

3058

Global X China Innovator Active ETF

Category: Thematic Growth
As of 30/06/2024



IMPORTANT INFORMATION

Investors should not base investment decisions on this document alone. Please refer to the Prospectus for details including the product features and the risk factors. Investment involves risks. There is no guarantee of the repayment of principal. Investors should note:

- Global X China Innovator Active ETF's (the "Fund's") investment in equity securities is subject to general market risks, whose value may fluctuate due to various factors, such as changes in investment sentiment, political and economic conditions and issuer-specific factors.
- The Fund employs an actively managed investment strategy. The Fund does not seek to track any index or benchmark, and there is no replication or representative sampling conducted by the Manager. It may fail to meet its objective as a result of the Manager's selection of investments, and/or the implementation of processes which may cause the Fund to underperform as compared to other index tracking funds with a similar objective.
- Due to the concentration of the Fund's investments in companies involved in Innovative Business, which are characterised by relatively higher volatility in price performance when compared to other economic sectors, the performance of the Fund may be more volatile than that of a fund having a more diverse portfolio of investments. In addition, the performance of the Fund may be exposed to risks associated with different sectors and themes, including industrial, consumer discretionary, healthcare, financials, information technology, robotics and artificial intelligence as well as technology (such as internet, fintech, cloud, e-commerce and digital). Fluctuations in the business for companies in these sectors or themes will have an adverse impact on the net asset value of the Fund.
- China is an emerging market. The Fund invests in Chinese companies which may involve increased risks and special considerations not typically associated with investments in more developed markets, such as liquidity risk, currency risks, political risk, legal and taxation risks, and the likelihood of a high degree of volatility.
- The Fund's synthetic replication strategy will involve investing up to 50% of its net asset value in financial derivative instruments ("FDIs"), mainly funded total return swap transaction(s) through one or more counterparty(ies). Risks associated with FDIs include counterparty/credit risk, liquidity risk, valuation risk, volatility risk and over-the-counter transaction risk. FDIs are susceptible to price fluctuations and higher volatility, and may have large bid and offer spreads and no active secondary markets. The leverage element/component of an FDI can result in a loss significantly greater than the amount invested in the FDI by the Sub-Fund.
- The trading price of the Unit on the SEHK is driven by market factors such as demand and supply of the Unit. Therefore, the Units may be traded at a substantial premium or discount to the Fund's net asset value.

INVESTMENT OBJECTIVES

The Fund is to achieve long term capital growth by primarily investing in companies which are headquartered or incorporated in the Mainland China, Hong Kong or Macau and are directly or indirectly involved in the provision of innovative products and/or services ("Innovative Business"). There can be no assurance that the Fund will achieve its investment objective.

FUND DETAILS

Listing Date	11/03/21
Index	N/A
Stock Code (HKD)	3058
Number of Holdings	28
NAV per Unit	RMB26.56
Assets Under Management	USD 1.82 million
Ongoing Charges Over a Year*	0.75%
Board Lot Size	50 Units
Dividend Policy	Annually (if any)
Exchange	SEHK
ISIN	HK0000716099

PERFORMANCE (%)

CUMULATIVE RETURN	NAV (%)	INDEX (%)
1 Mth	-2.06	-
3 Mths	-1.10	-
6 Mths	-5.07	-
YTD	-5.07	-
Since Listing	-49.16	-

ANNUALISED RETURN

1 Yr	-14.85	-
3 Yrs	-	-
5 Yrs	-	-
Since Listing	-18.54	-

CALENDAR YEAR RETURN

2023	-10.05	-
2022	-29.60	-
2021	-	-
2020	-	-
2019	-	-

Source: Mirae Asset Global Investments (Hong Kong) Limited, data as of 30 June 2024. All performance figures of the Fund are net of fees, NAV to NAV, in RMB. Where no past performance figures are shown there was insufficient data available in that year to provide performance figures.

TOP 10 HOLDINGS (%)

Alibaba Group Holding Limited	7.32
Tencent Holdings Ltd.	7.26
Zhongji Innolight Co., Ltd. Class A	6.40
Zijin Mining Group Co., Ltd. Class H	6.12
New Oriental Education & Technology Group, Inc.	5.03
Jiangsu Hengrui Pharmaceuticals Co., Ltd. Class A	5.01
TAL Education Group Sponsored ADR Class A	4.82
BYD Company Limited Class H	4.80
Chinasoft International Ltd.	3.99
Contemporary Amperex Technology Co., Ltd. Class A	3.96

SECTOR BREAKDOWN (%)

Consumer Discretionary	32.71	Materials	6.12
Information Technology	18.51	Real Estate	2.29
Industrials	17.54	Financials	1.72
Communication Services	11.61	Cash	1.64
Health Care	7.86		

* Please refer to the Fund's offering document for further details (including fees).

Certain information contained in this document is compiled from third party sources. Whilst Mirae Asset Global Investments (Hong Kong) Limited ("Mirae Asset HK"), the Manager of the Fund, has, to the best of its endeavor, ensured that such information is accurate, complete and up-to-date, and has taken care in accurately reproducing the information, Mirae Asset HK accepts no liability for any loss or damage of any kind resulting out of the unauthorized use of this document. The information contained in this document is for information purposes only and does not constitute any recommendation, offer or solicitation to buy, sell or subscribe to any securities or financial instruments in any jurisdiction. Investment involves risk. It cannot be guaranteed that the performance of the Fund will generate a return and there may be circumstances where no return is generated or the amount invested is lost. Past performance is not indicative of future performance. Before making any investment decision to invest in the Fund, investors should read the Fund's Prospectus for details and the risk factors. Investors should ensure they fully understand the risks associated with the Fund and should also consider their own investment objective and risk tolerance level. Investors are advised to seek independent professional advice before making any investments. The Fund's Prospectus is available on the website www.globalxetfs.com.

References to particular sectors, securities or companies are for general information and illustrative purposes only and are not recommendations to buy or sell a security, or an indication of the issuer's holdings at any one time. Investors should note that the Fund differs from a typical retail fund as Units may only be created and realized by participating dealers in large unit sizes. This document is issued by Mirae Asset HK and has not been reviewed by the Securities and Futures Commission of Hong Kong. Please read the disclaimer in relation to the Index in the Fund's Prospectus.