEMDALE CORP-A	156,491	57,760	–	129,747	84,504
GREENLAND HOLDINGS CORP LT-A	19,309	6,600	–	15,776	10,133
GREENTOWN CHINA HOLDINGS	–	37,000	–	10,350	26,650
GREENTOWN SERVICE GROUP CO L	62,919	29,613	–	53,472	39,060
HAINAN AIRPORT INFRASTRUCT-A	104,384	27,664	–	132,048	–
HANGZHOU BINJIANG REAL EST-A	–	36,100	–	10,125	25,975
KE HOLDINGS INC	13,301	4,500	–	10,872	6,929
LONGFOR GROUP HOLDINGS LTD	47,572	17,741	–	40,116	25,197
POLY REAL ESTATE GROUP CO -A	134,499	51,537	–	111,700	74,336
SEAZEN HOLDINGS CO LTD-A	51,260	18,900	–	42,192	27,968
SHANGHAI LINGANG HOLDINGS-A	9,644	3,000	–	7,869	4,775
SHANGHAI LUJIAZUI FIN&TRAD-B	10,256	3,100	–	8,318	5,038
SHENZHEN OVERSEAS CHINESE-A	78,567	20,500	–	99,067	–
SHIMAO GROUP HOLDINGS LTD	35,110	12,600	–	28,811	18,899
SUNAC CHINA HOLDINGS LTD	38,978	–	–	38,978	–
YOUNGOR GROUP CO-A	99,596	–	–	99,596	–
YUEXIU PROPERTY CO LTD	19,809	6,800	–	16,226	10,383
YUEXIU REAL ESTATE INVESTMENT TRUST	99,456	56,354	–	94,174	61,636
	–	888	3,476	4,364	–
<b>Utilities</b>					
BEIJING ENTERPRISES HLDGS	36,007	13,280	–	29,865	19,422
BEIJING ENTERPRISES WATER GR	287,000	108,800	–	236,640	159,160
CECEP SOLAR ENERGY CO LT-A	16,763	5,800	–	13,713	8,850
CECEP WIND POWER CORP-A	27,875	10,000	–	22,878	14,997
CGN POWER CO LTD-H	756,963	279,360	–	627,722	408,601
CHINA GAS HOLDINGS LTD	213,569	71,680	–	180,436	104,813
CHINA LONGYUAN POWER GROUP-H	238,394	88,000	–	197,703	128,691
CHINA NATIONAL NUCLEAR POW-A	80,713	30,100	–	66,455	44,358
CHINA POWER INTERNATIONAL	353,168	130,320	–	292,860	190,628
CHINA RESOURCES GAS GROUP	66,066	24,400	–	54,797	35,669
CHINA RESOURCES POWER HOLDIN	137,338	52,142	–	114,269	75,211
CHINA THREE GORGES RENEWAB-A	122,552	46,000	–	101,004	67,548

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### MSCI China ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Utilities (continued)</b>					
CHINA YANGTZE POWER CO LTD-A	97,372	39,600	–	80,607	56,365
DATANG INTL POWER GEN CO-A	35,350	12,900	–	29,085	19,165
ENN ENERGY HOLDINGS LTD	56,488	21,340	–	47,521	30,307
ENN NATURAL GAS CO LTD-A	11,097	3,900	–	9,104	5,893
GD POWER DEVELOPMENT CO -A	76,339	28,400	–	62,898	41,841
GUANGDONG INVESTMENT LTD	209,988	77,520	–	174,149	113,359
HUADIAN POWER INTL CORP-A	34,941	12,600	–	28,692	18,849
HUANENG POWER INTL INC-A	39,290	14,300	–	32,279	21,311
HUANENG POWER INTL INC-H	285,167	115,826	–	237,306	163,687
KUNLUN ENERGY COMPANY LTD	278,111	102,640	–	230,629	150,122
SDIC POWER HOLDINGS CO LTD-A	–	23,200	–	6,530	16,670
SHANGHAI ELECTRIC POWER CO-A	12,067	4,100	–	9,828	6,339
SHENERGY COMPANY LIMITED-A	–	15,150	–	4,280	10,870
SHENZHEN ENERGY GROUP CO L-A	20,412	7,000	–	16,748	10,664
SICHUAN CHUANTOU ENERGY CO-A	15,906	7,800	–	13,668	10,038
WINTIME ENERGY CO LTD-A	–	70,600	–	19,670	50,930
ZHEJIANG ZHENENG ELECTRIC-A	–	35,100	–	9,810	25,290

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### Hang Seng High Dividend Yield ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Communication Services</b>					
CHINA MOBILE LTD	403,709	97,426	–	182,742	318,393
CHINA TELECOM CORP LTD 'H/CNY1	6,940,175	1,361,606	–	4,140,352	4,161,429
CHINA UNICOM HONG KONG LTD HKD0.10	4,767,142	918,009	–	2,899,032	2,786,119
HKBN LTD 1310	–	8,132,626	–	559,170	7,573,456
PCCW LTD	5,684,285	2,797,661	–	602,849	7,879,097
<b>Consumer Discretionary</b>					
DONGFENG MOTOR GRP CO LTD-H	–	9,615,386	–	656,437	8,958,949
<b>Consumer Staples</b>					
UNI-PRESIDENT CHINA HOLDINGS	3,462,022	828,177	–	1,591,691	2,698,508
<b>Energy</b>					
CHINA COAL ENERGY CO-H	–	3,896,196	–	260,360	3,635,836
CHINA PETROLEUM & CHEMICAL CORP	10,044,556	1,690,678	–	6,999,882	4,735,352
CHINA SHENHUA ENERGY CO - H	1,135,110	383,021	–	211,492	1,306,639
CNOOC LTD	–	3,108,188	–	220,912	2,887,276
PETROCHINA CO LTD-H	3,822,942	1,646,227	–	374,988	5,094,181
<b>Financials</b>					
AGRICULTURAL BANK OF CHINA-H	7,157,916	2,401,244	–	1,137,336	8,421,824
BANK OF CHINA LTD-H	6,974,103	2,324,534	–	1,181,489	8,117,148
BANK OF COMMUNICATIONS CO-H	3,989,871	1,373,640	–	498,411	4,865,100
BOC HONG KONG HOLDINGS LTD	340,438	523,067	–	57,580	805,925
CHINA CINDA ASSET MANAGEME-H	16,500,486	6,891,912	–	1,550,026	21,842,372
CHINA CITIC BANK CORP LTD-H	6,375,995	1,887,417	–	1,809,424	6,453,988
CHINA CONSTRUCTION BANK-H	3,424,958	2,083,292	–	374,357	5,133,893
CHINA EVERBRIGHT BANK CO L-H	9,301,127	3,248,230	–	1,363,699	11,185,658
CHINA EVERBRIGHT LIMITED	2,964,981	157,296	–	3,122,277	–
CHINA GALAXY SECURITIES CO-H	4,885,712	1,280,702	–	1,889,446	4,276,968
CHINA MINSHENG BANKING-H	7,095,424	2,151,769	–	1,799,380	7,447,813
FAR EAST HORIZON LTD	2,230,894	1,903,706	–	282,062	3,852,538
HANG SENG BANK	74,888	51,585	–	8,838	117,635
IND & COMM BK OF CHINA-H	4,258,956	1,931,743	–	413,690	5,777,009
NEW CHINA LIFE INSURANCE C-H	995,735	255,642	–	399,460	851,917
PEOPLE'S INSURANCE	8,075,944	1,911,088	–	3,759,405	6,227,627
<b>Industrials</b>					
CHINA EVERBRIGHT ENVIRONMENT	4,415,947	4,670,348	–	627,432	8,458,863
CHINA MERCHANTS PORT HOLDINGS	1,175,701	994,048	–	145,444	2,024,305
CHINA STATE CONSTRUCTION INT	1,546,131	577,125	–	141,576	1,981,680
CITIC LTD	2,218,945	712,037	–	441,397	2,489,585
COSCO PACIFIC LTD	2,523,922	1,831,280	–	291,950	4,063,252
CRRC CORP LTD - H	5,599,411	994,238	–	3,715,769	2,877,880
SITC INTERNATIONAL HOLDINGS	747,438	39,653	–	787,091	–
XINYI GLASS HOLDING CO LTD	1,193,582	417,877	–	122,250	1,489,209
<b>Information Technology</b>					
KINGBOARD HOLDINGS LTD	566,455	223,829	–	52,980	737,304
KINGBOARD LAMINATES HOLDING	2,193,007	618,361	–	705,324	2,106,044
VTECH HOLDINGS LTD	547,974	29,070	–	577,044	–

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### Hang Seng High Dividend Yield ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Materials</b>					
CHINA HONGQIAO GROUP LTD	2,929,309	2,533,564	–	388,268	5,074,605
CHINA NATIONAL BUILDING MA-H	3,087,770	3,589,981	–	459,135	6,218,616
CHINA RESOURCES CEMENT	4,394,268	1,434,096	–	802,605	5,025,759
CONCH CEMENT CO LTD	647,630	538,534	–	78,597	1,107,567
LEE & MAN PAPER MANUFACTURIN	5,433,686	1,362,100	–	2,363,117	4,432,669
NINE DRAGONS PAPER HOLDINGS	2,961,932	157,131	–	3,119,063	–
<b>Real Estate</b>					
CHINA AOYUAN GROUP LTD	1,805,197	–	–	1,805,197	–
CHINA JINMAO HOLDINGS GROUP LTD	7,648,839	405,771	–	8,054,610	–
HYSAN DEVELOPMENT CO HKD5	713,793	554,567	–	84,370	1,183,990
KERRY PROPERTIES LTD HKD1	930,354	617,903	–	103,817	1,444,440
NEW WORLD DEVELOPMENT	616,558	1,319,401	–	137,596	1,798,363
YUEXIU PROPERTY CO LTD	2,617,896	979,402	–	793,482	2,803,816
YUEXIU REAL ESTATE INVESTMENT TRUST	–	–	149,576	149,576	–
<b>Utilities</b>					
BEIJING ENTERPRISES WATER GR	7,107,276	8,248,979	–	1,060,388	14,295,867
CK INFRASTRUCTURE HOLDINGS LTD	256,432	233,199	–	32,980	456,651
CLP HOLDINGS LTD	145,765	187,947	–	22,250	311,462
GUANGDONG INVESTMENT LTD	–	4,759,552	–	340,685	4,418,867
POWER ASSETS HOLDINGS LTD	297,767	255,386	–	36,962	516,191

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### China Biotech ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Health Care</b>					
3D MEDICINES INC	–	133,593	–	15,500	118,093
AKESO INC	570,268	5,976	–	141,506	434,738
APELOA PHARMACEUTICAL CO-A	657,200	4,800	–	536,900	125,100
BEIJING TIANTAN BIOLOGICAL-A	–	926,200	–	154,600	771,600
BEIJING WANTAI BIOLOGICAL-A	43,239	2,100	16,015	11,554	49,800
BETTA PHARMACEUTICALS CO L-A	344,253	5,000	–	284,753	64,500
BGI GENOMICS CO LTD-A	248,562	24,800	–	230,500	42,862
CANSINO BIOLOGICS INC-A	20,349	1,300	–	21,649	–
CHINA MEDICAL SYSTEM HOLDING	1,515,675	6,995	–	389,539	1,133,131
CHINA NATIONAL MEDICINES-A	–	599,200	–	86,400	512,800
DA AN GENE CO LTD SUN YAT-A	1,204,097	3,400	–	1,207,497	–
GAN & LEE PHARMACEUTICALS -A	–	454,800	–	385,600	69,200
GENSCRIPT BIOTECH CORP	1,061,693	58,361	–	266,178	853,876
HANSOH PHARMACEUTICAL GROUP	1,262,882	66,827	–	367,804	961,905
HUALAN BIOLOGICAL ENGINEER-A	1,262,293	25,400	–	815,393	472,300
HUBEI JUMPCAN PHARMACEUT-A	401,300	12,900	–	86,000	328,200
HUTCHMED CHINA LTD	482,176	–	–	482,176	–
HYGEIA HEALTHCARE HOLDINGS C	399,767	2,401	–	120,975	281,193
INNOVANT PHARMA LTD	979,649	8,401	–	988,050	–
INNOVENT BIOLOGICS INC	1,528,300	10,118	–	462,160	1,076,258
JIANGSU HENGRUI MEDICINE C-A	1,912,796	1,292,525	–	2,182,191	1,023,130
LIVON PHARMACEUTICAL GROU-A	439,906	2,500	–	106,706	335,700
NANJING KING-FRIEND BIOCHE-A	538,113	5,900	–	157,813	386,200
REMEGEN CO LTD-A	91,384	38,421	–	103,730	26,075
SHANGHAI JUNSHI BIOSCIENCE-A	410,997	156,736	–	450,234	117,499
SHANGHAI RAAS BLOOD PRODUC-A	5,590,794	36,900	–	1,961,094	3,666,600
SHENZHEN KANGTAI BIOLOGICA-A	615,133	3,000	–	152,433	465,700
SIMCERE PHARMACEUTICAL GROUP	885,275	8,211	–	332,505	560,981
SINO BIOPHARMACEUTICAL	12,306,672	72,137	–	4,056,046	8,322,763
WALVAX BIOTECHNOLOGY CO-A	1,801,285	17,700	–	1,543,585	275,400
WUXI APPTec CO LTD-A	826,051	76,800	–	184,000	718,851
WUXI BIOLOGICS CAYMAN INC	1,207,590	396,200	–	307,526	1,296,264
ZAI LAB LTD-ADR	85,478	18,065	–	18,444	85,099
ZHEJIANG WOLWO BIO-PHARMAC-A	367,115	1,200	–	110,215	258,100

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### China Biotech ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Unlisted funded total return swaps</b>						
<b>Health Care</b>						
APELOA PHARMACEUTICAL CO-(Fully funded Swap)	16-Feb-24	–	372,000	–	–	372,000
BETTA PHARMACEUTICALS CO L-(Fully funded Swap)	16-Feb-24	–	203,000	–	–	203,000
BGI GENOMICS CO LTD-(Fully funded Swap)	10-Nov-23	–	179,200	–	–	179,200
GAN & LEE PHARMACEUTICALS-(Fully funded Swap)	15-Dec-23	–	310,000	–	–	310,000
HUALAN BIOLOGICAL ENGINEER-(Fully funded Swap)	15-Nov-23	–	339,000	–	–	339,000
JIANGSU HENGRUI MEDICINE C-A-(Fully funded Swap)	27-Oct-23	–	1,277,900	–	1,277,900	–
REMEGEN CO LTD-(Fully funded Swap)	16-Feb-24	–	81,500	–	–	81,500
SHANGHAI JUNSHI BIOSCIENCE-(Fully funded Swap)	06-Nov-23	–	286,800	–	–	286,800
SHANGHAI JUNSHI BIOSCIENCE-(Fully funded Swap)	16-Feb-24	–	64,000	–	–	64,000
WALVAX BIOTECHNOLOGY CO-(Fully funded Swap)	16-Feb-24	–	874,000	–	–	874,000

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### China Cloud Computing ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Communication Services</b>					
KINGSOFT CORP LTD	391,732	8,000	–	95,321	304,411
NETEASE INC-ADR	69,289	1,649	–	23,799	47,139
TENCENT HOLDINGS LTD	122,284	8,851	–	20,733	110,402
ZHEJIANG CENTURY HUATONG -A	1,712,028	624,800	–	1,363,317	973,511
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING-SP ADR					
BABA	52,324	9,631	–	9,457	52,498
MEITUAN-CLASS B	14,375	–	–	14,375	–
<b>Financials</b>					
HITHINK ROYALFLUSH INFORMA-A	69,967	9,700	–	56,180	23,487
LUFAX HOLDING LTD	750,249	139,349	–	135,721	753,877
<b>Health Care</b>					
WINNING HEALTH TECHNOLOGY-A	884,117	268,900	–	797,500	355,517
<b>Information Technology</b>					
BEIJING KINGSOFT OFFICE SO-A	52,895	5,792	–	44,767	13,920
BEIJING SHIJI INFORMATION -A	527,092	311,558	150,027	932,377	56,300
BEIJING SINNET TECHNOLOGY-A	460,315	70,100	–	420,600	109,815
CETC CYBERSPACE SECURITY T-A	241,900	5,200	–	224,100	23,000
CHINA NATIONAL SOFTWARE -A	173,300	4,400	50,490	209,690	18,500
CHINA TRANSINFO TECHNOLOGY-A	462,805	8,800	–	391,105	80,500
CHINASOFT INTERNATIONAL LTD	1,188,218	–	–	1,188,218	–
CHINDATA GROUP HOLDINGS-ADR	82,530	–	–	82,530	–
DHC SOFTWARE CO LTD -A	1,138,665	310,000	–	1,300,465	148,200
EMPYREAN TECHNOLOGY CO LTD-A	–	31,100	–	2,800	28,300
GDS HOLDINGS LTD - ADR	66,643	–	–	66,643	–
HUNDSUN ELECTRONIC CO LTD-A	577,493	107,989	–	116,900	568,582
IFLYTEK CO LTD	703,120	13,600	–	214,820	501,900
KINGDEE INTERNATIONAL SFTWR	1,154,003	24,000	–	267,848	910,155
LONGSHINE TECHNOLOGY GROUP-A	176,300	43,600	–	173,200	46,700
NAVINFO CO LTD - A	808,000	28,600	–	729,800	106,800
RUIJIE NETWORKS CO LTD-A	–	25,500	–	2,800	22,700
SANGFOR TECHNOLOGIES INC-A	79,230	15,300	–	75,300	19,230
SENSETIME GROUP INC-CLASS B	6,668,168	973,855	–	1,172,000	6,470,023
SHANGHAI BAOSIGHT SOFTWARE-A	196,050	8,000	37,230	177,080	64,200
TAIJI COMPUTER CORP LTD-A	–	161,900	–	132,100	29,800
THUNDER SOFTWARE TECHNOLOG-A	176,000	4,000	–	38,700	141,300
TSINGHUA TONGFANG CO LTD-A	–	814,300	–	662,200	152,100
YONYOU NETWORK TECHNOLOGY CO LTD	745,806	29,900	–	162,606	613,100
<b>Real Estate</b>					
KE HOLDINGS INC	311,412	74,919	–	58,178	328,153



## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### China Cloud Computing ETF (continued)

Financial assets at fair value through profit or loss	Maturity date	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Unlisted funded total return swaps</b>						
<b>Communication Services</b>						
ZHEJIANG CENTURY HUATONG-(Fully funded Swap)	15-Dec-23	–	1,027,217	–	–	1,027,217
<b>Financials</b>						
HITHINK ROYALFLUSH INFORMA-(Fully funded Swap)	15-Dec-23	–	41,980	–	–	41,980
<b>Health Care</b>						
WINNING HEALTH TECHNOLOGY-(Fully funded Swap)	15-Dec-23	–	628,600	–	–	628,600
<b>Information Technology</b>						
BEIJING KINGSOFT OFFICE SO-(Fully funded Swap)	15-Dec-23	–	35,000	–	–	35,000
BEIJING SHIJI INFORMATION-(Fully funded Swap)	15-Dec-23	–	484,500	–	305,200	179,300
BEIJING SINNET TECHNOLOGY-(Fully funded Swap)	16-Feb-24	–	328,000	–	–	328,000
CETC CYBERSPACE SECURITY T-(Fully funded Swap)	15-Dec-23	–	171,900	–	–	171,900
CHINA NATIONAL SOFTWARE-(Fully funded Swap)	15-Dec-23	–	151,600	–	–	151,600
CHINA TRANSINFO TECHNOLOGY-(Fully funded Swap)	16-Feb-24	–	239,000	–	–	239,000
DHC SOFTWARE CO LTD-(Fully funded Swap)	15-Dec-23	–	809,400	–	292,000	517,400
LONGSHINE TECHNOLOGY GROUP-(Fully funded Swap)	16-Feb-24	–	140,000	–	–	140,000
NAVINFO CO LTD-(Fully funded Swap)	15-Dec-23	–	574,200	–	–	574,200
SANGFOR TECHNOLOGIES INC-(Fully funded Swap)	15-Dec-23	–	56,000	–	–	56,000
SHANGHAI BAOSIGHT SOFTWARE-(Fully funded Swap)	15-Dec-23	–	137,300	–	–	137,300
TAIJI COMPUTER CORP LTD-(Fully funded Swap)	16-Feb-24	–	110,000	–	–	110,000
TSINGHUA TONGFANG CO LTD-(Fully funded Swap)	16-Feb-24	–	573,900	–	–	573,900

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### Hang Seng ESG ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Communication Services</b>					
BAIDU INC-CLASS A	1,641	406	–	–	2,047
CHINA MOBILE LTD	6,843	70	–	1,931	4,982
CHINA UNICOM HONG KONG LTD HKD0.10	3,532	–	–	618	2,914
NETEASE INC	4,400	261	–	1,306	3,355
TENCENT HOLDINGS LTD	5,797	90	–	301	5,586
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING LTD	9,337	446	–	1,454	8,329
ANTA SPORTS PRODUCTS LTD	6,660	861	–	260	7,261
BYD CO LTD-H	1,272	93	–	62	1,303
CHOW TAI FOOK JEWELRY LTD	10,645	987	–	350	11,282
GALAXY ENTERTAINMENT GROUP LTD	1,556	96	–	–	1,652
GEELY AUTOMOBILE HOLDINGS LTD	27,460	2,233	–	751	28,942
HAIDLAO INTERNATIONAL HOLDINGS LTD	1,026	–	–	–	1,026
HAIER SMART HOME CO LTD-H	1,412	–	–	35	1,377
JD.COM INC - CL A	1,437	299	–	–	1,736
LI NING CO LTD	11,661	1,034	–	190	12,505
MEITUAN-CLASS B	4,037	5,479	–	–	9,516
SANDS CHINA LTD	12,325	819	–	1,435	11,709
SHENZHOU INTERNATIONAL GROUP	4,460	397	–	149	4,708
TRIP.COM GROUP LTD	–	147	–	–	147
ZHONGSHENG GROUP HOLDINGS	5,152	1,427	–	236	6,343
<b>Consumer Staples</b>					
ALIBABA HEALTH INFORMATION TECHNOLOGY LTD	11,921	1,977	–	–	13,898
BUDWEISER BREWING CO APAC LTD	9,267	670	–	935	9,002
CHINA MENGNIU DAIRY CO	1,267	414	–	–	1,681
CHINA RESOURCES BEER (HOLDINGS) CO LTD	874	–	–	26	848
HENGAN INTL GROUP CO LTD	393	–	–	8	385
JD HEALTH INTERNATIONAL INC	–	2,252	–	–	2,252
NONGFU SPRING CO LTD-H	2,020	–	–	88	1,932
TINGYI (CAYMAN ISLN) HLDG CO	–	811	–	–	811
WH GROUP LTD	–	2,792	–	2,792	–
<b>Financials</b>					
AIA GROUP LTD USD1.00	23,912	2,448	–	242	26,118
BANK OF CHINA LTD-H	30,377	20,165	–	–	50,542
BOC HONG KONG HOLDINGS LTD	5,521	132	–	–	5,653
CHINA CONSTRUCTION BANK-H	63,666	1,883	–	436	65,113
CHINA LIFE INSURANCE CO-H	7,188	8	–	–	7,196
CHINA MERCHANTS BANK - H	2,194	–	–	35	2,159
HANG SENG BANK	2,696	710	–	44	3,362
HONG KONG EXCHANGES & CLEAR HKD1	5,884	309	–	207	5,986
HSBC HOLDINGS PLC	35,438	507	–	20,692	15,253
IND & COMM BK OF CHINA-H	33,804	5,993	–	675	39,122
PING AN INSURANCE GROUP CO-H	27,317	5,854	–	832	32,339
<b>Health Care</b>					
CSPC PHARMACEUTICAL GROUP LTD	3,649	–	–	139	3,510
HANSOH PHARMACEUTICAL GROUP	561	–	–	27	534
SINO BIOPHARMACEUTICAL	–	5,404	–	–	5,404
SINOPHARM GROUP CO-H	–	6,607	–	–	6,607
WUXI BIOLOGICS CAYMAN INC	22,605	2,738	–	–	25,343

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### Hang Seng ESG ETF (continued)

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities (continued)</b>					
<b>Industrials</b>					
CK HUTCHISON HOLDINGS LTD	1,520	695	–	–	2,215
MTR CORP	5,582	134	–	288	5,428
ORIENT OVERSEAS INTL LTD	276	–	–	17	259
TECHTRONIC INDUSTRIES CO	2,546	93	–	50	2,589
XINYI GLASS HOLDING CO LTD	1,114	457	–	–	1,571
<b>Information Technology</b>					
LENOVO GROUP LTD	45,545	–	–	7,055	38,490
SEMICONDUCTOR MANUFACTURING INTL CORP	2,032	–	–	183	1,849
SUNNY OPTICAL TECH	1,118	–	–	45	1,073
XIAOMI CORP-CLASS B	40,722	1,314	–	1,180	40,856
XINYI SOLAR HLDGS	8,103	258	–	–	8,361
<b>Real Estate</b>					
CHINA OVERSEAS LAND & INVEST	21,205	1,907	–	725	22,387
CHINA RESOURCES LAND LTD	10,019	–	–	2,292	7,727
CHINA RESOURCES MIXC LIFESTY	5,695	225	–	255	5,665
CK ASSET HOLDINGS LTD	9,175	780	–	47	9,908
COUNTRY GARDEN HOLDINGS CO	27,745	354	–	28,099	–
COUNTRY GARDEN SERVICES HOLD	19,168	2,052	–	879	20,341
HANG LUNG PROPERTIES LTD HKD1	10,732	1,102	–	391	11,443
HENDERSON LAND DEVELOPMENT HKD2	5,404	381	–	101	5,684
LINK REIT NPV	22,222	2,476	–	699	23,999
LONGFOR GROUP HOLDINGS LTD	10,782	1,390	–	–	12,172
NEW WORLD DEVELOPMENT	7,883	753	–	277	8,359
SUN HUNG KAI PROPERTIES HKD0.50	7,923	709	–	268	8,364
WHARF REAL ESTATE INVESTMENT 1997	7,248	572	–	184	7,636
<b>Utilities</b>					
ENN ENERGY HOLDINGS LTD	666	–	–	38	628
HONG KONG & CHINA GAS HKD0.25	29,443	2,893	–	–	32,336

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### Electric Vehicle and Battery Active ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Consumer Discretionary</b>					
BYD CO LTD-H	20,683	1,235	–	6,155	15,763
DENSO CORP NPV	2,968	454	8,334	644	11,112
FUYAO GLASS INDUSTRY GROUP-H	25,331	23,464	–	7,674	41,121
PANASONIC HOLDINGS CORP	10,984	4,553	–	2,196	13,341
TESLA INC	2,852	–	–	966	1,886
ZHEJIANG SHUANGHUAN DRIVEL-A	13,330	41,000	–	28,912	25,418
<b>Industrials</b>					
CONTEMPORARY AMPEREX TECHN-A	10,126	1,900	7,060	4,476	14,610
EVE ENERGY CO LTD-A	14,849	–	–	14,849	–
L.K. TECH HLDG LTD COMMON SHARES	103,790	–	–	20,758	83,032
LG ENERGY SOLUTION	149	–	–	98	51
NIDEC CORP NPV	2,660	–	–	532	2,128
SHENZHEN INOVANCE TECHNOLO-A	7,108	–	–	1,420	5,688
TECHTRONIC INDUSTRIES CO	3,529	–	–	706	2,823
WUXI LEAD INTELLIGENT EQUI-A	62,803	–	–	12,560	50,243
ZHEJIANG HANGKE TECHNOLOGY-A	21,657	19,900	16,622	11,636	46,543
<b>Information Technology</b>					
BYD ELECTRONIC INTL CO LTD	34,189	–	–	6,838	27,351
INFINEON TECHNOLOGIES AG	13,809	–	–	5,886	7,923
L&F CO LTD KRW500	483	–	–	245	238
NVIDIA CORP	1,115	235	–	250	1,100
NXP SEMICONDUCTORS NV	1,279	272	–	256	1,295
RENESAS ELECTRONICS CORP NPV	–	3,843	–	428	3,415
SAMSUNG SDI CO LTD KRW5000	691	–	–	140	551
STARPOWER SEMICONDUCTOR LT-A	1,550	–	–	1,550	–
STMICROELECTRONICS NV EUR1.04	7,010	–	–	2,682	4,328
TEXAS INSTRUMENTS INC COM USD1	1,253	733	–	296	1,690
THUNDER SOFTWARE TECHNOLOG-A	4,909	–	–	982	3,927
<b>Materials</b>					
ALBEMARLE CORP	950	–	–	190	760
GANFENG LITHIUM GROUP CO L-A	31,709	–	–	6,342	25,367
LG CHEM LTD	385	109	–	253	241
POSCO HOLDINGS INC	–	244	–	172	72
SHENZHEN DYNANONIC CO LTD-A	3,766	–	2,259	1,204	4,821
YUNNAN ENERGY NEW MATERIAL-A	9,741	–	–	1,948	7,793

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 1 April 2023 to 30 September 2023

#### Metaverse Theme Active ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 1 April 2023	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Communication Services</b>					
ACTIVISION BLIZZARD INC COM STK USD0.000001	831	–	–	139	692
ALPHABET INC-CL A GOOGL	202	143	–	34	311
BAIDU INC - SPON ADR	264	484	–	125	623
HYBE CO LTD	217	–	–	36	181
IQIYI INC-ADR IQ	–	4,265	–	711	3,554
JYP ENTERTAINMENT CORP 035900	1,009	–	–	1,009	–
KUAISHOU TECHNOLOGY	–	3,205	–	534	2,671
META PLATFORMS INC-CLASS A	360	–	–	60	300
NAVER CORP	184	–	–	31	153
NCISOFT CORPORATION KRW500	75	–	–	75	–
NETEASE INC	4,656	–	–	776	3,880
NETEASE INC-ADR	–	244	–	41	203
NINTENDO CO LTD NPV	1,126	–	–	188	938
ROBLOX CORP -CLASS A	742	–	–	124	618
SEA LTD-ADR SE	594	–	–	99	495
TENCENT HOLDINGS LTD	2,449	–	–	854	1,595
WALT DISNEY CO/THE DISNEY COM USD0.01	839	–	–	140	699
<b>Consumer Discretionary</b>					
AMAZON.COM INC USD0.01	388	–	–	65	323
MEITUAN-CLASS B	244	–	–	244	–
PDD HOLDINGS INC	–	995	–	166	829
SONY GROUP CORP	636	–	–	106	530
TESLA INC	100	97	–	33	164
<b>Information Technology</b>					
ADV MICRO DEVICES INC COM USD0.01	1,027	–	–	623	404
APPLE INC	900	–	–	150	750
AUTODESK INC COM USD0.01	352	–	–	59	293
CROWDSTRIKE HOLDINGS INC - A CRWD	136	–	–	23	113
LUXSHARE PRECISION INDUSTR-A	15,200	–	–	2,533	12,667
MICROSOFT CORP COM USD0.00000625	737	–	–	225	512
NVIDIA CORP	523	–	–	128	395
QUALCOMM INC	488	–	–	247	241
SHANGHAI AWINIC TECHNOLOGY-A	–	4,800	–	2,900	1,900
SUNNY OPTICAL TECH	–	2,280	–	380	1,900
TAIWAN SEMICONDUCTOR MANUFAC	8,767	–	–	1,461	7,306
UNITY SOFTWARE INC U	441	–	–	74	367
VMWARE INC-CLASS A COM STK USD0.01 CLASS 'A'	35	–	–	35	–
ZSCALER INC	82	–	–	82	–

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 29 March 2023 (date of inception) to 30 September 2023

#### Hang Seng TECH ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 29 March 2023 (date of inception)	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Listed equities</b>					
<b>Communication Services</b>					
BAIDU INC-CLASS A	–	1,135,098	–	700	1,134,398
BILIBILI INC-CLASS Z	–	443,415	–	10,451	432,964
CHINA LITERATURE LTD	–	771,974	–	36,973	735,001
KINGSOFT CORP LTD	–	1,607,098	–	76,795	1,530,303
KUAISHOU TECHNOLOGY	–	4,324,468	–	461,869	3,862,599
NETEASE INC	–	1,164,072	–	55,639	1,108,433
TENCENT HOLDINGS LTD	–	805,457	–	456	805,001
WEIBO CORP-CLASS A	–	8,107	–	4,204	3,903
<b>Consumer Discretionary</b>					
ALIBABA GROUP HOLDING LTD	–	2,944,754	–	27,621	2,917,133
EAST BUY HOLDING LTD	–	813,144	–	–	813,144
HAIER SMART HOME CO LTD-H	–	4,096,177	–	198,721	3,897,456
JD.COM INC - CL A	–	1,982,928	–	1,109	1,981,819
LI AUTO INC-CLASS A	–	2,089,558	–	447,654	1,641,904
MEITUAN-CLASS B	–	1,993,565	–	62,265	1,931,300
NIO INC-CLASS A	–	85,399	–	3,963	81,436
TRIP.COM GROUP LTD	–	219,417	–	135	219,282
XPENG INC - CLASS A SHARES	–	2,040,004	–	96,265	1,943,739
<b>Consumer Staples</b>					
ALIBABA HEALTH INFORMATION T	–	9,117,759	–	434,560	8,683,199
JD HEALTH INTERNATIONAL INC	–	1,874,806	–	89,551	1,785,255
PING AN HEALTHCARE AND TECHN	–	987,654	–	609	987,045
<b>Financials</b>					
ZHONGAN ONLINE P&C INSURAN-H	–	1,434,814	–	68,347	1,366,467
<b>Information Technology</b>					
AAC TECHNOLOGIES HOLDINGS IN	–	1,205,652	–	1,205,652	–
BYD ELECTRONIC INTL CO LTD	–	1,328,572	–	63,587	1,264,985
GDS HOLDINGS LTD-CL A	–	642,100	–	30,785	611,315
HUA HONG SEMICONDUCTOR LTD	–	1,101,128	–	51,964	1,049,164
KINGDEE INTERNATIONAL SFTWR	–	4,683,232	–	222,467	4,460,765
LENOVO GROUP LTD	–	13,229,957	–	584,808	12,645,149
SEMICONDUCTOR MANUFACTURING INTL CORP	–	7,032,610	–	330,949	6,701,661
SENSETIME GROUP INC-CLASS B	–	30,889,453	–	1,362,326	29,527,127
SUNNY OPTICAL TECH	–	1,200,959	–	57,351	1,143,608
XIAOMI CORP-CLASS B	–	22,099,401	–	680,384	21,419,017

## GLOBAL X ETF SERIES

### STATEMENT OF MOVEMENTS IN INVESTMENT PORTFOLIO (UNAUDITED) (CONTINUED)

For the period from 28 June 2023 (date of inception) to 30 September 2023

#### USD Money Market ETF

Financial assets at fair value through profit or loss	Beginning holdings as at 28 June 2023 (date of inception)	Additions	Corporate actions	Disposals	Closing holdings as at 30 September 2023
<b>Debt securities</b>					
<b>China</b>					
AGRICULTURAL BK CHINA/HK CD 5.67% 11/30/23	–	1,000,000	–	–	1,000,000
INDUSTRIAL AND COMMERCIAL BANK OF CHINA/LUX CD 5.5% 09/05/24	–	800,000	–	–	800,000
<b>United Stated</b>					
TREASURY BILL B 09/07/23	–	200,000	–	200,000	–
TREASURY BILL B 09/19/23	–	500,000	–	500,000	–
TREASURY BILL B 09/26/23	–	200,000	–	200,000	–
TREASURY BILL B 10/03/23	–	500,000	–	–	500,000
TREASURY BILL B 10/17/23	–	200,000	–	–	200,000

## GLOBAL X ETF SERIES

### HOLDINGS OF COLLATERAL (UNAUDITED)

As at 30 September 2023

#### China Biotech ETF

<b>Collateral Provider</b>	<b>Nature of Collateral</b>	<b>Value of the collateral RMB</b>	<b>% of net assets covered by collateral</b>
Mirae Asset Securities (HK) Limited	Equities	111,372,348	20.52%
<b>Custody/safe-keeping arrangement</b>	<b>Amount of collateral received/held as of 30 Sep 2023</b>	<b>RMB</b>	<b>Proportion of collateral posted by the sub-fund as of 30 Sep 2023</b> %
<b>Custodian of collateral</b>			
Citibank, N.A., Hong Kong Branch		<u>111,372,348</u>	<u>100.00</u>



## GLOBAL X ETF SERIES

### HOLDINGS OF COLLATERAL (UNAUDITED) (CONTINUED)

As at 30 September 2023

#### China Cloud Computing ETF

<b>Collateral Provider</b>	<b>Nature of Collateral</b>	<b>Value of the collateral RMB</b>	<b>% of net assets covered by collateral</b>
Mirae Asset Securities (HK) Limited	Equities	76,230,762	22.22%
<b>Custody/safe-keeping arrangement</b>	<b>Amount of collateral received/held as of 30 Sep 2023</b>	<b>RMB</b>	<b>Proportion of collateral posted by the sub-fund as of 30 Sep 2023</b> %
<b>Custodian of collateral</b>			
Citibank, N.A., Hong Kong Branch		<u>76,230,762</u>	<u>100.00</u>

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED)

#### NET ASSET VALUE

	<u>As at 30 September 2023</u>		<u>As at 31 March 2023</u>		<u>As at 31 March 2022</u>	
	Dealing net asset value	Dealing net asset value per unit	Dealing net asset value	Dealing net asset value per unit	Dealing net asset value	Dealing net asset value per unit
MSCI China ETF (in HK\$)	588,781,692	25.1616	1,203,829,656	28.5269	526,519,896	29.9160
Hang Seng High Dividend Yield ETF (in HK\$)	1,054,482,804	19.6001	946,490,138	22.7522	564,778,840	25.9073
China Biotech ETF (in RMB)	542,791,094	52.4436	724,492,526	56.8229	963,501,712	64.2334
China Cloud Computing ETF (in RMB)	343,008,535	46.0414	503,503,823	57.874	483,934,722	48.6367
Hang Seng ESG ETF (in HK\$)	21,961,667	3.6603	25,474,864	4.2458	–	–
Electric Vehicle and Battery Active ETF (in USD)	5,394,959	6.7437	7,301,417	7.3014	–	–
Metaverse Theme Active ETF (in USD)	1,860,461	7.4418	2,090,195	6.9673	–	–
Hang Seng TECH ETF (in HKD) <sup>4</sup>	3,073,171,800	4.7839	–	–	–	–
USD Money Market ETF (in USD) <sup>5</sup>	26,844,648	126.6257	–	–	–	–

#### HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER UNIT

	<u>For the period ended</u> <u>30 September 2023</u>		<u>For the year ended</u> <u>31 March 2023</u>	
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit
MSCI China ETF (in HK\$) <sup>1</sup>	28.5588	24.6359	31.8306	20.0118
Hang Seng High Dividend Yield ETF (in HK\$) <sup>1</sup>	24.5578	19.3559	26.8086	17.2898
China Biotech ETF (in RMB) <sup>2</sup>	62.5360	48.2820	69.0418	49.1197
China Cloud Computing ETF (in RMB) <sup>2</sup>	60.3561	45.1220	57.8741	36.1645
Hang Seng ESG ETF (in HK\$) <sup>3</sup>	4.3391	3.5473	4.7979	2.9493
Electric Vehicle and Battery Active ETF (in USD) <sup>3</sup>	7.8267	6.6345	8.3776	6.3019
Metaverse Theme Active ETF (in USD) <sup>3</sup>	8.1605	6.6295	8.3590	5.2340
Hang Seng TECH ETF (in HKD) <sup>4</sup>	5.5539	4.4223	–	–
USD Money Market ETF (in USD) <sup>5</sup>	126.6257	125.0000	–	–

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### HIGHEST ISSUE PRICE AND LOWEST REDEMPTION PRICE PER UNIT (CONTINUED)

	<u>For the year ended</u> <u>31 March 2022</u>		<u>For the year ended</u> <u>31 March 2021</u>		<u>For the year ended</u> <u>31 March 2020</u>		<u>For the year ended</u> <u>31 March 2019</u>	
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit
MSCI China ETF (in HK\$) <sup>1</sup>	45.7042	24.4620	52.5877	30.2310	36.2498	28.0868	37.6964	27.2491
Hang Seng High Dividend Yield ETF (in HK\$) <sup>1</sup>	30.2194	22.6851	29.3399	22.4517	30.8182	21.0591	32.9142	25.8052
China Biotech ETF (in RMB) <sup>2</sup>	116.5631	54.8504	121.3202	61.7807	67.9412	48.8418	–	–
China Cloud Computing ETF (in RMB) <sup>2</sup>	97.3897	40.8027	126.9259	68.4645	81.6336	47.2181	–	–
Hang Seng ESG ETF (in HK\$) <sup>3</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
Metaverse Theme Active ETF (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF (in HKD) <sup>4</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF (in USD) <sup>5</sup>	–	–	–	–	–	–	–	–
	<u>For the year ended</u> <u>31 March 2018</u>		<u>For the year ended</u> <u>31 March 2017</u>		<u>For the year ended</u> <u>31 March 2016</u>		<u>For the year ended</u> <u>31 March 2015</u>	
	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit	Highest issue price per unit	Lowest redemption price per unit
MSCI China ETF (in HK\$) <sup>1</sup>	39.7705	25.4109	25.9516	19.9304	30.7717	18.1352	25.9101	20.0785
Hang Seng High Dividend Yield ETF (in HK\$) <sup>1</sup>	34.6248	25.2672	26.1597	20.8090	28.5089	19.3450	23.1866	19.7785
China Biotech ETF (in RMB) <sup>2</sup>	–	–	–	–	–	–	–	–
China Cloud Computing ETF (in RMB) <sup>2</sup>	–	–	–	–	–	–	–	–
Hang Seng ESG ETF (in HK\$) <sup>3</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
Metaverse Theme Active ETF (in USD) <sup>3</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF (in HKD) <sup>4</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF (in USD) <sup>5</sup>	–	–	–	–	–	–	–	–

<sup>1</sup> The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2014, commences from 11 June 2013 (date of inception) to 31 March 2014.

<sup>2</sup> The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2015, commences from 24 September 2014 (date of inception) to 31 March 2015.

<sup>3</sup> The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2022, commences from 18 March 2022 (date of inception) to 30 September 2022.

<sup>4</sup> The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 29 March 2023 (date of inception) to 30 September 2023.

<sup>5</sup> The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 28 June 2023 (date of inception) to 30 September 2023.

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### PERFORMANCE OF THE SUB-FUNDS

	<u>For the period ended</u> <u>30 September 2023</u>		<u>For the year ended</u> <u>31 March 2023</u>		<u>For the year ended</u> <u>31 March 2022</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF <sup>1 # ^</sup>	(11.80)	(11.66)	(4.64)	(4.51)	(32.06)	(32.37)
Hang Seng High Dividend Yield ETF <sup>1 # ^</sup>	(8.00)	(7.46)	(4.48)	(4.46)	(4.39)	(3.18)
China Biotech ETF <sup>2 # ^</sup>	(7.71)	(7.38)	(11.54)	(10.84)	(35.39)	(34.96)
China Cloud Computing ETF <sup>2 # ^</sup>	(20.44)	(20.19)	18.99	19.85	(48.23)	(47.93)
Hang Seng ESG ETF <sup>3 ^</sup>	(13.79)	(13.68)	(4.04)	(3.53)	–	–
Electric Vehicle and Battery Active ETF <sup>3 ^</sup>	(7.64)	(6.28)	(10.51)	(10.99)	–	–
Metaverse Theme Active ETF <sup>3 ^</sup>	6.81	1.38	(13.72)	(19.22)	–	–
Hang Seng TECH ETF <sup>4 ^</sup>	(4.32)	(4.99)	–	–	–	–
USD Money Market ETF <sup>5 ^</sup>	1.28	N/A	–	–	–	–

	<u>For the year ended</u> <u>31 March 2021</u>		<u>For the year ended</u> <u>31 March 2020</u>		<u>For the year ended</u> <u>31 March 2019</u>		<u>For the year ended</u> <u>31 March 2018</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF <sup>1 # ^</sup>	42.79	42.57	(7.28)	(6.33)	(6.29)	(6.18)	39.72	39.94
Hang Seng High Dividend Yield ETF <sup>1 # ^</sup>	32.53	33.85	(23.16)	(22.41)	(5.35)	(4.89)	25.96	32.75
China Biotech ETF <sup>2 # ^</sup>	60.41	62.65	23.94	23.39	–	–	–	–
China Cloud Computing ETF <sup>2 # ^</sup>	36.66	37.14	37.49	37.60	–	–	–	–
Hang Seng ESG ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Metaverse Theme Active ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF <sup>4 ^</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF <sup>5 ^</sup>	–	–	–	–	–	–	–	–

## GLOBAL X ETF SERIES

### PERFORMANCE RECORD (UNAUDITED) (CONTINUED)

#### PERFORMANCE OF THE SUB-FUNDS (CONTINUED)

	<u>For the year ended</u> <u>31 March 2017</u>		<u>For the year ended</u> <u>31 March 2016</u>		<u>For the year ended</u> <u>31 March 2015</u>		<u>For the year ended</u> <u>31 March 2014</u>	
	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)	Sub-Fund performance (%)	Index performance (%)
MSCI China ETF <sup>1 # ^</sup>	19.36	19.88	(17.85)	(18.81)	23.90	23.94	23.90	23.94
Hang Seng High Dividend Yield ETF <sup>1 # ^</sup>	21.88	23.35	(4.42)	(4.13)	16.94	17.56	16.94	17.56
China Biotech ETF <sup>2 # ^</sup>	–	–	–	–	–	–	–	–
China Cloud Computing ETF <sup>2 # ^</sup>	–	–	–	–	–	–	–	–
Hang Seng ESG ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Electric Vehicle and Battery Active ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Metaverse Theme Active ETF <sup>3 ^</sup>	–	–	–	–	–	–	–	–
Hang Seng TECH ETF <sup>4 ^</sup>	–	–	–	–	–	–	–	–
USD Money Market ETF <sup>5 ^</sup>	–	–	–	–	–	–	–	–

<sup>1</sup>The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2014, commences from 11 June 2013 (date of inception) to 31 March 2014.

<sup>2</sup>The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 31 March 2020, commences from 24 July 2019 (date of inception) to 31 March 2020.

<sup>3</sup>The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2022, commences from 18 March 2022 (date of inception) to 30 September 2022.

<sup>4</sup>The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 29 March 2023 (date of inception) to 30 September 2023.

<sup>5</sup>The financial year of this Sub-Fund, which was a new addition to the Trust during the period ended 30 September 2023, commences from 28 June 2023 (date of inception) to 30 September 2023.

<sup>#</sup>The respective tracking indices of these Sub-Funds are price return indices. A price return index calculates the performance of index constituents on the basis that any dividends or distributions are not reinvested.

<sup>®</sup>The respective tracking indices of these Sub-Funds are net total return indices. A net total return index calculates the performance of the index constituents on the basis that any dividends or distributions are reinvested after the deduction of any withholding taxes that may apply.

<sup>^</sup>The Sub-Funds' performances are calculated on the basis that any dividends or distributions are reinvested.

## GLOBAL X ETF SERIES

### INFORMATION ON EXPOSURE ARISING FROM FINANCIAL DERIVATIVE INSTRUMENTS (UNAUDITED)

	<u>For the period ended 30 September 2023</u>					
	Highest (%)	Gross exposure Lowest (%)	Average (%)	Highest (%)	Net exposure Lowest (%)	Average (%)
<u>Total return swaps</u>						
China Biotech ETF	21.90	0.00	10.78	21.90	0.00	10.78
China Cloud Computing ETF	22.78	0.00	8.35	22.78	0.00	8.35

## GLOBAL X ETF SERIES

### MANAGEMENT AND ADMINISTRATION

#### Manager

Mirae Asset Global Investments (Hong Kong) Limited  
Room 1101, 11/F, Lee Garden Three 1 Sunning Road  
Causeway Bay Hong Kong

#### Directors of the Manager

Mr. Wanyoun Cho  
Ms. Joo Hee An  
Mr. Se Han Song

#### Trustee

Cititrust Limited  
50th Floor, Champion Tower  
Three Garden Road  
Central, Hong Kong

#### PRC Custodian

Industrial and Commercial Bank of China Limited  
55 Fuxingmennei Street, Xicheng District  
Beijing China P.C.:100140

#### Custodian

Citibank, N.A.  
50th Floor, Champion Tower  
Three Garden Road  
Central, Hong Kong

#### Service Agent

HK Conversion Agency Services Limited  
8th Floor, Two Exchange Square  
8 Connaught Place  
Central, Hong Kong

#### Legal Adviser to the Manager

Deacons  
5th Floor, Alexandra House  
18 Chater Road  
Central, Hong Kong

#### Administrator

Citibank, N.A., Hong Kong Branch  
50/F., Champion Tower 3 Garden Road  
Central, Hong Kong

#### Auditors

PricewaterhouseCoopers  
Certified Public Accountant  
Registered Public Interest Entity Auditor  
22/F, Prince's Building  
10 Chater Road  
Central, Hong Kong

#### Registrar

Computershare Hong Kong Investor Services Limited<sup>®</sup>  
46/F, Hopewell Centre 183 Queen's Road East  
Wanchai, Hong Kong

#### Tricor Investor Services Limited<sup>†</sup>

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Hong Kong

#### Tax Adviser to the Manager (PRC tax)

Ernst & Young (China) Advisory Limited Beijing Branch Office  
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No. 1 East Chang An Avenue Dong Cheng District  
Beijing China 100738

#### Tax Adviser to the Manager (FATCA)

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35/F, One Pacific Place  
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#### Participating Dealers

ABN AMRO Clearing Hong Kong Limited<sup>®</sup>  
70th Floor, International Commerce Centre  
1 Austin Road West  
Kowloon, Hong Kong

Barclays Bank PLC<sup>™</sup>  
41/F Cheung Kong Center  
2 Queen's Road Central  
Central, Hong Kong

BNP Paribas Securities Services\*  
21st-23rd Floor, PCCW Tower  
Taikoo Place, 979 King's Road  
Quarry Bay, Hong Kong

#### CIMB Securities Limited\*

Unit 7706-8, Level 77, International Commerce Centre,  
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#### Citigroup Global Markets Asia Limited

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#### China Galaxy International Securities (Hong Kong) Co., Limited\*\*

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#### China Merchants Securities (HK) Co., Limited<sup>®</sup>

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#### Goldman Sachs (Asia) Securities Limited<sup>†</sup>

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#### Guotai Junan Securities (Hong Kong) Limited<sup>®</sup>

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181 Queen's Road  
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#### Haitong International Securities Company Limited<sup>†</sup>

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#### Macquarie Bank Limited<sup>\*</sup>

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#### Merrill Lynch Far East Limited<sup>++</sup>

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#### Mirae Asset Securities (HK) Limited

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#### Morgan Stanley Hong Kong Securities Limited<sup>®</sup>

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Central, Hong Kong

## GLOBAL X ETF SERIES

### MANAGEMENT AND ADMINISTRATION (CONTINUED)

#### Market Makers

**BNP Paribas Securities (Asia) Limited\*\***  
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**Flow Traders Hong Kong Limited**  
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**Jane Street Asia Trading Limited#**  
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**Mirae Asset Securities Co., Ltd.**  
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Seoul, 04539, South Korea

**Optiver Trading Hong Kong Limited%%**  
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**Vivienne Court Trading Pty. Ltd.##**  
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#### Participating Dealers (continued)

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**Shenwan Hongyuan Securities (H.K.) Limited\***  
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**SinoPac Securities (Asia) Limited**  
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**UBS Securities Hong Kong Limited\***  
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Central, Hong Kong

**Yuanta Securities (Hong Kong) Company Limited%**  
23/F Tower 1  
Admiralty Centre, 18 Harcourt Road  
Admiralty, Hong Kong

# In respect of MSCI China ETF only.

\* In respect of MSCI China ETF and Hang Seng High Dividend Yield ETF only.

+ In respect of China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, Metaverse Theme Active ETF, Hang Seng TECH ETF and USD Money Market ETF only.

% In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF, China Biotech ETF and China Cloud Computing ETF only.

~ In respect of Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, Metaverse Theme Active ETF and Hang Seng TECH ETF only.

@ In respect of China Biotech ETF, China Cloud Computing ETF, Hang Seng TECH ETF and USD Money Market ETF only.

## In respect of MSCI China ETF and Hang Seng TECH ETF only.

\*\* In respect of Hang Seng High Dividend Yield ETF only.

++ In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF and Hang Seng TECH ETF only.

%% In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF, China Biotech ETF, China Cloud Computing ETF and Hang Seng TECH ETF only.

~ In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF, China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF and Metaverse Theme Active ETF only.

@ In respect of MSCI China ETF, Hang Seng High Dividend Yield ETF, China Biotech ETF, China Cloud Computing ETF, Hang Seng ESG ETF, Electric Vehicle and Battery Active ETF, Metaverse Theme Active ETF and Hang Seng TECH ETF only.