

2849

# Global X Autonomous and Electric Vehicles ETF

Category: Thematic Growth  
As of 31/08/2023



## IMPORTANT INFORMATION

Investors should not base investment decisions on this document alone. Please refer to the Prospectus for details including the product features and the risk factors. Investment involves risks. There is no guarantee of the repayment of principal. Investors should note:

- Global X Autonomous and Electric Vehicles ETF's (the "Fund's") investment in equity securities is subject to general market risks, whose value may fluctuate due to various factors, such as changes in investment sentiment, political and economic conditions and issuer-specific factors.
- The fund may invest in small and/or mid-capitalisation companies. The stock of small-capitalisation and mid-capitalisation companies may have lower liquidity and their prices are more volatile to adverse economic developments than those of larger capitalisation companies in general.
- Companies in the electric vehicle and autonomous driving sectors may face intense competition which may have an adverse effect on profit margins and the prices of the securities of the companies. Their profitability is particularly vulnerable and susceptible to rapid changes in technology, rapid obsolescence of products and services, the loss or breach of intellectual property rights, government regulation, domestic and international competition, evolving industry standards, introduction of new product and service, fluctuations in supply and demand for their products and services and the company's ability to manufacture electric vehicles, produce electric vehicle components and materials and develop autonomous vehicle technology on schedule to meet consumer demand.
- Companies in electric vehicle and autonomous driving industry may rely on significant spending on research and development and the businesses of companies with exposure to or investments in autonomous vehicle technology may be exposed to the risk of cybersecurity breaches, traffic accidents related to autonomous vehicles, and other issues that could result in increased regulation. Any errors or vulnerabilities that may be discovered in the products after release may adversely affect the business and operating results of such companies in the electric vehicle and autonomous driving sectors.
- Some securities exchanges may have the right to suspend or limit trading in any security traded on the relevant exchange. The government or the regulators may also implement policies that may affect the financial markets. Some markets may have higher entry barrier for investments as identification number or certificate may have to be obtained for securities trading. All these may have a negative impact on the Fund.
- The trading price of the Unit (the "Unit") on the SEHK is driven by market factors such as demand and supply of the Unit. Therefore, the Units may be traded at a substantial premium or discount to the Fund's net asset value.
- The Fund's synthetic replication strategy will involve investing up to 50% of its net asset value in financial derivative instruments ("FDIs"), mainly funded total return swap transaction(s) through one or more counterparty(ies). Risks associated with FDIs include counterparty/credit risk, liquidity risk, valuation risk, volatility risk and over-the-counter transaction risk. FDIs are susceptible to price fluctuations and higher volatility, and may have large bid and offer spreads and no active secondary markets. The leverage element/component of an FDI can result in a loss significantly greater than the amount invested in the FDI by the Sub-Fund.

## INVESTMENT OBJECTIVES

The Fund is a passive ETF and seeks to provide investment results that, before deduction of fees and expenses, closely correspond to the performance of the Solactive Autonomous & Electric Vehicles Index NTR (the "Index").

## FUND DETAILS

Listing Date	10/12/21
Index	Solactive Autonomous & Electric Vehicles Index NTR
Stock Code (HKD)	2849
Number of Holdings	76
NAV per Unit	USD6.55
Assets Under Management	USD 2.95 million
TD Rolling 1 Yr	-0.93%
TE Rolling 1 Yr	0.19%
Ongoing Charges Over a Year*	0.68%
Board Lot Size	50 Units
Dividend Policy	Annually (if any)
Exchange	SEHK
ISIN	HK0000806288

## PERFORMANCE (%)

CUMULATIVE RETURN	NAV (%)	INDEX (%)
1 Mth	-10.25	-10.18
3 Mths	6.82	7.12
6 Mths	6.81	7.29
YTD	24.82	25.54
Since Listing	-17.06	-16.55

## ANNUALISED RETURN

1 Yr	6.28	7.21
3 Yrs	-	-
5 Yrs	-	-
Since Listing	-	-

## CALENDAR YEAR RETURN

2022	-33.52	-33.57
2021 <sup>a</sup>	-0.05	0.07
2020	-	-
2019	-	-
2018	-	-

<sup>a</sup>Since the listing date of the Fund to the end of the calendar year.

Source: Mirae Asset Global Investments (Hong Kong) Limited, data as of 31 August 2023. All performance figures of the Fund are net of fees, NAV to NAV, in USD. Where no past performance figures are shown there was insufficient data available in that year to provide performance figures.

## TOP 10 HOLDINGS (%)

Alphabet Inc. Class A	3.57
NVIDIA Corporation	3.50
Apple Inc.	3.22
Tesla, Inc.	3.03
Toyota Motor Corp.	3.02
Intel Corporation	2.82
QUALCOMM Incorporated	2.48
Honeywell International Inc.	2.43
Microsoft Corporation	2.08
Hitachi, Ltd.	1.83

## INDUSTRY BREAKDOWN (%)

Automobiles	23.12	Interactive Media & Services	5.07
Semiconductors & Semiconductor Equipment	18.57	Software	4.43
Automobile Components	11.90	Industrial Conglomerates	4.26
Metals & Mining	8.75	Technology Hardware Storage & Peripherals	3.22
Electrical Equipment	8.08	Electronic Equipment Instruments & Components	2.23
Machinery	5.17	Cash	0.09
Chemicals	5.10		

\* Please refer to the Fund's offering document for further details (including fees).

Certain information contained in this document is compiled from third party sources. Whilst Mirae Asset Global Investments (Hong Kong) Limited ("Mirae Asset HK"), the Manager of the Fund, has, to the best of its endeavor, ensured that such information is accurate, complete and up-to-date, and has taken care in accurately reproducing the information, Mirae Asset HK accepts no liability for any loss or damage of any kind resulting out of the unauthorized use of this document. The information contained in this document is for information purposes only and does not constitute any recommendation, offer or solicitation to buy, sell or subscribe to any securities or financial instruments in any jurisdiction. Investment involves risk. It cannot be guaranteed that the performance of the Fund will generate a return and there may be circumstances where no return is generated or the amount invested is lost. Past performance is not indicative of future performance. Before making any investment decision to invest in the Fund, investors should read the Fund's Prospectus for details and the risk factors. Investors should ensure they fully understand the risks associated with the Fund and should also consider their own investment objective and risk tolerance level. Investors are advised to seek independent professional advice before making any investments. The Fund's Prospectus is available on the website [www.globalxetfs.com](http://www.globalxetfs.com).

References to particular sectors, securities or companies are for general information and illustrative purposes only and are not recommendations to buy or sell a security, or an indication of the issuer's holdings at any one time. Investors should note that the Fund differs from a typical retail fund as Units may only be created and realized by participating dealers in large unit sizes. This document is issued by Mirae Asset HK and has not been reviewed by the Securities and Futures Commission of Hong Kong. Please read the disclaimer in relation to the Index in the Fund's Prospectus.