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SFC authorisation is not a recommendation or an endorsement of a scheme nor does it guarantee the commercial merits of a scheme or its performance. It does not mean the scheme is suitable for all investors nor is it an endorsement of its suitability for any particular investor or class of investors.

## **Global X Leveraged and Inverse Series (the “Trust”)**

**Global X Hang Seng China Enterprises Index Daily (-1x) Inverse Product (Stock Code: 07362)**

**Global X Hang Seng China Enterprises Index Daily (2x) Leveraged Product (Stock Code: 07230)**

**(each a “Product”, collectively, the “Products”)**

*(Each a sub-fund of Global X Leveraged and Inverse Series, a Hong Kong umbrella unit trust, authorised under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)*

## **Notice to Unitholders – Changes to Hang Seng China Enterprises Index**

Dear Unitholders,

Mirae Asset Global Investments (Hong Kong) Limited (the “**Manager**”), the investment manager of the Products, hereby informs Unitholders of the change to be made by Hang Seng Indexes Company Limited (the “**Index Provider**”) to the Hang Seng China Enterprises Index (the “**Index**”), the underlying index of the Products.

### **Change to the Index - Enrichment in constituent weighting distribution**

Currently, the cap-level on individual weighted voting rights and secondary-listed constituents of the Index is 5%, while that on other constituents is 10%. With effect from the June 2021 index rebalancing, all individual constituents, including weighted voting rights, secondary-listed constituents and other constituents, will be subject to an aligned weighting cap of 8%.

### **Impact on the Products**

The Manager will rebalance each Product's portfolio of investments to reflect any changes to the weighting of shares in the Index following the above changes closely.

Save as disclosed in this Announcement, there will be no impact on the operation and/or manner in which the Products are being managed, and there will be no change in the fee level or cost in managing the Products following the implementation of the above changes. There will be no material change or increase in the overall risk profile of each Product following the changes. The above changes do not amount to material changes to any Product and do not materially prejudice the rights or interest of the Unitholders of each Product. For the avoidance of doubt, the investment objective and strategy of the Products remain unchanged, and there will also be no impact on the acceptability of the Index.

The above changes do not require the approval of the Unitholders' approval. Costs and expenses incurred by each of the Products relating to the above changes, including transaction costs for rebalancing each Product's portfolio and the legal fees and related administrative costs for updating the offering documents, will be borne by the Products.

The latest index methodology and other general information about the Index are available at <http://www.hsi.com.hk><sup>1</sup>.

### **General**

Unless otherwise defined, all capitalised terms shall have the same meaning as are given to such terms in the Prospectus of the Trust.

The Prospectus of the Trust and the product key facts statements of the Products will be amended to reflect the above changes as well as consequential changes, editorial and miscellaneous updates. The updated offering documents will be published on the Manager's website at <https://www.globalxetfs.com.hk><sup>1</sup> and the HKEX's website at [www.hkex.com.hk](http://www.hkex.com.hk) on the date of this Announcement.

If you have any queries in relation to this Announcement, please contact the Manager at Room 1101, 11/F, Lee Garden Three, 1 Sunning Road, Causeway Bay, Hong Kong or its enquiry hotline at (852) 2295-1500 during office hours.

**Mirae Asset Global Investments (Hong Kong) Limited**  
as Manager of the Trust and the Products

**20 May 2021**

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<sup>1</sup> This website has not been reviewed or approved by the SFC.